# FRAMES IN QUANTUM AND CLASSICAL INFORMATION THEORY 

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## A FRAME

- A sequence $\left\{x_{i}\right\}$ of vectors in a Hilbert space with the property that there are constants $A, B \geq 0$ such that

$$
A\|x\|^{2} \leq \sum_{i}\left|\left\langle x, x_{i}\right\rangle\right|^{2} \leq B\|x\|^{2}
$$

for all $x$ in the Hilbert space.

- Examples?


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- Discrete: produces sequences of letters.
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- Example: coin tossing with $\mathcal{X}=\{H, T\}$.


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- $\left|e_{0}\right\rangle$ and $\left|e_{1}\right\rangle$ are the basis vectors of 2D space $\mathcal{H}_{2}$ :

$$
\left|e_{0}\right\rangle=\left[\begin{array}{l}
0 \\
1
\end{array}\right] \quad\left|e_{1}\right\rangle=\left[\begin{array}{l}
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\end{array}\right]
$$

- A qubit is a vector in $\mathcal{H}_{2}:|\psi\rangle=\alpha\left|e_{0}\right\rangle+\beta\left|e_{1}\right\rangle$


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- A qubit is a vector in $\mathcal{H}_{2}:|\psi\rangle=\alpha\left|e_{0}\right\rangle+\beta\left|e_{1}\right\rangle$
- Example: $\mathcal{X}=\{0,1,2,3\}$,

$$
\begin{aligned}
& \left|\psi_{0}\right\rangle=\alpha_{0}\left|e_{0}\right\rangle+\beta_{0}\left|e_{1}\right\rangle \quad\left|\psi_{1}\right\rangle=\alpha_{1}\left|e_{0}\right\rangle+\beta_{1}\left|e_{1}\right\rangle \\
& \left|\psi_{2}\right\rangle=\alpha_{2}\left|e_{0}\right\rangle+\beta_{2}\left|e_{1}\right\rangle \quad\left|\psi_{3}\right\rangle=\alpha_{3}\left|e_{0}\right\rangle+\beta_{3}\left|e_{1}\right\rangle
\end{aligned}
$$

## QUANTUM DISCRETE MEMORYLESS SOURCE

 The Density Matrix and Von Neumann Entropy- Source density matrix:

$$
\rho=\sum_{a \in \mathcal{X}} P_{a}\left|\psi_{a}\right\rangle\left\langle\psi_{a}\right|
$$

## QUANTUM DISCRETE MEMORYLESS SOURCE The Density Matrix and Von Neumann Entropy

- Source density matrix:

$$
\rho=\sum_{a \in \mathcal{X}} P_{a}\left|\psi_{a}\right\rangle\left\langle\psi_{a}\right|
$$

- Von Neumann entropy of the source:

$$
\begin{aligned}
S(\rho) & =-\operatorname{Tr} \rho \log \rho \\
& =-\sum_{i} \lambda_{i} \log \lambda_{i}
\end{aligned}
$$

where $\lambda_{i}$ are the eigenvalues of $\rho$.

## QUANTUM DISCRETE MEMORYLESS SOURCE MB Example

$$
\left.\mathcal{X}=\{1,2,3\} \quad P_{1}=P_{2}=P_{3}=1 / 3 \quad \uparrow\left|\psi_{1}\right\rangle=\left[\begin{array}{l}
1 \\
0
\end{array}\right] \quad \right\rvert\, \begin{gathered}
\left|\psi_{2}\right\rangle=\left[\begin{array}{c}
-1 / 2 \\
\sqrt{3} / 2
\end{array}\right]
\end{gathered}
$$

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\end{array}\right] \\
& \rho=\frac{1}{3}\left|\psi_{1}\right\rangle\left\langle\psi_{1}\right|+\frac{1}{3}\left|\psi_{2}\right\rangle\left\langle\psi_{2}\right|+\frac{1}{3}\left|\psi_{3}\right\rangle\left\langle\psi_{3}\right| \\
&=\frac{1}{2} I \\
& d=2 \\
&\left|\psi_{3}\right\rangle=\left[\begin{array}{c}
-1 / 2 \\
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\end{array}\right]
\end{aligned}
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& =\frac{1}{2} I \\
S(\rho) & =1
\end{aligned}
$$

## QUANTUM DISCRETE MEMORYLESS SOURCE Vector Sequences

- Sequences of length $n$ are $d^{n}$-dimensional vectors.
- Source vector-sequence (state):

$$
\left|\Psi_{\boldsymbol{x}}\right\rangle=\left|\psi_{x_{1}}\right\rangle \otimes \cdots \otimes\left|\psi_{x_{n}}\right\rangle, \quad x_{i} \in \mathcal{X}
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$$

- Among all states that come from the source, we can distinguish

$$
2^{n\left(S(\rho)-\varepsilon_{n}\right)}
$$

reliably.

