

Shor's Algorithm

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Factoring Integers

Given an integer n that is not prime, the goal is to find a nontrivial factor of n .

Main Idea (behind most Factoring Algorithms)

Given a positive integer n .

If you can find integers a and b such that

- n divides $a^2 - b^2 = (a+b)(a-b)$
- $a \not\equiv \pm b \pmod{n}$

then $\gcd(a \pm b, n)$ yields a nontrivial factor of n .

Example 1

Let $n = 1271$

Given $a = 36$ and $b = 5$, we have

- n divides $36^2 - 5^2 = 1271$
- $36 \not\equiv \pm 5 \pmod{1271}$

Thus, we get $\gcd(36 - 5, 1271) = 31$ and $\gcd(36 + 5, 1271) = 41$

In fact, $1271 = 31 * 41$.

Problem: How can we find suitable integers a and b ?

Example 2

Let $n = 15$.

For $a = 14$ and $b = 1$

Then n divides $(a^2 - b^2) = 196 - 1 = 195 = 15 * 13$

but $14 = a \equiv -b = -1 \pmod{n}$.

Here we fail to get a nontrivial factor as

$$\gcd(a-b, n) = 1 \text{ and } \gcd(a+b, n) = n.$$

Main Idea behind Shor's Algorithm

Given a positive integer n .

If you can find an integer a such that

- n divides $a^2 - 1^2 = (a+1)(a-1)$, equivalently, $a^2 \equiv 1 \pmod{n}$
- $a \not\equiv \pm 1 \pmod{n}$

then $\gcd(a \pm 1, n)$ yields a nontrivial factor of n .

How can we find a suitable a ?

Order

Let c be an integer such that $\gcd(c,n)=1$.

The smallest positive integer r such that

$$c^r \equiv 1 \pmod{n}$$

is called the **order** of c modulo n .

Example

Let $n=15$.

We determine the order of 2 mod n .

$$2, 2^2, 2^3, 2^4 \equiv 1 \pmod{16}$$

Thus, the order of 2 mod n is 4.

Chinese Remainder Theorem

Chinese Remainder Theorem: Let p and q be coprime integers. Then

$$x \equiv a \pmod{p}$$

$$x \equiv b \pmod{q}$$

has a unique solution x in the range $0 \leq x < pq$.

Corollary. There are four different solutions to $x^2 \equiv 1 \pmod{pq}$, since

$$x \equiv \pm 1 \pmod{p}$$

$$x \equiv \pm 1 \pmod{q}$$

has four different solutions. Ex: $n=3^*5$, $x_1 = 1$, $x_2=14$, $x_3 = 4$, $x_4 = 11$

Reduction

Goal: Factor n .

Choose an integer c such that $\gcd(c,n)=1$. Compute the order r of c .

If r is even and $c^{r/2} \not\equiv -1 \pmod{n}$, setting $a = c^{r/2}$ and $b = 1$ yields

- n divides $a^2 - b^2 = c^r - 1$
- $a \not\equiv \pm b \pmod{n}$, as $c^{r/2} \not\equiv \pm 1 \pmod{n}$

Therefore, $\gcd(c^{r/2} \pm 1, n)$ yields a factor of n .

Probability to Succeed

Lemma. If $n = \prod_{i=1}^k p_i^{a(i)}$ with p_i odd, then an element c chosen uniformly at random from $\{c \mid 0 \leq c < n, \gcd(c, n) = 1\}$ will have even order r and satisfy $c^{r/2} \not\equiv -1 \pmod{n}$ with probability $\geq 1 - 1/2^{k-1}$.

Indeed, let $r(i)$ denote order of $c \pmod{p_i^{a(i)}}$, and let $d(i)$ denote the largest power of 2 dividing $r(i)$.

If r is odd, then $d(i) = 1$ for all i .

If r is even and $c^{r/2} \equiv -1 \pmod{n}$, then $c^{r/2} \equiv -1 \pmod{p_i^{a(i)}}$, and we can conclude that $r(i)$ divides r but does not divide $r/2$. Thus, $d(i) > 1$. Furthermore, all $d(i)$ must all be equal, since $r = \text{lcm}(r(1), \dots, r(k))$.

In summary, the algorithm fails if and only if $d(1) = \dots = d(k)$.

The multiplicative group mod $p_i^{a(i)}$ is cyclic for odd p_i . Therefore, the probability that a random element c in this multiplicative group has order divisible by $d(i)$ is $\leq 1/2$. For c chosen uniformly at random all $d(i)$ with $1 < i \leq k$ are equal to $d(1)$ with probability $\leq 1/2^{k-1}$. q.e.d.

Summary

Given an integer n .

If n is even, then return 2

else if n is a power of a prime p , then return p .

Choose c from $\{ c \mid 1 < c < n, \gcd(c, n) = 1 \}$ uniformly at random.

Calculate order r of $c \bmod n$.

If r is even and $c^{r/2} \not\equiv -1 \pmod n$, then return $\gcd(c^{r/2} - 1, n)$

otherwise return "fail"