

# Unsupervised Sentiment Analysis with Emotional Signals

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## ABSTRACT

The explosion of social media services presents a great opportunity to understand the sentiment of the public via analyzing its large-scale and opinion-rich data. In social media, it is easy to amass vast quantities of unlabeled data, but very costly to obtain sentiment labels, which makes unsupervised sentiment analysis essential for various applications. It is challenging for traditional lexicon-based unsupervised methods due to the fact that expressions in social media are unstructured, informal, and fast-evolving. Emoticons and product ratings are examples of emotional signals that are associated with sentiments expressed in posts or words. Inspired by the wide availability of emotional signals in social media, we propose to study the problem of *unsupervised sentiment analysis with emotional signals*. In particular, we investigate whether the signals can potentially help sentiment analysis by providing a unified way to model two main categories of emotional signals, i.e., emotion indication and emotion correlation. We further incorporate the signals into an unsupervised learning framework for sentiment analysis. In the experiment, we compare the proposed framework with the state-of-the-art methods on two Twitter datasets and empirically evaluate our proposed framework to gain a deep understanding of the effects of emotional signals.

## Categories and Subject Descriptors

H.3.3 [Information Storage and Retrieval]: Information Search and Retrieval—*Classification*; I.2.7 [Artificial Intelligence]: Natural Language Processing

## General Terms

Algorithm, Performance, Experimentation

## Keywords

Emotional Signals, Sentiment Analysis, Twitter, Emoticon, Social Correlation, Social Media

## 1. INTRODUCTION

Social media services such as Twitter<sup>1</sup> and Facebook<sup>2</sup> are increasingly used to communicate and exchange opinions

<sup>1</sup><https://twitter.com/>

<sup>2</sup><http://www.facebook.com/>

about celebrities, politicians, products, companies, stocks and events [17, 24]. These opinion-rich resources attract attention from disciplines to understand the opinions of social media users. For example, the aggregated sentiments of tweets about politicians are used to predict poll ratings [24]. Asur and Huberman [3] exploit Twitter sentiment and content to forecast box-office revenues of movies, outperforming traditional market-based predictors. Various applications demonstrate the effectiveness of sentiment analysis in understanding opinions contained in social media.

Methods for automatically classifying sentiments expressed in product and movie reviews have been extensively studied for years [13, 20], and can roughly be divided into supervised and unsupervised sentiment analysis [25]. The supervised methods train a robust sentiment classifier from manually labeled training data. In social media, it is time and labor consuming to obtain sentiment labels, which are essential for supervised sentiment analysis algorithms. Given large-scale unlabeled data which can be easily collected in social media, we propose to study unsupervised sentiment analysis.

A traditional way to perform unsupervised sentiment analysis is the lexicon-based method [24, 36, 37]. These methods employ a sentiment lexicon to determine overall sentiment polarity of a document. Lexicon-based unsupervised sentiment analysis becomes difficult due to the distinct features of social media data. First, texts in social media are short, thus we lack sufficient aggregated information to measure overall sentiment of a post [14]. Second, new expressions, like “it’s coooool” and “good 9t :)”, are frequently used and fast-evolving in social media. They are not standard, but are more acceptable in social media. Third, users may use different words to express their opinions in distinct domains. It is difficult to define a universally optimal sentiment lexicon to cover words from different domains [23]. Thus it is challenging for lexicon-based methods to accurately identify the overall sentiment polarity of social media posts, which are short, unstructured, fast-evolving, and domain-specific, though they provide convenience in instant communications for human beings.

Abundant emotional signals are observed in social media. *Emotional signals* are any information that could be correlated with sentiment polarity of a document or the words in the document. For example, when communicating in the physical world, it is common for people to supplement vocal interaction with gestures and facial expressions. Similarly, in social media, users develop visual cues that are strongly associated with their emotional states. These cues, known as emoticons (or facial expressions), are widely used to show

the emotion that a user’s post represents. When the authors use emoticons, they are effectively marking up the text with an emotional state [22]. In this case, an emoticon is considered as an emotional signal. Another example of emotional signals is the consistency theory [1], which is well-established in social sciences and was introduced to model people’s emotions [20]. Emotion consistency theory suggests that words that often co-occur show the same sentiment orientation, especially when the posts are short. Although manually labeling data is costly, massing vast quantities of unlabeled data is easy in social media.

In this paper, we exploit emotional signals contained in social media data for effective sentiment analysis in an unsupervised manner. Specifically, we investigate the following problems: Are the emotional signals available in social media potentially useful for sentiment analysis? How can the emotional signals be explicitly represented and incorporated into an unsupervised sentiment analysis framework? Is the integration of emotional signals helpful for real-world sentiment analysis applications? The main contributions of this paper are summarized as follows:

- Formally define the problem of unsupervised sentiment analysis with emotional signals;
- Verify the existence of representative emotional signals with statistical hypothesis testing, and propose a unified way to model the emotional signals;
- Present a novel framework to incorporate the emotional signals into unsupervised sentiment analysis; and
- Empirically evaluate the proposed framework on real-world Twitter datasets and elaborate the effects of the emotional signals on sentiment analysis.

The remainder of this paper is organized as follows. In Section 2, we review existing literature related to our work. In Section 3, we formally define the problem we study. In Section 4, we conduct an exploratory study to examine the potential impacts of emotional signals with social media datasets. In Section 5, we propose an unsupervised sentiment analysis framework which considers different types of emotional signals in a unified way. In Section 6, we report empirical results on real-world datasets. In Section 7, we conclude and present the future work.

## 2. RELATED WORK

Sentiment analysis has been a hot topic for quite a few years [20]. Recently, as an effective tool to understand opinions of the public, sentiment analysis is widely used in various social media applications [27], including poll rating prediction [24], stock market prediction [4], event analysis [18], behavioral targeting [38], etc. Similar to conventional sentiment analysis on product and movie reviews, most existing methods in social media can fall into supervised learning methods [10, 15] and unsupervised learning methods [4, 18, 24]. Due to the lack of label information and the large-scale data produced by social media services, unsupervised learning becomes more and more important in real-world social media applications.

The most representative way to perform unsupervised sentiment analysis is the lexicon-based method. The methods rely on a pre-defined sentiment lexicon to determine the general sentiment polarity of a given document. The existing

methods can be generally divided into three categories. The first is to employ a group of human annotators to manually label a set of words to build the sentiment lexicon, e.g., General Inquirer [31] and MPQA [37]. The second is dictionary-based methods [2, 26], which employ a dictionary, e.g., WordNet, to learn sentiment orientation of a word from its semantically/linguistically related words mined from the dictionary. The third is called corpus-based methods [23, 34, 39], which infer sentiment orientation of the words from a given corpus by exploring the relation between the words and some observed seed sentiment words/information, and then build a domain-dependent sentiment lexicon. Different from traditional lexicon-based methods, we perform unsupervised sentiment analysis from a novel perspective. The proposed framework considers both post- and word-level sentiment-related contextual information, i.e., emotional signals, in a unified framework. The proposed framework makes use of the valuable emotional signals to compensate the problem of lack of label information in social media.

Some efforts have been made to explore the effects of emotional signals on sentiment analysis. Zhao et al. [40] employed the emoticon related tweets to train a naive Bayes classifier for sentiment classification. Bordy and Diakopoulos [6] showed that the lengthening of a word is strongly associated with its sentiment. Li et al. [19] used the sentiment knowledge learned from one domain to facilitate sentiment analysis on another domain. However, the proposed methods rely on one domain-dependent emotional signal to some extent. As we discussed in the experiment, performance of some emotional signals, e.g., emoticons, is not stable when used on datasets from different domains. Different from previous methods, we present the first quantitative study analyzing the impacts of emotional signals that are ubiquitous in social media. We further provide a novel unsupervised sentiment analysis framework to make use of the interpreted emotional signals in a unified way.

## 3. PROBLEM STATEMENT

One distinct feature of social media data is that it often provides additional information other than text. An example of social media data is illustrated in Figure 1. Traditional sentiment analysis methods work with “flat” attribute-value data in the form of a post-word matrix, as shown in the left part of the figure. They assume that posts are independent and identically distributed (i.i.d.). This assumption does not hold true in social media. Unlike traditional platforms, social media contains emotional signals that are highly correlated with the sentiment polarity of posts and words, as shown in the right part of the figure.

We study social media data that consists of a collection of posts with emotional signals. This is a general setting in social media. The posts can be a set of tweets, Facebook updates, Yelp comments, Amazon product reviews, etc. The emotional signals could be any information correlated with sentiment of a post or words in the post. The emotional signals can roughly be divided into two categories, emotion indication and emotion correlation, as defined below.

