# CSCE-433 Formal Languages \& Automata CSCE-627 Theory of Computability 

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## Solutions to Assignment \#3

1. Use the pumping lemma for regular languages to show that the following languages are not regular (you might find it useful to study the solutions in the textbook to Exercise 1.29, parts (a) and (c)):
a) $\left\{w w w \mid w \in\{a, b\}^{*}\right\}$
b) $\left\{a^{i}(a b)^{j}(c a)^{2 i} \mid i>0, j>0\right\}$
c) the set of properly nested parentheses (e.g., includes "()(())()" but not ")(")
d) (CSCE 433 students only) $\left\{a^{n} b^{m} \mid n<m\right\}$
e) (CSCE 627 students only) $\left\{a^{i} b^{j} c^{2 j} \mid i \geq 0, j \geq 0\right\}$

Proof. In the proofs for all cases, we will assume that $p$ is the pumping length given in Pumping Lemma, for which you can use either the version given in the textbook, or the version we presented in class.
a) Name the language by $L_{1}$. Consider the string $x=a^{p} b a^{p} b a^{p} b$ in $L_{1}$ (thus, $w=a^{p} b$ ). Assum the contrary that $L_{1}$ is regular. Then by Pumping Lemma, we can pump on the prefix $a^{p}$ of $x$. Thus, this $a^{p}$ can be written as $a^{p}=a^{i} a^{k} a^{j}$, where $i+k+j=p$ and $k>0$ such that the string

$$
x_{r}=a^{i}\left(a^{k}\right)^{r} a^{j} b a^{p} b a^{p} b=a^{i} a^{r k} a^{j} b a^{p} b a^{p} b
$$

is in the language $L_{1}$ for all $r \geq 0$. However, if we let $r=2 p+2$ (note $k>0$ ), then the first half of the string $x_{2 p+2}=a^{i} a^{2 p k+2 k} a^{j} b a^{p} b a^{p} b$ is a sequence of all $a$ 's, while its second half contains $b$ 's. Thus, the string $x_{2 p+2}=a^{i} a^{2 p k+2 k} a^{j} b a^{p} b a^{p} b$ cannot be of the pattern $w w w$ for any $w \in\{a, b\}^{*}$, so cannot be in the language $L_{1}$. This contradiction shows that the language $L_{1}$ is not regular.
b) Name the language by $L_{2}$. Consider the string $x=a^{p}(a b)(c a)^{2 p}$ in $L_{2}$. Assum the contrary that $L_{2}$ is regular. Then by Pumping Lemma, we can pump on the prefix $a^{p}$ of $x$. Thus, this $a^{p}$ can be written as $a^{p}=a^{i} a^{k} a^{j}$, where $i+k+j=p$ and $k>0$ such that the string

$$
x_{r}=a^{i}\left(a^{k}\right)^{r} a^{j}(a b)(c a)^{2 p}=a^{i} a^{r k} a^{j}(a b)(c a)^{2 p}
$$

is in the language $L_{2}$ for all $r \geq 0$. However, if we let $r=2 p$ (note $k>0$ ), then there are at least $2 p k+i+j \geq 2 p$ copies of $a$ 's that appear before the first appearance of ( $a b$ ), while there are only $2 p$, which is strictly less than $2(2 p)=4 p$, copies of $(c a)$ 's that appear at the end of the string. Thus, the string $x_{2 p}=a^{i} a^{2 p k} a^{j}(a b)(c a)^{2 p}$ cannot be of the pattern $a^{i}(a b)^{j}(c a)^{2 i}$ for any $i>0$ and $j>0$, so cannot be in the language $L_{2}$. This contradiction shows that the language $L_{2}$ is not regular.
c) Name the language by $L_{3}$. The proof for this language is simpler. Consider the string $x=\left({ }^{p}\right)^{p}$ in $L_{3}$ (i.e., $x$ starts with $p$ copies of the left parenthesis "(", which are followed by $p$ copies of the right parenthesis ")"). Assum the contrary that $L_{3}$ is regular. Then by Pumping Lemma, we can pump on the prefix ( ${ }^{p}$ of $x$. Thus, this ( ${ }^{p}$ can be written as ${ }^{p}=\left(^{i}\left({ }^{k}{ }^{j}\right.\right.$, where $i+k+j=p$ and $k>0$ such that the string

