Unsupervised Learning

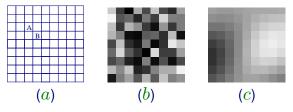
- No teacher signal (i.e. no feedback from the environment).
- The network must discover patterns, features, regularities, correlations, or categories in the input data and code them in the output.
- The units and connections must display some degree of **self-organization**.
- Unsupervised learning can be useful when there is **redundancy** in the input data.
- A data channel where the input data content is less than the channel capacity, there is redundancy.

What Can Unsupervised Learning Do?

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- Familiarity: how similar is the current input to past inputs?
- Principal Component Analysis: find orthogonal basis vectors (or axes) against which to project high dimensional data.
- **Clustering**: *n* output class, each representing a distinct category. Each cluster of similar or nearby patterns will be classified as a single class.
- Prototyping: For a given input, the most similar output class (or exemplar) is determined.
- Encoding: application of clustering/prototyping.
- Feature Mapping: topographic mapping of input space onto output network configuration.

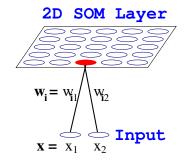
Structure, Redundancy, Statistical Dependence



- Each pixel can be seen as a random variable.
- When pixel A can be predicted from looking at pixel B:
 - They are dependent.
 - They are redundant.
 - There is structure.
- Unsupervised learning needs such structure in the input.

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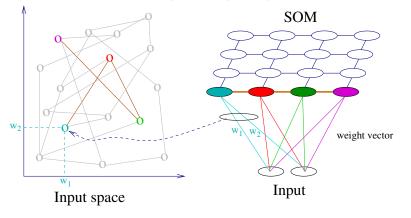
Self-Organizing Map (SOM)



Kohonen (1982)

- 1-D or 2-D layout of units.
- One reference vector for each unit.
- Unsupervised learning (no target output).

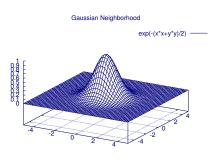
SOM: Map vs. Input Space



- Each weight vector can be plotted in the input space.
- They can then be linked together based on their proximity in the map.

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Typical Neighborhood Functions



- Gaussian: $\Lambda(j, i(x)) = exp(-|\mathbf{r_j} \mathbf{r_{i(x)}}|^2/2\sigma^2)$
- Flat: $\Lambda(j, i(x)) = 1$ if $|\mathbf{r_j} \mathbf{r_{i(x)}}| \le \sigma$, and 0 otherwise.
- σ is called the **neighborhood radius**.

SOM Algorithm

- 1. Randomly initialize reference vectors wi
- 2. Randomly sample input vector \mathbf{x}
- 3. Find Best Matching Unit (BMU):

$$\mathbf{i}(\mathbf{x}) = \operatorname{argmin}_{j} \parallel \mathbf{x} - \mathbf{w}_{\mathbf{j}} \parallel$$

4. Update reference vectors:

$$\mathbf{w_j} \leftarrow \mathbf{w_j} + \alpha \Lambda(j, i(x))(\mathbf{x} - \mathbf{w_j})$$

lpha : learning rate ${f \Lambda}({f j},{f i}({f x}))$: neighborhood function of BMU.

5. Repeat steps 2 – 4.

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Training Tips

- Start with large neighborhood radius. Gradually decrease radius to a small value.
- Start with high learning rate α.
 Gradually decrease α to a small value.

2D SOM Layer

 W_{i2}

X2

Neighbor Input

 $\mathbf{W}_i = \mathbf{W}_i$

 $\mathbf{x} = \mathbf{x}_1$

Properties of SOM

• Approximation of input space.

Maps continuous input space to discrete output space.

• Topology preservation.

Nearby units represent nearby points in input space.

• Density mapping.

More units represent input space that are more frequently sampled.

Performance Measures

Quantization Error

Average distance between each data vector and its BMU.

$$\epsilon_Q = \frac{1}{N} \sum_{j=1}^{N} \parallel \mathbf{x_j} - \mathbf{w_{i(x_j)}} \mid$$

• Topographic Error

The proportion of all data vectors for which first and second BMUs are not adjacent units.

$$\epsilon_T = \frac{1}{N} \sum_{j=1}^N u(\mathbf{x_j}),$$

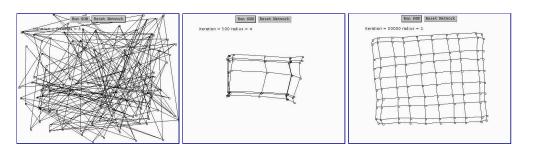
 $u(\mathbf{x}) = 1$ if the 1st and 2nd BMUs are not adjacent $u(\mathbf{x}) = 0$ otherwise.