**Definition 1 (Emotion Indication):** Emotion indication is defined by the emotional signals that strongly reflect the sentiment polarity of a post or a word and can be easily collected from social media. For example, emoticons correspond to sentiments expressed in posts, and they are frequently used on different social media platforms. The in-

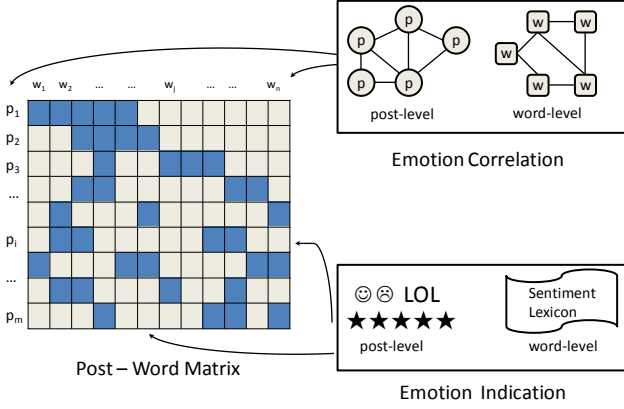


Figure 1: An Example of Social Media Data

tuition is that it is unlikely for a user to include a negative emoticon in a post expressing something positive. As shown in the bottom right corner of Figure 1, we have post-level emotion indication, including emoticons, product ratings, restaurant stars, etc., and word-level emotion indication, including some publicly available sentiment lexicons.

**Definition 2 (Emotion Correlation):** Emotion correlation is defined by the emotional signals that reflect the correlation between posts or words. For example, we can build a connection between two words in a post with emotion consistency theory [1]. The theory suggests that two frequently co-occurring words should have similar sentiment polarity. The intuition is that it is unlikely that people will mix negative and positive words together in a short post. As shown in the top right corner of Figure 1, we have post-level emotion correlation, like a post-post social network, text similarity between two posts, etc., and word-level emotion correlation, like consistency theory, synonym relation in WordNet, co-occurrence information in Wikipedia, etc.

**Notations:** Let  $\mathbf{X} \in \mathbb{R}^{m \times n}$  be the post-word content matrix, where  $m$  is the number of posts and  $n$  is the number of distinct words in the posts. The entry at the  $i^{\text{th}}$  row and  $j^{\text{th}}$  column of a matrix  $\mathbf{A}$  is denoted as  $\mathbf{A}(i, j)$ .  $\mathbf{A}(i, *)$  and  $\mathbf{A}(*, j)$  denote the  $i^{\text{th}}$  row and  $j^{\text{th}}$  column of a matrix  $\mathbf{A}$ , respectively.  $\|\mathbf{A}\|_F$  is the Frobenius norm of a matrix  $\mathbf{A}$ , and  $\|\mathbf{A}\|_F = \sqrt{\sum_{i=1}^m \sum_{j=1}^n \mathbf{A}(i, j)^2}$ .

Generally, as stated by Speriosu et al. [30], sentiment analysis requires three stages: (1) topic-based message retrieval; (2) subjectivity classification; (3) polarity sentiment classification. This paper, like most previous work in sentiment analysis [10, 21, 30], focuses on the last stage of the process — polarity sentiment classification.

With the terminologies defined above, we now formally define our task as following:

*Given a corpus of social media posts  $\mathbf{X}$  and available emotional signals, including post- and word-level emotion indication, and post- and word-level emotion correlation, our task is to automatically infer the sentiment labels of the posts.*

## 4. DATA ANALYSIS

A motivation for this work is that emotional signals could be strongly correlated with the sentiment in a post or a word.

Table 1: List of Emoticons

<i>Positive</i>	:)	:)	:-)	:D	=)
<i>Negative</i>	:(	:(	:-(		

Before proceeding, we first introduce real-world data used in this work and investigate whether the observed emotional signals have any potential impact on sentiment analysis.

### 4.1 Datasets

Two publicly available tweet datasets are used in our study, i.e., Stanford Twitter Sentiment and Obama-McCain Debate. Now we introduce the two datasets in detail.

The first dataset used in the experiment is Stanford Twitter Sentiment (STS).<sup>3</sup> Go et al. [10] created a collection of 40,216 tweets with polarity sentiment labels to train a sentiment classifier. The tweets in the dataset are crawled between April 6, 2009 and June 25, 2009 via Twitter API.<sup>4</sup> We include all the tweets and their corresponding sentiment labels in the dataset for experiment.

The second data set is Obama-McCain Debate (OMD).<sup>5</sup> This dataset consists of 3,269 tweets posted during the presidential debate on September 26, 2008 between Barack Obama and John McCain [29]. The sentiment label of each tweet was annotated through Amazon Mechanical Turk<sup>6</sup>. Each tweet was manually labeled by at least three Turkers. The majority of votes for a tweet is taken as a gold standard.

We follow a standard procedure for data preprocessing. The unigram model is employed to construct the feature space, term presence is used as the feature weight. No stemming or removing stop-words is performed for both datasets. Next we introduce a sentiment lexicon used in our study.

**Sentiment Lexicon:** A widely used manually labeled sentiment lexicon, i.e., MPQA Opinion Corpus<sup>7</sup> (MPQA), is used in this study. There are 2718 positive and 4902 negative words in the sentiment lexicon.

### 4.2 Verifying Emotional Signals

We study the potential impact of two representative emotional signals, emoticon and emotion consistency, on the sentiments via statistical hypothesis testing.

#### 4.2.1 Verifying Emotion Indication

Emotion indication suggests that the sentiment of a post is likely to be consistent with its indication information. We use the emoticons listed in Table 1 to validate whether this hypothesis holds in the two datasets.

For each of the two datasets, two groups of tweets with equal size are selected. One group is the tweets with positive emoticons, the other is tweets randomly selected from the whole dataset. We construct two vectors  $\mathbf{s}_p$  and  $\mathbf{s}_r$ , which represent the sentiment polarity of the tweets in the two groups, respectively. We form a two-sample one-tail t-test to validate the existence of positive emotion indication. We test whether or not there is sufficient statistical evidence to support the hypothesis that the sentiment polarity of the

<sup>3</sup><http://www.stanford.edu/~alecmgo/cs224n/>

<sup>4</sup><http://apiwiki.twitter.com/>

<sup>5</sup><https://bitbucket.org/speriosu/updown/src/5de483437466/data/>

<sup>6</sup><https://www.mturk.com/>

<sup>7</sup>[http://www.cs.pitt.edu/mpqa/opinionfinder\\_1.html](http://www.cs.pitt.edu/mpqa/opinionfinder_1.html)

**Table 2: Hypothesis Testing Results (P-Values) to Verify Emotion Indication and Emotion Correlation**

Emotional Signal	STS	OMD
<i>Positive Emoticon</i>	5.2464e-008	2.5338e-004
<i>Negative Emoticon</i>	1.7909e-007	1.2558e-003
<i>Emotion Consistency</i>	9.0032e-008	3.0698e-008

first group is larger than that of the second. The null hypothesis is  $H_0 : \mu_p - \mu_r \leq 0$ , and the alternative hypothesis is  $H_1 : \mu_p - \mu_r > 0$ . In the formulations,  $\mu_p$  and  $\mu_r$  represent the sample mean of sentiment polarity of the tweets in the two groups, respectively.

Similarly, for each of the two datasets, we construct two vectors  $\mathbf{s}_n$  and  $\mathbf{s}_r$  in terms of negative emoticons. One group is the tweets with negative emoticons, the other is tweets randomly selected from the whole dataset. The null is  $H_0 : \mu_n - \mu_r \geq 0$ , and alternative hypotheses is  $H_1 : \mu_n - \mu_r < 0$ , where  $\mu_n$  and  $\mu_r$  represent the sample mean of sentiment polarity of the tweets in the two groups, respectively.

The t-test results,  $p$ -values, are summarized in the middle two rows of Table 2. The results show that there is strong statistical evidence, with significance level  $\alpha = 0.01$ , to reject the null hypothesis in both tests on the two datasets. In other words, the results validate the hypothesis that emotion indication is clearly correlated with the sentiments expressed in social media data.

#### 4.2.2 Verifying Emotion Correlation

Emotion consistency theory suggests that the sentiments of two co-occurring words have higher probability to be consistent than those of two random words. We use hypothesis testing to validate whether this social theory still holds in the two datasets.

