

Context Free Grammars

Many slides from Michael Collins

Overview

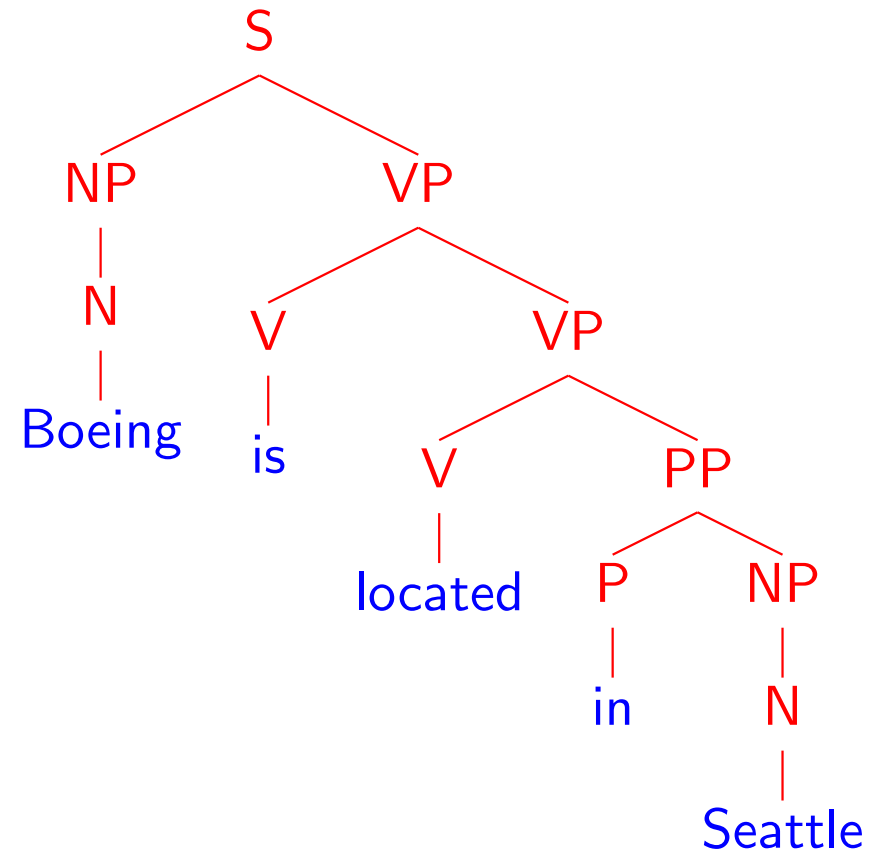
- ▶ An introduction to the parsing problem
- ▶ Context free grammars
- ▶ A brief(!) sketch of the syntax of English
- ▶ Examples of ambiguous structures

Parsing (Syntactic Structure)

INPUT:

Boeing is located in Seattle.

OUTPUT:



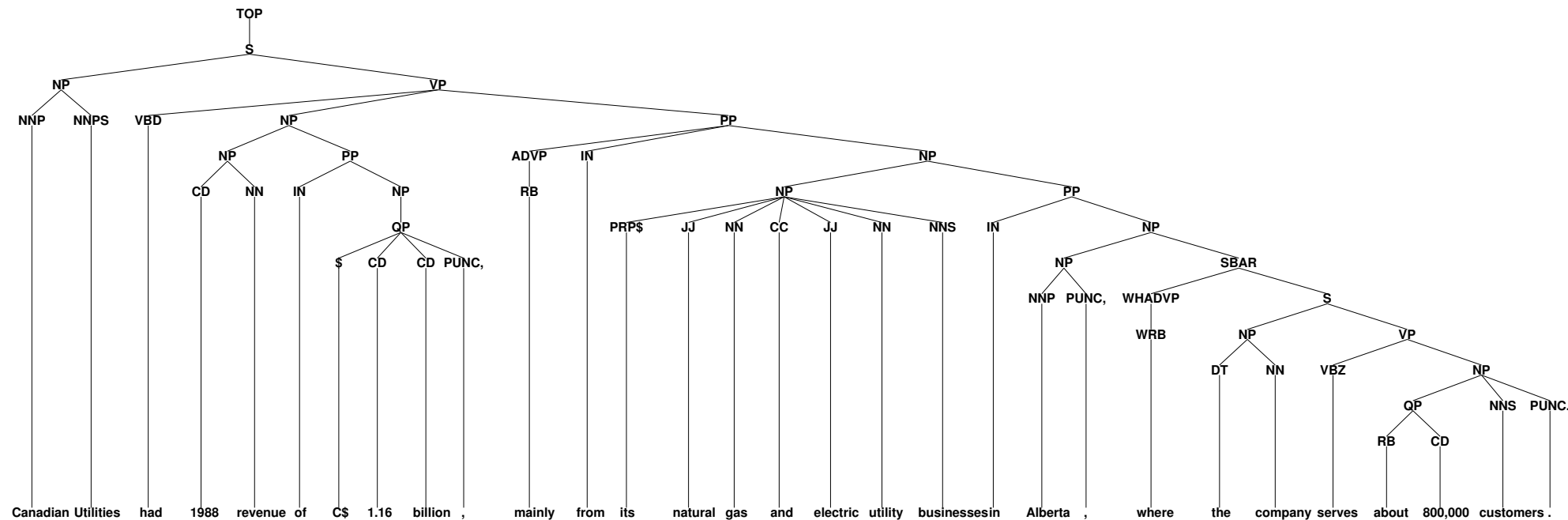
Syntactic Formalisms

- ▶ Work in formal syntax goes back to Chomsky's PhD thesis in the 1950s
- ▶ Examples of current formalisms: minimalism, lexical functional grammar (LFG), head-driven phrase-structure grammar (HPSG), tree adjoining grammars (TAG), categorial grammars

