

# Minimum Cuts in Graphs

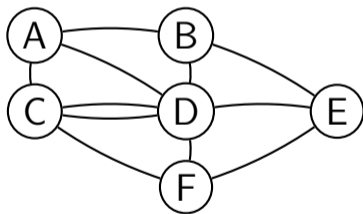
Andreas Klappenecker

Texas A&M University

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# Multigraphs

Let  $G = (V, E)$  be a connected, undirected, loopfree multigraph with  $n$  vertices. A multigraph may contain multiple edges between two vertices, as the following example shows.



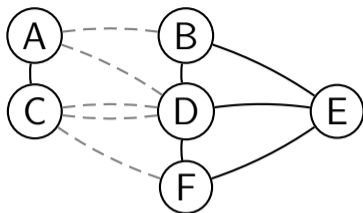
## Definition

A **cut** in the multigraph  $G = (V, E)$  is a partition of the vertex set  $V$  into two disjoint nonempty sets  $V = V_1 \cup V_2$ . An edge with one end in  $V_1$  and the other in  $V_2$  is said to **cross the cut**.

## Remark

*The term cut is chosen because the removal of the edges in a cut partitions the multigraph.*

## Example of a Cut

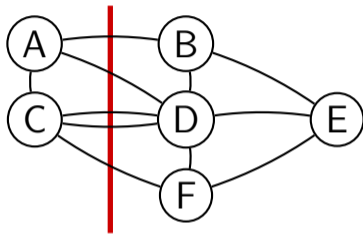


### Example

If we partition  $V = \{A, B, C, D, E, F\}$  into the sets

$$V_1 = \{A, C\} \text{ and } V_2 = \{B, D, E, F\},$$

then this cut has five crossing edges, and removing these edges yields a disconnected multigraph.



### Definition

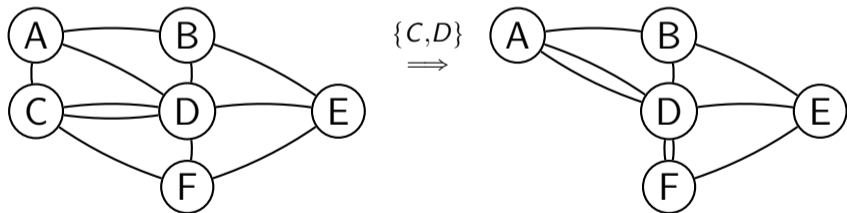
The **size** of the cut is given by the number of edges crossing the cut. The above example shows a cut of size 5.

## Goal

Determine the minimum size of a cut in a given multigraph  $G$ .

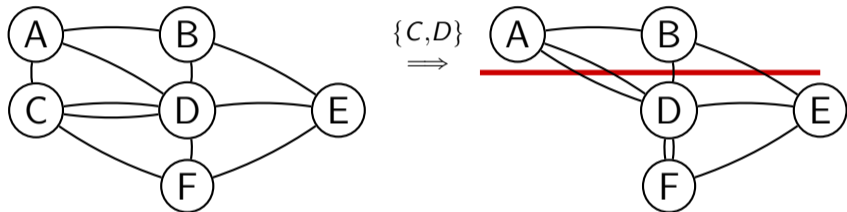
## Edge Contraction

We describe a very simple randomized algorithm for this purpose. If  $e$  is an edge of a loopfree multigraph  $G$ , then the multigraph  $G/e$  is obtained from  $G$  by **contracting** the edge  $e = \{x, y\}$ , that is, we identify the vertices  $x$  and  $y$  and remove all resulting loops.



## Remark

*Note that any cut of  $G/e$  induces a cut of  $G$ .*



## Example

The cut  $\{A, B\} \cup \{D, E, F\}$  in  $G/\{C, D\}$  induces the cut  $\{A, B\} \cup \{C, D, E, F\}$  in  $G$ . In general, the vertices that have been identified in  $G/e$  are in the same partition of  $G$ .