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2D SOM Layer $w_i = w_i$ w_2 Neighbor $x = x_1$ x_2

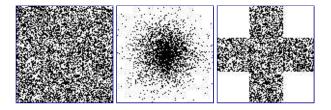
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Example: 2D Input / 2D Output



- Train with uniformly random 2D inputs. Each input is a point in Cartesian plane.
- Nodes: reference vectors (*x* and *y* coordinate).
- Edges: connect immediate neighbors on the map.

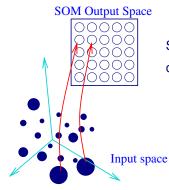
Different 2D Input Distributions



- What would the resulting SOM map look like?
- Why would it look like that?

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High-Dimensional Inputs



SOM can be trained with inputs of arbitrary dimension.

- Dimensionality reduction: N-D to 2-D.
- Extracts topological features.
- Used for visualization of data.

Applications

- Data clustering and visualization.
- Optimization problems: Traveling salesman problem.
- Semantic maps: Natural language processing.
- Preprocessing for signal and image-processing.
 - 1. Hand-written character recognition.
 - 2. Phonetic map for speech recognition.

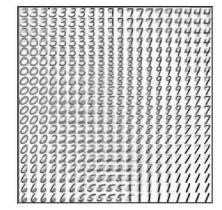
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Exercise

- 1. What happens when $N_{i(x)}$ and α was reduced quickly vs. slowly?
- 2. How would the map organize if different input distributions are given?
- 3. For a fixed number of input vectors from real-world data, a different visualization scheme is required. How would you use the number of input vectors that best match each unit to visualize the property of the map?

SOM Example: Handwritten Digit Recognition

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- Preprocessing for feedforward networks (supervised learning).
- Better representation for training.
- Better generalization.

SOM Demo

Jochen Fröhlich's Neural Networks with JAVA page:

http://fbim.fh-regensburg.de/~saj39122/jfroehl/diplom/e-index.html

Check out the Sample Applet link.

SOM Demo: Traveling Salesman Problem

Using Fröhlich's SOM applet:

- 1D SOM map ($1 \times n$, where n is the number of nodes).
- 2D input space.
- Initial neighborhood radius of 8.
- Stop when radius < 0.001.
- Try 50 nodes, 20 input points.

Click on [Parameters] to bring up the config panel. After the parameters are set, click on [Reset] in the main applet, and then [Start learning].

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SOM Demo: Space Filling in 2D

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Using Fröhlich's SOM applet:

- 1D SOM map $(1 \times n)$, where n is the number of nodes).
- 2D input space.
- Initial neighborhood radius of 100.
- Stop when radius < 0.001.
- Try 1000 nodes, and 1000 input points.

SOM Demo: Space Filling in 3D

Using Fröhlich's SOM applet:

- 2D SOM map $(n \times n)$, where *n* is the number of nodes).
- 2D input space.
- Initial neighborhood radius of 10.
- Stop when radius < 0.001.
- Try 30×30 nodes, and 500 input points. Limit the y range to 15.

Also try 50×50 , 1000 input points, and 16 initial radius.

Other Unsupervised Learning Algorithms

- Hebbian learning: activity-dependent plasticity
- Principal component analysis
- Independent component analysis
- Competetive learning
- Vector quantization
- Various clustering algorithms

Course Wrap Up

- A thought: In ML, learning task is defined by humans. Can machines define their own leanrning tasks?
- Learning vs. understanding.
- Related courses: Pattern Recognition (689), Neural Networks (636), Cortical Networks (644), Information Retrieval, Sketch Recognition, Robotics, ...
- Conferences: ICML, NIPS, COLT, AAAI, IJCAI, GECCO, IJCNN.

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Books

- Alpaydin, Introduction to Machine Learning, MIT Press, 2004.
- Bishop, Neural Networks for Pattern Recognition, Oxford U. Press, 1995.
- Hertz, Krogh, and Palmer, *Introduction to the Theory of Neural Computation*, Addison-Wesley, 1991.
- Ballard, Introduction to Natural Computation, MIT Press, 1997.
- Arbib, *The Handbook of Brain Theory and Neural Networks*, MIT Press, 1995, 2003.
- Sutton and Barto, *Reinforcement Learning: An Introduction*, MIT Press, 1998.
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- Holland, Adaptation in natural and artificial systems, MIT Press, 1992.

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