We first define the sentiment difference score between two words as

$$\mathbf{D}_{ij} = \|\mathbf{w}_i - \mathbf{w}_j\|_2, \quad (1)$$

where  $\mathbf{w}_i$  and  $\mathbf{w}_j$  represent sentiment polarity of the words, which are determined by the MPQA sentiment lexicon.

Then, we construct two vectors  $\mathbf{s}_c$  and  $\mathbf{s}_r$  with an equal number of elements. Each element of the first vector  $\mathbf{s}_c$  is calculated by Eq. (1), where  $\mathbf{w}_i$  and  $\mathbf{w}_j$  are words co-occurring in the same post. Each element of the second vector represents the sentiment difference score between  $\mathbf{w}_i$  and another randomly selected word  $\mathbf{w}_r$ . We form a two-sample one-tail t-test to validate the existence of emotion consistency. We test whether there is sufficient evidence to support the hypothesis that sentiment difference of the first group is less than that of the second. The null hypothesis is  $H_0 : \mu_c - \mu_r \geq 0$ , and the alternative hypothesis is  $H_1 : \mu_c - \mu_r < 0$ , where  $\mu_c$  and  $\mu_r$  represent the sample means of sentiment difference scores in the two groups, respectively.

The t-test results,  $p$ -values, are summarized in the last row of Table 2. The results show that there is strong evidence, with significance level  $\alpha = 0.01$ , to reject the null hypothesis on the two datasets. In other words, we validate the existence of emotion correlation in social media data. By verifying the existence of the emotional signals in social media data, it paves the way for our next study of modeling the emotional signals for sentiment classification.

## 5. ESSA: AN UNSUPERVISED SENTIMENT ANALYSIS FRAMEWORK

In this section, we first discuss how to explicitly model the two categories of emotional signals and propose a novel framework to make use of the Emotional Signals for unsupervised Sentiment Analysis (ESSA).

### 5.1 Modeling Emotion Indication

**Post-level Emotion Indication:** As we discussed, post-level emotion indication strongly reflects the sentiment polarity of a post. The key idea of modeling post-level emotion indication is to make the sentiment polarity of a post as close as possible to the emotion indication of the post. It can be formulated as minimizing the following loss function:

$$\|\mathbf{U}(i, *) - \mathbf{U}_0(i, *)\|_2^2, \quad (2)$$

where  $\mathbf{U} \in \mathbb{R}^{m \times c}$  is the post-sentiment matrix,  $\mathbf{U}_0 \in \mathbb{R}^{m \times c}$  represents the emotion indication matrix, and  $c$  is the number of sentiment classes for posts. Our task is polarity sentiment classification, i.e.,  $c = 2$ . For example,  $\mathbf{U}_0(i, *) = (1, 0)$  represents that the post contains positive emotion indication, and  $\mathbf{U}_0(i, *) = (0, 1)$  negative indication.  $\mathbf{U}_0(i, *) = (0, 0)$  represents unknown, i.e., there is no emotion indication in the post.

To avoid impacts brought by unknown elements of  $\mathbf{U}_0$ , the loss function for all the posts can be formulated as

$$\mathcal{R}_I^u = \|\mathbf{G}^u(\mathbf{U} - \mathbf{U}_0)\|_F^2, \quad (3)$$

where  $\mathbf{G}^u \in \{0, 1\}^{m \times m}$  is a diagonal indicator matrix for post-level emotion indication, i.e.,  $\mathbf{G}^u(i, i) = 1$  represents that the  $i$ -th post contains emotion indication,  $\mathbf{G}^u(i, i) = 0$  otherwise. This loss function incurs a penalty if the learned sentiment polarity is inconsistent with the emotion indication contained in the data.

**Word-level Emotion Indication:** It has been validated in [35] that the overall sentiment of a post is positively correlated with the sentiments of the words in that post. By modeling word-level emotional signals, we have the potential to utilize the valuable information in the sentiment analysis framework to infer sentiment polarity of a post.

Similar to modeling the post-level emotion indication, we propose to make the sentiment polarity of a word as close as possible to the word-level emotion indication. It can be formulated by minimizing the following loss function:

$$\|\mathbf{V}(i, *) - \mathbf{V}_0(i, *)\|_2^2, \quad (4)$$

and considering all the words, it can be formulated as

$$\mathcal{R}_I^v = \|\mathbf{G}^v(\mathbf{V} - \mathbf{V}_0)\|_F^2, \quad (5)$$

where  $\mathbf{G}^v \in \{0, 1\}^{n \times n}$  is a diagonal indicator matrix to represent whether the word contains word-level emotion indication or not,  $\mathbf{V} \in \mathbb{R}^{n \times c}$  is the word-sentiment matrix, and  $\mathbf{V}_0 \in \mathbb{R}^{n \times c}$  is the word-level emotion indication matrix.

### 5.2 Modeling Emotion Correlation

This category of emotional signals reflect correlation between data points, i.e., posts or words. We propose to construct a graph to represent geometric structure of the data. In the graph, nodes represent data points, and edges represent correlation between the data points. Now we introduce how to model the emotion correlation in detail.

**Post-level Emotion Correlation:** In order to model post-level emotion correlation, we construct a post-post graph  $\mathcal{G}^u$ . In the graph, nodes represent posts in the corpus and edges represent the affinity between the posts. The adjacency matrix  $\mathbf{W}^u \in \mathbb{R}^{m \times m}$  of the graph  $\mathcal{G}^u$  is defined as

$$\mathbf{W}^u(i, j) = \begin{cases} 1 & \text{if } u_i \in \mathcal{N}(u_j) \text{ or } u_j \in \mathcal{N}(u_i) \\ 0 & \text{otherwise} \end{cases} \quad (6)$$

where  $u_j$  is a post in the corpus, and  $\mathcal{N}(u_j)$  represents the  $k$ -nearest neighbors of the post. Many metrics, e.g., textual similarity and social network information, can be adopted to obtain nearest neighbors of a post, and further define the adjacency matrix.

The key idea is that if two nodes are close in the graph, their labels are also close to each other. This intuition is consistent with traditional supervised sentiment analysis, in which it is assumed that sentiment labels of two posts are more likely to be consistent when their textual similarity is high [25]. It can be mathematically formulated as minimizing the following loss function:

$$\begin{aligned} \mathcal{R}_C^u &= \frac{1}{2} \sum_{i=1}^m \sum_{j=1}^m \|\mathbf{U}(i, *) - \mathbf{U}(j, *)\|_2^2 \mathbf{W}^u(i, j) \\ &= \text{Tr}(\mathbf{U}^T (\mathbf{D}^u - \mathbf{W}^u) \mathbf{U}) \\ &= \text{Tr}(\mathbf{U}^T \mathcal{L}^u \mathbf{U}), \end{aligned} \quad (7)$$

where  $\text{Tr}(\cdot)$  is the trace of a matrix,  $\mathcal{L}^u = \mathbf{D}^u - \mathbf{W}^u$  is the Laplacian matrix [11] of the constructed graph  $\mathcal{G}^u$ , and  $\mathbf{D}^u \in \mathbb{R}^{m \times m}$  is a diagonal matrix where  $\mathbf{D}^u(i, i) = \sum_{j=1}^m \mathbf{W}^u(i, j)$ . This loss function will incur a penalty if two posts are close in the graph but have different sentiment labels.

**Word-level Emotion Correlation:** Similar to the interpretation of the post-level emotion correlation, we construct a word-word graph  $\mathcal{G}^v$ . In the graph, nodes represent distinct words in the corpus and edges represent the affinity between words. Adjacency matrix  $\mathbf{W}^v \in \mathbb{R}^{n \times n}$  of the constructed graph  $\mathcal{G}^v$  is defined as

$$\mathbf{W}^v(i, j) = \begin{cases} 1 & \text{if } v_i \in \mathcal{N}(v_j) \text{ or } v_j \in \mathcal{N}(v_i) \\ 0 & \text{otherwise} \end{cases} \quad (8)$$

where  $v_j$  is a word in the corpus, and  $\mathcal{N}(v_j)$  represents the  $k$ -nearest neighbor of the word. This matrix can also be formulated according to various metrics, like co-occurrence information, semantic similarity computed by WordNet, Wikipedia, or search engine, which have been extensively studied in Nature Language Processing literature [14].

The basic idea here is to build a latent connection to make sentiment labels of two words as close as possible if they are close in the graph  $\mathcal{G}^v$ , and this goal can be achieved by minimizing the following loss function:

$$\mathcal{R}_C^v = \text{Tr}(\mathbf{V}^T \mathcal{L}^v \mathbf{V}), \quad (9)$$

where  $\mathcal{L}^v = \mathbf{D}^v - \mathbf{W}^v$  is the Laplacian matrix of  $\mathcal{G}^v$ .

