

CSCE 222

Discrete Structures for Computing

# Relations

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Based on slides by Andreas Klappenecker

# Rabbits

Suppose we have three rabbits called Albert, Bertram, and Chris that have distinct heights.

Let us write  $(a,b)$  if  $a$  is taller than  $b$ .

Obviously, we cannot have both  $(\text{Albert}, \text{Bertram})$  and  $(\text{Bertram}, \text{Albert})$ , so not all pairs of rabbit names will occur.

Suppose: Albert is taller than Bertram, and Bertram is taller than Chris.



Then the set of “taller than” relation is:

$\{ (\text{Albert}, \text{Bertram}), (\text{Bertram}, \text{Chris}), (\text{Albert}, \text{Chris}) \}$

# Rabbits

Let

$A = \{ \text{Albert, Bertram, Chris} \}$

be the set of rabbits.



Then the “taller than” relation is a subset of the cartesian product  $A \times A$ , namely  $\{ (\text{Albert, Bertram}), (\text{Bertram, Chris}), (\text{Albert, Chris}) \} \subseteq A \times A$ .

# Binary Relations

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Let  $A$  and  $B$  be sets.

A **binary relation** from  $A$  to  $B$  is a subset of  $A \times B$ .

A relation **on a set  $A$**  is a subset of  $A \times A$ .

# Examples

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Let us consider the following relations on the set of integers:

$$A = \{ (a,b) \text{ in } \mathbf{Z} \times \mathbf{Z} \mid a \leq b \}$$

$$B = \{ (a,b) \text{ in } \mathbf{Z} \times \mathbf{Z} \mid a > b \}$$

$$C = \{ (a,b) \text{ in } \mathbf{Z} \times \mathbf{Z} \mid a = b \text{ or } a = -b \}$$

# Notation

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Let  $R$  be a relation from  $A$  to  $B$ . In other words,  $R$  contains pairs  $(a,b)$  with  $a$  in  $A$  and  $b$  in  $B$ .

If  $(a,b)$  in  $R$ , then we say that  $a$  is related to  $b$  by  $R$ .

It is customary to use infix notation for relations.

Thus, we write  $a R b$  to express that  $a$  is related to  $b$  by  $R$ . In other words,  $a R b$  if and only if  $(a,b)$  in  $R$ .

# Example

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Let  $A$  be the set of city names of the USA. Let  $B$  be the set of states. Define the relation  $C$

$$C = \{ (a,b) \text{ in } A \times B \mid a \text{ is a city of } b \}$$

Then

(College Station, Texas)

(Austin, Texas)

(San Francisco, California)

all belong to the relation  $C$ .

# Remark

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The concept of a relation generalizes the concept of a function. A function  $f$  relates the argument  $x$  with its function value  $f(x)$ . The difference is that **a relation can relate an element  $x$  with more than one value.**

For example, consider the relation

$$A = \{ (a,b) \text{ in } \mathbf{Z} \times \mathbf{Z} \mid a \leq b \}.$$



# Plan

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We are going to study relations as mathematical objects. This allows us to abstract from well-known relations such as  $\leq$ ,  $=$ , “is taller than”, “likes the same sport as”.

We identify some basic properties of relations. Then we study relations generalizing the equality relation (so-called equivalence relations), and relations generalizing  $\leq$  (so-called partial order relations).

# Basic Properties of Relations

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# Reflexivity

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We call a relation  $R$  on a set  $A$  **reflexive** if and only if  $(a,a) \in R$  holds for all  $a$  in  $A$ .

Example: The equality relation  $=$  on the set of integers is reflexive, since  $a=a$  holds for all integers  $a$ .

The less than relation  $<$  on the set of integers is not reflexive, since  $1<1$  does not hold.

# Test Yourself...

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X1 Let  $|$  denote the divides relation on the set of positive integers, so  $2 | 4$  means that there exists an integer  $x$  such that  $2x=4$ . Is the relation  $|$  reflexive?

X2 Let  $S$  be the set of students in this class. Consider the relation  $R =$  "wears the same color shirt as." Is the relation  $R$  reflexive?

# Symmetry

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We call a relation  $R$  on a set  $A$  **symmetric** if and only if  $(a,b) \in R$  implies that  $(b,a) \in R$  holds.

Example: The equality relation  $=$  on the set of integers is symmetric, since  $a=b$  implies that  $b=a$ .