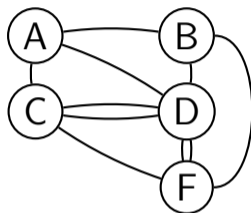
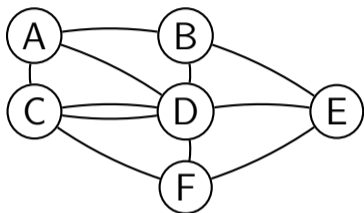


### Remark

*The size of the minimum cut of  $G/e$  is at least the size of the minimum cut of  $G$ , because all edges are kept.*

- We can use successive contractions to estimate the size of the minimum cut of  $G$ .
- We can select uniformly at random one of the remaining edges and contract it until two vertices remain.
- The cut determined by this algorithm contains precisely the edges that have not been contracted.
- Counting the edges between the remaining two vertices yields an estimate of the size of the minimum cut of  $G$ .

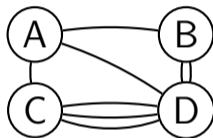
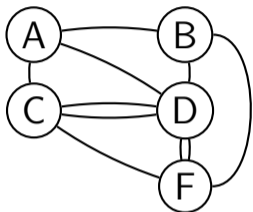
## Example (1/4)



Step 1

Contract by  $\{E, F\}$ . Partition  $\{\{A\}, \{B\}, \{C\}, \{D\}, \{E, F\}\}$ .

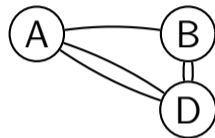
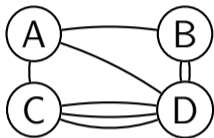
## Example (2/4)



### Step 2

Contract by  $\{D, F\}$ . Partition  $\{\{A\}, \{B\}, \{C\}, \{D, E, F\}\}$ .

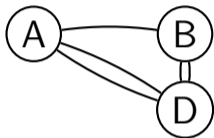
## Example (3/4)



### Step 3

Contract by  $\{C, D\}$ . Partition  $\{\{A\}, \{B\}, \{C, D, E, F\}\}$ .

## Example (4/4)



Step 4

Contract by  $\{B, D\}$ . Partition  $\{\{A\}, \{B, C, D, E, F\}\}$ .

## **Contract**( $G$ )

**Require:** A connected loopfree multigraph  $G = (V, E)$  with at least 2 vertices.

- 1: **while**  $|V| > 2$  **do**
- 2:   **Select**  $e \in E$  **uniformly at random**;
- 3:    $G := G/e$ ;
- 4: **end while**
- 5: **return**  $|E|$ .

**Ensure:** An upper bound on the minimum cut of  $G$ .

## Conditional Probability

$$\Pr[E \cap F] = \Pr[E|F] \Pr[F]$$



## Exercise

*Prove the following straightforward consequence of the previous formula*

$$\Pr \left[ \bigcap_{\ell=1}^n E_{\ell} \right] = \left( \prod_{m=2}^n \Pr \left[ E_m \mid \bigcap_{\ell=1}^{m-1} E_{\ell} \right] \right) \Pr[E_1].$$

*If you expand the formula then you will immediately see the pattern.*

**Idea:**

$$\begin{aligned}\Pr[E_n \cap E_{n-1} \cap \cdots \cap E_1] &= \Pr[E_n \mid E_{n-1} \cap \cdots \cap E_1] \Pr[E_{n-1} \cap \cdots \cap E_1] \\ \Pr[E_{n-1} \cap E_{n-2} \cap \cdots \cap E_1] &= \Pr[E_{n-1} \mid E_{n-2} \cap \cdots \cap E_1] \Pr[E_{n-2} \cap \cdots \cap E_1] \\ \Pr[E_{n-2} \cap E_{n-3} \cap \cdots \cap E_1] &= \Pr[E_{n-2} \mid E_{n-3} \cap \cdots \cap E_1] \Pr[E_{n-1} \cap \cdots \cap E_1] \\ &\vdots \\ \Pr[E_2 \cap E_1] &= \Pr[E_2 \mid E_1] \Pr[E_1]\end{aligned}$$

