#### **Advanced AI for Games: Neuroevolution**

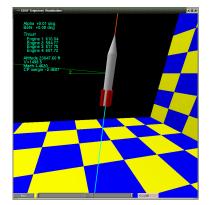
- CSCE 315
- These slides are from Risto Miikkulainen's tutorial at the GECCO 2005 conference, with slight editing.
- Slides 7 9 were added by Yoonsuck Choe.

#### **Today's Main Topic**

- Neuroevolution: Evolve artificial neural networks to control behavior of robots and agents.
- Main idea: Mimic the natural process of evolution that gave rise to the brain, the source of intelligence.
  - Population
  - Competition
  - Selection
  - Reproduction and mutation

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#### Why Neuroevolution?





- Neural networks already successful in many domains.
- However, in certain domains, it is hard to fit the existing framework and learning algorithms.
- Hard domains: fin-less rocket control, robotic agent control, etc.

## **Evolving Neural Networks**

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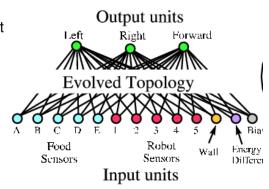
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#### Outline

- Basic neuroevolution techniques
- Advanced techniques
  - E.g. combining learning and evolution
- Extensions to applications
- Application examples
  - Control, Robotics, Artificial Life, Games

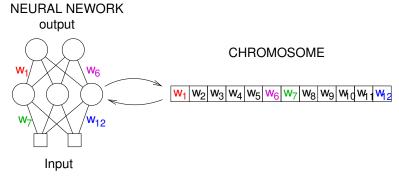
#### **Neuroevolution Decision Strategies**

- Input variables describe the state
- Output variables describe actions
- Network between input and output
  - Hidden nodes
  - Weighted connections
- Execution:
  - Numerical activation of input
  - Nonlinear weighted sums
- Performs a nonlinear mapping
  - Memory in recurrent connections
- Connection weights and structure evolved



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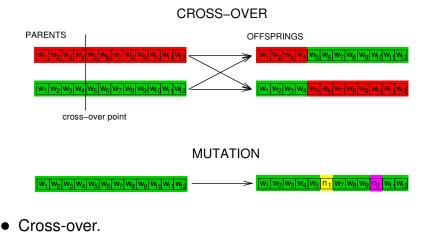
## **Neuroevolution Basics**



- A single chromosome encodes a full neural network.
- Each gene, a single bit (or a real number), maps to a connection weight in the neural network.

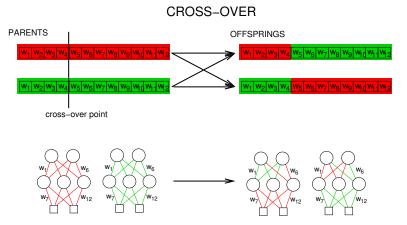
## **Neuroevolution Basics: Operations**

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• Mutation.

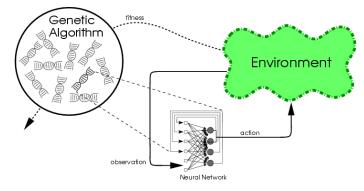
## **Neuroevolution Basics: Cross-Over in Detail**



• Cross-over of two individuals produces two offsprings with a mixed heritage.

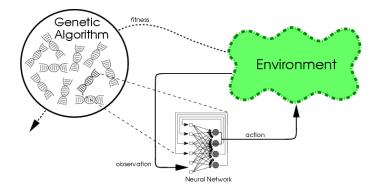
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## **Conventional Neuroevolution (2)**



- Each NN evaluated in the task
  - Good NN reproduce through crossover, mutation
  - Bad thrown away
  - Over time, NNs evolve that solve the task
- Natural mapping between genotype and phenotype
- GA and NN are a good match $|_1$

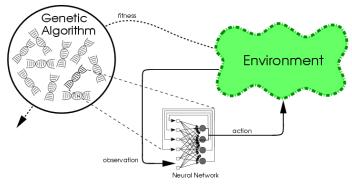
## **Conventional Neuroevolution (CNE)**



- Evolving connection weights in a population of networks <sup>19,38,39</sup>
- Chromosomes are strings of weights (bits or real)
  - E.g. 10010110101100101111001
  - Usually fully connected, fixed topology
  - Initially random

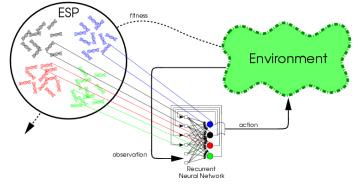
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## **Problems with CNE**



- Evolution converges the population (as usual with EAs)
  - Diversity is lost; progress stagnates
- Competing conventions
  - Different, incompatible encodings for the same solution
- Too many parameters to be optimized simultaneously
  - Thousands of weight values at once