The less than relation  $<$  on the set of integers is not symmetric, since  $1<2$  but  $2<1$  does not hold.

# Test Yourself...

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X1 Let  $|$  denote the divides relation on the set of positive integers, so  $2 | 4$  means that there exists an integer  $x$  such that  $2x=4$ . Is the relation  $|$  symmetric?

X2 Let  $S$  be the set of students in this class. Consider the relation  $R =$  "wears the same color shirt as". Is the relation  $R$  symmetric?

# Antisymmetry

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We call a relation  $R$  on a set  $A$  **antisymmetric** if and only if  $(a,b) \in R$  and  $(b,a) \in R$  imply that  $a=b$ .

Formally:  $\forall a \forall b ((a,b) \in R \wedge (b,a) \in R) \longrightarrow a=b$ .

Example: The equality relation  $=$  on the set of integers is antisymmetric, since  $a=b$  and  $b=a$  implies that  $a=b$ .

The less than relation  $<$  on the set of integers is antisymmetric. Why?

# Test Yourself...

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X1 Let  $|$  denote the divides relation on the set of positive integers, so  $2 | 4$  means that there exists an integer  $x$  such that  $2x=4$ . Is the relation  $|$  antisymmetric?

X2 Let  $S$  be the set of students in this class. Consider the relation  $R = \text{"wears the same color shirt as"}$ . Is the relation  $R$  antisymmetric?



# Warning



The meaning of antisymmetry is not opposite to the meaning of symmetry! In fact, we have already seen that the equality relation  $=$  on the set of integers is **both** symmetric and antisymmetric.

You should very carefully study the meaning of these terms.

# Transitive

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We call a relation  $R$  on a set  $A$  **transitive** if and only if  $(a,b) \in R$  and  $(b,c) \in R$  imply that  $(a,c) \in R$

Example: The equality relation  $=$  on the set of integers is transitive, since  $a=b$  and  $b=c$  implies that  $a=c$ .

The less than relation  $<$  on the set of integers is transitive, since  $a < b$  and  $b < c$  imply that  $a < c$ .

# Test Yourself...

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X1 Let  $|$  denote the divides relation on the set of positive integers, so  $2 | 4$  means that there exists an integer  $x$  such that  $2x=4$ . Is the relation  $|$  transitive?

X2 Let  $S$  be the set of students in this class. Consider the relation  $R =$  "wears the same color shirt as". Is the relation  $R$  transitive?

# Equivalence Relations

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# Equivalence Relation

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A relation  $R$  on a set  $A$  is called an **equivalence relation** if and only if  $R$  is reflexive, symmetric, and transitive.

- Reflexive: For all  $a$  in  $A$ , we have  $(a,a) \in R$
- Symmetric:  $(a,b) \in R \longrightarrow (b,a) \in R$
- Transitive:  $[ (a,b) \in R \text{ and } (b,c) \in R ] \longrightarrow (a,c) \in R$

# Example: Equality

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The equality relation  $=$  on the set of integers is an equivalence relation.

Indeed,

the relation  $=$  is reflexive, since  $a=a$  holds for all integers  $a$ .

the relation  $=$  is symmetric, since  $a=b$  implies that  $b=a$ .

the relation  $=$  is transitive, since  $a=b$  and  $b=c$  implies that  $a=c$ .

# Example: Congruence mod m

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Let  $m$  be a positive integer. For integers  $a$  and  $b$ , we write

$$a \equiv b \pmod{m}$$

if and only if  $m$  divides  $a-b$ .

For all  $a$  in  $\mathbb{Z}$ , we have  $m \mid (a-a)$ , since  $m \cdot 0 = 0 = a-a$ .

Thus,  $a \equiv a \pmod{m}$  holds for all integers  $a$ . Thus, the relation is **reflexive**.

For  $a, b$  in  $\mathbb{Z}$ , if  $a \equiv b \pmod{m}$ , then this means that there exists an integer  $k$  such that  $mk = a-b$ . Thus,  $m(-k) = b-a$ , which implies  $b \equiv a \pmod{m}$ . Thus, the relation is **symmetric**.

# Example: Congruence mod m

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If  $a \equiv b \pmod{m}$  and  $b \equiv c \pmod{m}$  holds, then this means that there exist integers  $k$  and  $l$  such that

$$mk = a - b \text{ and } ml = b - c$$

$$\text{Hence, } m(k+l) = a - b + b - c = a - c$$

This shows that  $a \equiv c \pmod{m}$  holds.