**Rigorous proof:** Induction.

**Idea:**

$$\begin{aligned}\Pr[E_n \cap E_{n-1} \cap \cdots \cap E_1] &= \Pr[E_n \mid E_{n-1} \cap \cdots \cap E_1] \Pr[E_{n-1} \cap \cdots \cap E_1] \\ \Pr[E_{n-1} \cap E_{n-2} \cap \cdots \cap E_1] &= \Pr[E_{n-1} \mid E_{n-2} \cap \cdots \cap E_1] \Pr[E_{n-2} \cap \cdots \cap E_1] \\ \Pr[E_{n-2} \cap E_{n-3} \cap \cdots \cap E_1] &= \Pr[E_{n-2} \mid E_{n-3} \cap \cdots \cap E_1] \Pr[E_{n-1} \cap \cdots \cap E_1] \\ &\vdots \\ \Pr[E_2 \cap E_1] &= \Pr[E_2 \mid E_1] \Pr[E_1]\end{aligned}$$

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**Rigorous proof:** Induction.

Suppose that the multigraph has a uniquely determined minimum cut. If the algorithm selects in this case *any* edge crossing this cut, then the algorithm will fail to produce the correct result. The analysis is largely guided by this observation.

### Exercise

*Give an example of a connected, loopfree multigraph with at least four vertices that has a uniquely determined minimum cut.*

### Remark

*Let  $G = (V, E)$  be a loopfree connected multigraph with  $n = |V|$  vertices. Note that each contraction reduces the number of vertices by one, so the algorithm terminates after  $n - 2$  steps.*

Suppose that  $C$  is a particular minimum cut of  $G$ . Let  $E_i$  denote the event that the algorithm selects in the  $i$ th step an edge that does not cross the cut  $C$ . Therefore, the probability that no edge crossing the cut  $C$  is ever picked during an execution of the algorithm is

$$\Pr \left[ \bigcap_{j=1}^{n-2} E_j \right].$$

This probability can be calculated by

$$\Pr \left[ \bigcap_{m=1}^{n-2} E_m \right] = \left( \prod_{m=2}^{n-2} \Pr \left[ E_m \mid \bigcap_{\ell=1}^{m-1} E_\ell \right] \right) \Pr[E_1].$$

Suppose that the size of the minimum cut is  $k$ .

This means that the degree of each vertex is at least  $k$ , hence there exist at least  $kn/2$  edges.

The probability to select an edge crossing the cut  $C$  in the first step is at most  $k/(kn/2) = 2/n$ . Consequently,

$$\Pr[E_1] \geq 1 - \frac{2}{n} = \frac{n-2}{n}.$$



Similarly, at the beginning of the  $m$ th step, with  $m \geq 2$ , there are  $n - m + 1$  remaining vertices.

The minimum cut is still at least  $k$ , hence the multigraph has at this stage at least  $k(n - m + 1)/2$  edges. Assuming that none of the edges crossing  $C$  was selected in an earlier step, the probability to select an edge crossing the cut  $C$  is  $2/(n - m + 1)$ .