Data for Parsing Experiments

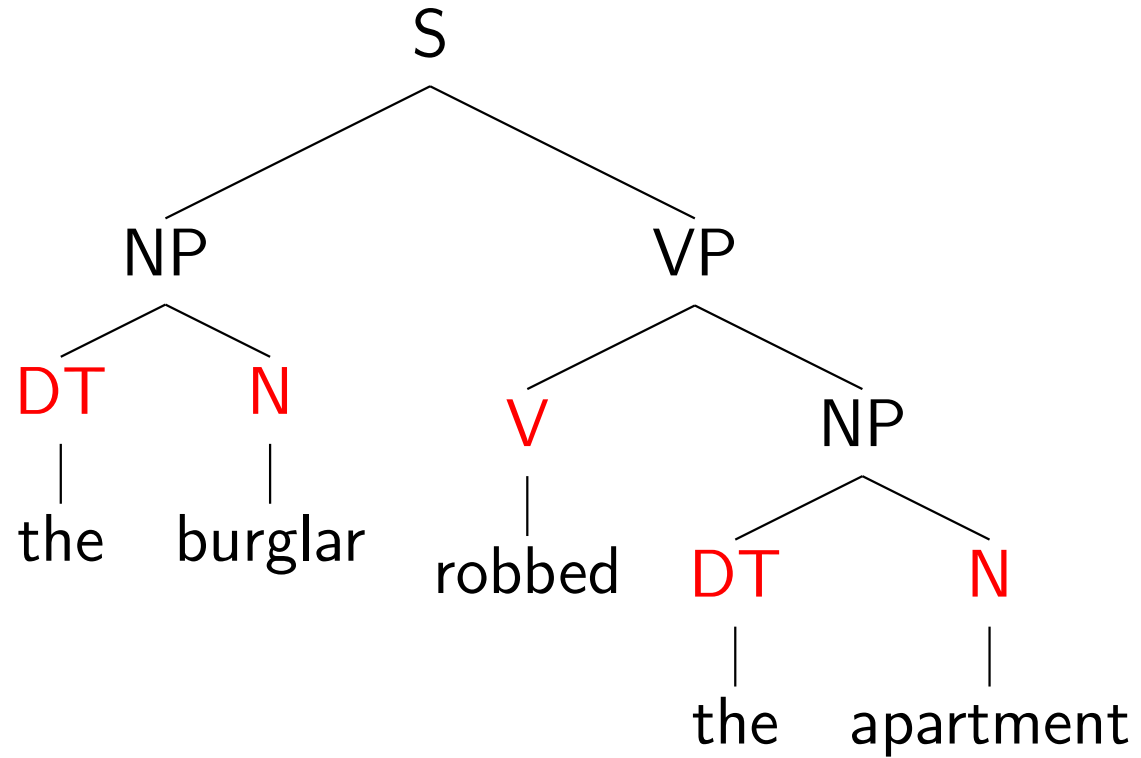
- ▶ Penn WSJ Treebank = 50,000 sentences with associated trees
- ▶ Usual set-up: 40,000 training sentences, 2400 test sentences

An example tree:



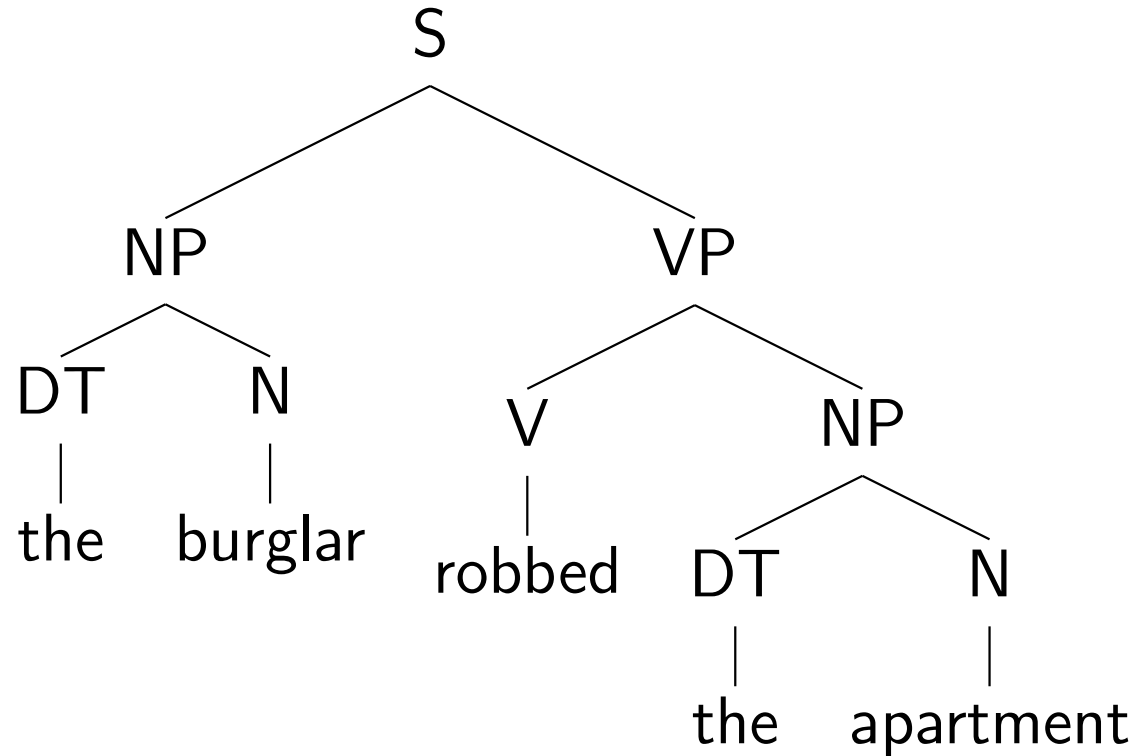
The Information Conveyed by Parse Trees

- (1) Part of speech for each word
(N = noun, V = verb, DT = determiner)



The Information Conveyed by Parse Trees (continued)