$$
x_{r}=\left({ } ^ { i } \left({ }^{r k}\left({ }^{j}\right)^{p}\right.\right.
$$

is in the language $L_{3}$ for all $r \geq 0$. However, if we let $r=0$ (note $k>0$ ), then the string is $x_{0}=\left({ }^{p-k}\right)^{p}$. Since $k>0$, the parentheses are not balanced in $x_{0}$, so the string $x_{0}$ is not in the language $L_{3}$. This contradiction shows that the language $L_{3}$ is not regular.
d) Name the language by $L_{4}$. Consider the string $x=a^{p} b^{p+1}$ in $L_{4}$. Assum the contrary that $L_{4}$ is regular. Then by Pumping Lemma, we can pump on the prefix $a^{p}$ of $x$. Thus, this $a^{p}$ can be written as $a^{p}=a^{i} a^{k} a^{j}$, where $i+k+j=p$ and $k>0$ such that the string

$$
x_{r}=a^{i} a^{r k} a^{j} b^{p+1}
$$

is in the language $L_{4}$ for all $r \geq 0$. However, if we let $r=2$, since $k>0$, we have $i+2 k+j=$ $i+k+j+k=p+k \geq p+1$. Thus, the string is $x_{2}=a^{i} a^{2 k} a^{j} b^{p+1}$ is not in the language $L_{4}$. This contradiction shows that the language $L_{4}$ is not regular.
e) Name the language by $L_{5}$. Consider the string $x=b^{p} c^{2 p}$ in $L_{5}$ (thus, we let $i=0$ and $j=p$ ). Assum the contrary that $L_{5}$ is regular. Then by Pumping Lemma, we can pump on the prefix $b^{p}$ of $x$. Thus, this $b^{p}$ can be written as $b^{p}=b^{i} b^{k} b^{j}$, where $i+k+j=p$ and $k>0$ such that the string

$$
x_{r}=b^{i} b^{r k} b^{j} c^{2 p}
$$

is in the language $L_{5}$ for all $r \geq 0$. However, if we let $r=0$, since $k>0$, we have $i+j=p-k<p$. Thus, the string $x_{0}=b^{i} b^{j} c^{2 p}$ contains (strictly) fewer than $p$ copies of $b$ but $2 p$ copies of $c$. Thus, $x_{0}$ is not in the language $L_{5}$. This contradiction shows that the language $L_{5}$ is not regular.
2. [Textbook, page 90, Exercise 1.46 (a) and (c)] Prove that the following languages are not regular. You may use the pumping lemma and the closure of the class of regular languages under union, intersection, and complement.
(a) $\left\{0^{n} 1^{m} 0^{n} \mid m, n \geq 0\right\}$
(c) $\left\{w \mid w \in\{0,1\}^{*}\right.$ is not a palindrome $\}$

Proof. Again in the proofs below, we will assume that $p$ is the pumping length given in Pumping Lemma, for which you can use either the version given in the textbook, or the version we presented in class.
(a) Name the language by $L_{a}$. Consider the string $x=0^{p} 10^{p}$ in $L_{a}$ (thus, we have $n=p$ and $m=1$ ). Assum the contrary that $L_{a}$ is regular. Then by Pumping Lemma, we can pump on the prefix $0^{p}$ of $x$. Thus, this $0^{p}$ can be written as $0^{p}=0^{i} 0^{k} 0^{j}$, where $i+k+j=p$ and $k>0$ such that the string

$$
x_{r}=0^{i} 0^{r k} 0^{j} 10^{p}
$$

is in the language $L_{a}$ for all $r \geq 0$. However, if we let $r=0$, since $k>0$, we have $i+j=p-k<p$. Thus, the string $x_{0}=0^{i+j} 10^{p}$ satisfies $i+j<p$, and is not in the language $L_{a}$. This contradiction shows that the language $L_{a}$ is not regular.
(c) Name the language by $L_{c}$. Assum the contrary that $L_{c}$ is regular. Since the class of regular languages is closed under complement, the complement $\overline{L_{c}}$ of $L_{c}$ should be also regular.