It follows that

$$\Pr [E_m | \bigcap_{j=1}^{m-1} E_j] \geq 1 - \frac{2}{n - m + 1} = \frac{n - m - 1}{n - m + 1}.$$

Applying these lower bounds to the iterated conditional probabilities yields the result:

$$\Pr \left[ \bigcap_{j=1}^{n-2} E_j \right] \geq \prod_{m=1}^{n-2} \left( \frac{n-m-1}{n-m+1} \right)$$

In other words, we have

$$\begin{aligned} \Pr \left[ \bigcap_{j=1}^{n-2} E_j \right] &\geq \binom{n-2}{n} \binom{n-3}{n-1} \binom{n-4}{n-2} \cdots \binom{3}{5} \binom{2}{4} \binom{1}{3} \\ &= \frac{2}{n(n-1)} = \binom{n}{2}^{-1} \end{aligned}$$

Applying these lower bounds to the iterated conditional probabilities yields the result:

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In conclusion, we have shown that the contraction algorithm yields the correct answer with probability at least  $\Omega(1/n^2)$ .

## Number of Repetitions

## Useful Inequality

$$1 + x \leq e^x.$$

Indeed, consider the function  $f(x) = e^x - 1 - x$ .

It has the derivative  $f'(x) = e^x - 1$ .

We have  $f'(x) = 0$  if and only if  $x = 0$ .

The function  $f(x)$  has a (global) minimum at  $x = 0$ , since  $f''(0) = e^0 = 1 > 0$ . We can conclude that  $f(x) \geq f(0) = 0$ .

## Corollary

*Consequently, for all positive integers  $n$ , we have*

$$\left(1 + \frac{x}{n}\right)^n \leq (e^{x/n})^n = e^x.$$

The probability that the algorithm fails to produce the correct result in one execution is

$$\Pr[\text{failure}] \leq (1 - 2/n^2).$$

Recall that for independent event  $E$  and  $F$ , the probability is given by  $\Pr[E \cap F] = \Pr[E] \Pr[F]$ . Therefore, if we execute the algorithm  $n^2/2$  times, then the probability that the repeated executions will never reveal the correct size of the minimum cut is at most

$$\left(1 - \frac{2}{n^2}\right)^{n^2/2} \leq e^{-1}.$$



If we repeat the algorithm  $\frac{n^2 \ln n}{2}$  times, then the probability of obtaining an incorrect size of the minimum cut is at most

$$\left(1 - \frac{2}{n^2}\right)^{\frac{n^2 \ln n}{2}} \leq e^{-\ln n} = \frac{1}{n}.$$

We can conclude that repeating the contraction algorithm  $O(n^2 \log n)$  times yields the correct size of the minimum cut with high probability.

# FastCut

- Assuming that the input multigraph has just a single minimum cut  $C$ , then Karger's minimum cut algorithm fails in a single run if and only if it contracts an edge of the minimum cut  $C$ .
- Selecting an edge crossing the cut  $C$  is more likely towards a later stage of the algorithm rather than at the beginning.

- We can subdivide the node contractions into different phases
- Early phases need fewer repetitions (restarts), since they are less likely to err
- Later phases need more repetitions (restarts), since this is where the errors are likely to happen
- We use recursion.

## **Contract**( $G, t$ )

**Require:** A connected loopfree multigraph  $G = (V, E)$  with at least  $t$  vertices.

- 1: **while**  $|V| > t$  **do**
- 2:     **Select**  $e \in E$  **uniformly at random**;
- 3:      $G := G/e$ ;
- 4: **end while**

### Idea

Stop when  $t$  nodes are reached.

As in the case  $t = 2$ , we have

$$\Pr \left[ \bigcap_{j=1}^{n-t} E_j \right] \geq \prod_{j=1}^{n-t} \left( \frac{n-m-1}{n-m+1} \right)$$

We get the lower bound

$$\begin{aligned} \Pr \left[ \bigcap_{j=1}^{n-t} E_j \right] &\geq \left( \frac{n-2}{n} \right) \left( \frac{n-3}{n-1} \right) \cdots \left( \frac{t}{t+2} \right) \left( \frac{t-1}{t+1} \right) \\ &= \frac{\binom{t}{2}}{\binom{n}{2}} \end{aligned}$$

## Proposition

If  $t \geq \frac{n}{\sqrt{2}} + 1$ , then  $\binom{t}{2} / \binom{n}{2} \geq 1/2$ .