(2) Phrases



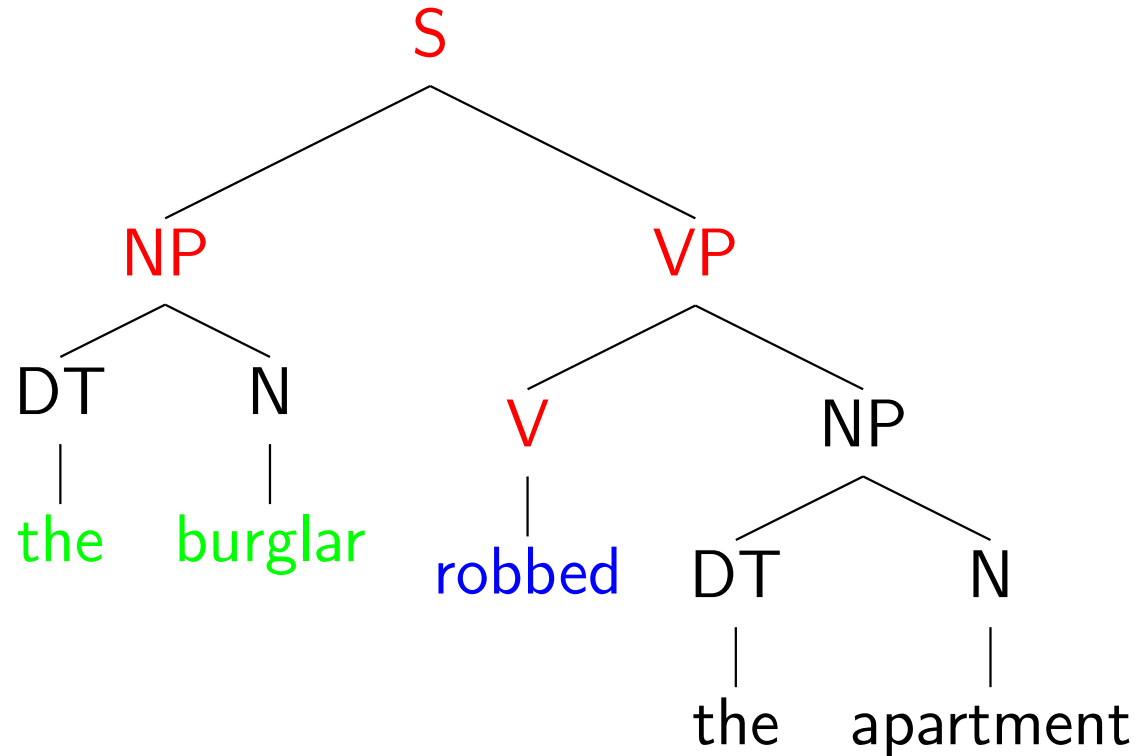
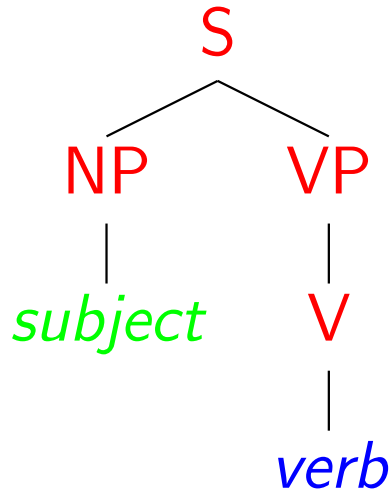
Noun Phrases (NP): “the burglar”, “the apartment”

Verb Phrases (VP): “robbed the apartment”

Sentences (S): “the burglar robbed the apartment”

The Information Conveyed by Parse Trees (continued)

(3) Useful Relationships



⇒ “the burglar” is the subject of “robbed”

An Example Application: Machine Translation

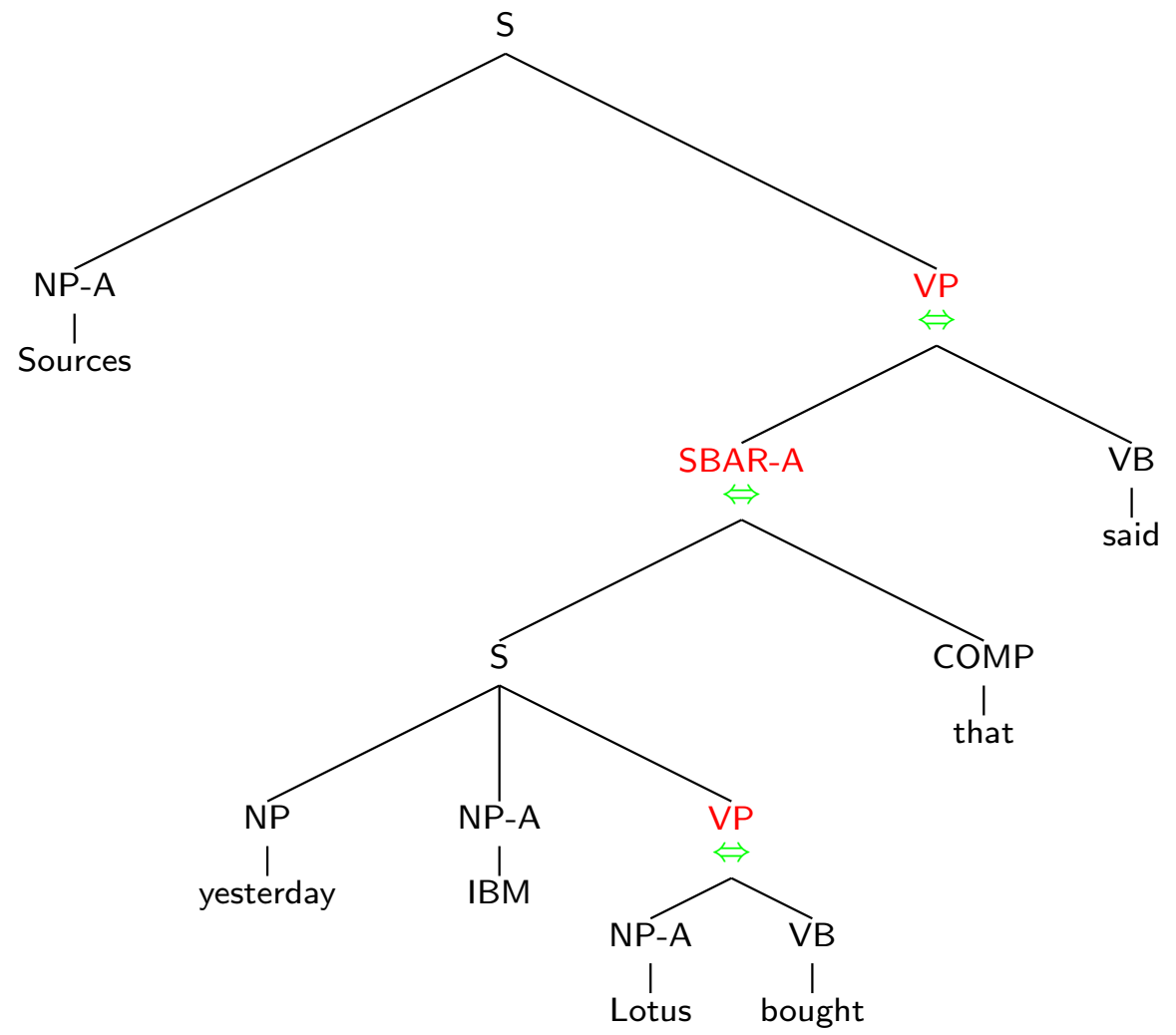
- ▶ English word order is *subject – verb – object*
- ▶ Japanese word order is *subject – object – verb*