Therefore, the relation is **transitive**.

We can conclude that  $a \equiv b \pmod{m}$  is an equivalence relation.



# Equivalence Classes

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Let  $R$  be an equivalence relation on a set  $A$ . For an element  $a$  in  $A$ , the set of elements

$$[a]_R = \{ b \text{ in } A \mid a R b \}$$

is called the **equivalence class** of  $a$ .

# Example

Let us consider the equivalence relation  $a \equiv b \pmod{4}$  on the set of integers. Thus, two integers  $a$  and  $b$  are related whenever their difference is a multiple of 4. Thus, the equivalence classes are:

$$[0] = \{ \dots, -8, -4, 0, 4, 8, \dots \}$$

$$[1] = \{ \dots, -7, -3, 1, 5, 9, \dots \}$$

$$[2] = \{ \dots, -6, -2, 2, 6, \dots \}$$

$$[3] = \{ \dots, -5, -1, 3, 7, \dots \}$$

Now note that  $[4] = [0]$ ,  $[5] = [1]$ . In fact,  $[0]$ ,  $[1]$ ,  $[2]$  and  $[3]$  are all equivalence classes.

# Theorem

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Let  $R$  be an equivalence relation on a set  $A$ . Then the following statements are equivalent:

a)  $a R b$

b)  $[a] = [b]$

c)  $[a] \cap [b] \neq \emptyset$

# Proof

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Suppose that  $aRb$  holds. We are going to show that  $[a] \subseteq [b]$  holds. Let  $c \in [a]$ . This means that  $aRc$  holds. Since  $R$  is symmetric,  $aRb$  implies that  $bRa$ . By transitivity,  $bRa$  and  $aRc$  imply that  $bRc$  holds. Hence,  $c \in [b]$ . Therefore, we have shown that  $[a] \subseteq [b]$ . The proof that  $[b] \subseteq [a]$  is similar. Hence, we have shown that statement a) implies statement b).

We will show now that b) implies c). Since  $a \in [a]$ , we know that the equivalence class of  $a$  is not empty. As  $[a] = [b] \neq \emptyset$ , we have  $[a] \cap [b] \neq \emptyset$ .

# Proof (continued)

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We will show now that c) implies a).

Suppose that  $[a] \cap [b] \neq \emptyset$ . Thus, there exists an element  $c$  such that  $aRc$  and  $bRc$ . By symmetry, we get  $cRb$ . It follows by transitivity that  $aRb$  holds.  $\square$

# Partial Order Relations



# Partial Orders

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A relation  $R$  on a set  $A$  is called a **partial order** if and only if it is reflexive, antisymmetric, and transitive.

A set  $A$  with a partial order is called a partially ordered set (poset).

# Example 1

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The “less than or equal to” relation  $\leq$  on the set of integers is a partial order relation.

Indeed, since  $a \leq a$  holds for all integers  $a$ , the relation  $\leq$  is reflexive.

Since  $a \leq b$  and  $b \leq a$  implies that  $a = b$ , the relation is antisymmetric.

Since  $a \leq b$  and  $b \leq c$  implies that  $a \leq c$ , the relation is transitive.



## Example 2

The divides relation  $|$  on the set of positive integers is a partial order relation.

Indeed, since  $a|a$  for all positive integers  $a$ , the relation  $|$  is reflexive.

If  $a|b$  and  $b|a$ , then there exist integers  $k$  and  $l$  such that  $a k = b$  and  $b l = a$ . Therefore,  $a kl = a$ , so  $kl=1$ . This means that either  $k=l=1$  or  $k=l=-1$ . Since  $a$  and  $b$  are positive integers, we cannot have  $a(-1) = b$ . Therefore, we must have  $k=l=1$ , which means that  $a=b$ . Thus,  $|$  is an antisymmetric relation.

The relation  $|$  is transitive, since  $a|b$  and  $b|c$  means that there exist integers  $k$  and  $l$  such that  $ak=b$  and  $bl=c$ , so  $a(kl)=c$ , which implies that  $a|c$ .

# Test Yourself ...

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X1 Is the less than relation  $<$  on the set of integers a partial order relation?

X2 Let  $S$  be a set. Is the subset relation  $\subseteq$  on the set  $P(S)$  a partial order relation?