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$$
\underbrace{\left|\left|\psi_{1}\right\rangle=\left[\begin{array}{l}
1 \\
0
\end{array}\right]\right.} \quad{ }_{d=2}^{|\phi\rangle=\left[\begin{array}{l}
\phi_{1} \\
\phi_{0}
\end{array}\right]}
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$$
|\phi\rangle=\frac{2}{3}\left(\left\langle\psi_{1} \mid \phi\right\rangle\left|\psi_{1}\right\rangle+\left\langle\psi_{2} \mid \phi\right\rangle\left|\psi_{2}\right\rangle+\left\langle\psi_{3} \mid \phi\right\rangle\left|\psi_{3}\right\rangle\right)
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$$

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\end{aligned}
$$

## SYNCHRONOUS CDMA SYSTEMS $K$ users and processing gain $N$

- Each user has a signature $N \times 1$ length $-\sqrt{N}$ complex vector.
- Let $s_{i}$ be the signature and $p_{i}$ the power of user $i$ for $1 \leq i \leq K$.


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- Each user has a signature $N \times 1$ length $-\sqrt{N}$ complex vector.
- Let $s_{\boldsymbol{i}}$ be the signature and $p_{i}$ the power of user $i$ for $1 \leq i \leq K$.
- The received vector is given by

$$
\boldsymbol{r}=\sum_{i=1}^{K} \sqrt{p_{i}} b_{i} \boldsymbol{s}_{\boldsymbol{i}}+\boldsymbol{n}
$$

where

- $b_{i}$ is the information symbol, for user $i, E\left[b_{i}\right]=0, E\left[b_{i}^{2}\right]=1$;
- $\boldsymbol{n}$ is the (Gaussian) noise vector; $E[\boldsymbol{n}]=\mathbf{0}, E\left[\boldsymbol{n} \boldsymbol{n}^{\dagger}\right]=\sigma^{2} I_{N}$.


## SYNCHRONOUS CDMA SYSTEMS The Sum Capacity

- Let user signatures and powers be given:

$$
S=\left[s_{1}, \ldots s_{K}\right] \text { and } P=\operatorname{diag}\left\{p_{1}, \ldots, p_{K}\right\}
$$

- The sum capacity:

$$
C_{\mathrm{sum}}=\frac{1}{2} \log \left[\operatorname{det}\left(I_{N}+\sigma^{-2} S P S^{\dagger}\right)\right]
$$

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- Two matrices:
- $F$ is the $d \times K$ matrix whose columns are $\sqrt{p_{i}}\left|\psi_{i}\right\rangle$. Thus

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- $F F^{\dagger}$ is the density matrix (frame operator)
- $F^{\dagger} F$ is the Gram matrix.


## A FRAME

- An ensemble $\left\{\sqrt{p_{i}}\left|\psi_{i}\right\rangle\right\}$ of vectors in a Hilbert space with the property that there are constants $A, B \geq 0$ such that

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A\langle\varphi \mid \varphi\rangle \leq \sum_{i} p_{i}\left|\left\langle\varphi \mid \psi_{i}\right\rangle\right|^{2} \leq B\langle\varphi \mid \varphi\rangle
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for all $|\varphi\rangle$ in the Hilbert space.

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for all $|\varphi\rangle$ in the Hilbert space.

- Equivalently,

$$
A I_{d} \leq F F^{\dagger} \leq B I_{d}
$$

## A COMMON MODEL Information Measures

- The Von Neumann entropy:

$$
S=-\operatorname{Tr} F F^{\dagger} \log F F^{\dagger}
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- The sum capacity:

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- Let $\left\{p_{i}\right\}$ be a PD, and $p_{1} \geq p_{2} \geq \cdots \geq p_{K}$.


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- Let $\left\{p_{i}\right\}$ be a PD, and $p_{1} \geq p_{2} \geq \cdots \geq p_{K}$.
- Let $\rho$ be a density matrix, and $\lambda_{1} \geq \lambda_{2} \geq \cdots \geq \lambda_{d}$ its eigenvalues.
- There exist vectors $\left|\psi_{i}\right\rangle$ such that $\rho=\sum_{i=1}^{K} p_{i}\left|\psi_{i}\right\rangle\left\langle\psi_{i}\right|$ iff

$$
\sum_{i=1}^{n} p_{i} \leq \sum_{i=1}^{n} \lambda_{i} \text { for all } n<d
$$

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- For $\rho=\frac{1}{d} I_{d}$, condition

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- In CDMA, user $i$ is said to be oversized if

$$
p_{i}>\frac{\sum_{j=i+1}^{K} p_{j}}{d-i}
$$

# INTERFERENCE MEASURE IN CDMA Total Square Correlation (TSC) 

- The Welch's lower bound to TSC (frame potential):

$$
\sum_{i=1}^{K} \sum_{j=1}^{K} p_{i} p_{j}\left|\left\langle\psi_{i} \mid \psi_{j}\right\rangle\right|^{2} \geq \frac{1}{d}
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with equality iff $\sum_{i=1}^{K} p_{i}\left|\psi_{i}\right\rangle\left\langle\psi_{i}\right|=\frac{1}{d} I_{d}$.