### 5.3 Exploiting Emotional Signals for Unsupervised Sentiment Analysis

Supervised learning methods have been widely used for sentiment analysis [25]. They treat sentiment classification as a two-topic (positive and negative sentiment) classification problem, and learn a classifier based on manually labeled data. To avoid the time-consuming and expensive la-

beling work, emotional signals are employed in the proposed unsupervised framework to guide the learning process.

Under this scenario, with the interpretation of the two categories of emotional signals, we propose a matrix factorization based framework for unsupervised sentiment analysis. The proposed method is built on the orthogonal nonnegative matrix tri-factorization model [8] (ONMTF). The basic idea of the ONMTF model is that data instances can be clustered based on the distribution of features, and features can be clustered according to their distribution of data instances. The principle of ONMTF is consistent with topic modeling in text mining, e.g., PLSI [12], in which each document can be represented as a mixture of the latent topics, and each word can be generated from the latent topics.

The ONMTF model is to approximate the input post-word matrix with three factor matrices that assign cluster labels to posts and words simultaneously by solving the following optimization problem:

$$\begin{aligned} \min_{\mathbf{U}, \mathbf{H}, \mathbf{V} \geq 0} \quad \mathcal{O} &= \|\mathbf{X} - \mathbf{U}\mathbf{H}\mathbf{V}^T\|_F^2, \\ \text{s.t.} \quad \mathbf{U}^T \mathbf{U} &= \mathbf{I}, \quad \mathbf{V}^T \mathbf{V} = \mathbf{I}. \end{aligned} \quad (10)$$

where  $\mathbf{X}$  is the input post-word content matrix, and  $\mathbf{U} \in \mathbb{R}_+^{m \times c}$  and  $\mathbf{V} \in \mathbb{R}_+^{n \times c}$  are orthogonal nonnegative matrices indicating low-dimensional representations of posts and words, respectively. The orthogonal and nonnegative conditions of the two matrices  $\mathbf{U}$  and  $\mathbf{V}$  enforce the model to provide a hard assignment of cluster label for posts and words.  $\mathbf{H} \in \mathbb{R}_+^{c \times c}$  provides a condensed view of  $\mathbf{X}$ .

While supervised sentiment analysis methods use manually labeled information to train a classifier, motivated by [26], we treat unsupervised sentiment analysis as a clustering problem, and employ emotional signals as prior knowledge to guide the learning process. In particular, to ensure the learned latent topic space to be sentiment space, we introduce emotional signals to constrain the matrix factorization based framework from both post and word sides. Our proposed unsupervised sentiment analysis framework can be mathematically formulated as solving the following optimization problem:

$$\begin{aligned} \min_{\mathbf{U}, \mathbf{H}, \mathbf{V} \geq 0} \quad \mathcal{J} &= \|\mathbf{X} - \mathbf{U}\mathbf{H}\mathbf{V}^T\|_F^2 + \lambda_I^u \|\mathbf{G}^u (\mathbf{U} - \mathbf{U}_0)\|_F^2 \\ &+ \lambda_I^v \|\mathbf{G}^v (\mathbf{V} - \mathbf{V}_0)\|_F^2 + \lambda_C^u \text{Tr}(\mathbf{U}^T \mathcal{L}^u \mathbf{U}) + \lambda_C^v \text{Tr}(\mathbf{V}^T \mathcal{L}^v \mathbf{V}), \\ \text{s.t.} \quad \mathbf{U}^T \mathbf{U} &= \mathbf{I}, \quad \mathbf{V}^T \mathbf{V} = \mathbf{I}. \end{aligned} \quad (11)$$

where  $\lambda_I^u$ ,  $\lambda_I^v$ ,  $\lambda_C^u$ ,  $\lambda_C^v$  are all positive regularization parameters which control contributions of post- and word-level emotion indication, and post- and word-level emotion correlation, respectively. Note that we focus on studying the effects of emotional signals on sentiment analysis performance, but not ways to combine them. We can simply combine these emotional signals with equal weight in the general framework. The orthogonal and nonnegative conditions of  $\mathbf{U}$  and  $\mathbf{V}$  provide a hard assignment of sentiment polarity to posts and words simultaneously.

With the optimization results, the sentiment polarity of a post  $u_i$  can be inferred by  $f(u_i) = \arg \max_{j \in \{p, n\}} \mathbf{U}(i, j)$ .

The optimization problem in Eq. (11) is not convex with respect to the three variables  $\mathbf{U}$ ,  $\mathbf{H}$  and  $\mathbf{V}$  together. There is no closed-form solution for the problem. Next, we introduce an alternative scheme to solve the optimization problem.

## 5.4 Optimization Algorithm for ESSA

It is difficult to give a closed-form solution for the optimization problem in Eq. (11). Motivated by [8], we now introduce an alternative algorithm to find optimal solutions for the three variables  $\mathbf{U}$ ,  $\mathbf{H}$ , and  $\mathbf{V}$ . The key idea is to optimize the objective with respect to one variable, while fixing others. The algorithm will keep updating the variables until convergence. Now we introduce the algorithm in detail.

### 5.4.1 Computation of $\mathbf{H}$

Optimizing the objective function in Eq. (11) with respect to  $\mathbf{H}$  is equivalent to solving

$$\min_{\mathbf{H} \geq 0} \mathcal{J}_H = \|\mathbf{X} - \mathbf{U}\mathbf{H}\mathbf{V}^T\|_F^2. \quad (12)$$

Let  $\Lambda_H$  be the Lagrange multiplier for constraint  $\mathbf{H} \geq 0$ , the Lagrange function  $L(\mathbf{H})$  is defined as follows:

$$L(\mathbf{H}) = \|\mathbf{X} - \mathbf{U}\mathbf{H}\mathbf{V}^T\|_F^2 - \text{Tr}(\Lambda_H \mathbf{H}^T). \quad (13)$$

By setting the derivative  $\nabla_{\mathbf{H}} L(\mathbf{H}) = 0$ , we get

$$\Lambda_H = -2\mathbf{U}^T \mathbf{X} \mathbf{V} + 2\mathbf{U}^T \mathbf{U} \mathbf{H} \mathbf{V}^T \mathbf{V}. \quad (14)$$

The Karush-Kuhn-Tucker complementary condition [5] for the nonnegativity constraint of  $\mathbf{H}$  gives

$$\Lambda_H(i, j) \mathbf{H}(i, j) = 0; \quad (15)$$

thus, we obtain

$$[-\mathbf{U}^T \mathbf{X} \mathbf{V} + \mathbf{U}^T \mathbf{U} \mathbf{H} \mathbf{V}^T \mathbf{V}](i, j) \mathbf{H}(i, j) = 0. \quad (16)$$

Similar to [8], it leads to the updating rule of  $\mathbf{H}$ ,

$$\mathbf{H}(i, j) \leftarrow \mathbf{H}(i, j) \sqrt{\frac{[\mathbf{U}^T \mathbf{X} \mathbf{V}](i, j)}{[\mathbf{U}^T \mathbf{U} \mathbf{H} \mathbf{V}^T \mathbf{V}](i, j)}}. \quad (17)$$

### 5.4.2 Computation of $\mathbf{U}$

Similar to the computation of  $\mathbf{H}$ , optimizing the objective function in Eq. (11) with respect to  $\mathbf{U}$  leads to the updating rule of  $\mathbf{U}$ ,

$$\mathbf{U}(i, j) \leftarrow \mathbf{U}(i, j) \sqrt{\frac{[\mathbf{X} \mathbf{V} \mathbf{H}^T + \lambda_I^u \mathbf{G}^u \mathbf{U}_0 + \lambda_C^u \mathbf{W}^u \mathbf{U} + \mathbf{U} \Gamma_U^-](i, j)}{[\mathbf{U} \mathbf{H} \mathbf{V}^T \mathbf{V} \mathbf{H}^T + \lambda_I^u \mathbf{G}^u \mathbf{U} + \lambda_C^u \mathbf{D}^u \mathbf{U} + \mathbf{U} \Gamma_U^+](i, j)}}. \quad (18)$$

The details are given in Appendix A.