# Comparable Elements

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A partial order on a set  $S$  is often denoted by symbols resembling the notation commonly used for “less than or equal to”, namely  $\leq$  or  $\sqsubseteq$  or  $\preceq$

Let  $(S, \preceq)$  be a partially ordered set. For two elements  $a$  and  $b$  of  $S$ , we do not necessarily have that one of the relations  $a \preceq b$  or  $b \preceq a$  holds. If one of them holds, then we call  $a$  and  $b$  comparable elements of  $S$ , otherwise  $a$  and  $b$  are incomparable.

# Total Orders

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A partially ordered set  $(S, \leq)$  in which any two elements are comparable is called a **total order**.

A totally ordered set is also called a **chain**.

For example, consider the set of positive integers  $\mathbb{N}$  with  $\leq$ . Any two positive integers are comparable with  $\leq$ . It can form a chain such that  $1 \leq 2 \leq 3 \leq 4 \leq \dots$

# Lexicographic Ordering

Suppose that we have two partially ordered sets:

$(A, \leq_1)$  and  $(B, \leq_2)$ .

We can construct a partial order on  $A \times B$  by defining

$$(a_1, b_1) \leq (a_2, b_2)$$

if and only if  $(a_1 = a_2 \text{ and } b_1 \leq_2 b_2) \text{ or } (a_1 <_1 a_2)$  holds.

We call the relation  $\leq$  the **lexicographic order** on the cartesian product  $A \times B$ .

# Example

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Let  $\mathbf{Z}$  be the set of integers, totally ordered with the “less than or equal to” relation  $\leq$ .

In the lexicographic order  $\preceq$  on  $\mathbf{Z} \times \mathbf{Z}$ , we have

$$(3,4) \preceq (4,2)$$

$$(3,7) \preceq (3,8)$$

# Hasse Diagram

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Let  $(S, \leq)$  be a finite partially ordered set.

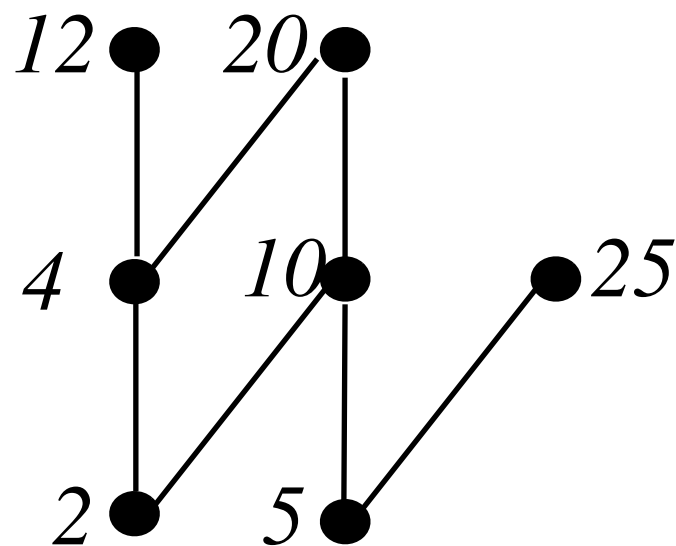
Suppose that  $a$  and  $b$  are distinct elements of  $S$  such that  $a \leq b$ . We say that  $b$  covers  $a$  if and only if there does not exist an element  $c$  in  $S$  such that  $a < c < b$ .

The Hasse diagram of  $(S, \leq)$  is a diagram in which an element  $b$  of  $S$  is written above  $a$  and connected by a line if and only if  $b$  covers  $a$ .

# Examples

Consider  $\{2,4,5,10,12,20,25\}$  with divisibility condition.

The Hasse diagram is given by



The **cover relation** for this Hasse diagram is

$\{(2,4), (2,10), (4,12), (4,20), (5,10), (5,25), (10,20)\}$ .

The Hasse diagram is more economical than representing the partial order relation by a directed graph (with an edge from  $a$  to  $b$  whenever  $a \leq b$ ). Self-loops and transitively implied relations are omitted.