The complement $\overline{L_{c}}$ of $L_{c}$ is defined as:

$$
\overline{L_{c}}=\left\{w \mid w \in\{0,1\}^{*} \text { is a palindrome }\right\} .
$$

We apply Pumping Lemma on the language $\overline{L_{c}}$. Recall that a string is a palindrome if it reads the same forward and backward. Since $\overline{L_{c}}$ is regular, we can assume that $p$ is the pumping length for the regular language $\overline{L_{c}}$.

Consider the string $x=0^{p} 10^{p}$, which is obviously a palindrome, thus is in the language $\overline{L_{c}}$. By Pumping Lemma, we can pump on the prefix $0^{p}$ of $x$. Thus, this $0^{p}$ can be written as $0^{p}=0^{i} 0^{k} 0^{j}$, where $i+k+j=p$ and $k>0$ such that the string

$$
x_{r}=0^{i} 0^{r k} 0^{j} 10^{p}
$$

is in the language $\overline{L_{c}}$ for all $r \geq 0$. However, if we let $r=0$, since $k>0$, we have $i+j=p-k<p$. Thus, the string $x_{0}=0^{i+j} 10^{p}$ is not a palindrome, thus, is not in the language $\overline{L_{c}}$. This contradiction shows that the language $\overline{L_{c}}$ is not regular. As a consequence, the language $L_{c}$ is not a regular language as well.
3. Write a context-free grammar for each of these languages; include a brief English intuition for how it works.
(a) $\left\{a^{m} b^{i} a^{n} \mid i=m+n, m \geq 0, n \geq 0\right\}$
(b) set of all strings over $\{a, b\}$ that have the same number of $a$ 's as $b$ 's; includes $\epsilon$
(c) the complement of $\left\{a^{n} b^{n} \mid n \geq 0\right\}$, where $\Sigma=\{a, b\}$

## Solution.

(a) Rewrite the language as $\left\{a^{m} b^{m} b^{n} a^{n} \mid m \geq 0, n \geq 0\right\}$. Each string is the contatenation of $a^{m} b^{m}$ and $b^{n} a^{n}$ for some values of $m \geq 0$ and $n \geq 0$. We can use concatenation in the production rules expanding the start variable $S$, and then separately use $A$ and $B$ to generate the two parts of the string:

$$
\begin{aligned}
& S \rightarrow A B \\
& A \rightarrow a A b \mid \epsilon \\
& B \rightarrow b B a \mid \epsilon
\end{aligned}
$$

(b) We consider three cases: $a$ as the beginning of a substring and $b$ is at the end of the substring with any (legal) string in between), or vice versa, or we have two legal strings side by side.

$$
S \rightarrow a S b|b S a| S S \mid \epsilon
$$

(c) Categorize strings in this language into three groups: (1) those in which there is a $b$ that precedes an $a$; (2) those in which all $a$ 's precede all $b$ 's but the number of $a$ 's is larger than the number of $b$ 's; and (3) those in which all $a$ 's precede all $b$ 's but the number of $a$ 's is smaller than the number of $b$ 's. We will have three "subgrammars", one for each group. The first group is created by variables $S_{1}$ and $U$, where $U$ generates any string over $\{a, b\}$. Variables $S_{2}$ and $S_{3}$ are used to create strings in the second and third groups, respectively. Both of them use variable $E$, which creates strings of the form $a^{n} b^{n}$. For
the second group, the $a^{n} b^{n}$ format strings are preceded by additional $a$ 's, created using variable $A$. For the third group, the $a^{n} b^{n}$ format strings are followed by additional $b$ 's, created using variable $B$.

$$
\begin{aligned}
S & \rightarrow S_{1}\left|S_{2}\right| S_{3} \\
S_{1} & \rightarrow U b U a U \\
U & \rightarrow a U|b U| \epsilon \\
S_{2} & \rightarrow A E \\
A & \rightarrow a \mid a A \\
E & \rightarrow a E b \mid \epsilon \\
S_{3} & \rightarrow E B \\
B & \rightarrow b \mid b B
\end{aligned}
$$

4. [Textbook, page 155, Exercise 2.9] Give a context-free grammar that generates the language

$$
A=\left\{a^{i} b^{j} c^{k} \mid i=j \text { or } j=k \text { where } i, j, k \geq 0\right\} .
$$