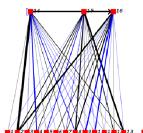
## **Advanced NE 1: Evolving Neurons**

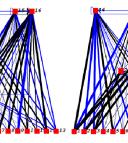


- Evolving individual neurons to cooperate in networks<sup>1,22,24</sup> (Agogino GECCO'05)
- E.g. Enforced Sub-Populations (ESP?)
  - Each (hidden) neuron in a separate subpopulation
  - Fully connected; weights of each neuron evolved
  - Populations learn compatible subtasks

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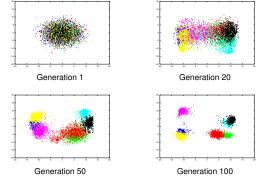
## **Advanced NE 3: Evolving Topologies**





- Optimizing connection weights and network topology <sup>11,40</sup>
- E.g. Neuroevolution of Augmenting Topologies (NEAT <sup>27,29</sup>)
- Based on Complexification
- Of networks:
  - Mutations to add nodes and connections
- Of behavior:
  - Elaborates on earlier behaviors

# Advanced NE 2: Evol. Subpopulations

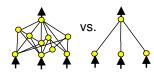


- Evolution encourages diversity automatically
  - Good networks require different kinds of neurons
- Evolution discourages competing conventions
  - Neurons optimized for compatible roles
- Large search space divided into subtasks
  - Optimize compatible neurons

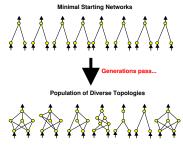
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## How Can We Complexify?

• Can optimize not just weights but also topologies



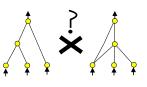
• Solution: Start with minimal structure and complexify 37



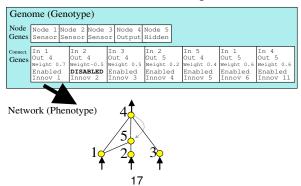
• Can search a very large space of configurations!

#### How Can Crossover be Implemented?

• Problem: Structures do not match



• Solution: Utilize historical markings

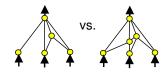


## **Further Neuroevolution Techniques**

- Incremental evolution <sup>13,33,39</sup>
- Utilizing population culture<sup>2,18</sup>
- Evolving ensembles of NNs<sup>16,23,36</sup> (Pardoe GECCO'05)
- Evolving neural modules<sup>25</sup>
- Evolving transfer functions and learning rules<sup>4,26</sup>?
- Combining learning and evolution

### How can Innovation Survive?

• Problem: Innovations have initially low fitness



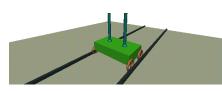
- Solution: Speciate the population
  - Innovations have time to optimize
  - Mitigates competing conventions
  - Promotes diversity

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#### **Neuroevolution Applications**

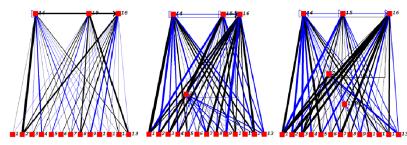
- Evolving composite decision makers<sup>36</sup>
- Evolving teams of agents 3,28,41
- Utilizing coevolution<sup>30</sup>
- Real-time neuroevolution<sup>28</sup>
- Combining human knowledge with evolution<sup>8</sup>

## **Applications to Control**



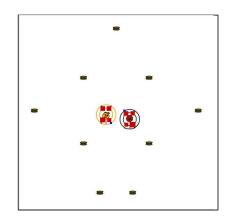
- Pole-balancing benchmark
  - Originates from the 1960s
  - Original 1-pole version too easy
  - Several extensions: acrobat, jointed, 2-pole, particle chasing<sup>23</sup>
- Good surrogate for other control tasks
  - Vehicles and other physical devices
  - Process control<sup>34</sup> <sub>21</sub>

## **Competitive Coevolution with NEAT**



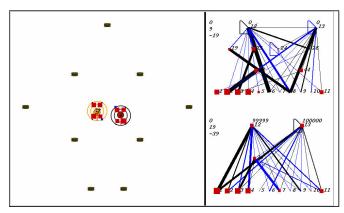
- Complexification elaborates instead of alters
  - Adding more complexity to existing behaviors
- Can establish a coevolutionary arms race
  - Two populations continually outdo each other
  - Absolute progress, not just tricks

## **Competitive Coevolution**



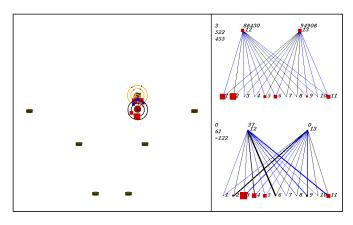
- Evolution requires an opponent to beat
- Such opponents are not always available
- Co-evolve two populations to outdo each other
- How to maintain an arms grace?