English: IBM bought Lotus

Japanese: *IBM Lotus bought*

English: Sources said that IBM bought Lotus yesterday

Japanese: *Sources yesterday IBM Lotus bought that said*



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Context-Free Grammars

Hopcroft and Ullman, 1979

A context free grammar $G = (N, \Sigma, R, S)$ where:

- ▶ N is a set of non-terminal symbols
- ▶ Σ is a set of terminal symbols
- ▶ R is a set of rules of the form $X \rightarrow Y_1Y_2 \dots Y_n$
for $n \geq 0$, $X \in N$, $Y_i \in (N \cup \Sigma)$
- ▶ $S \in N$ is a distinguished start symbol

A Context-Free Grammar for English

$N = \{S, NP, VP, PP, DT, Vi, Vt, NN, IN\}$

$S = S$

$\Sigma = \{\text{sleeps, saw, man, woman, telescope, the, with, in}\}$

$R =$

S	→	NP	VP
VP	→	Vi	
VP	→	Vt	NP
VP	→	VP	PP
NP	→	DT	NN
NP	→	NP	PP
PP	→	IN	NP

Vi	→	sleeps
Vt	→	saw
NN	→	man
NN	→	woman
NN	→	telescope
DT	→	the
IN	→	with
IN	→	in

Note: S=sentence, VP=verb phrase, NP=noun phrase,
PP=prepositional phrase, DT=determiner, Vi=intransitive verb,
Vt=transitive verb, NN=noun, IN=preposition

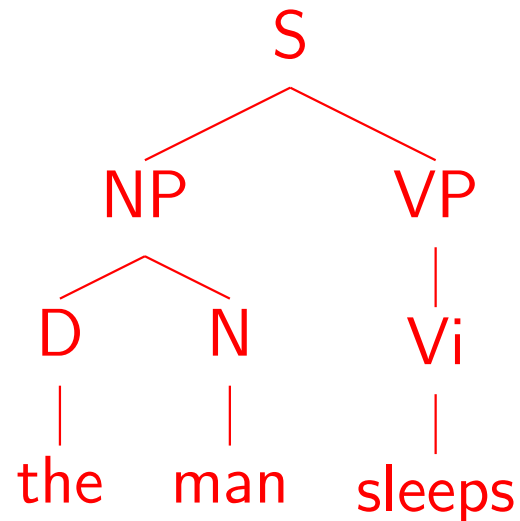
Left-Most Derivations

A left-most derivation is a sequence of strings $s_1 \dots s_n$, where

- ▶ $s_1 = S$, the start symbol
- ▶ $s_n \in \Sigma^*$, i.e. s_n is made up of terminal symbols only
- ▶ Each s_i for $i = 2 \dots n$ is derived from s_{i-1} by picking the left-most non-terminal X in s_{i-1} and replacing it by some β where $X \rightarrow \beta$ is a rule in R

For example: $[S]$, $[NP VP]$, $[D N VP]$, $[\text{the } N VP]$, $[\text{the man } VP]$, $[\text{the man } Vi]$, $[\text{the man sleeps}]$

Representation of a derivation as a tree:



An Example

DERIVATION

RULES USED

S

An Example

DERIVATION

S

NP VP

RULES USED

$S \rightarrow NP VP$

An Example

DERIVATION

S

NP VP

DT N VP

RULES USED

$S \rightarrow NP VP$

$NP \rightarrow DT N$

An Example

DERIVATION

S

NP VP

DT N VP

the N VP

RULES USED

$S \rightarrow NP VP$

$NP \rightarrow DT N$

$DT \rightarrow \text{the}$

An Example

DERIVATION

S

NP VP

DT N VP

the N VP

the dog VP

RULES USED

$S \rightarrow NP VP$

$NP \rightarrow DT N$

$DT \rightarrow the$

$N \rightarrow dog$

An Example

DERIVATION

S

NP VP

DT N VP

the N VP

the dog VP

the dog VB

RULES USED

$S \rightarrow NP VP$

$NP \rightarrow DT N$

$DT \rightarrow the$

$N \rightarrow dog$

$VP \rightarrow VB$

An Example

DERIVATION

S

NP VP

DT N VP

the N VP

the dog VP

the dog VB

the dog laughs

RULES USED

$S \rightarrow NP VP$

$NP \rightarrow DT N$

$DT \rightarrow the$

$N \rightarrow dog$

$VP \rightarrow VB$

$VB \rightarrow laughs$

An Example

DERIVATION

S

NP VP

DT N VP

the N VP

the dog VP

the dog VB

the dog laughs

RULES USED

$S \rightarrow NP VP$

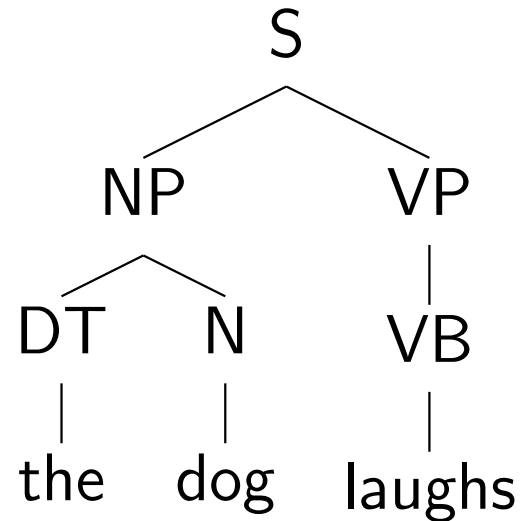
$NP \rightarrow DT N$

$DT \rightarrow \text{the}$

$N \rightarrow \text{dog}$

$VP \rightarrow VB$

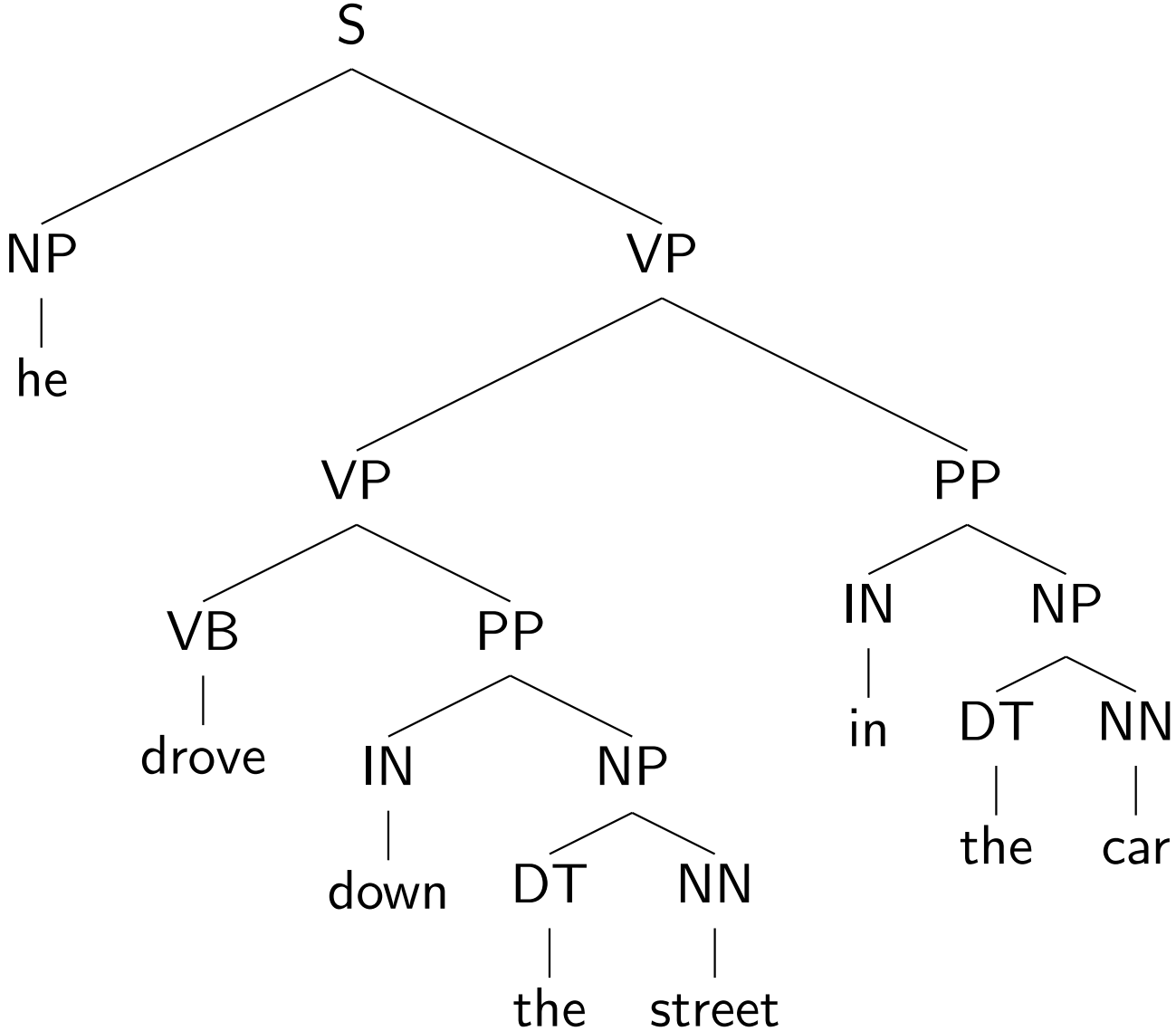
$VB \rightarrow \text{laughs}$



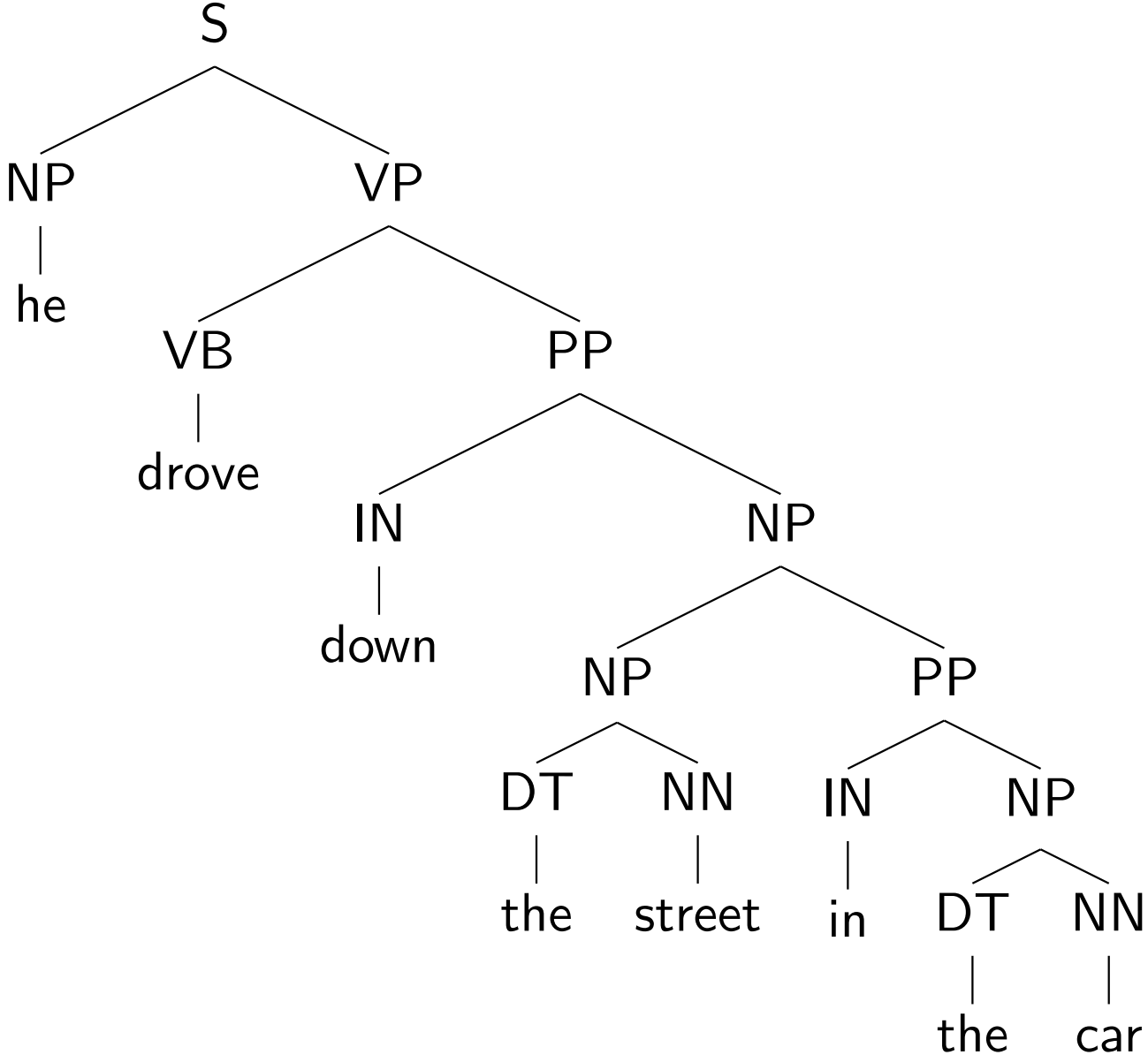
Properties of CFGs

- ▶ A CFG defines a set of possible derivations
- ▶ A string $s \in \Sigma^*$ is in the *language* defined by the CFG if there is at least one derivation that yields s
- ▶ Each string in the language generated by the CFG may have more than one derivation (“ambiguity”)

An Example of Ambiguity



An Example of Ambiguity (continued)



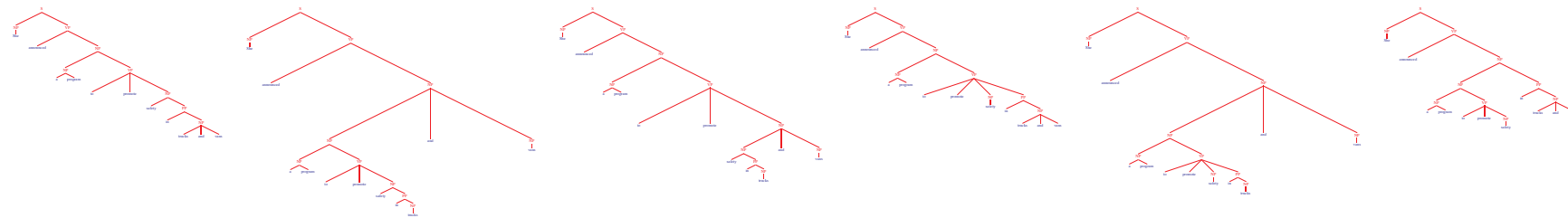