### 5.4.3 Computation of $\mathbf{V}$

Similarly, optimizing the objective function in Eq. (11) with respect to  $\mathbf{V}$  leads to the updating rule of  $\mathbf{V}$ ,

$$\mathbf{V}(i, j) \leftarrow \mathbf{V}(i, j) \sqrt{\frac{[\mathbf{X}^T \mathbf{U} \mathbf{H} + \lambda_I^v \mathbf{G}^v \mathbf{V}_0 + \lambda_C^v \mathbf{W}^v \mathbf{V} + \mathbf{V} \Gamma_V^-](i, j)}{[\mathbf{V} \mathbf{H}^T \mathbf{U}^T \mathbf{U} \mathbf{H} + \lambda_I^v \mathbf{G}^v \mathbf{V} + \lambda_C^v \mathbf{D}^v \mathbf{V} + \mathbf{V} \Gamma_V^+](i, j)}}. \quad (19)$$

The details are given in Appendix B.

In summary, we present the computational algorithm of optimizing Eq. (11) in Algorithm 1. In the algorithm, we conduct initialization for indicator matrix, Laplacian matrix, and the three matrices to be inferred from line 1 to 3.  $T$  is the number of maximum iterations. The three matrices are updated with the updating rules until convergence or reaching the number of maximum iterations. The correctness and convergence of the updating rules can be proved with the standard auxiliary function approach [28].

---

**Algorithm 1:** *ESSA: Exploring Slang Sentiment Words for Sentiment Analysis*

---

**Input:**  $\{\mathbf{X}, \mathbf{U}_0, \mathbf{V}_0, \lambda_I^u, \lambda_I^v, \lambda_C^u, \lambda_C^v, T\}$

**Output:**  $\mathbf{V}$

- 1: Construct matrices  $\mathbf{G}^u$  and  $\mathbf{G}^v$  in Eq. (3) and (4)
  - 2: Construct matrices  $\mathcal{L}^u$  and  $\mathcal{L}^v$  in Eq. (7) and (9)
  - 3: Initialize  $\mathbf{U} = \mathbf{U}_0, \mathbf{V} = \mathbf{V}_0, \mathbf{H} \geq 0$
  - 4: **while** Not convergent and  $t \leq T$  **do**
  - 5:   Update  $\mathbf{H}(i, j) \leftarrow \mathbf{H}(i, j) \sqrt{\frac{[\mathbf{U}^T \mathbf{X} \mathbf{V}](i, j)}{[\mathbf{U}^T \mathbf{U} \mathbf{H} \mathbf{V}^T \mathbf{V}](i, j)}}$
  - 6:   Update  $\mathbf{U}(i, j) \leftarrow \mathbf{U}(i, j) \sqrt{\frac{[\mathbf{X} \mathbf{V} \mathbf{H}^T + \lambda_I^u \mathbf{G}^u \mathbf{U}_0 + \lambda_C^u \mathbf{W}^u \mathbf{U} + \mathbf{U} \Gamma_U^-](i, j)}{[\mathbf{U} \mathbf{H} \mathbf{V}^T \mathbf{V} \mathbf{H}^T + \lambda_I^u \mathbf{G}^u \mathbf{U} + \lambda_C^u \mathbf{D}^u \mathbf{U} + \mathbf{U} \Gamma_U^+](i, j)}}$
  - 7:   Update  $\mathbf{V}(i, j) \leftarrow \mathbf{V}(i, j) \sqrt{\frac{[\mathbf{X}^T \mathbf{U} \mathbf{H} + \lambda_I^v \mathbf{G}^v \mathbf{V}_0 + \lambda_C^v \mathbf{W}^v \mathbf{V} + \mathbf{V} \Gamma_V^-](i, j)}{[\mathbf{V} \mathbf{H}^T \mathbf{U}^T \mathbf{U} \mathbf{H} + \lambda_I^v \mathbf{G}^v \mathbf{V} + \lambda_C^v \mathbf{D}^v \mathbf{V} + \mathbf{V} \Gamma_V^+](i, j)}}$
  - 8:    $t = t + 1$
  - 10: **end while**
- 

## 5.5 Extensions

In some social media applications, it is possible that more emotional signals from multiple sources are available. For example, product ratings from Amazon, restaurant ratings in Yelp, etc. are potentially correlated with the sentiment polarity of the posts. These sources could be useful to be included in the proposed framework as post-level emotion indication information. Also, as we discussed, for word-level emotional signals, WordNet, Wikipedia or other Web resources could be useful to build the word-word graph as word-level emotion correlation.

The proposed framework can be easily extended to incorporate multiple emotional signals by solving the following optimization problem:

$$\begin{aligned} \min_{\mathbf{U}, \mathbf{H}, \mathbf{V} \geq 0} \mathcal{J}_1 = \mathcal{O} &+ \sum_{s_1 \in S_{I,U}} \lambda_{s_1} \mathcal{R}_{I,s_1}^u + \sum_{s_2 \in S_{I,V}} \lambda_{s_2} \mathcal{R}_{I,s_2}^v \\ &+ \sum_{s_3 \in S_{C,U}} \lambda_{s_3} \mathcal{R}_{C,s_3}^u + \sum_{s_4 \in S_{C,V}} \lambda_{s_4} \mathcal{R}_{C,s_4}^v, \\ \text{s.t. } &\mathbf{U}^T \mathbf{U} = \mathbf{I}, \quad \mathbf{V}^T \mathbf{V} = \mathbf{I}. \end{aligned} \quad (20)$$

where  $\mathcal{O}$  is the objective function defined in Eq. (10). The second term is to introduce post-level emotion indication information from multiple sources  $S_{I,U}$ , where  $s_1$  represents an emotional signal from the sources,  $\mathcal{R}_{I,s_1}^u$  represents the loss function defined in Eq. (3) for the signal  $s_1$ , and  $\lambda_{s_1}$  is the corresponding positive parameter to control contribution from the emotional signal. Similarly, we define the other three loss terms in the formulation to integrate word-level emotion indication, post- and word-level emotion correlation from multiple information sources, respectively.

The optimization problems in Eq. (20) can be solved with a similar algorithm as that we introduced in Section 5.4. We do not discuss the details since that our focus is to illustrate how to make use of the emotional signals in this paper.

## 6. EXPERIMENTS

In this section, we conduct extensive experiments to evaluate the proposed framework *ESSA* and the factors that could affect the framework. Through the experiments, we aim to answer the following two questions,

1. How effective is the proposed framework compared with other unsupervised sentiment analysis methods?
2. What are the effects of the emotional signals in terms of sentiment analysis performance?

We begin by introducing the experimental setup, and then compare the performance of different unsupervised sentiment analysis methods. Finally, we study the effects of the emotional signals on the proposed framework.

## 6.1 Experimental Setup

We follow the standard experiment settings [20] to evaluate the performance of sentiment analysis methods. In particular, we apply different methods to perform unsupervised sentiment classification<sup>8</sup> on social media datasets. Sentiment classification accuracy is used as the performance metric. To avoid bias brought by different corpora, STS and OMD introduced in Section 4.1 are used in the experiments. The manually annotated sentiment polarity is considered as the gold standard.

For general experiment purposes, we choose emoticons, sentiment lexicon, textual similarity and word co-occurrence as post-level emotion indication, word-level emotion indication, post-level emotion correlation and word-level emotion correlation, respectively. Emoticons are the ones listed in Table 1, and sentiment lexicon is the MPQA introduced in Section 4.1. The latter two emotional signals are discussed in Section 5.2, and we empirically set  $k = 20$  for k-nearest neighbor defined in Eq. (6) and (8). There are four parameters involved in the experiments, including  $\lambda_I^u$ ,  $\lambda_I^v$ ,  $\lambda_C^u$ ,  $\lambda_C^v$  in Eq. (11). All the parameters are positive. They control the contributions of different emotional signals to the general model. For general experiment purposes, we empirically set  $\lambda_I^u = \lambda_I^v = \lambda_C^u = \lambda_C^v = 1$ , which means the emotional signals are simply combined with equal weight. The impacts of the parameters on the learning model will be further discussed in Section 6.4.

## 6.2 Performance Evaluation

We now study the benefits of utilizing emotional signals over other unsupervised methods, accordingly answering the first question above. We compare our proposed method *ESSA* with the following three categories of methods,

- *Traditional Lexicon-Based Methods*: These methods employ a word-matching scheme to perform unsupervised sentiment classification. In particular, sentiment polarity of a word is obtained from the pre-defined sentiment lexicon, i.e., +1 for positive, and -1 for negative. The overall sentiment score of a post is computed as the summation of sentiment scores of the words in the post. We employ two widely used manually labeled sentiment lexicons in the experiment. The first is General Inquirer<sup>9</sup> (GI), and the second is MPQA introduced in Section 4.2. According to different lexicons used, we denote the two methods as *GI-Label* and

<sup>8</sup>In machine learning, classification is often considered as a supervised learning method. Following the terminology used in sentiment analysis literature [20], we consider the task of determining sentiment polarity of a post without extra human efforts during learning process as *unsupervised sentiment classification*.