# Maximal and Minimal Elements

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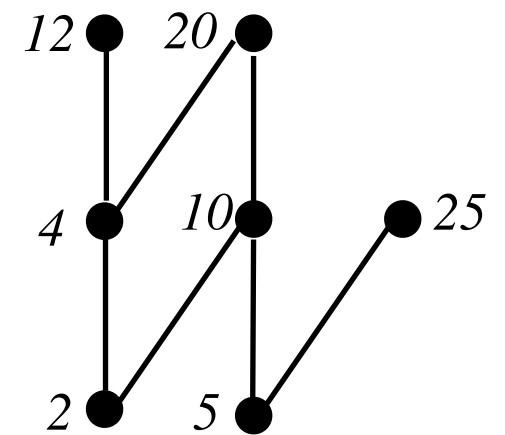
Let  $(S, \leq)$  be a partially ordered set.

An element  $m$  in  $S$  is called **maximal** iff there does not exist any element  $b$  in  $S$  such that  $m < b$ .

An element  $m$  in  $S$  is called **minimal** iff there does not exist any element  $b$  in  $S$  such that  $b < m$ .

# Example

Determine the maximal elements of the set  $\{2,4,5,10,12,20,25\}$ , partially ordered by the divisibility relation.



The elements 12, 20, and 25 are the maximal elements.

Determine the minimal elements of the above partially ordered set  $(\{2,4,5,10,12,20,25\}, |)$ .

The elements 2 and 5 are the minimal elements.

# Least and Greatest Element

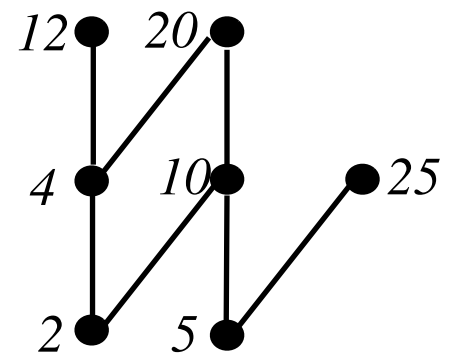
Let  $(S, \leq)$  be a partially ordered set.

An element  $a$  in  $S$  is called **the least element** iff  $a \leq b$  holds for all  $b$  in  $S$ .

[A least element does not need to exist. If it does, then it is uniquely determined.]

An element  $z$  in  $S$  is called **the greatest element** iff  $b \leq z$  holds for all  $b$  in  $S$ .

[A greatest element does not need to exist. If it does, then it is uniquely determined.]



# Test Yourself...

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X1 Determine the least and the greatest elements of the set of positive integers partially ordered by divisibility.

X2 Let  $S$  be a nonempty set. Partially order the power set  $P(S)$  by inclusion. Determine the least and the greatest elements of  $P(S)$ .

# Lattices

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# Upper and Lower Bounds

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Let  $(S, \leq)$  be a partially ordered set.

Let  $A$  be a subset of  $S$ .

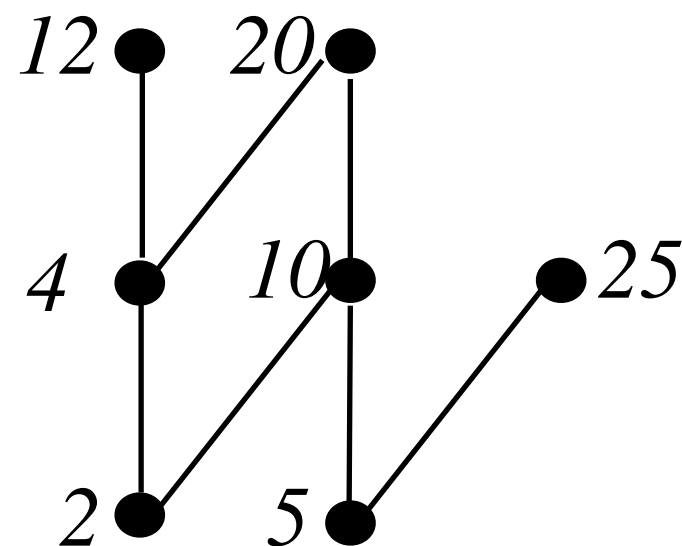
An element  $u$  of  $S$  is called an **upper bound** of  $A$  if and only if  $a \leq u$  holds for all  $a$  in  $A$ .

An element  $l$  of  $S$  is called a **lower bound** of  $A$  if and only if  $l \leq a$  holds for all  $a$  in  $A$ .

# Example

Let  $\{2,4,5,10,12,20,25\}$  with divisibility condition.

The Hasse diagram is given by



The subset  $A = \{4,10\}$  has 20 as an upper bound, and 2 as a lower bound.