The Problem with Parsing: Ambiguity

INPUT:

She announced a program to promote safety in trucks and vans



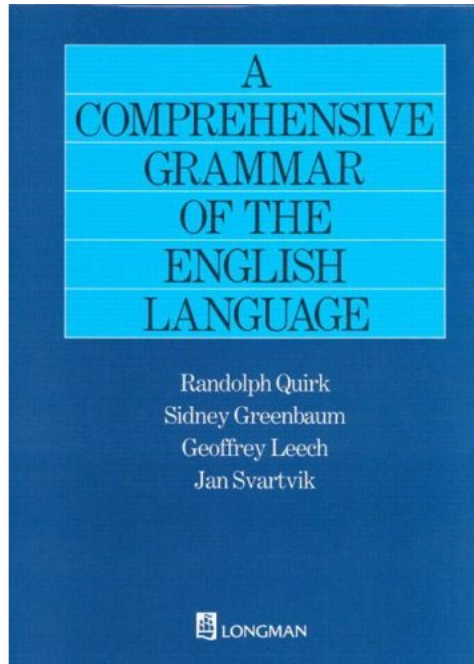
POSSIBLE OUTPUTS:



And there are more...

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A Brief Overview of English Syntax

Parts of Speech (tags from the Brown corpus):

- ▶ Nouns
 - NN = singular noun e.g., man, dog, park
 - NNS = plural noun e.g., telescopes, houses, buildings
 - NNP = proper noun e.g., Smith, Gates, IBM
- ▶ Determiners
 - DT = determiner e.g., the, a, some, every
- ▶ Adjectives
 - JJ = adjective e.g., red, green, large, idealistic

A Fragment of a Noun Phrase Grammar

\bar{N}	\Rightarrow	NN	
\bar{N}	\Rightarrow	NN	\bar{N}
\bar{N}	\Rightarrow	JJ	\bar{N}
\bar{N}	\Rightarrow	\bar{N}	\bar{N}
NP	\Rightarrow	DT	\bar{N}

NN	\Rightarrow	box
NN	\Rightarrow	car
NN	\Rightarrow	mechanic
NN	\Rightarrow	pigeon
DT	\Rightarrow	the
DT	\Rightarrow	a

JJ	\Rightarrow	fast
JJ	\Rightarrow	metal
JJ	\Rightarrow	idealistic
JJ	\Rightarrow	clay

Prepositions, and Prepositional Phrases

- ▶ Prepositions

IN = preposition e.g., of, in, out, beside, as

An Extended Grammar

\bar{N}	\Rightarrow	NN		NN	\Rightarrow	box	JJ	\Rightarrow	fast
\bar{N}	\Rightarrow	NN	\bar{N}	NN	\Rightarrow	car	JJ	\Rightarrow	metal
\bar{N}	\Rightarrow	JJ	\bar{N}	NN	\Rightarrow	mechanic	JJ	\Rightarrow	idealistic
\bar{N}	\Rightarrow	\bar{N}	\bar{N}	NN	\Rightarrow	pigeon			clay
NP	\Rightarrow	DT	\bar{N}				IN	\Rightarrow	in
									under
PP	\Rightarrow	IN	NP	DT	\Rightarrow	the	IN	\Rightarrow	of
\bar{N}	\Rightarrow	\bar{N}	PP	DT	\Rightarrow	a	IN	\Rightarrow	on
							IN	\Rightarrow	with
							IN	\Rightarrow	as

Generates:

in a box, under the box, the fast car mechanic under the pigeon in the box, ...

