

# Proof by Induction

Andreas Klappenecker

# Motivation

---

Induction is an axiom which allows us to prove that certain properties are true **for all positive integers** (or for all nonnegative integers, or all integers  $\geq$  some fixed number)

# Induction Principle

---

Let  $A(n)$  be an assertion concerning the integer  $n$ .

If we want to show that  $A(n)$  holds for all positive integer  $n$ , we can proceed as follows:

**Induction basis:** Show that the assertion  $A(1)$  holds.

**Induction step:** For all positive integers  $n$ , show that  $A(n)$  implies  $A(n+1)$ .

# Standard Example

For all positive integers  $n$ , we have

$$A(n) = 1+2+\dots+n = n(n+1)/2$$

Induction basis:

Since  $1 = 1(1+1)/2$ , the assertion  $A(1)$  is true.

Induction step:

Suppose that  $A(n)$  holds. Then

$$\begin{aligned} 1+2+\dots+n+(n+1) &= n(n+1)/2 + n+1 = (n^2 + n+2n+2)/2 \\ &= (n+1)(n+2)/2, \end{aligned}$$

hence  $A(n+1)$  holds. Therefore, the claim follows by induction on  $n$ .

# The Main Points

---

We established in the induction basis that the assertion  $A(1)$  is true.

We showed in the induction step that  $A(n+1)$  holds, assuming that  $A(n)$  holds.

In other words, we showed in the induction step that  $A(n) \rightarrow A(n+1)$  holds for all  $n \geq 1$ .

# Example 2

**Theorem:** For all positive integers  $n$ , we have

$$1+3+5+\dots+(2n-1) = n^2$$

**Proof.** We prove this by induction on  $n$ . Let  $A(n)$  be the assertion of the theorem.

**Induction basis:** Since  $1 = 1^2$ , it follows that  $A(1)$  holds.

**Induction step:** Suppose that  $A(n)$  holds. Then

$$1+3+5+\dots+(2n-1)+(2n+1) = n^2+2n+1 = (n+1)^2$$

holds. In other words,  $A(n)$  implies  $A(n+1)$ .

# Quiz

Theorem: We have

$$1^2 + 2^2 + \dots + n^2 = n(n+1)(2n+1)/6$$

for all  $n \geq 1$ .

Proof. **Your turn!!!**

Let  $B(n)$  denote the assertion of the theorem.

**Induction basis:**

Since  $1^2 = 1(1+1)(2+1)/6$ , we can conclude that  $B(1)$  holds.

# Quiz

**Inductive step:** Suppose that  $B(n)$  holds. Then

$$1^2 + 2^2 + \dots + n^2 + (n+1)^2 = n(n+1)(2n+1)/6 + (n+1)^2$$

Expanding the right hand side yields

$$n^3/3 + 3n^2/2 + 13n/6 + 1$$

One easily verifies that this is equal to

$$(n+1)(n+2)(2(n+1)+1)/6$$

Thus,  $B(n+1)$  holds.

Therefore, the proof follows by induction on  $n$ .

# Tip

---

How can you verify whether your algebra is correct?

Use <http://www.wolframalpha.com>

[Not allowed in any exams, though. Sorry!]

What's Wrong?

# Billiard Balls

"Theorem": All billiard balls have the same color.

Proof: By induction, on the number of billiard balls.

Induction basis:

Our theorem is certainly true for  $n=1$ .

*What's wrong?*

Induction step:

Assume the theorem holds for  $n$  billiard balls. We prove it for  $n+1$ . Look at the first  $n$  billiard balls among the  $n+1$ . By induction hypothesis, they have the same color. Now look at the last  $n$  billiard balls. They have the same color. Hence all  $n+1$  billiard balls have the same color.

# Weird Properties of Positive Integers

“Theorem”: For all positive integers  $n$ , we have  $n=n+1$ .

“Proof”: Suppose that the claim is true for  $n=k$ . Then

$$k+1 = (k) + 1 = (k+1) + 1$$

by induction hypothesis. Thus,  $k+1=k+2$ .

Therefore, the theorem follows by induction on  $n$ .

*What's wrong?*

# Maximally Weird!

**"Theorem"**: For all positive integers  $n$ , if  $a$  and  $b$  are positive integers such that  $\max\{a,b\}=n$ , then  $a=b$ .

Proof: By induction on  $n$ . The result holds for  $n = 1$ , i.e., if  $\max\{a, b\} = 1$ , then  $a = b = 1$ .

Suppose it holds for  $n$ , i.e., if  $\max\{a,b\} = n$ , then  $a = b$ . Now suppose  $\max\{a, b\} = n + 1$ .

**Case 1:**  $a - 1 \geq b - 1$ . Then  $a \geq b$ . Hence  $a = \max\{a,b\} = n+1$ .

Thus  $a - 1 = n$  and  $\max\{a - 1, b - 1\} = n$ .

By induction,  $a-1=b-1$ . Hence  $a=b$ .

**Case 2:**  $b - 1 \geq a - 1$ .

Same argument.

# Maximally Weird!!

Fallacy: In the proof we used the inductive hypothesis to conclude  $\max \{a - 1, b - 1\} = n \Rightarrow a - 1 = b - 1$ .