<sup>9</sup><http://www.wjh.harvard.edu/~inquirer/>

**Table 3: Sentiment Classification Accuracy of the Unsupervised Methods on the Datasets**

Method	STS (gain)	OMD (gain)
<i>GI-Label</i>	0.615	0.602
<i>MPQA-Label</i>	0.630 (+2.40%)	0.629 (+4.53%)
<i>LBM</i>	0.663 (+7.70%)	0.636 (+5.56%)
<i>K-Means</i>	0.550 (-10.66%)	0.568 (-5.65%)
<i>ONMTF</i>	0.567 (-7.93%)	0.565 (-6.21%)
<i>MoodLens</i>	0.680 (+10.46%)	0.583 (-3.11%)
<i>CSMF</i>	0.657 (+6.81%)	0.657 (+9.08%)
<i>ESSA</i>	0.726 (+17.96%)	0.692 (+14.96%)

*MPQA-Label*. In addition, Taboada et al. [32] propose *LBM* to incorporate intensification and negation to refine the sentiment score for each document. This is the state-of-the-art lexicon-based method for unsupervised sentiment analysis.

- *Document Clustering Methods*: Unsupervised learning has been extensively used in text mining. We choose the most representative clustering method, K-Means, as a baseline method in this study. In addition, we test the clustering performance of a basic ONMTF [8] method, which is a variant of our proposed method without any emotional signals. We set the number of clusters as two in both methods. As a common initialization for clustering methods, we randomly assign initial centroids and initial class indicator matrix for K-Means and ONMTF, respectively.
- *Methods Incorporating Emotional Signals*: Although we first present a quantitative study of emotional signals and provide a unified model to incorporate emotional signals, some efforts have been made to use some specific emotional signals, i.e., emoticons and sentiment lexicons. We denote the methods as *MoodLens* and *CSMF* in the experiment. *MoodLens* [40] utilizes available emoticons in a corpus as noisy label information to train a naive Bayes classifier, and apply the trained classifier to infer sentiment polarity of other posts. *CSMF* [26] proposes to learn from lexical prior knowledge from domain-independent sentiment terms and domain-dependent unlabeled data.

The experimental results of the methods are presented in Table 3. In the table, “gain” represents the percentage improvement of the methods as compared to our first baseline method *GI-Label*. In the experiment, each result denotes an average of 10 test runs. By comparing the results of different methods, we draw the following observations:

(1) From the results in Table 3, we can observe that our proposed framework *ESSA* consistently outperforms other baseline methods on both datasets. Our method can generate better results than the state-of-the-art methods *LBM*, *MoodLens*, and *CSMF*. We apply two-sample one-tail t-tests to compare *ESSA* to the best baselines *LBM*, *MoodLens*, and *CSMF*. The experiment results demonstrate that the proposed model performs significantly better (with significance level  $\alpha = 0.01$ ) than the three methods.

(2) The performance of *ESSA* is better than the first and second categories of methods, which are based on text in-

formation alone. This demonstrates that the integration of emotional signals positively helps improve sentiment classification performance. *ESSA* outperforms the third category of methods, which also make use of emotional signals. This validates the excellent use of emotional signals in the proposed framework for sentiment analysis.

(3) Generally, among all the three categories of baseline methods, the document clustering methods achieve the worst performance. This demonstrates that, without any prior knowledge in the learning process, pure text clustering methods cannot achieve good performance for sentiment analysis.

(4) The methods that incorporate emotional signals show superior performance in the experiment. However, it is also noted that the baseline *GI-Label* achieves even better performance than *MoodLens* on OMD dataset. This demonstrates that the performance of sentiment analysis, which relies on only one specific emotional signal as prior knowledge, is not stable on all the datasets.

In summary, with the help of emotional signals, our proposed framework always outperforms the lexicon-based methods, traditional text clustering methods, and the methods incorporating emotional signals. In the next subsection, we investigate the effects of different emotional signals on the sentiment analysis framework.

### 6.3 Effects of Emotional Signals

In this subsection, we study the effects of the emotional signals on our proposed framework, accordingly answering the second question asked in the beginning of Section 6.

We first compare the performance of the proposed framework with only one emotional signal on the two datasets. The results are plotted in Figure 2. In the figure, the first four methods represent performance of the proposed framework with one emotional signal, i.e., post- and word-level emotion indication, post- and word-level emotion correlation, respectively. The last is our proposed method with four emotional signals.

From the figure, we observe that, with the integration of all the four different emotional signals in a unified way, the proposed framework *ESSA* achieves better performance than those with only one emotional signal. Among the emotional signals, word-level emotion indication achieves good performance on both datasets. This observation is consistent with traditional unsupervised methods, which consider that the quality of the sentiment lexicon is the most important factor for sentiment analysis. The post- and word-level emotion correlations have comparable performance. The post-level emotion indication performs differently on the two datasets.

To further explore the effects of different emotional signals on unsupervised sentiment analysis, we employ a “knockout” technique in the experiment. Knockout-based methods have been widely used in many areas, like gene function analysis [9], to test the overall performance variance brought by one process or one component when it is made inoperative in the framework. We conduct experiments to compare the differences brought by knocking out one and two emotional signals from the framework. Note that knocking out three terms is the same as the first set of experiments we conducted in this subsection. Our proposed framework is general. We can knock out some of the emotional signals from the framework by setting the corresponding parameters  $\lambda_I^u$ ,  $\lambda_I^v$ ,  $\lambda_C^u$ , and  $\lambda_C^v$  to zero. The results are summarized in Table 4. In the table, “loss” indicates the per-

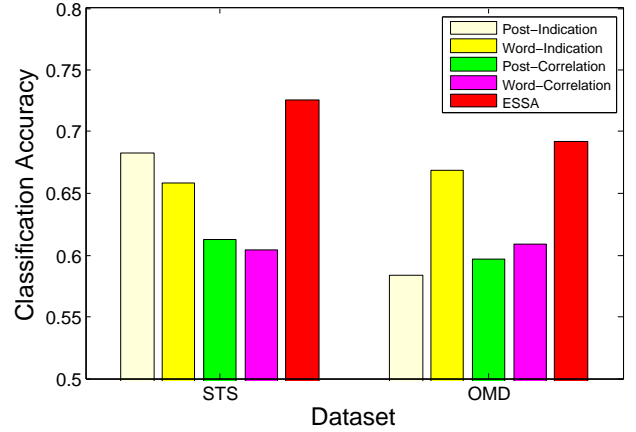


Figure 2: Sentiment Classification Accuracy of ESSA on the Datasets

centage decrease of the methods as compared to the framework “Default” with all the emotional signals. The middle four columns are the parameter settings, and the last two columns are the classification results on the two datasets.

From the table, we can draw the following observations: (1) After knocking out any of the emotional signals, performance of the proposed framework decreases. This demonstrates that all the emotional signals we study are useful for the proposed framework. (2) Generally, knocking out emotion indication incurs more performance decrease than knocking out emotion correlation. This suggests that emotion indication might be more important than emotion correlation information. Among the two signals, knocking out word-level emotion indication incurs consistently significant performance decreases on both datasets.

### 6.4 Parameter Analysis

In this subsection, we study the effects of the important parameters on the proposed framework.

**Parameters of the Four Signals:** In previous subsections, for general experiment purposes, we empirically set equal weights for the emotional signals. Now we take a closer look at the effects of the parameters  $\lambda_I^u$ ,  $\lambda_I^v$ ,  $\lambda_C^u$ , and  $\lambda_C^v$  on the framework. We consider one emotional signal at a time, and vary the corresponding parameter, denoted as  $\lambda$ , to adjust its contribution to the general framework. The experiment results on the two datasets are plotted in Figure 3(a) and 3(b), respectively.

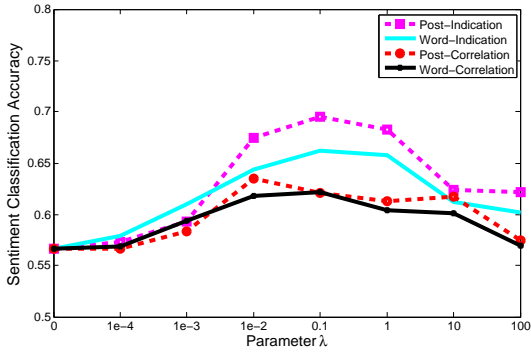
From the figures, it can be seen that: (1) The general trends of the performance of the emotional signals are similar with the variation of parameter settings. Generally, we can set the parameters between 0.1 and 1 to achieve a relatively good performance. (2) With different parameter settings, word-level indication achieves relatively good results on both datasets, and post-level indication performs differently on the two datasets. This observation is consistent with our discussion in Section 6.3.