Is your grammar ambiguous? Why or why not?
Solution. The idea is to use nondeterminism in the first grammar rule from the start variable $S$ to decide whether to have the number of $a$ 's equal the number of $b$ 's, or to have the number of $b$ 's equal the number of $c$ 's. Then we have separate "subgrammars" for those two cases. For generating strings with equal numbers of $a$ 's and $b$ 's, we use the variables $S_{1}, T_{1}$ and $C$, and use concatenation to append any number of $c$ 's at the end. An analogous idea is used for the other case.

$$
\begin{aligned}
S & \rightarrow S_{1} \mid S_{2} \\
S_{1} & \rightarrow T_{1} C \\
T_{1} & \rightarrow a T_{1} b \mid \epsilon \\
C & \rightarrow c C \mid \epsilon \\
S_{2} & \rightarrow A T_{2} \\
T_{2} & \rightarrow b T_{2} c \mid \epsilon \\
A & \rightarrow a A \mid \epsilon
\end{aligned}
$$

The grammar is ambiguous. For example, the string $a b c$ can be derived by the grammar in two different ways (i.e., has two different parse trees):

5. [Textbook, page 156, Exercise 2.14] Convert the following CFG into an equivalent CFG in Chomsky normal form, using the procedure given in Theorem 2.9. Be sure to show all your steps.

$$
\begin{aligned}
& A \rightarrow B A B|B| \epsilon \\
& B \rightarrow 00 \mid \epsilon
\end{aligned}
$$

Solution. The procedure proceeds as follows.
Step 1: Add a new start variable $S$, and its associated rule.

$$
\begin{aligned}
& S \rightarrow A \\
& A \rightarrow B A B|B| \epsilon \\
& B \rightarrow 00 \mid \epsilon
\end{aligned}
$$

Step 2: Remove $\epsilon$ rules. First, remove $A \rightarrow \epsilon$ and get:

$$
\begin{aligned}
& S \rightarrow A \mid \epsilon \\
& A \rightarrow B A B|B| B B \\
& B \rightarrow 00 \mid \epsilon
\end{aligned}
$$

Next, remove $B \rightarrow \epsilon$ and get:

$$
\begin{aligned}
& S \rightarrow A \mid \epsilon \\
& A \rightarrow B A B|B| B B|A B| B A \mid A \\
& B \rightarrow 00
\end{aligned}
$$

Step 3: Remove unit rules. First, remove $A \rightarrow A$ and get:

$$
\begin{aligned}
& S \rightarrow A \mid \epsilon \\
& A \rightarrow B A B|B| B B|A B| B A \\
& B \rightarrow 00
\end{aligned}
$$

Then remove $S \rightarrow A$ and get:

$$
\begin{aligned}
& S \rightarrow B A B|B| B B|A B| B A \mid \epsilon \\
& A \rightarrow B A B|B| B B|A B| B A \\
& B \rightarrow 00
\end{aligned}
$$

Then remove $S \rightarrow B$ and get:

$$
\begin{aligned}
& S \rightarrow B A B|00| B B|A B| B A \mid \epsilon \\
& A \rightarrow B A B|B| B B|A B| B A \\
& B \rightarrow 00
\end{aligned}
$$

Then remove $A \rightarrow B$ and get:

$$
\begin{aligned}
& S \rightarrow B A B|00| B B|A B| B A \mid \epsilon \\
& A \rightarrow B A B|00| B B|A B| B A \\
& B \rightarrow 00
\end{aligned}
$$

Step 4: Reduce all right-hand sides to two symbols. There is only one problematic such right-hand side: $B A B$, and we introduce a new variable $C$ to take care of that:

$$
\begin{aligned}
S & \rightarrow B C|00| B B|A B| B A \mid \epsilon \\
C & \rightarrow A B \\
A & \rightarrow B C|00| B B|A B| B A \\
B & \rightarrow 00
\end{aligned}
$$

Step 5: Replace terminals on right-hand sides that are not singletons with variables. There is only one to worry about: 0 , and we introduce a new variable $U$ to take care of that:

$$
\begin{aligned}
S & \rightarrow B C|U U| B B|A B| B A \mid \epsilon \\
U & \rightarrow 0 \\
C & \rightarrow A B \\
A & \rightarrow B C|U U| B B|A B| B A \\
B & \rightarrow U U
\end{aligned}
$$

Now the grammar is in Chomsky normal form.