However, we can **only** use the inductive hypothesis if  $a - 1$  and  $b - 1$  are positive integers. This does not have to be the case as the example  $b=1$  shows.

# More Examples

# Factorials

**Theorem.** 
$$\sum_{i=0}^n i(i!) = (n + 1)! - 1.$$

By convention:  $0! = 1$

Induction basis:

Since  $0 = 1 - 1$ , the claim holds for  $n = 0$ .

Induction Step:

Suppose the claim is true for  $n$ . Then

$$\begin{aligned} \sum_{i=0}^{n+1} i(i!) &= (n + 1)(n + 1)! + \sum_{i=0}^n i(i!) \\ &= (n + 1)(n + 1)! + (n + 1)! - 1 \text{ by ind. hyp.} \\ &= (n + 2)(n + 1)! - 1 \\ &= (n + 2)! - 1 \end{aligned}$$

# Divisibility

**Theorem:** For all positive integers  $n$ , the number

$$7^n - 2^n$$

is divisible by 5.

Proof: By induction.

**Induction basis.** Since  $7 - 2 = 5$ , the theorem holds for  $n = 1$ .

# Divisibility

## Inductive step:

Suppose that  $7^n - 2^n$  is divisible by 5. Our goal is to show that this implies that  $7^{n+1} - 2^{n+1}$  is divisible by 5. We note that

$$7^{n+1} - 2^{n+1} = 7 \times 7^n - 2 \times 2^n = 5 \times 7^n + 2 \times 7^n - 2 \times 2^n = 5 \times 7^n + 2(7^n - 2^n).$$

By induction hypothesis,  $(7^n - 2^n) = 5k$  for some integer  $k$ .

Hence,  $7^{n+1} - 2^{n+1} = 5 \times 7^n + 2 \times 5k = 5(7^n + 2k)$ , so

$$7^{n+1} - 2^{n+1} = 5 \times \text{some integer}.$$

Thus, the claim follows by induction on  $n$ .

# Strong Induction

---

# Strong Induction

Suppose we wish to prove a certain assertion concerning positive integers.

Let  $A(n)$  be the assertion concerning the integer  $n$ .

To prove it for all  $n \geq 1$ , we can do the following:

- 1) Prove that the assertion  $A(1)$  is true.
- 2) Assuming that the assertions  $A(k)$  are proved for all  $k < n$ , prove that the assertion  $A(n)$  is true.

We can conclude that  $A(n)$  is true for all  $n \geq 1$ .

# Strong Induction

Induction basis:

Show that  $A(1)$  is true.

Induction step:

Show that  $(A(1) \wedge \dots \wedge A(n)) \rightarrow A(n+1)$

holds for all  $n \geq 1$ .

# Postage

---

**Theorem:** Every amount of postage that is at least 12 cents can be made from 4-cent and 5-cent stamps.

# Postage

Proof by induction on the amount of postage.

**Induction Basis:**

If the postage is

12 cents = use three 4 cent stamps

13 cents = use two 4-cent and one 5-cent stamp.

14 cents = use one 4-cent and two 5-cent stamps.

15 cents = use three 5-cent stamps.

# Postage

## Inductive step:

Suppose that we have shown how to construct postage for every value from 12 up through  $k$ . We need to show how to construct  $k + 1$  cents of postage.

Since we've already proved the induction basis, we may assume that  $k + 1 \geq 16$ . Since  $k+1 \geq 16$ , we have  $(k+1)-4 \geq 12$ . By inductive hypothesis, we can construct postage for  $(k + 1) - 4$  cents using  $m$  4-cent stamps and  $n$  5-cent stamps for some non-negative integers  $m$  and  $n$ . In other words  $((k + 1) - 4) = 4m + 5n$ ; hence,  $k+1 = 4(m+1)+5n$ .

# Quiz

---

Why did we need to establish four cases in the induction basis?

Isn't it enough to remark that the postage for 12 cents is given by three 4 cents stamps?

# Another Example: Sequence

**Theorem:** Let a sequence  $(a_n)$  be defined as follows:

$$a_0=1, a_1=2, a_2=3,$$

$$a_k = a_{k-1} + a_{k-2} + a_{k-3} \text{ for all integers } k \geq 3.$$

Then  $a_n \leq 2^n$  for all integers  $n \geq 0$ .  $P(n)$

**Proof. Induction basis:**

The statement is true for  $n=0$ , since  $a_0=1 \leq 1=2^0$   $P(0)$

for  $n=1$ : since  $a_1=2 \leq 2=2^1$   $P(1)$

for  $n=2$ : since  $a_2=3 \leq 4=2^2$   $P(2)$

# Sequence (cont'd)

Inductive step:

Assume that  $P(i)$  is true for all  $i$  with  $0 \leq i < k$ , that is,

$$a_i \leq 2^i \text{ for all } 0 \leq i < k, \text{ where } k > 2.$$

Show that  $P(k)$  is true:  $a_k \leq 2^k$

$$a_k = a_{k-1} + a_{k-2} + a_{k-3} \leq 2^{k-1} + 2^{k-2} + 2^{k-3}$$

$$\leq 2^0 + 2^1 + \dots + 2^{k-3} + 2^{k-2} + 2^{k-1}$$

$$= 2^{k-1} \leq 2^k$$

Thus,  $P(n)$  is true by strong induction.