**Parameters of the Two Categories of Signals:** We now examine the parameters to control emotion indication and emotion correlation information. In particular, we set equal weights to the signals in the same category, i.e.,  $\lambda_I^u = \lambda_I^v = \lambda_I$ ,  $\lambda_C^u = \lambda_C^v = \lambda_C$ . The classification accuracy of

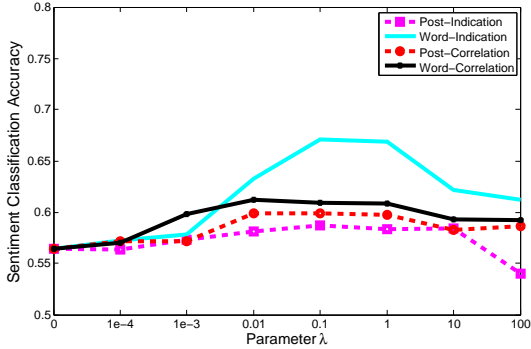


**Table 4: Sentiment Classification Accuracy with Different Signals on the two Datasets**

	$\lambda_I^u$	$\lambda_I^v$	$\lambda_C^u$	$\lambda_C^v$	STS (loss)	OMD (loss)
Default	1	1	1	1	0.7259	0.6922
Knock Out One Term	0	1	1	1	0.6729 (-7.30%)	0.6882 (-0.58%)
	1	0	1	1	0.6911 (-4.79%)	0.6416 (-7.31%)
	1	1	0	1	0.719 (-0.95%)	0.6825 (-1.40%)
	1	1	1	0	0.7165 (-1.30%)	0.6798 (-1.79%)
Knock Out Two Terms	0	0	1	1	0.6187 (-14.77%)	0.6282 (-9.25%)
	0	1	0	1	0.6459 (-11.02%)	0.6781 (-2.04%)
	0	1	1	0	0.6589 (-9.23%)	0.6646 (-8.32%)
	1	0	0	1	0.6815 (-6.11%)	0.6388 (-7.72%)
	1	0	1	0	0.6882 (-5.19%)	0.6229 (-10.01%)
	1	1	0	0	0.7113 (-2.01%)	0.6725 (-2.85%)



(a) STS Dataset



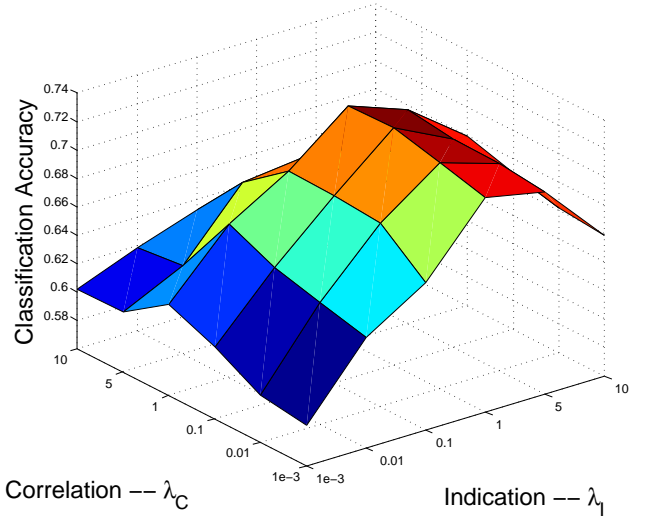
(b) OMD Dataset

**Figure 3: Performance Variation of the Emotional Signals with Different Parameters**

*ESSA* on the two datasets with different settings of  $\lambda_I$  and  $\lambda_C$  are plotted in Figure 4.

In Figure 4, performance of *ESSA* improves as  $\lambda_I$  and  $\lambda_C$  increase, and reaches a peak at  $\lambda_I = 1$  and  $\lambda_C = 0.1$ . When  $\lambda_I > 1$  or  $\lambda_C > 0.1$ , the performance of *ESSA* declines. Generally, the performance is not sensitive to  $\lambda_C$ . The results further demonstrate that the proposed framework can achieve a relatively good performance when setting the parameters in the range of 0.1 to 1. Similar results have been observed on the OMD dataset; we omit the results owing to lack of space.

**Optimal Results:** As emotion indication appears to be more important than emotion correlation information, we



**Figure 4: Sentiment Classification Accuracy of *ESSA* on STS Dataset**

**Table 5: Optimal Sentiment Classification Results on the two Datasets**

Method	STS (gain)	OMD (gain)
<i>GI-Label</i>	0.615	0.602
<i>ESSA</i>	0.726 (+17.96%)	0.692 (+14.96%)
<i>ESSA-opt</i>	0.7471 (+21.40%)	0.7097 (+17.87%)

try to place higher weights on the two emotion indication signals. In Table 5, we summarize the performance of *GI-Label*, our proposed method *ESSA*, and *ESSA* with optimal parameters (1, 1, 0.3, 0.3) on STS and (1, 0.3, 0.5, 0.5) on OMD. As compared to *GI-Label*, we can achieve 21.40% and 17.87% improvement on the two datasets, respectively. The performance of *ESSA-opt* is better than that of *ESSA*. This implies that although the performance of our method is relatively good, it can be further improved by analyzing the importance of emotional signals, and place higher weights on the relatively more important signals.

## 7. CONCLUSION

Texts in social media are short, fast-evolving, and informal, which presents challenges to sentiment analysis. Meanwhile, we show that there are emotional signals available in social media data. In this paper, we investigate a novel problem of interpreting emotional signals for unsupervised sentiment analysis. In particular, we first conduct exploratory study on two Twitter datasets to verify the existence of emotional signals. The post- and word-level emotion indication and emotion correlation are then employed as regularizers to a matrix tri-factorization based unsupervised sentiment analysis framework. Extensive experiments are conducted, and the results demonstrate the effectiveness of the proposed framework as well as the roles of different emotional signals in sentiment analysis.

There are many potential future extensions of this work. It is interesting to investigate the contributions of other emotion indication information available in social media, like product ratings and restaurant reviews. It is also interesting to study other emotion correlation information, like spatial patterns and homophily effect [33], to measure the sentiment orientation of social media posts as well. In addition, utilizing such models for real-world applications, e.g., analyzing sentiments of crowd's responses in a hyperlocal community [16], is also a promising direction.

## Acknowledgments

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## APPENDIX

### A. COMPUTATION OF $\mathbf{U}$

Optimizing the objective function in Eq. (11) with respect to  $\mathbf{U}$  is equivalent to solving

$$\begin{aligned} \min_{\mathbf{U} \geq 0} \quad & \mathcal{J}_U = \|\mathbf{X} - \mathbf{U}\mathbf{H}\mathbf{V}^T\|_F^2 + \lambda_I^u \|\mathbf{G}^u(\mathbf{U} - \mathbf{U}_0)\|_F^2 \\ & + \lambda_C^u \text{Tr}(\mathbf{U}^T \mathcal{L}^u \mathbf{U}). \end{aligned} \quad (21)$$

*s.t.*  $\mathbf{U}^T \mathbf{U} = \mathbf{I}$ .

Let  $\Lambda_U$  and  $\Gamma_U$  be the Lagrange multipliers for constraints  $\mathbf{U} \geq 0$  and  $\mathbf{U}^T \mathbf{U} = \mathbf{I}$ , respectively; the Lagrange function  $L(\mathbf{U})$  is defined as follows:

$$\begin{aligned} L(\mathbf{U}) = & \|\mathbf{X} - \mathbf{U}\mathbf{H}\mathbf{V}^T\|_F^2 + \lambda_I^u \|\mathbf{G}^u(\mathbf{U} - \mathbf{U}_0)\|_F^2 \\ & + \lambda_C^u \text{Tr}(\mathbf{U}^T \mathcal{L}^u \mathbf{U}) - \text{Tr}(\Lambda_U \mathbf{U}^T) + \text{Tr}(\Gamma_U (\mathbf{U}^T \mathbf{U} - \mathbf{I})) \end{aligned} \quad (22)$$

By setting the derivative  $\nabla_{\mathbf{U}} L(\mathbf{U}) = 0$ , we get

$$\begin{aligned} \Lambda_U = & -2\mathbf{X}\mathbf{V}\mathbf{H}^T + 2\mathbf{U}\mathbf{H}\mathbf{V}^T\mathbf{V}\mathbf{H}^T + 2\lambda_I^u \mathbf{G}^u(\mathbf{U} - \mathbf{U}_0) \\ & + 2\lambda_C^u (\mathbf{D}^u - \mathbf{W}^u)\mathbf{U} + 2\mathbf{U}\Gamma_U. \end{aligned} \quad (23)$$