## **Robot Duel Domain**



- Two Khepera-like robots forage, pursue, evade <sup>30</sup>
  - Collect food to gain energy
  - Win by crashing to a weaker robot

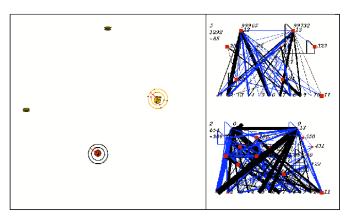
## **Early Strategies**



- Crash when higher energy
- Collect food by accident
- DEMO

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## **Sophisticated Strategy**

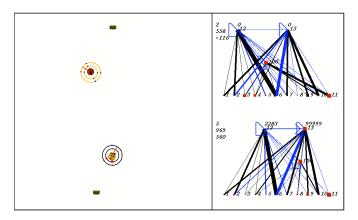


- "Fake" a move up, force away from last piece
- Win by making a dash to last piece
- $\bullet \ \ \ Complexification \rightarrow arms \ race$

• DEMO

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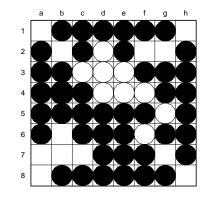
## **Mature Strategies**



- Collect food to gain energy
- Avoid moving to lose energy
- Standoff: Difficult to predict outcome
- DEMO

#### **Applications to Games**

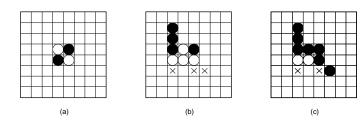
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- Good research platform
  - Controlled domains, clear performance, safe
  - Economically important; training games possible
- Board games: beyond limits of search
  - Evaluation functions in checkers, chess<sup>5,9,10</sup>
  - Filtering information in ge, othello<sup>20,31</sup>

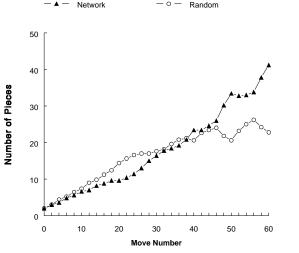
## **Discovering Novel Strategies in Othello**



- Players take turns placing pieces
- Each move must flank opponent's piece
- Surrounded pieces are flipped
- Player with most pieces wins

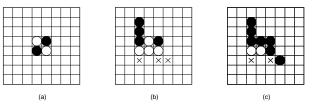
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## **Evolving Against a Random Player**



- Network sees the board, suggests moves by ranking<sup>21</sup>
- Networks maximize piece counts throughout the game
- A positional strategy emerges
- Achieved 97% winning perdentage

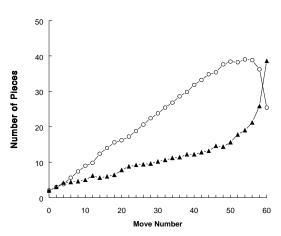
# **Strategies in Othello**



- Positional
  - Number of pieces and their positions
  - Typical novice strategy
- Mobility
  - Number of available moves: force a bad move
  - Much more powerful, but counterintuitive
  - Discovered in 1970's in Japan

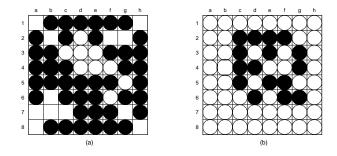
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# Evolving Against an $\alpha\text{-}\beta$ Program



- lago's positional strategy destroyed networks at first
- Evolution turned low piece count into an advantage
- Mobility strategy emerged!
- Achieved 70% winning percentage

## Example game



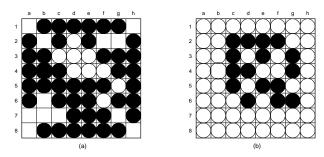
- Black's positions strong, but mobility weak
- White (the network) moves to f2
- Black's available moves b2, g2, and g7 each will surrender a corner
- The network wins by forcing a bad move 33

## Future Challenge: Utilizing Knowledge



- Given a problem, NE discovers a solution by exploring
  - Sometimes you already know (roughly) what works
  - Sometimes random initial behavior is not acceptable
- How can domain knowledge be utilized?
  - By incorporating rules (Yong GECCO'05)
  - By learning from examples

## **Discovering Novel Strategies**



- Neuroevolution discovered a strategy novel to us
- "Evolution works by tinkering"
  - So does neuroevolution
  - Initial disadvantage turns into novel advantage

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## **Numerous Other Applications**

- Creating art, music<sup>6</sup>
- Theorem proving<sup>7</sup>
- Time-series prediction<sup>17</sup>
- Computer system optimization<sup>12</sup>
- Manufacturing optimization<sup>14</sup>
- Process control optimization <sup>34,35</sup>
- Etc.

#### Conclusion

- Neuroevolution, mimicing the natural process of evolution, is an effective strategy for constructing complex and useful behavior.
- Neuroevolution often performs well for reinforcement learning tasks.
- Analyzing the resulting network is a challenge.

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