The function

$$\binom{t}{2} / \binom{n}{2} = \frac{t(t-1)}{n(n-1)}$$

is increasing in  $t$ . Substituting  $t = \frac{n}{\sqrt{2}} + 1$  yields

$$\frac{t(t-1)}{n(n-1)} = \frac{(\frac{n}{\sqrt{2}} + 1)\frac{n}{\sqrt{2}}}{n(n-1)} \geq \frac{\frac{n^2}{2} - \frac{n}{2}}{n(n-1)} = \frac{1}{2}$$

**FastCut**( $G$ )**Require:** A connected loopfree multigraph  $G = (V, E)$ .

- 1:  $n = |V|$ .
- 2: **return** mincut( $G$ ) **if**  $n \leq 6$  // brute force computation
- 3:  $t = \lceil n/\sqrt{2} + 1 \rceil$ .
- 4:  $G_1 = \text{Contract}(G, t)$ .
- 5:  $G_2 = \text{Contract}(G, t)$ .
- 6: **return** min( FastCut( $G_1$ ), FastCut( $G_2$ ));



## Proposition

*FastCut runs in  $O(n^2 \log n)$  time.*

## Proof.

The algorithm Contract uses  $O(n^2)$  time to reduce a multigraph with  $n$  vertices down to 2 vertices. Thus, reducing it twice to  $t$  vertices can certainly be done in  $O(n^2)$  time. The time  $T(n)$  of FastCut satisfies the recurrence

$$T(n) = 2T\left(\left\lceil 1 + \frac{n}{\sqrt{2}} \right\rceil\right) + O(n^2).$$

The solution to this recurrence satisfies  $T(n) = O(n^2 \log n)$ . □

## Proposition

*FastCut finds a minimum cut with probability  $\Omega(1/\log n)$ .*

## Proof.

We already showed that minimum cut  $C$  survives the contractions from  $n$  to  $n/\sqrt{2} + 1$  vertices with probability  $1/2$  or more.

Let  $P(n)$  denote the probability that FastCut succeeds in finding a minimum cut in a multigraph with  $n$  vertices. Then

$$P(n) \geq 1 - \left(1 - \frac{1}{2}P\left(\left\lceil 1 + \frac{n}{\sqrt{2}} \right\rceil\right)\right)^2.$$

## Proof (Continued).

We will solve this recurrence by making a change of variables. The depth of the recursion is  $k = O(\log n)$ . Let  $p(k)$  denote a lower bound on the success probability at level  $k$ . Then

$$p(0) = 1$$

and (from the previous inequality)

$$p(k + 1) = p(k) - \frac{p(k)^2}{4}.$$

## Proof (Continued).

We can solve this by setting  $q(k) = 4/p(k) - 1$ , which amounts to

$$p(k) = 4/(q(k) + 1).$$

Substituting into the previous equation yields

$$q(k+1) = q(k) + 1 + \frac{1}{q(k)}.$$

By induction, we have

$$k < q(k) < k + H_{k-1} + 4,$$

where  $H_{k-1} = 1 + 1/2 + \dots + 1/(k-1)$ . It follows that

$$q(k) = k + \Theta(\log k).$$

Proof (Continued).

Since  $q(k) = k + \Theta(\log k)$  and by definition

$$p(k) = \frac{4}{q(k) + 1} = \frac{4}{k + \Theta(\log k) + 1}.$$

We have

$$\lim_{k \rightarrow \infty} p(k)/(1/k) = \lim_{k \rightarrow \infty} \frac{4k}{k + \Theta(\log k) + 1} = 4.$$

It follows that  $p(k) = \Theta(1/k)$ . Since  $p(k)$  was the lower bound to  $P(n)$  with recursion depth  $k = \Theta(\log n)$ , we can conclude that

$$P(n) \geq p(\log n) = \Omega(1/\log n). \quad \square$$