With the KKT complementary condition for the nonnegativity constraint of  $\mathbf{U}$ , we have

$$\Lambda_U(i, j)\mathbf{U}(i, j) = 0; \quad (24)$$

thus, we obtain

$$\begin{aligned} [-\mathbf{X}\mathbf{V}\mathbf{H}^T + \mathbf{U}\mathbf{H}\mathbf{V}^T\mathbf{V}\mathbf{H}^T + \lambda_I^u \mathbf{G}^u(\mathbf{U} - \mathbf{U}_0) \\ + \lambda_C^u (\mathbf{D}^u - \mathbf{W}^u)\mathbf{U} + \mathbf{U}\Gamma_U](i, j)\mathbf{U}(i, j) = 0, \end{aligned} \quad (25)$$

where

$$\begin{aligned} \Gamma_U = & \mathbf{U}^T \mathbf{X}\mathbf{V}\mathbf{H}^T - \mathbf{H}\mathbf{V}^T \mathbf{V}\mathbf{H}^T \\ & - \lambda_I^u \mathbf{U}^T \mathbf{G}^u(\mathbf{U} - \mathbf{U}_0) - \lambda_C^u \mathbf{U}^T (\mathbf{D}^u - \mathbf{W}^u)\mathbf{U}. \end{aligned} \quad (26)$$

Let  $\Gamma_U = \Gamma_U^+ - \Gamma_U^-$ , where  $\Gamma_U^+(i, j) = (|\Gamma_U(i, j)| + \Gamma_U(i, j))/2$  and  $\Gamma_U^-(i, j) = (|\Gamma_U(i, j)| - \Gamma_U(i, j))/2$  [7], we get

$$\begin{aligned} [-\mathbf{X}\mathbf{V}\mathbf{H}^T + \lambda_I^u \mathbf{G}^u \mathbf{U}_0 + \lambda_C^u \mathbf{W}^u \mathbf{U} + \mathbf{U}\Gamma_U^-] \\ + (\mathbf{U}\mathbf{H}\mathbf{V}^T \mathbf{V}\mathbf{H}^T + \lambda_I^u \mathbf{G}^u \mathbf{U} + \lambda_C^u \mathbf{D}^u \mathbf{U} + \mathbf{U}\Gamma_U^+)(i, j)\mathbf{U}(i, j) = 0. \end{aligned} \quad (27)$$

which leads to the updating rule of  $\mathbf{U}$ ,

$$\mathbf{U}(i, j) \leftarrow \mathbf{U}(i, j) \sqrt{\frac{[\mathbf{X}\mathbf{V}\mathbf{H}^T + \lambda_I^u \mathbf{G}^u \mathbf{U}_0 + \lambda_C^u \mathbf{W}^u \mathbf{U} + \mathbf{U}\Gamma_U^-](i, j)}{[\mathbf{U}\mathbf{H}\mathbf{V}^T \mathbf{V}\mathbf{H}^T + \lambda_I^u \mathbf{G}^u \mathbf{U} + \lambda_C^u \mathbf{D}^u \mathbf{U} + \mathbf{U}\Gamma_U^+](i, j)}}. \quad (28)$$

### B. COMPUTATION OF $\mathbf{V}$

Optimizing the objective function in Eq. (11) with respect to  $\mathbf{V}$  is equivalent to solving

$$\begin{aligned} \min_{\mathbf{V} \geq 0} \quad & \mathcal{J}_V = \|\mathbf{X} - \mathbf{U}\mathbf{H}\mathbf{V}^T\|_F^2 + \lambda_I^v \|\mathbf{G}^v(\mathbf{V} - \mathbf{V}_0)\|_F^2 \\ & + \lambda_C^v \text{Tr}(\mathbf{V}^T \mathcal{L}^v \mathbf{V}). \end{aligned} \quad (29)$$

*s.t.*  $\mathbf{V}^T \mathbf{V} = \mathbf{I}$ .

We introduce two Lagrange multipliers  $\Lambda_V$  and  $\Gamma_V$  for the constraints; the Lagrange function is defined as follows:

$$\begin{aligned} L(\mathbf{V}) = & \|\mathbf{X} - \mathbf{U}\mathbf{H}\mathbf{V}^T\|_F^2 + \lambda_I^v \|\mathbf{G}^v(\mathbf{V} - \mathbf{V}_0)\|_F^2 \\ & + \lambda_C^v \text{Tr}(\mathbf{V}^T \mathcal{L}^v \mathbf{V}) - \text{Tr}(\Lambda_V \mathbf{V}^T) + \text{Tr}(\Gamma_V (\mathbf{V}^T \mathbf{V} - \mathbf{I})). \end{aligned} \quad (30)$$

By setting the gradient  $\nabla_{\mathbf{V}} L(\mathbf{V}) = 0$ , we get

$$\begin{aligned} \Lambda_V = & -2\mathbf{X}^T \mathbf{U}\mathbf{H} + 2\mathbf{V}\mathbf{H}^T \mathbf{U}^T \mathbf{U}\mathbf{H} + 2\lambda_I^v \mathbf{G}^v(\mathbf{V} - \mathbf{V}_0) \\ & + 2\lambda_C^v (\mathbf{D}^v - \mathbf{W}^v)\mathbf{V} + 2\mathbf{V}\Gamma_V. \end{aligned} \quad (31)$$

With the KKT complementary condition for the nonnegativity constraint of  $\mathbf{U}$ , we have

$$\Lambda_V(i, j)\mathbf{V}(i, j) = 0 \quad (32)$$

thus, we obtain

$$\begin{aligned} [-\mathbf{X}^T \mathbf{U}\mathbf{H} + \mathbf{V}\mathbf{H}^T \mathbf{U}^T \mathbf{U}\mathbf{H} + \lambda_I^v \mathbf{G}^v(\mathbf{V} - \mathbf{V}_0) \\ + \lambda_C^v (\mathbf{D}^v - \mathbf{W}^v)\mathbf{V} + \mathbf{V}\Gamma_V](i, j)\mathbf{V}(i, j) = 0, \end{aligned} \quad (33)$$

where

$$\begin{aligned} \Gamma_V = & \mathbf{V}^T \mathbf{X}^T \mathbf{U}\mathbf{H} - \mathbf{H}^T \mathbf{U}^T \mathbf{U}\mathbf{H} \\ & - \lambda_I^v \mathbf{V}^T \mathbf{G}^v(\mathbf{V} - \mathbf{V}_0) - \lambda_C^v \mathbf{V}^T (\mathbf{D}^v - \mathbf{W}^v)\mathbf{V}. \end{aligned} \quad (34)$$

Let  $\Gamma_V = \Gamma_V^+ - \Gamma_V^-$ , where  $\Gamma_V^+(i, j) = (|\Gamma_V(i, j)| + \Gamma_V(i, j))/2$  and  $\Gamma_V^-(i, j) = (|\Gamma_V(i, j)| - \Gamma_V(i, j))/2$ , we get

$$\begin{aligned} [-\mathbf{X}^T \mathbf{U}\mathbf{H} + \lambda_I^v \mathbf{G}^v \mathbf{V}_0 + \lambda_C^v \mathbf{W}^v \mathbf{V} + \mathbf{V}\Gamma_V^-] \\ + (\mathbf{V}\mathbf{H}^T \mathbf{U}^T \mathbf{U}\mathbf{H} + \lambda_I^v \mathbf{G}^v \mathbf{V} + \lambda_C^v \mathbf{D}^v \mathbf{V} + \mathbf{V}\Gamma_V^+)(i, j)\mathbf{V}(i, j) = 0. \end{aligned} \quad (35)$$

which leads to the updating rule of  $\mathbf{V}$ ,

$$\mathbf{V}(i, j) \leftarrow \mathbf{V}(i, j) \sqrt{\frac{[\mathbf{X}^T \mathbf{U}\mathbf{H} + \lambda_I^v \mathbf{G}^v \mathbf{V}_0 + \lambda_C^v \mathbf{W}^v \mathbf{V} + \mathbf{V}\Gamma_V^-](i, j)}{[\mathbf{V}\mathbf{H}^T \mathbf{U}^T \mathbf{U}\mathbf{H} + \lambda_I^v \mathbf{G}^v \mathbf{V} + \lambda_C^v \mathbf{D}^v \mathbf{V} + \mathbf{V}\Gamma_V^+](i, j)}}. \quad (36)$$