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- WBE sequences
- minimize the TSC
- maximize the sum capacity and Von Neumann entropy
- What does reducing TSC mean?


## SOME COMMUNICATION CHANNELS

- Binary Symmetric Channel:

INPUT


OUTPUT

## SOME COMMUNICATION CHANNELS

- Binary Symmetric Channel:

- Noisy Typewriter:



## A CQ COMMUNICATION CHANNEL A Probabilistic Device

- Inputs: vectors $\left|\psi_{i}\right\rangle, i \in \mathcal{X}$.
- Outputs: vectors $\left|\varphi_{j}\right\rangle$ determined by the chosen measurement.
- Transition probabilities determined by the chosen measurement.



## QUANTUM MEASUREMENT Von Neumann's Measurement

- A set of pairwise orthogonal projection operators $\left\{\Pi_{i}\right\}$.
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- Example:



## QUANTUM MEASUREMENT Positive Operator-Valued Measure

- Any set of positive-semidefinite operators $\left\{E_{i}\right\}$.
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$$
\begin{aligned}
& \left|\widetilde{\psi_{1}}\right\rangle=\left[\begin{array}{c}
\sqrt{1-\alpha} \\
0 \\
\sqrt{\alpha}
\end{array}\right] \\
& \left|\psi_{1}\right\rangle=\left[\begin{array}{l}
1 \\
0
\end{array}\right] \\
& \begin{aligned}
&\left|\widetilde{\psi_{3}}\right\rangle= {\left[\begin{array}{c}
-\sqrt{1-\alpha} / 2 \\
-\sqrt{3} \sqrt{1-\alpha} / 2 \\
\sqrt{\alpha}
\end{array}\right] } \\
&\left|\widetilde{\psi_{2}}\right\rangle=\left[\begin{array}{c}
-\sqrt{1-\alpha} / 2 \\
\sqrt{3} \sqrt{1-\alpha} / 2 \\
\sqrt{\alpha}
\end{array}\right] \\
&\left|\psi_{3}\right\rangle=\left[\begin{array}{c}
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\end{aligned}
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$$

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- Example: coin tossing with $\mathcal{X}=\{H, T\}$.


## CLASSICAL DISCRETE MEMORYLESS SOURCE Sequences and "Large" Sets

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- $N(a \mid \boldsymbol{x})$ denotes the number occurrences of $a$ in $\boldsymbol{x}$.
- Consider all sequences $\boldsymbol{x}$ for which

$$
\left|\frac{1}{n} N(a \mid \boldsymbol{x})-P_{a}\right| \leq \delta \text { for every } a \in \mathcal{X}
$$

They form the set of typical sequences $\mathrm{T}_{P, \delta}^{n}$.

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They form the set of typical sequences $\mathrm{T}_{P, \delta}^{n}$.

- Set $\mathrm{T}_{P, \delta}^{n}$ is probabilistically large:

$$
P^{n}\left(\mathrm{~T}_{P, \delta}^{n}\right) \geq 1-\epsilon_{n} .
$$

## DISCRETE MEMORYLESS SOURCE Shannon Entropy

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2^{n\left[H(P)-\epsilon_{n}\right]} \leq\left|\mathrm{T}_{P, \delta}^{n}\right| \leq 2^{n\left[H(P)+\epsilon_{n}\right]}
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$$

- The probability of typical sequences $\boldsymbol{x}$ is approximately $2^{-n H(P)}$ :

$$
2^{-n\left[H(P)+\epsilon_{n}^{\prime}\right]} \leq P_{\boldsymbol{x}} \leq 2^{-n\left[H(P)-\epsilon_{n}^{\prime}\right]}
$$

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- Sequences of length $n$ are $d^{n}$-dimensional vectors:

$$
\begin{array}{cccc}
\left|e_{0}\right\rangle \otimes\left|e_{0}\right\rangle & \left|e_{0}\right\rangle \otimes\left|e_{1}\right\rangle & \left|e_{1}\right\rangle \otimes\left|e_{0}\right\rangle & \left|e_{1}\right\rangle \otimes\left|e_{1}\right\rangle \\
{\left[\begin{array}{l}
1 \\
0 \\
0 \\
0
\end{array}\right]} & {\left[\begin{array}{l}
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0 \\
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1
\end{array}\right]}
\end{array}
$$