The subset  $A = \{12\}$  has 12 as an upper bound, and 2, 4 and 12 as lower bounds.

# Least Upper Bounds

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Let  $(S, \leq)$  be a partially ordered set, and  $A$  a subset of  $S$ . An element  $u$  of  $S$  is called a **least upper bound** of  $A$  if it is an upper bound that is less than any other upper bound of  $A$ .

[Unlike upper bounds, the least upper bound is uniquely determined if it exists]



# Greatest Lower Bounds

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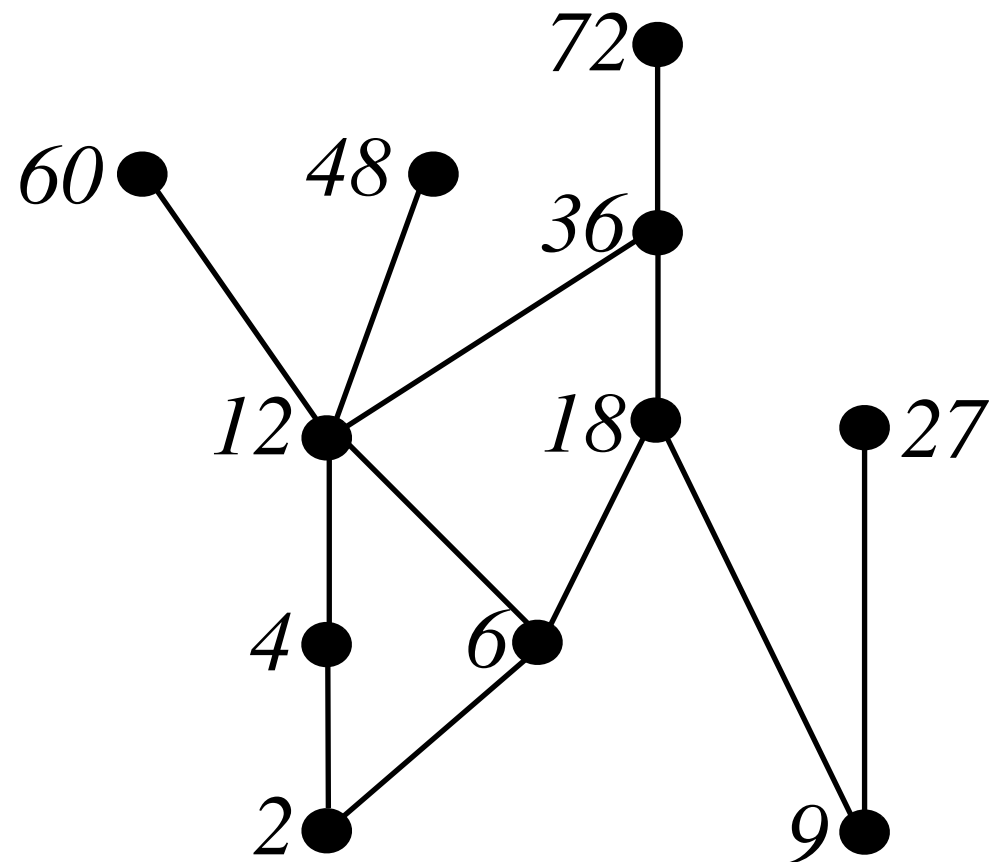
Let  $(S, \leq)$  be a partially ordered set, and  $A$  a subset of  $S$ . An element  $l$  of  $S$  is called a **greatest lower bound** of  $A$  if it is a lower bound that is greater than any other lower bound of  $A$ .

A greatest lower bound is uniquely determined if it exists.

# Example

Consider the poset  $(S=\{2, 4, 6, 9, 12, 18, 27, 36, 48, 60, 72\}, |)$ .

Draw the Hasse diagram:



What are the upper bounds of the subset  $A=\{2, 9\}$  ?

What are the lower bounds of the subset  $B=\{60, 72\}$  ?

# Lattices

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A partially ordered set in which every pair has both a least upper bound and a greatest lower bound is called a **lattice**.

# Example

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Consider the set  $(N, |)$  of positive integers that is partially ordered with respect to the divisibility relation.

Let  $a$  and  $b$  be two distinct positive integers. Then the least upper bound of  $\{a, b\}$  is the least common multiple of  $a$  and  $b$ . The greatest lower bound is the greatest common divisor of  $\{a, b\}$ . Therefore,  $(N, |)$  is a lattice.