Verbs, Verb Phrases, and Sentences

- ▶ Basic Verb Types

Vi = Intransitive verb e.g., sleeps, walks, laughs

Vt = Transitive verb e.g., sees, saw, likes

Vd = Ditransitive verb e.g., gave

- ▶ Basic VP Rules

VP → Vi

VP → Vt NP

VP → Vd NP NP

- ▶ Basic S Rule

S → NP VP

Examples of VP:

sleeps, walks, likes the mechanic, gave the mechanic the fast car

Examples of S:

the man sleeps, the dog walks, the dog gave the mechanic the fast car

PPs Modifying Verb Phrases

A new rule: VP \rightarrow VP PP

New examples of VP:

sleeps in the car, walks like the mechanic, gave the mechanic the fast car on Tuesday, ...

Complementizers, and SBARs

- ▶ Complementizers
COMP = complementizer e.g., that
- ▶ SBAR Subordinate clause
SBAR → COMP S

Examples:

that the man sleeps, that the mechanic saw the dog . . .

More Verbs

- ▶ New Verb Types

V[5] e.g., said, reported

V[6] e.g., told, informed

V[7] e.g., bet

- ▶ New VP Rules

VP → V[5] SBAR

VP → V[6] NP SBAR

VP → V[7] NP NP SBAR

Examples of New VPs:

said that the man sleeps

told the dog that the mechanic likes the pigeon

bet the pigeon \$50 that the mechanic owns a fast car

Coordination

- ▶ A New Part-of-Speech:
CC = Coordinator e.g., and, or, but
- ▶ New Rules

NP	→	NP	CC	NP
\bar{N}	→	\bar{N}	CC	\bar{N}
VP	→	VP	CC	VP
S	→	S	CC	S
SBAR	→	SBAR	CC	SBAR

We've Only Scratched the Surface...

- ▶ Agreement

The dogs laugh vs. The dog laughs

- ▶ Wh-movement Long-distance dependency

The dog that the cat liked ___

- ▶ Active vs. passive

The dog saw the cat vs.

The cat was seen by the dog

- ▶ If you're interested in reading more:

Syntactic Theory: A Formal Introduction, 2nd Edition. Ivan A. Sag, Thomas Wasow, and Emily M. Bender.

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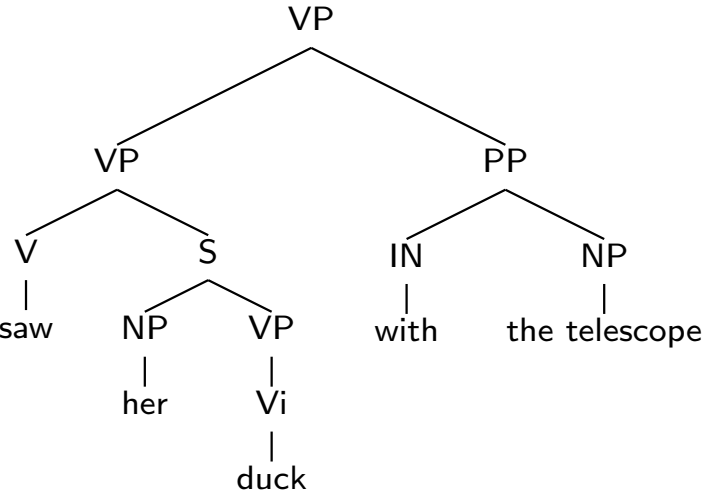
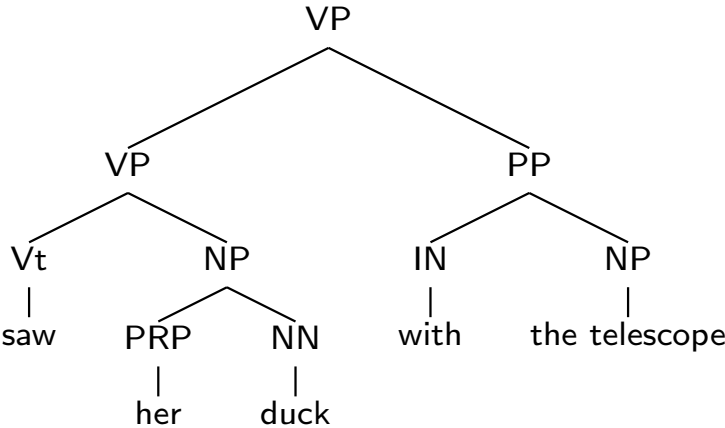
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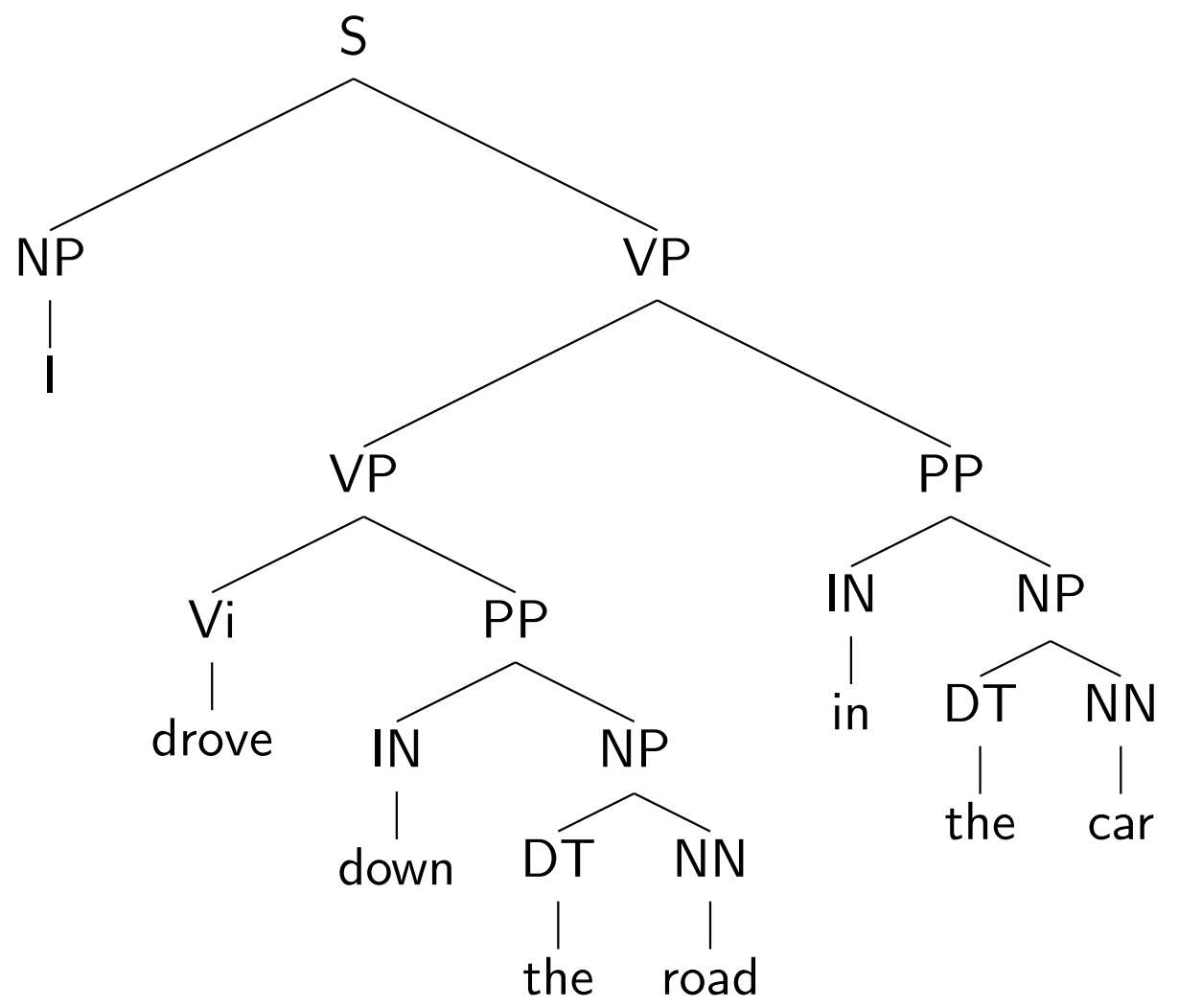
Sources of Ambiguity