## QUANTUM DISCRETE MEMORYLESS SOURCE Vector Sequences

- Sequences of length $n$ are $d^{n}$-dimensional vectors:

$$
\begin{gathered}
\left|e_{0}\right\rangle \otimes\left|e_{0}\right\rangle \\
{\left[\begin{array}{l}
1 \\
0 \\
0 \\
0
\end{array}\right]}
\end{gathered}
$$

$$
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- Source vector-sequence (state)

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\left|\Psi_{\boldsymbol{x}}\right\rangle=\left|\psi_{x_{1}}\right\rangle \otimes\left|\psi_{x_{2}}\right\rangle \otimes \cdots \otimes\left|\psi_{x_{n}}\right\rangle, \quad x_{i} \in \mathcal{X}
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- Typical states $\left|\Psi_{x}\right\rangle \in \mathcal{H}^{2^{n}}$ correspond to typical sequences $\boldsymbol{x}$.
- There are approximately $2^{n H(P)}$ typical states.


## QUANTUM DISCRETE MEMORYLESS SOURCE Typical Subspace

- Typical states $\left|\Psi_{\boldsymbol{x}}\right\rangle \in \mathcal{H}^{2^{n}}$ "live" in the typical subspace.


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## QUANTUM DISCRETE MEMORYLESS SOURCE Typical Subspace

- Typical states $\left|\Psi_{\boldsymbol{x}}\right\rangle \in \mathcal{H}^{2^{n}}$ "live" in the typical subspace.
- Typical subspace $\Lambda_{n}$ of $\mathcal{H}^{2^{n}}$ :

- The dimension of $\Lambda_{n}$ is approximately $2^{n S(\rho)}$.


## Code $\mathcal{C}=\left\{\boldsymbol{x}_{1}, \ldots, \boldsymbol{x}_{M}\right\} \subset \mathcal{X}^{n}$

- $F$ is the $d^{n} \times M$ matrix whose columns are $\left|\psi_{x_{i}}\right\rangle / \sqrt{M}$. Thus

$$
F F^{\dagger}=\frac{1}{M} \sum_{i=1}^{M}\left|\Psi_{\boldsymbol{x}_{i}}\right\rangle\left\langle\Psi_{\boldsymbol{x}_{i}}\right| .
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- How far is $F F^{\dagger}$ from $P_{\mathcal{U}}$ ?


## DISTANCES BETWEEN DENSITY MATRICES $\rho$ and $\sigma$

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- Frobenius (Hilbert-Schmidt)?


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$$

- Use the random coding argument!


## A BASIS FOR $\Lambda_{n}$ <br> The Random Coding Argument

- Averaging over all codes:

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E\left\{\sum_{i=1}^{M} \sum_{\substack{j=1 \\ j \neq i}}^{M}\left|\left\langle\Psi_{\boldsymbol{x}_{i}} \mid \Psi_{\boldsymbol{x}_{j}}\right\rangle\right|^{2}\right\}=M(M-1) \operatorname{Tr}\left(\rho^{\otimes n} \cdot \rho^{\otimes n}\right)
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- There exists a code $\mathcal{C}$ with $M$ codewords s.t.

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- There exists a code $\mathcal{C},|\mathcal{C}|=2^{n R}$ s.t. on $\Lambda_{n}$

$$
\left[\sum_{k=1}^{r}\left|\lambda_{k}-\frac{1}{r}\right|\right]^{2} \leq 2^{-n\left(S(\rho)-\varepsilon_{n}-R\right)}
$$

## COMBINATORICS AND GEOMETRY The MB Example

$$
\begin{aligned}
\mathcal{X} & =\{1,2,3\} \quad P_{1}=P_{2}=P_{3}=1 / 3 \quad\left|\quad \psi_{1}\right\rangle=\left[\begin{array}{l}
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\rho & =\frac{1}{3}\left|\psi_{1}\right\rangle\left\langle\psi_{1}\right|+\frac{1}{3}\left|\psi_{2}\right\rangle\left\langle\psi_{2}\right|+\frac{1}{3}\left|\psi_{3}\right\rangle\left\langle\psi_{3}\right| \\
& =\frac{1}{2} I \\
S(\rho) & =1
\end{aligned}\left|\psi_{3}\right\rangle=\left[\begin{array}{c}
-1 / 2 \\
-\sqrt{3} / 2
\end{array}\right] \quad\left|\psi_{2}\right\rangle=\left[\begin{array}{c}
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- There are $3^{n}$ typical vectors forming a frame in $H^{2^{n}}$.
- About $2^{n}$ of those vectors form a basis of $H^{2^{n}}$.
- Which ones?