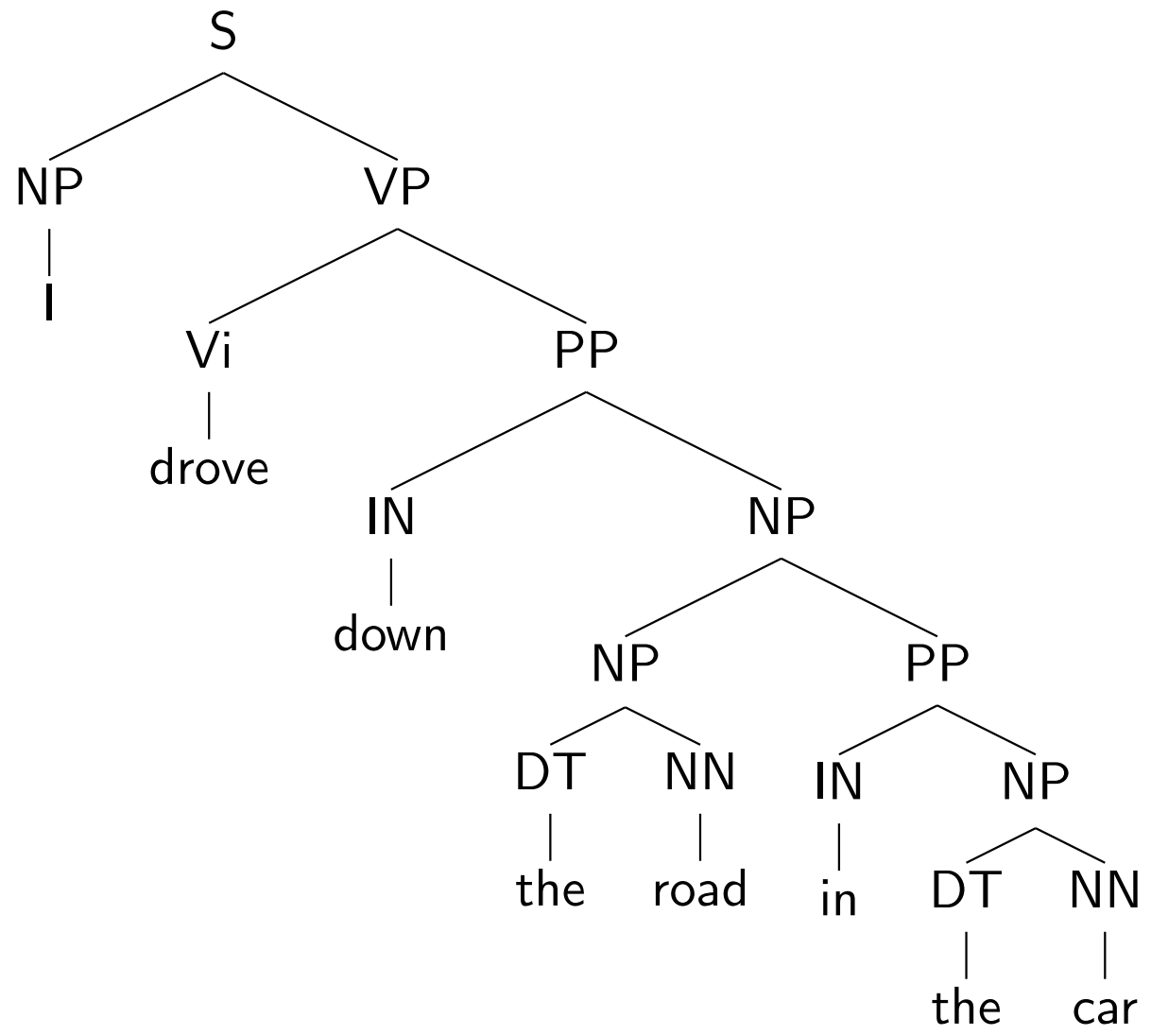
- ▶ Part-of-Speech ambiguity

NN → duck

Vi → duck







Two analyses for: John was believed to have been shot by Bill

With the same set of grammar rules

Sources of Ambiguity: Noun Premodifiers

► Noun premodifiers:

