

# CSCSE 636 Neural Networks (Deep Learning)

Lecture 18: Transfer Learning

Anxiao (Andrew) Jiang

Based on the interesting lecture of Prof. Hung-yi Lee “Transfer Learning”

[https://www.youtube.com/watch?v=qD6iD4TFsdQ&list=PLJV\\_el3uVTsPy9oCRY30oBPNLCo89yu49&index=28](https://www.youtube.com/watch?v=qD6iD4TFsdQ&list=PLJV_el3uVTsPy9oCRY30oBPNLCo89yu49&index=28)

# Transfer Learning

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<http://weebly110810.weebly.com/396403913129399.html>

<http://www.sucaitianxia.com/png/cartoon/200811/4261.html>

Dog/Cat  
Classifier



Data *not directly related to* the task considered

# Transfer Learning

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Dog/Cat  
Classifier

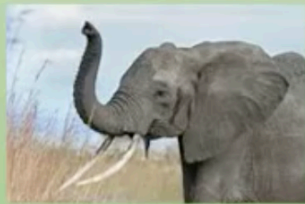


cat



dog

Data *not directly related to* the task considered



elephant



tiger

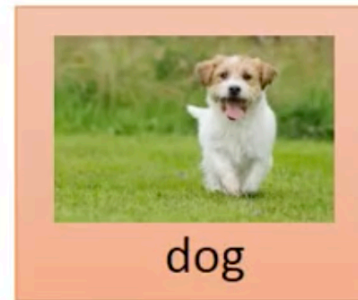
Similar domain, different task

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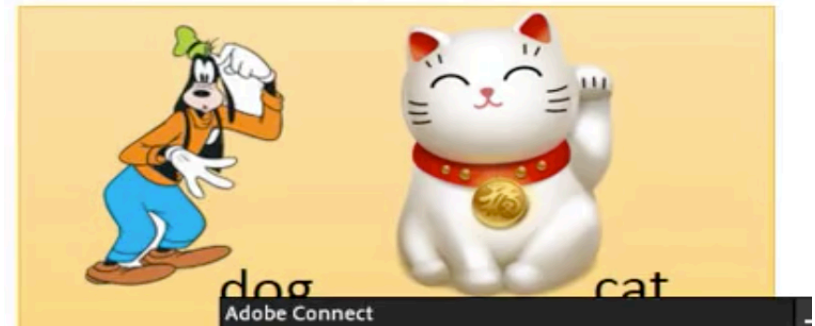
Dog/Cat  
Classifier



Data *not directly related to* the task considered



Similar domain, different task



Different domain, same task

# Why?

<http://www.bigr.nl/website/structure/main.php?page=researchlines&subpage=project&id=64>

<http://www.spear.com.hk/Translation-company-Directory.html>

Task Considered

Data not directly related

Speech  
Recognition






Taiwanese



# Why?

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<http://www.spear.com.hk/Translation-company-Directory.html>


Task Considered	Data not directly related
Speech Recognition	 English Chinese .....
 Taiwanese	

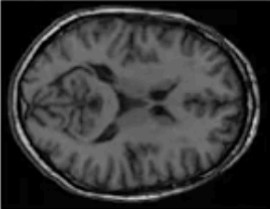
# Why?

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Task Considered	Data not directly related
Speech Recognition	 English Chinese .....
Image Recognition	

  
Taiwanese

  
Medical Images



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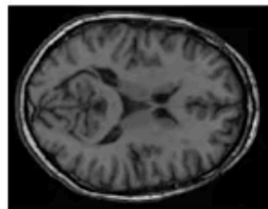


Taiwanese

**You**Tube

English  
Chinese  
.....

Image  
Recognition



Medical  
Images



# Why?

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Task Considered	Data not directly related
<p data-bbox="239 708 520 824">Speech Recognition</p>  <p data-bbox="764 816 1003 857">Taiwanese</p>	 <p data-bbox="1541 708 1728 857">English Chinese .....</p>
<p data-bbox="239 967 520 1084">Image Recognition</p>  <p data-bbox="879 1008 1062 1125">Medical Images</p>	
<p data-bbox="300 1227 489 1344">Text Analysis</p>  <p data-bbox="879 1260 1062 1377">Specific domain</p>	

# Why?

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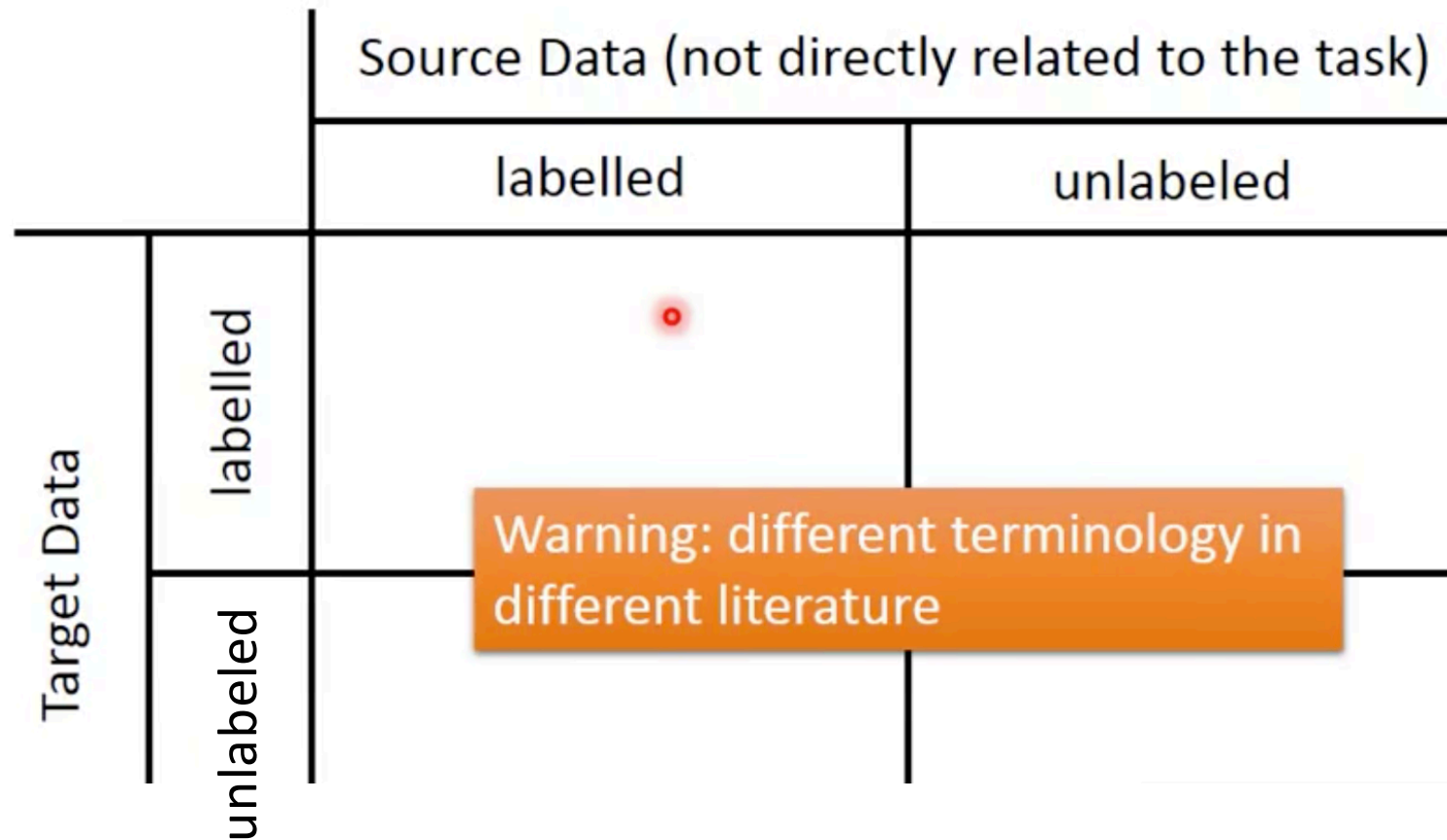
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<p data-bbox="302 1227 485 1344">Text Analysis</p>  <p data-bbox="879 1260 1062 1378">Specific domain</p>	

# Transfer Learning

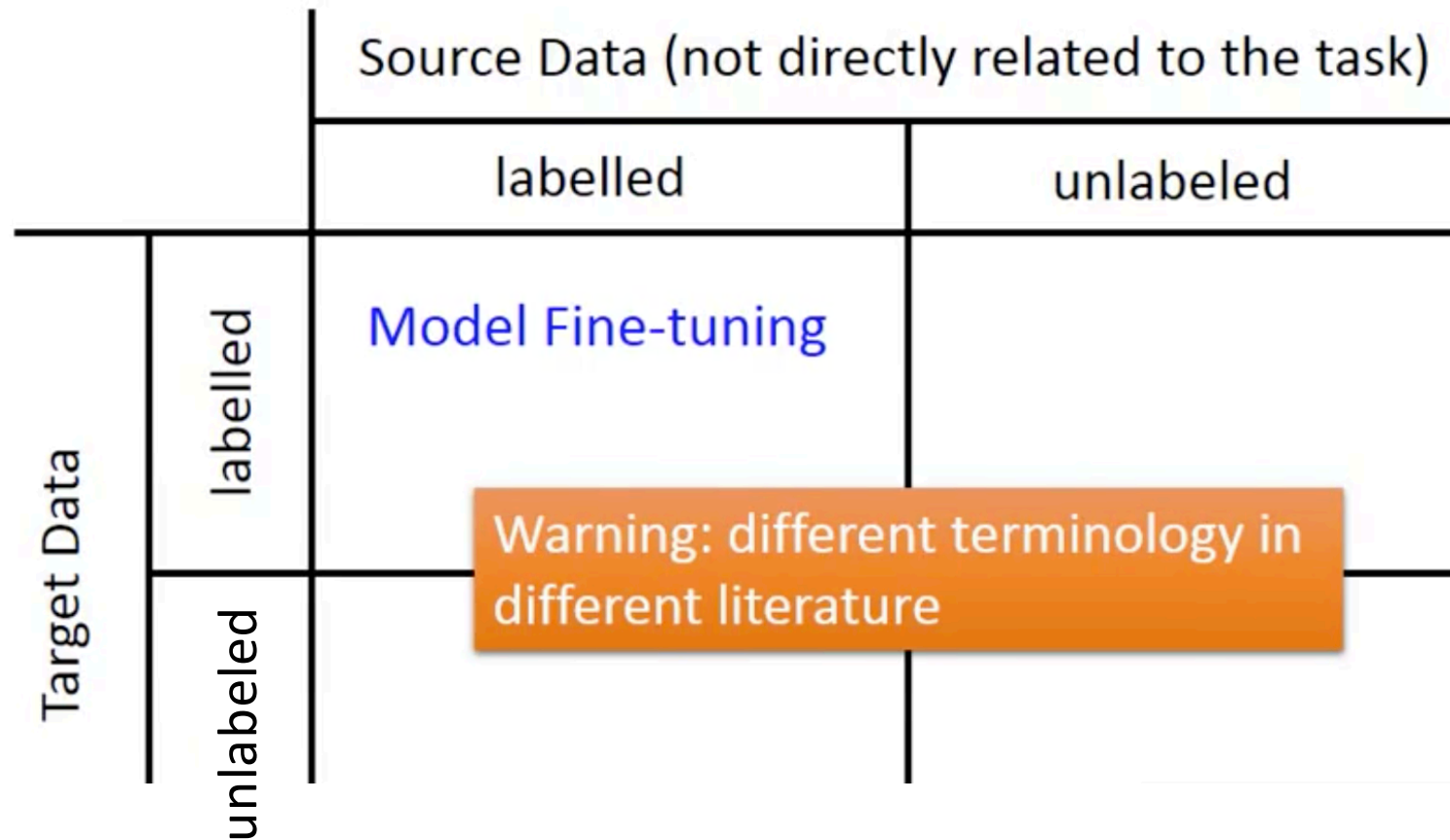
- Example in real life

We do it all the time.

# Transfer Learning - Overview



# Transfer Learning - Overview



# Model Fine-tuning

- Task description
  - Target data:  $(x^t, y^t)$
  - Source data:  $(x^s, y^s)$

# Model Fine-tuning

- Task description

- Target data:  $(x^t, y^t)$  ← Very little
- Source data:  $(x^s, y^s)$  ← A large amount



# Model Fine-tuning

One-shot learning: only a few examples in target domain

- Task description

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# Model Fine-tuning

One-shot learning: only a few examples in target domain

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- Target data:  $(x^t, y^t)$  ← Very little
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- Example: (supervised) speaker adaptation

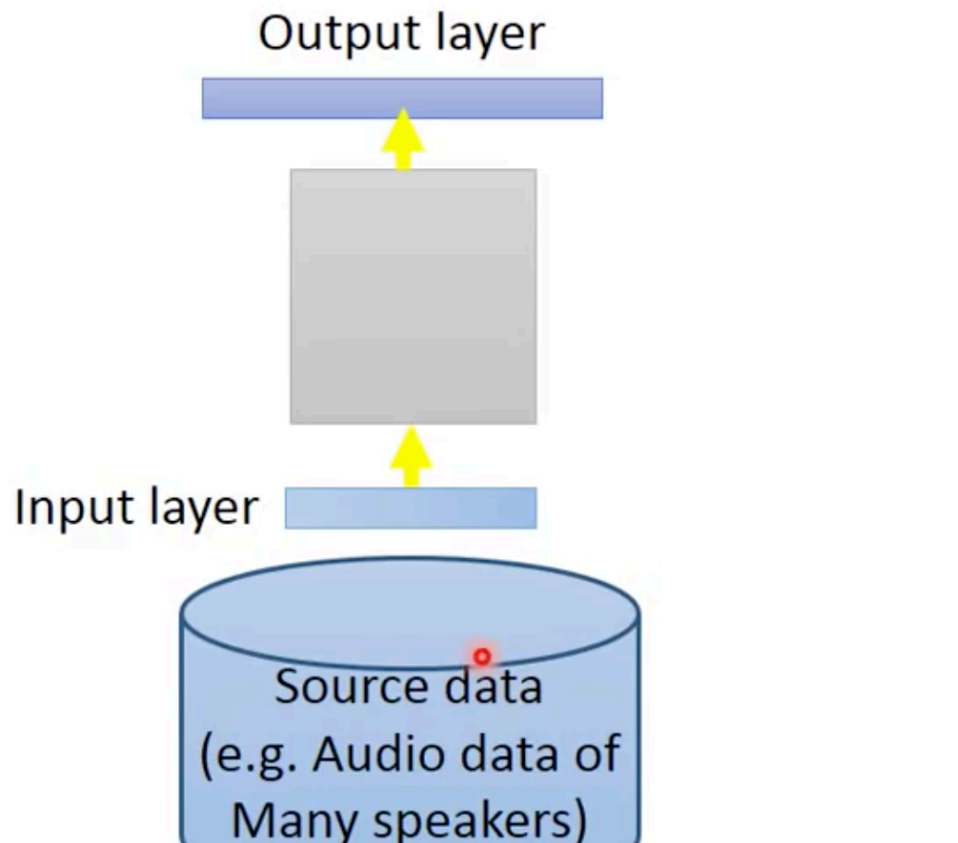
- Target data: audio data and its transcriptions of specific user
- Source data: audio data and transcriptions from many speakers

# Model Fine-tuning


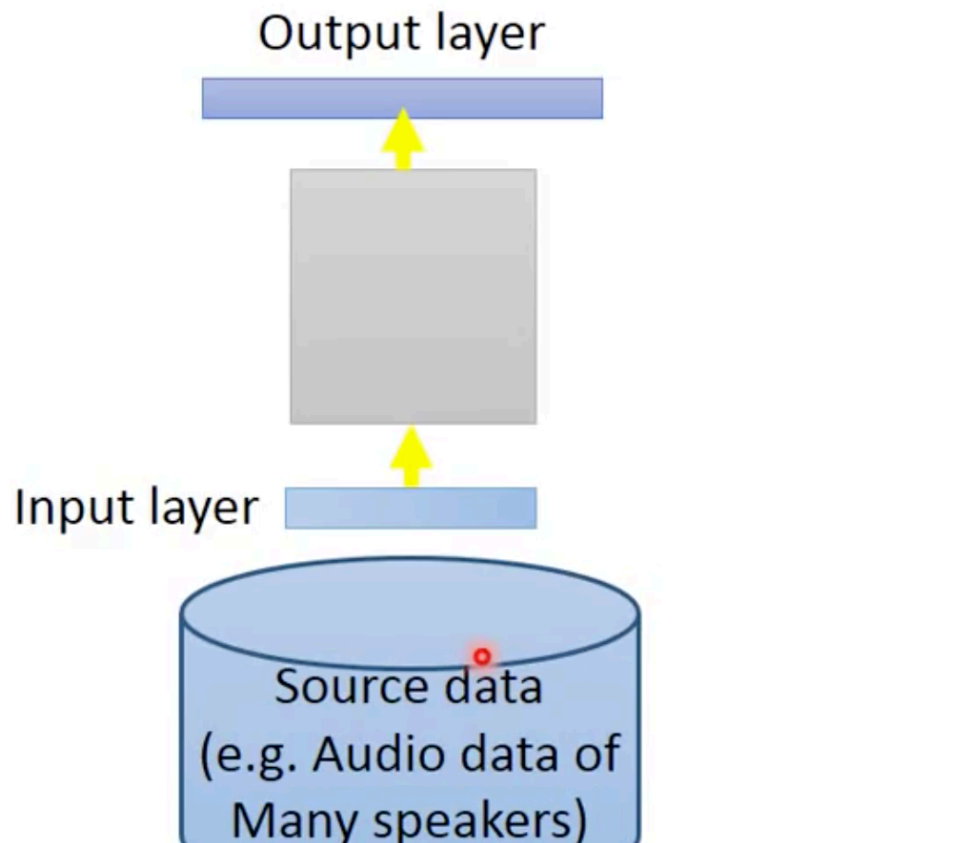
One-shot learning: only a few examples in target domain

- Task description
  - Target data:  $(x^t, y^t)$  ← Very little
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- Example: (supervised) speaker adaptation
  - Target data: audio data and its transcriptions of specific user
  - Source data: audio data and transcriptions from many speakers
- Idea: training a model by source data, then fine-tune the model by target data
  - Challenge: only limited target data, so be careful about overfitting

# Conservative Training

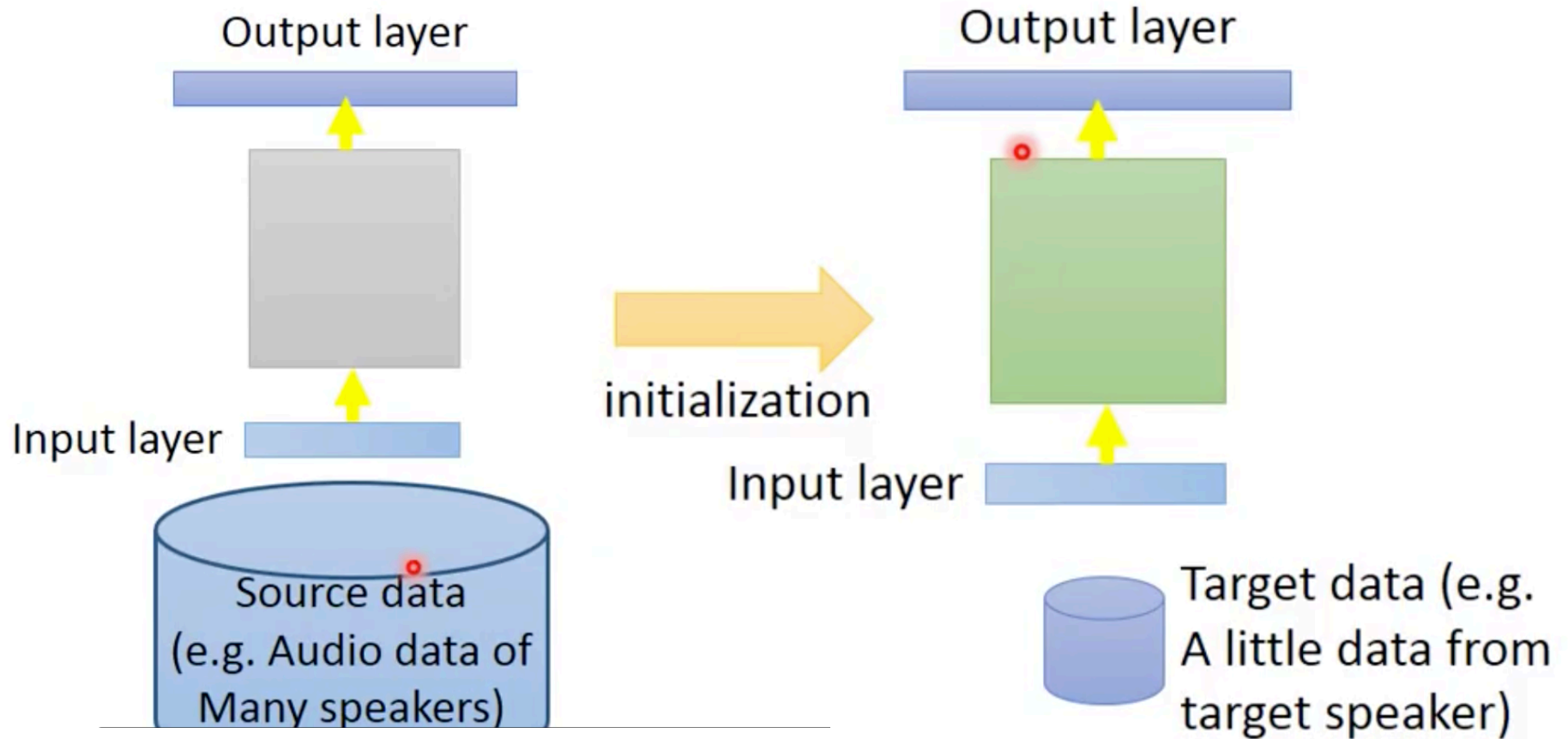


# Conservative Training

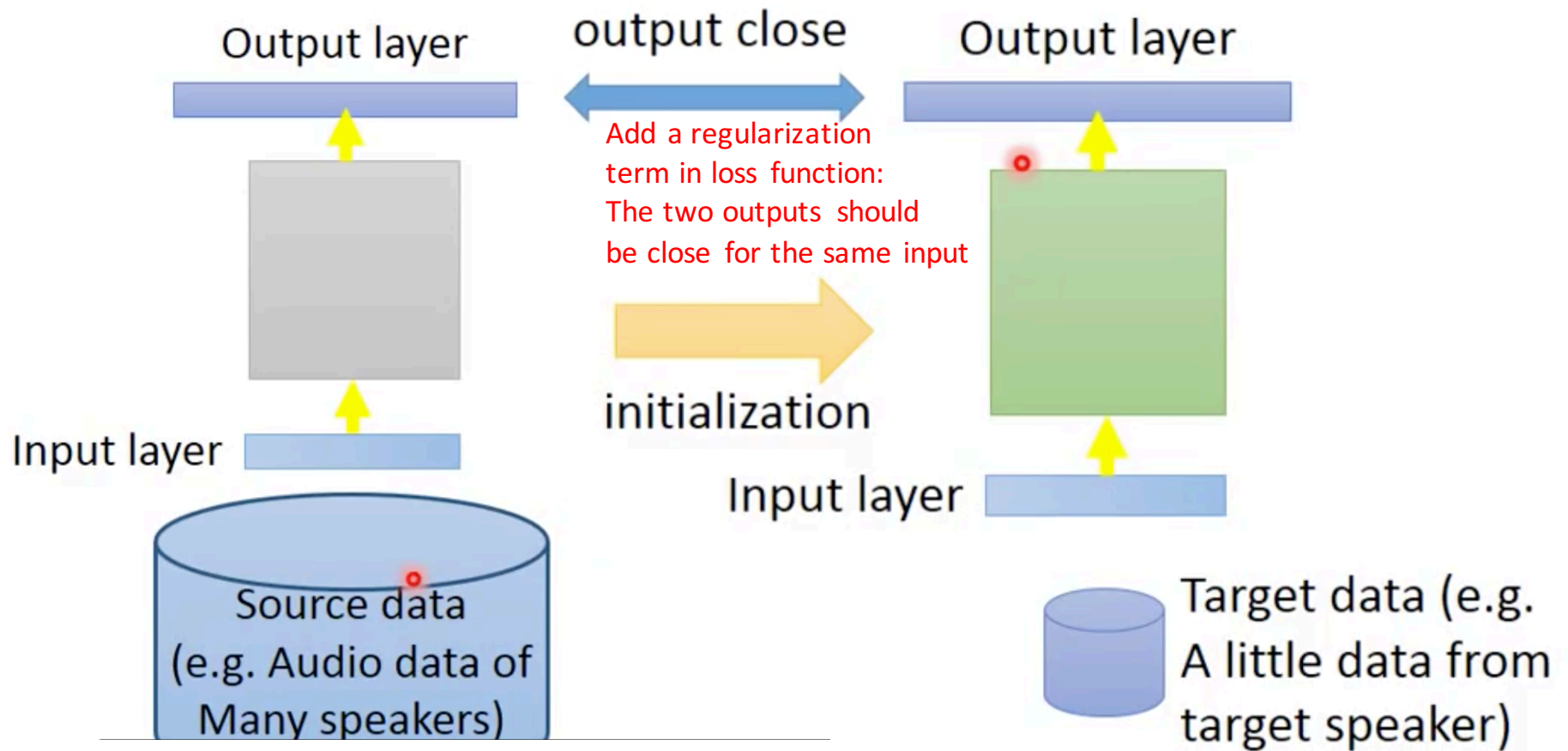


Target data (e.g. A little data from target speaker)

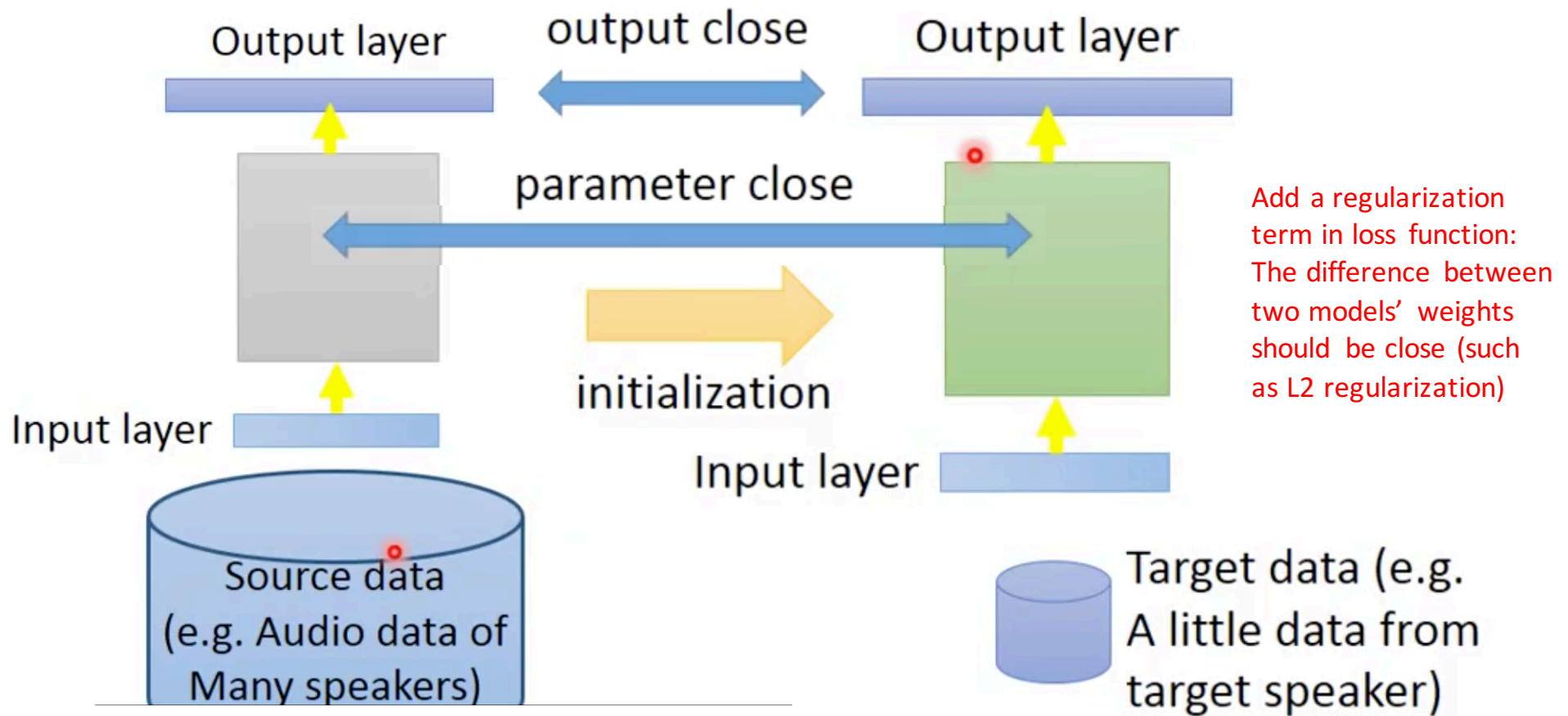
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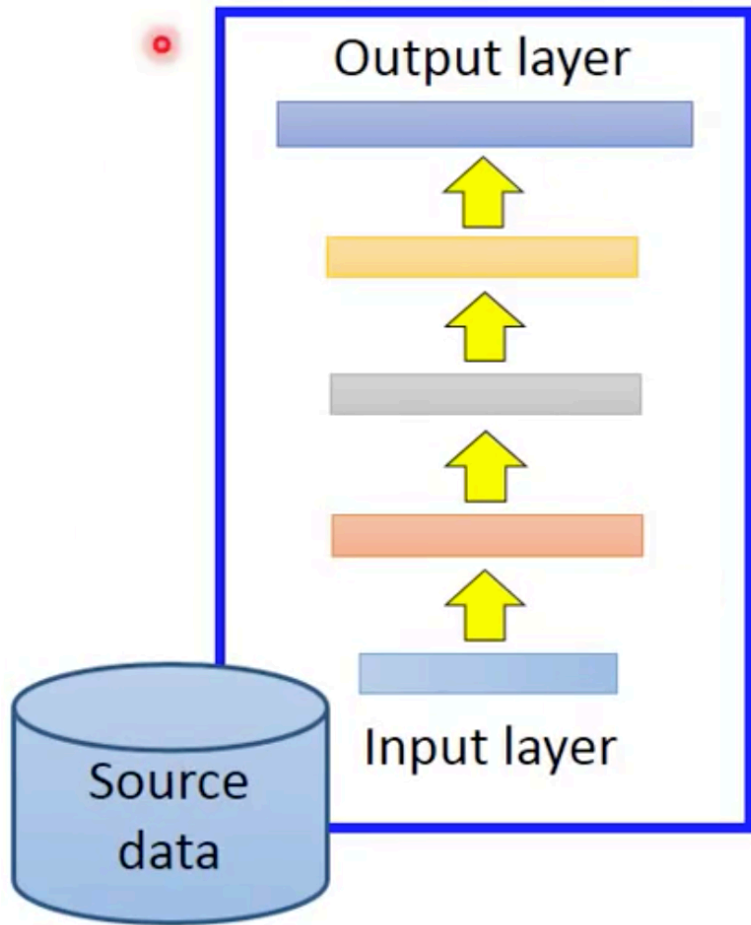


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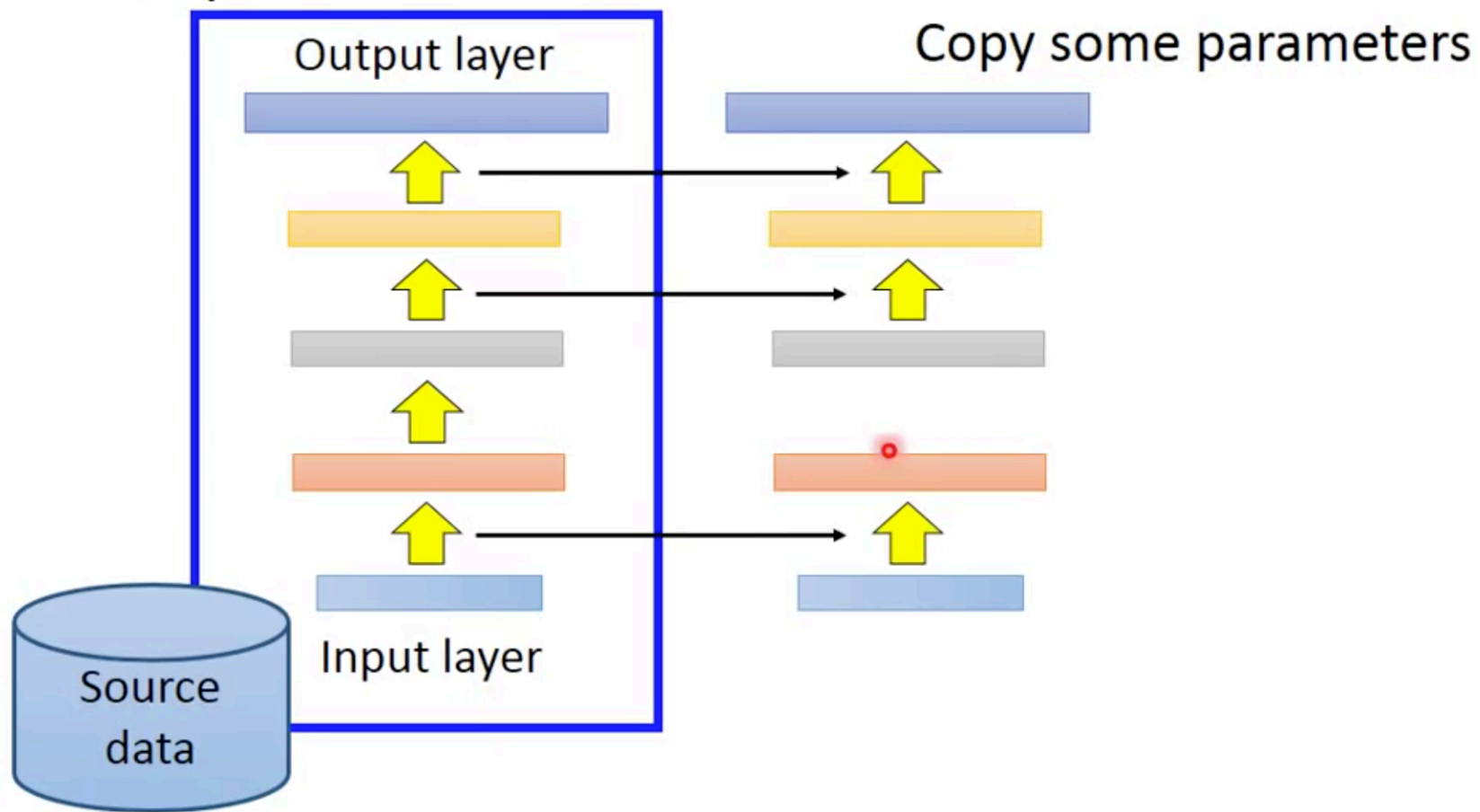




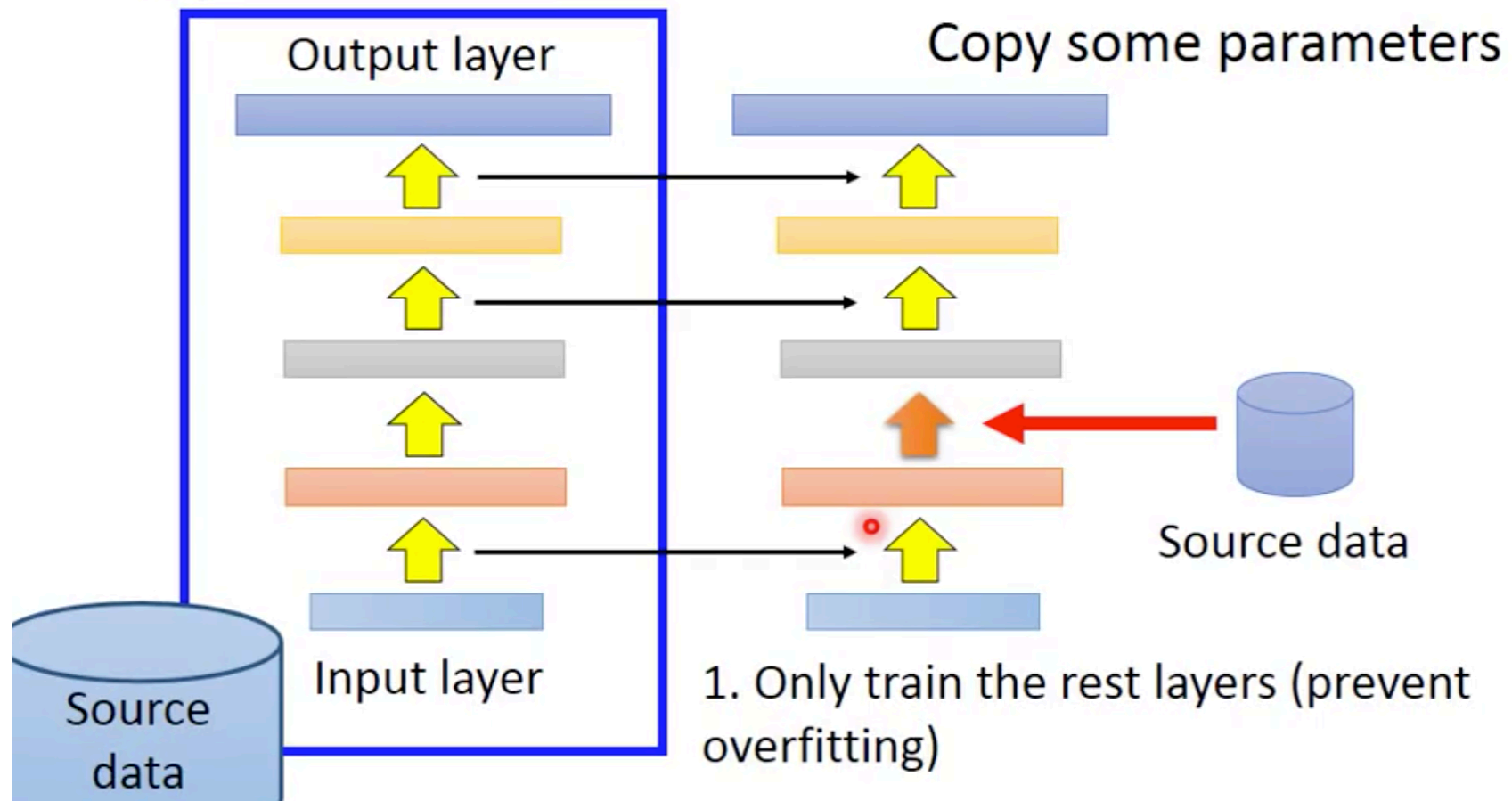
# Layer Transfer



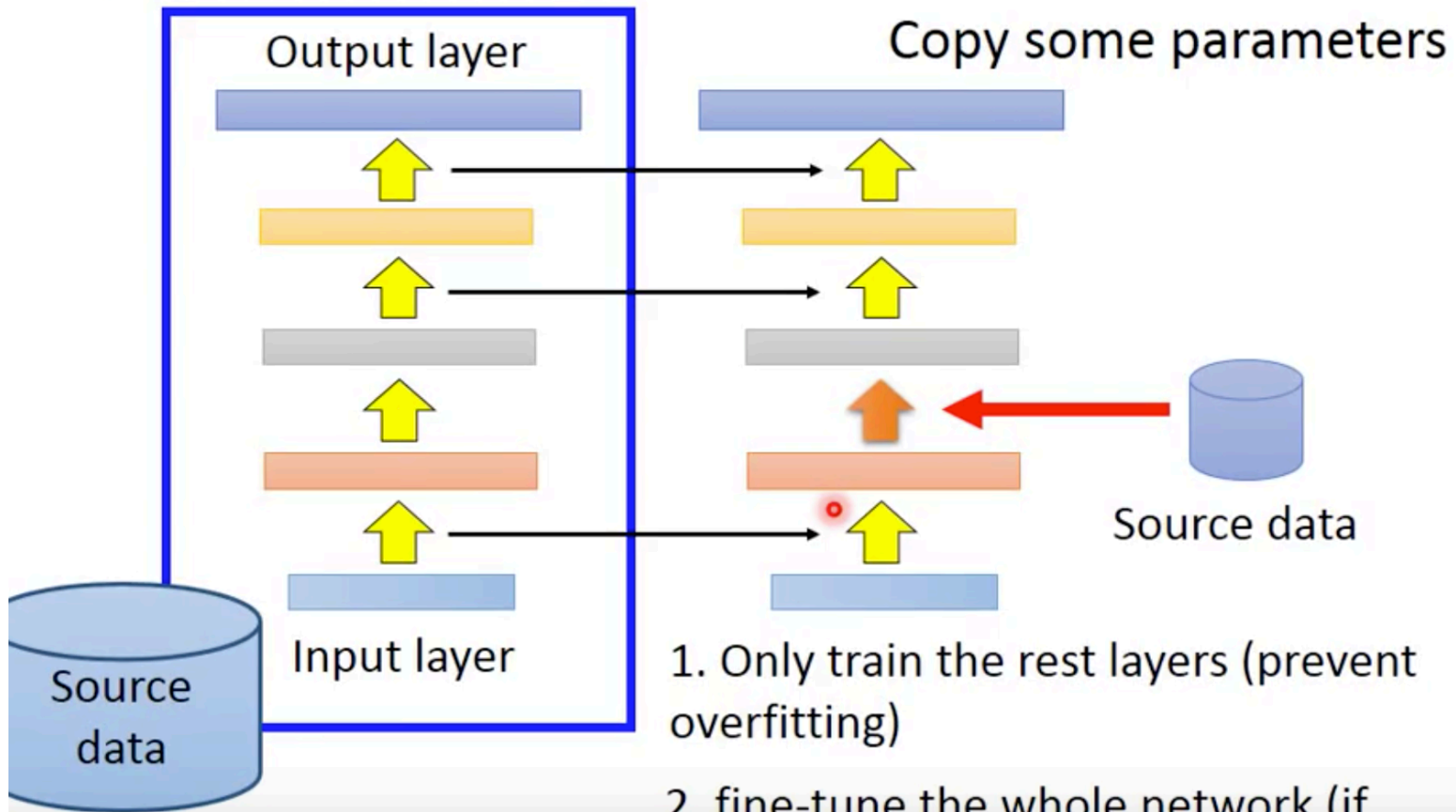
# Layer Transfer



# Layer Transfer



# Layer Transfer



# Layer Transfer

- Which layer can be transferred (copied)?

It depends on the application (task).

# Layer Transfer

- Which layer can be transferred (copied)?
  - Speech: usually copy the last few layers

Because in speech recognition, the last few layers are about the meaning/words of the sentences, which are the same for different speakers; but the first few layers are (more) about the different voices of speakers.

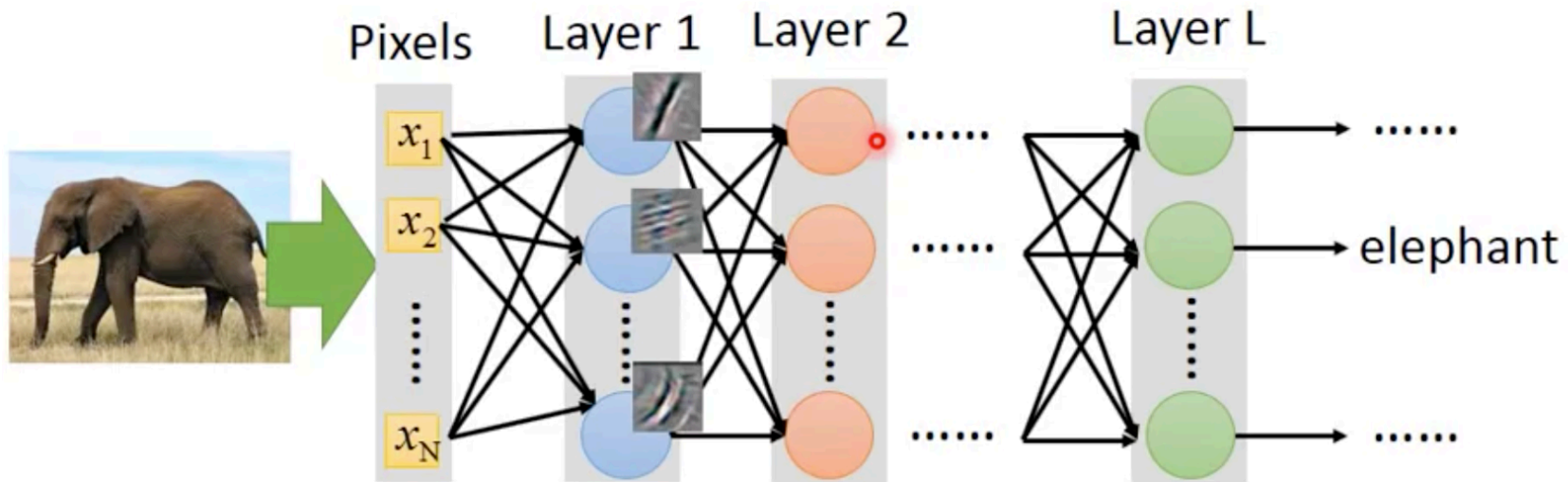
# Layer Transfer

- Which layer can be transferred (copied)?
  - Speech: usually copy the last few layers
  - Image: usually copy the first few layers

Because the first few layers are about small features (such as lines, corners, etc.), which exists in all types of images; But the last few layers are about large features (such as faces, wheels, etc.), which only apply to specific type of images and tasks.

# Layer Transfer

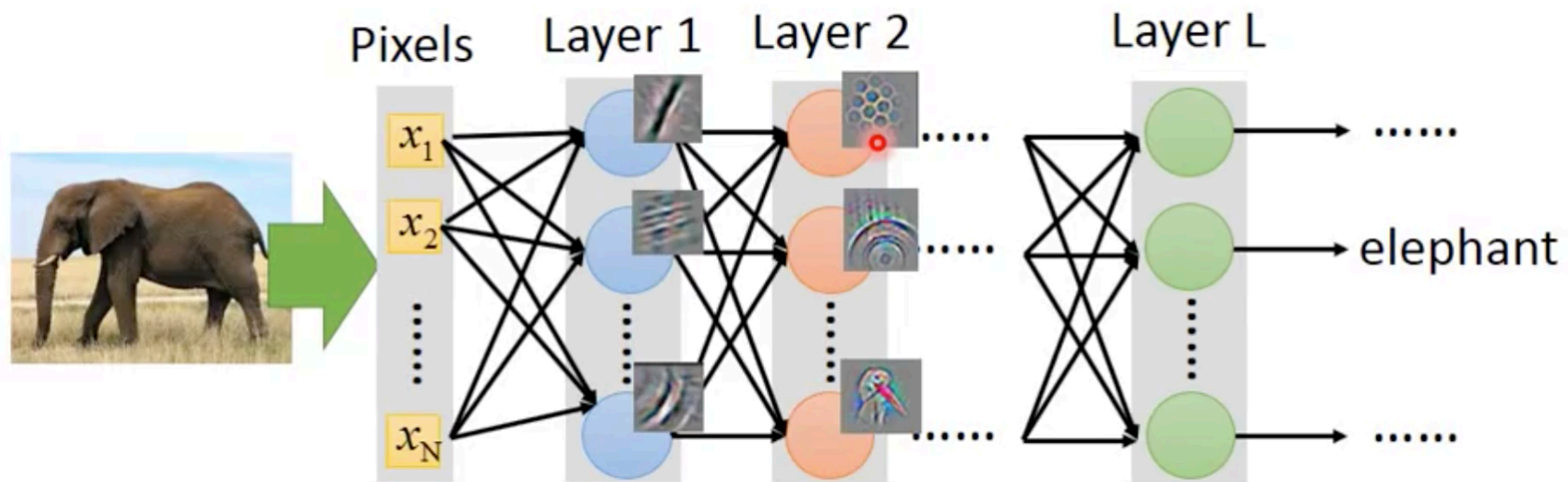
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# Transfer Learning - Overview

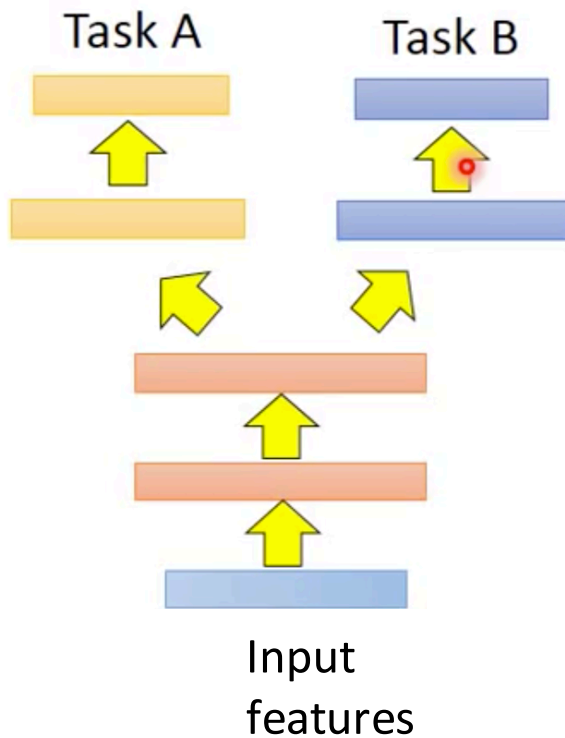
		Source Data (not directly related to the task)	
		labelled	unlabeled
Target Data	labelled	Fine-tuning Multitask Learning	
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# Multitask Learning

- The multi-layer structure makes NN suitable for multitask learning

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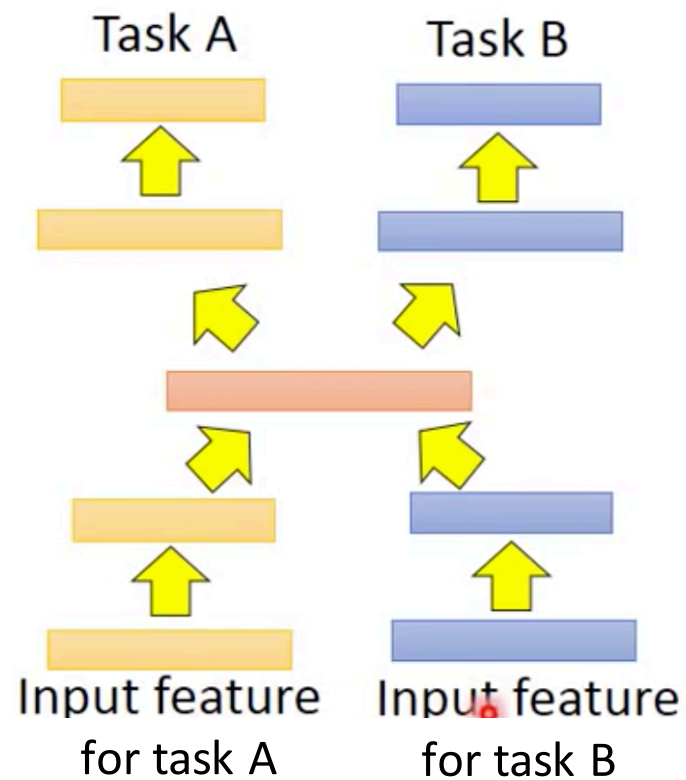
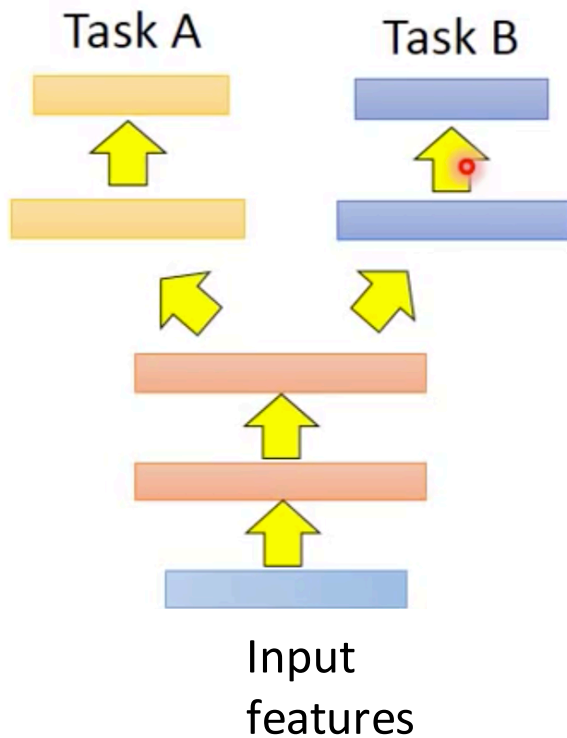
Example:

task A: classify ImageNet images

task B: classify medical images

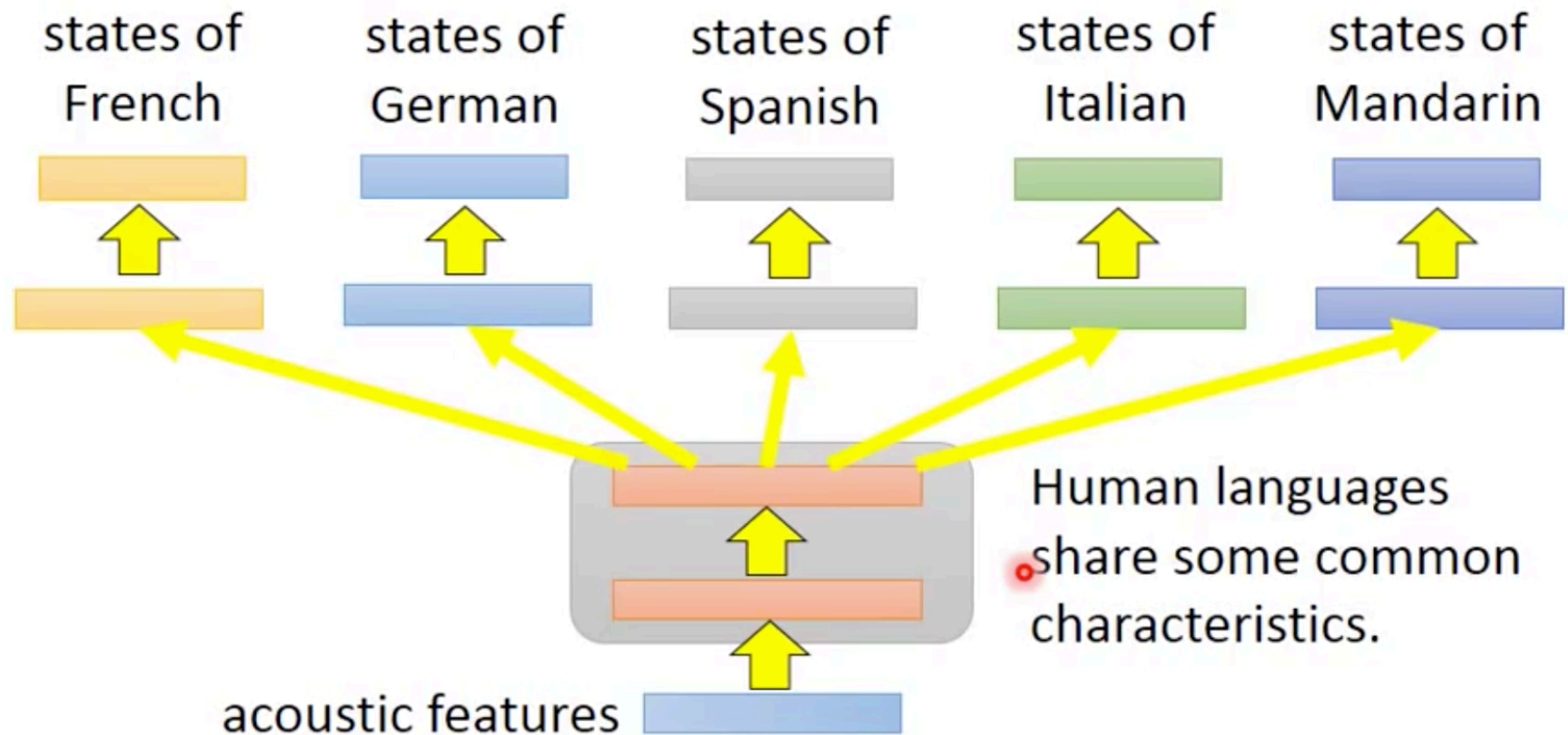
# Multitask Learning

- The multi-layer structure makes NN suitable for multitask learning



# Multitask Learning

## - Multilingual Speech Recognition



# Progressive Neural Networks

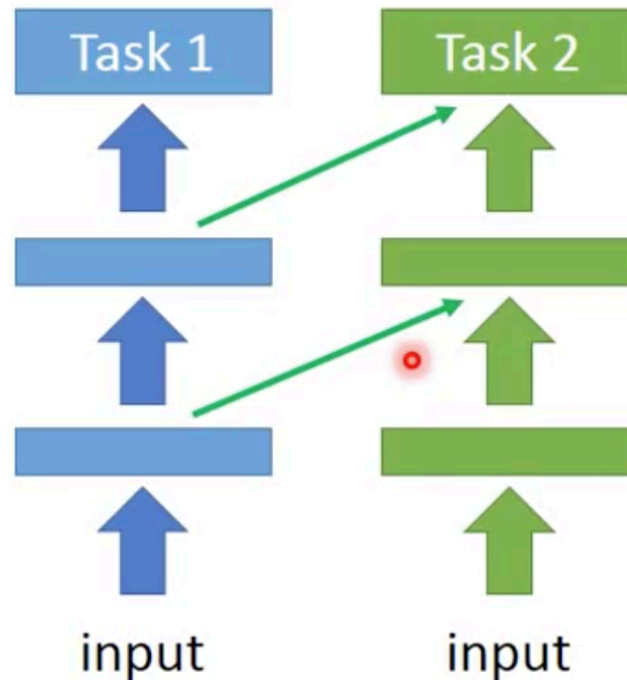
If the two tasks are actually different and cannot share common layers, transfer learning may degrade the performance for both tasks.

Too much “trial-and-error” can be a waste of time.

Progressive neural networks is an approach for such “uncertain” cases.

# Progressive Neural Networks

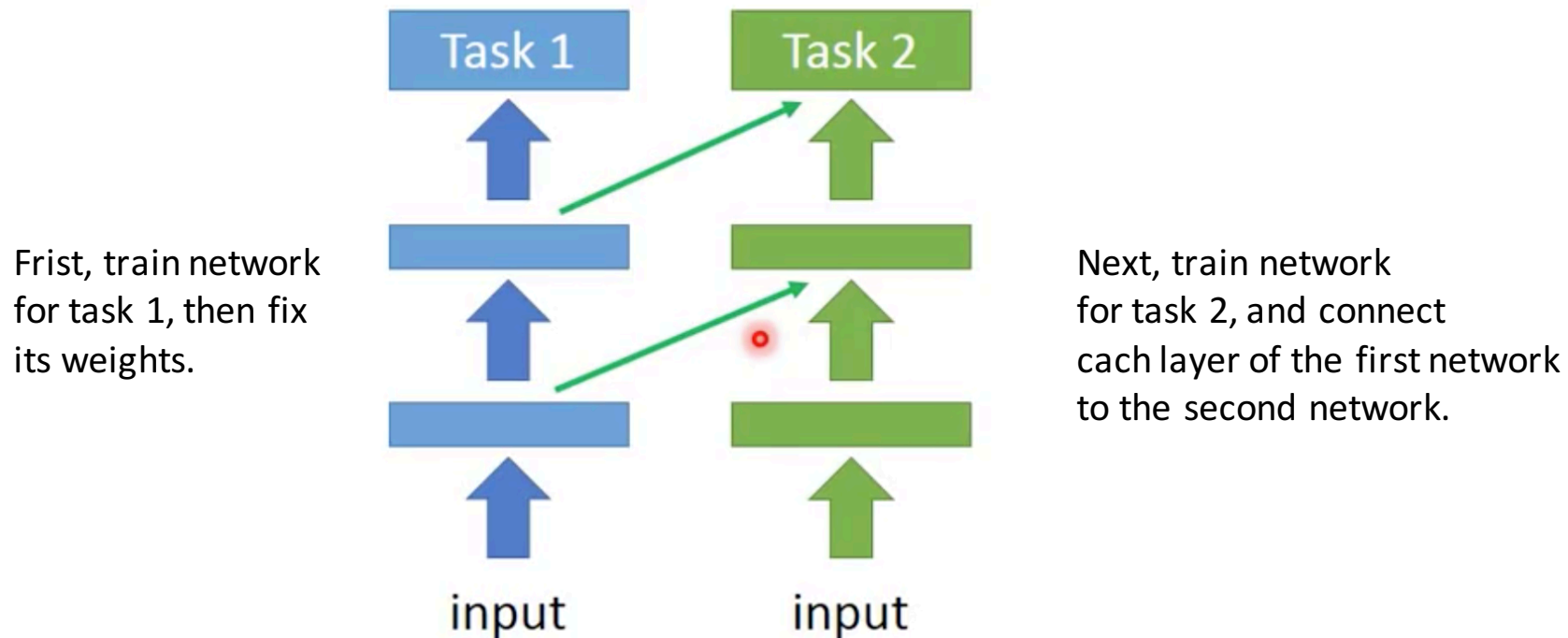
First, train network for task 1, then fix its weights.



Andrei A. Rusu, Neil C. Rabinowitz, Guillaume Desjardins, Hubert Soyer, James Kirkpatrick, Koray Kavukcuoglu, Razvan Pascanu, Raia Hadsell, "Progressive Neural Networks", arXiv preprint 2016



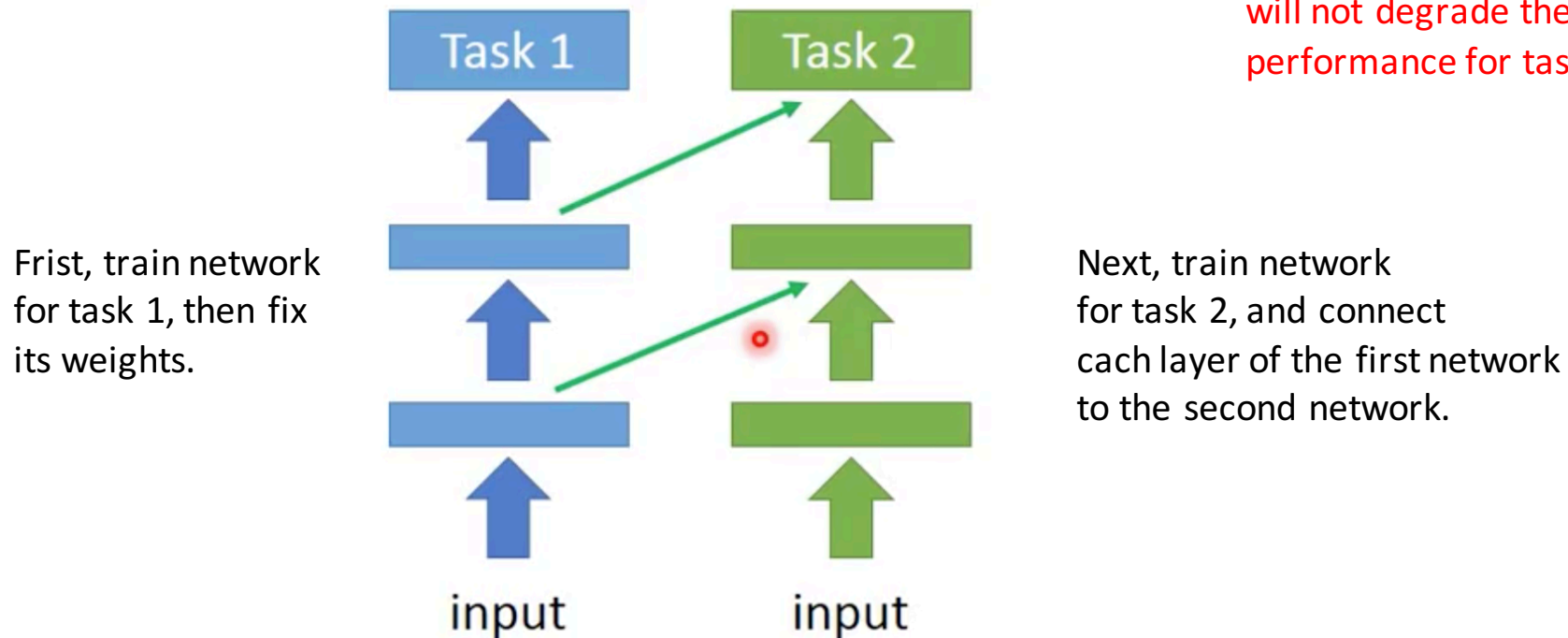
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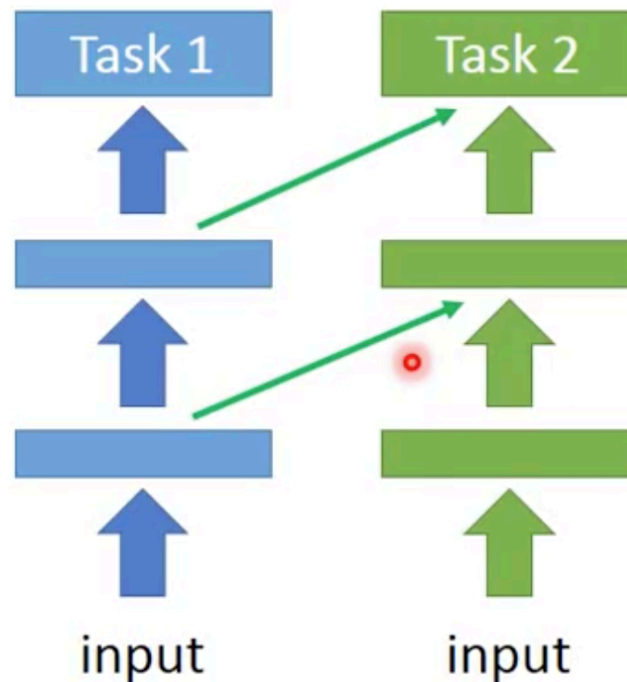
The training for task 2 will not degrade the performance for task 1.



Andrei A. Rusu, Neil C. Rabinowitz, Guillaume Desjardins, Hubert Soyer, James Kirkpatrick, Koray Kavukcuoglu, Razvan Pascanu, Raia Hadsell, "Progressive Neural Networks", arXiv preprint 2016

# Progressive Neural Networks

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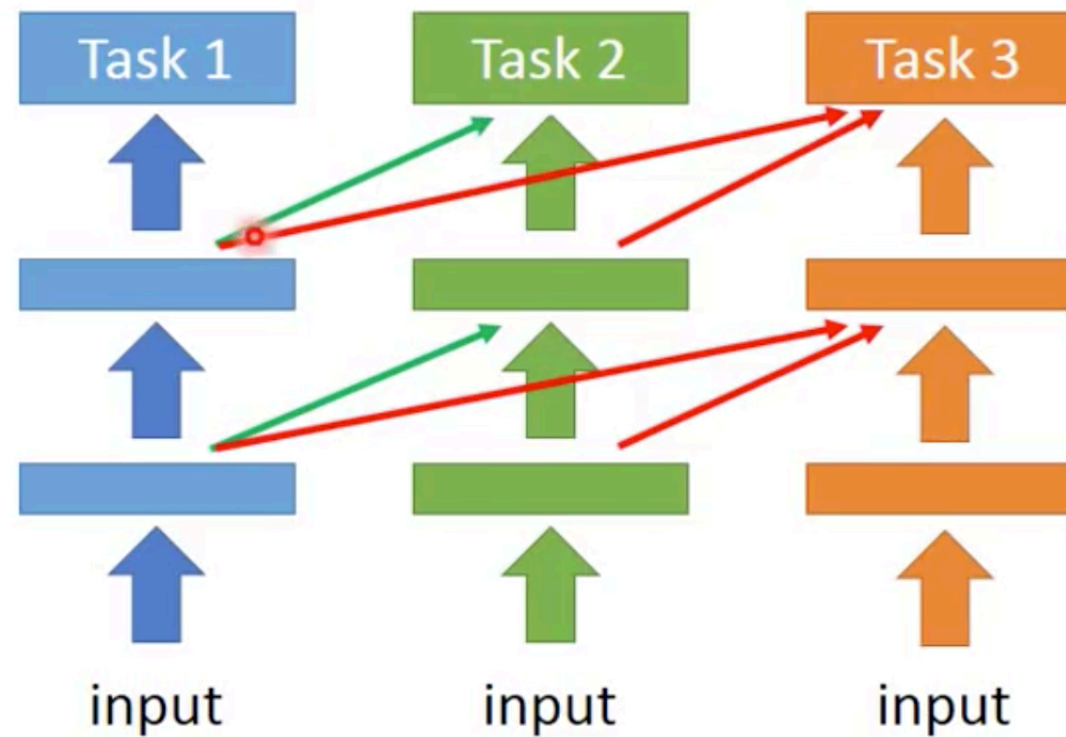


When training the network for task 2, the weights from task 1 can be trained (changed) to be 0, thus not degrading the performance for task 2.


Next, train network for task 2, and connect each layer of the first network to the second network.

Andrei A. Rusu, Neil C. Rabinowitz, Guillaume Desjardins, Hubert Soyer, James Kirkpatrick, Koray Kavukcuoglu, Razvan Pascanu, Raia Hadsell, "Progressive Neural Networks", arXiv preprint 2016

# Progressive Neural Networks



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		Source Data (not directly related to the task)	
		labelled	unlabeled
Target Data	labelled	Fine-tuning Multitask Learning	
	unlabeled	Domain-adversarial training	

# Task description

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# Task description

- Source data:  $(x^s, y^s)$  → Training data
- Target data:  $(x^t)$  → Testing data



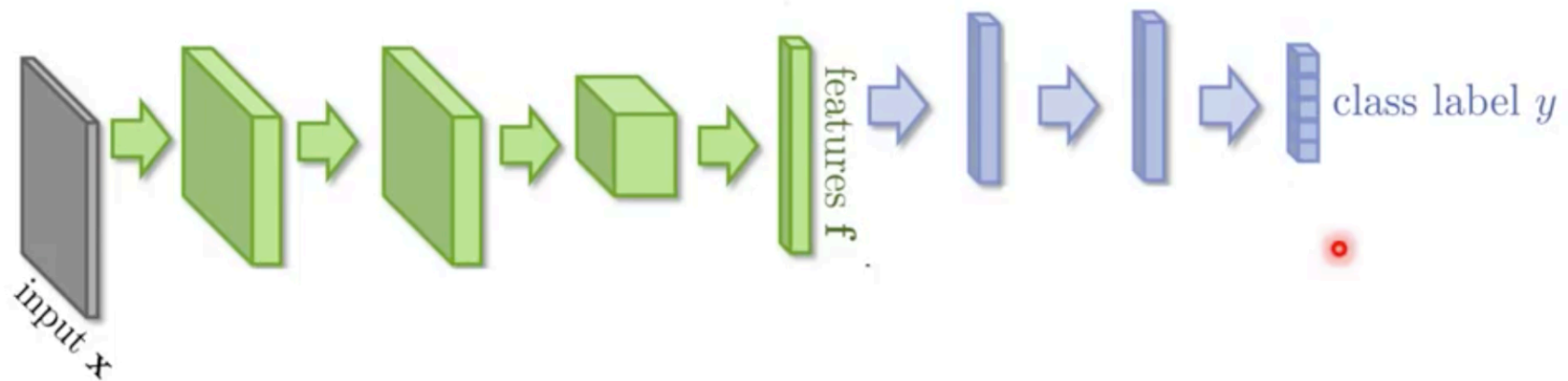


# Task description

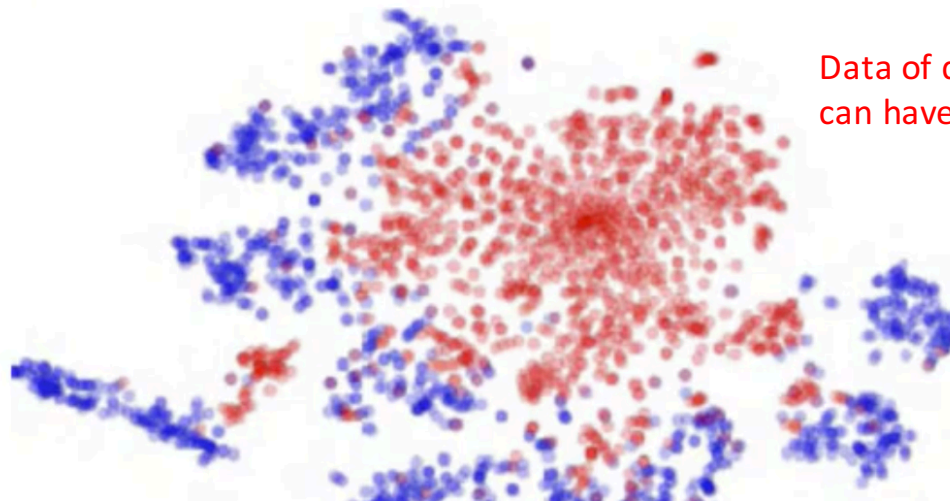
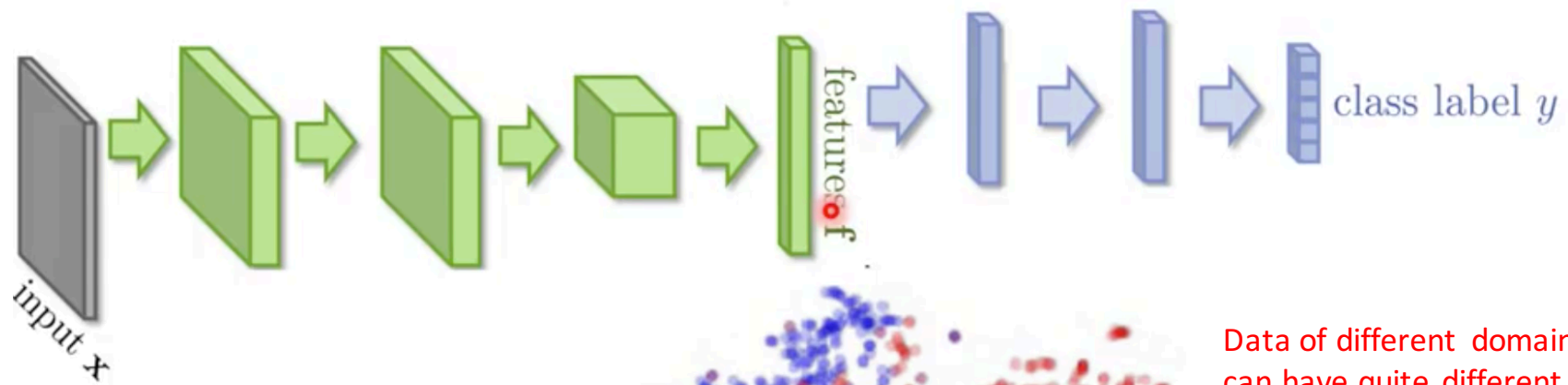
- Source data:  $(x^s, y^s)$   $\rightarrow$  Training data
  - Target data:  $(x^t)$   $\rightarrow$  Testing data
- } mismatch



# Domain-adversarial training

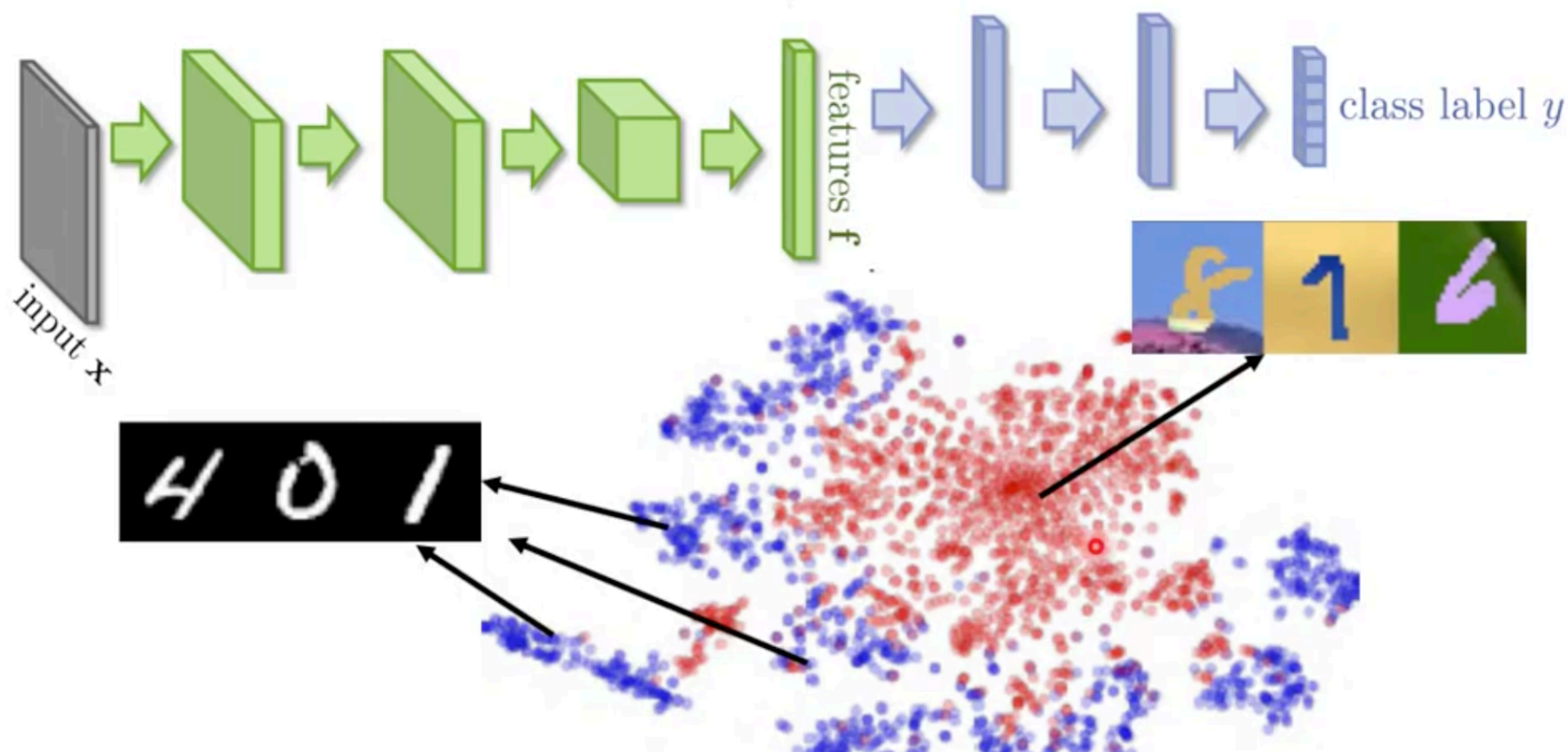


# Domain-adversarial training



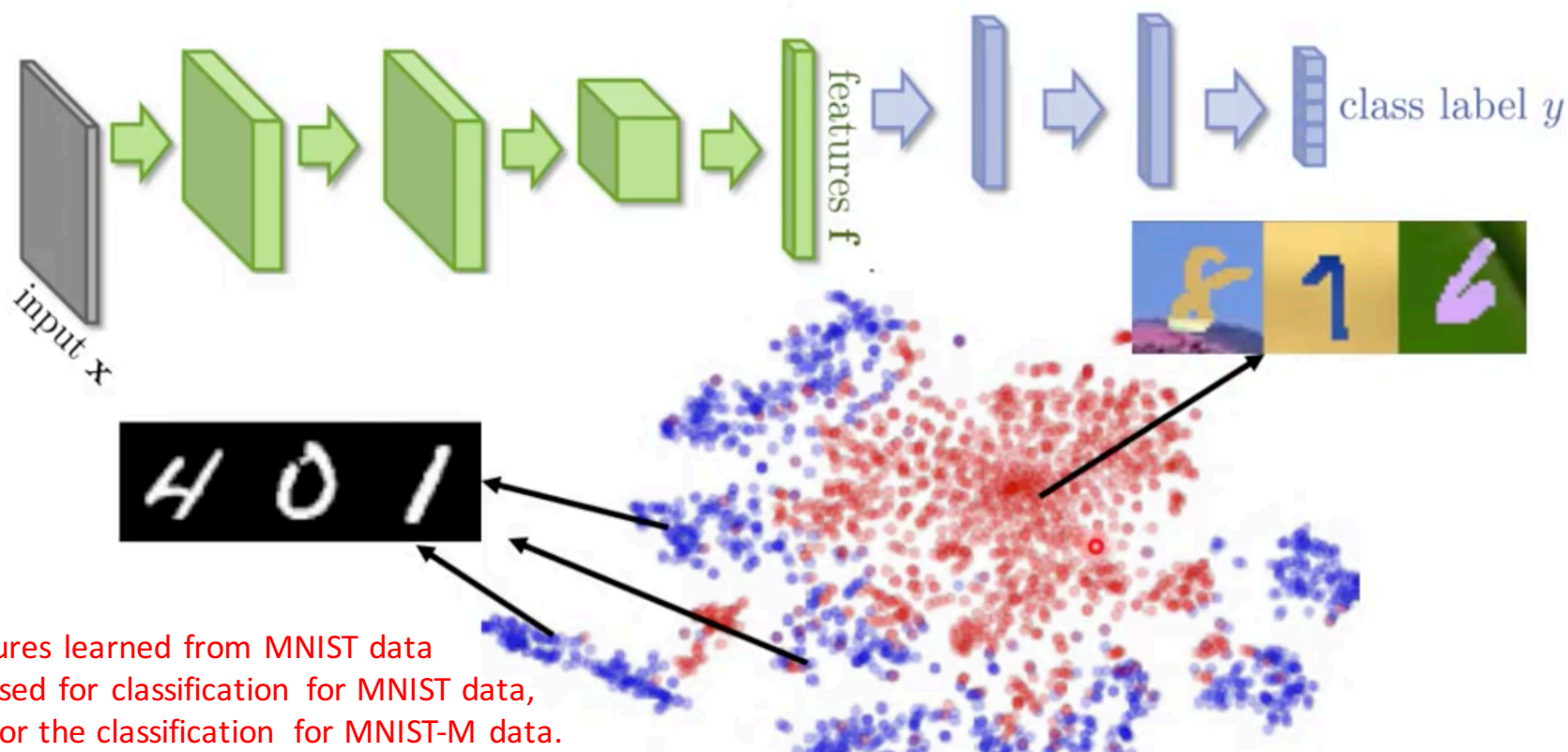
Data of different domains  
can have quite different features

# Domain-adversarial training



這邊有把 t-SNE 降維以後的結果

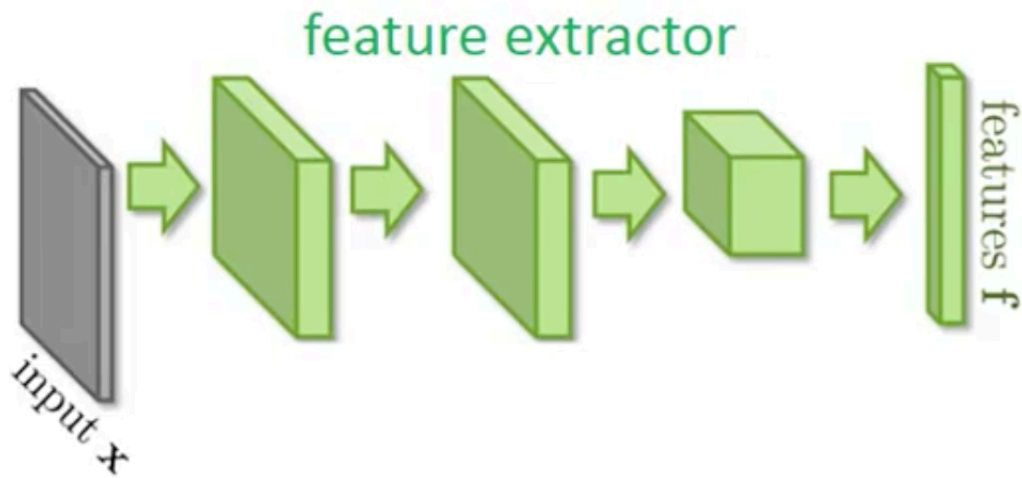
# Domain-adversarial training



The features learned from MNIST data  
Can be used for classification for MNIST data,  
But not for the classification for MNIST-M data.

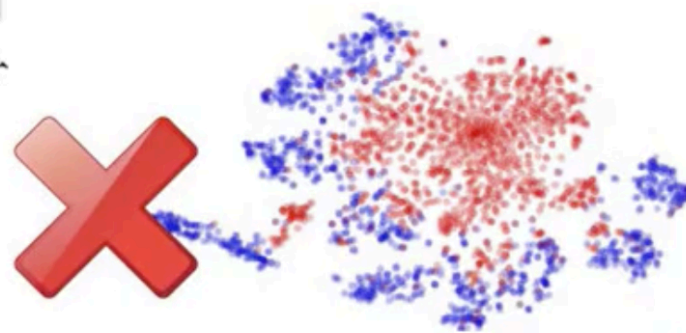
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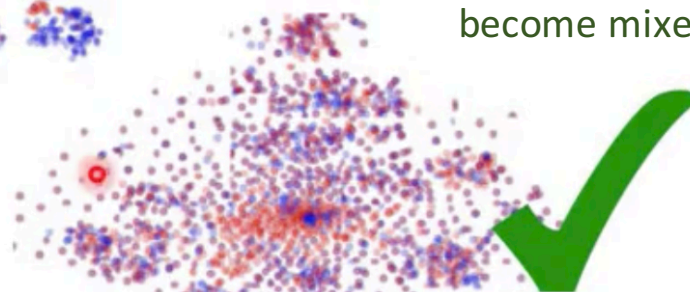


Idea: Remove domain-specific information from the extracted features

# Domain-adversarial training



For samples from different domains, their features should become mixed together.



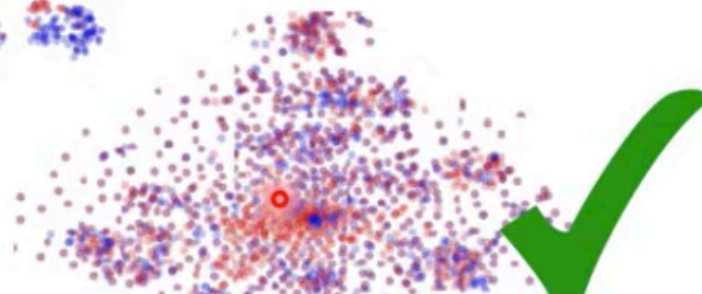
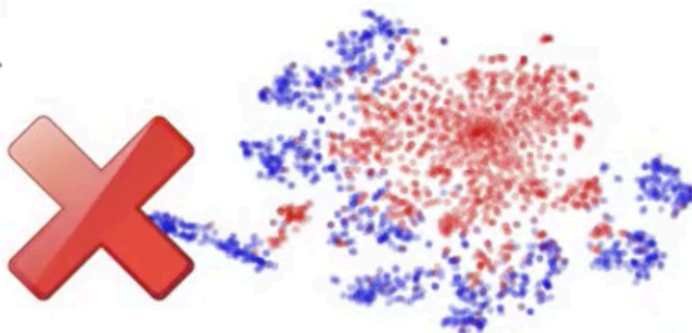
而是不同的 domain 都應該被混合在一起

# Domain-adversarial training



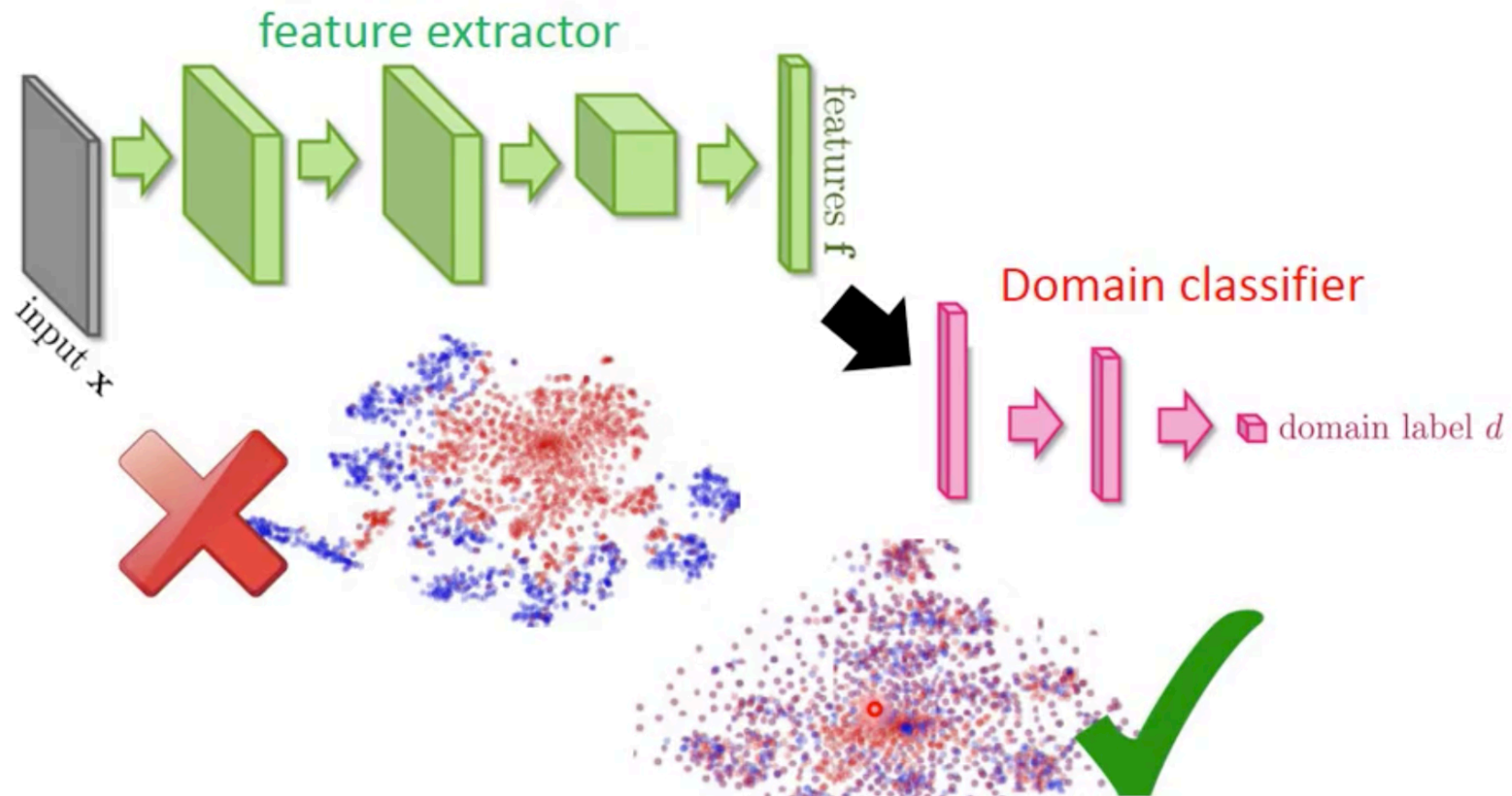
How to train such a feature extractor?

Domain classifier

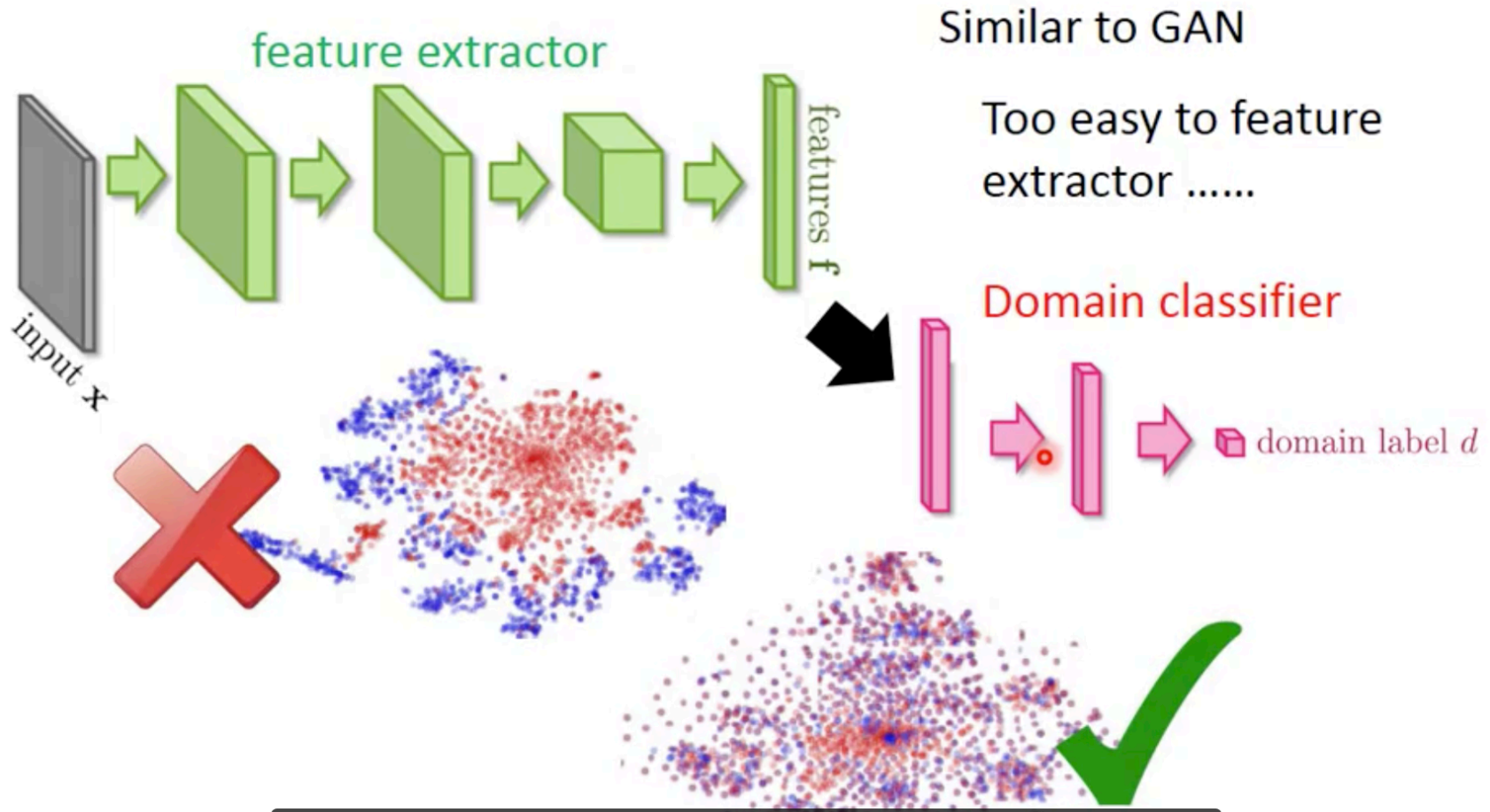




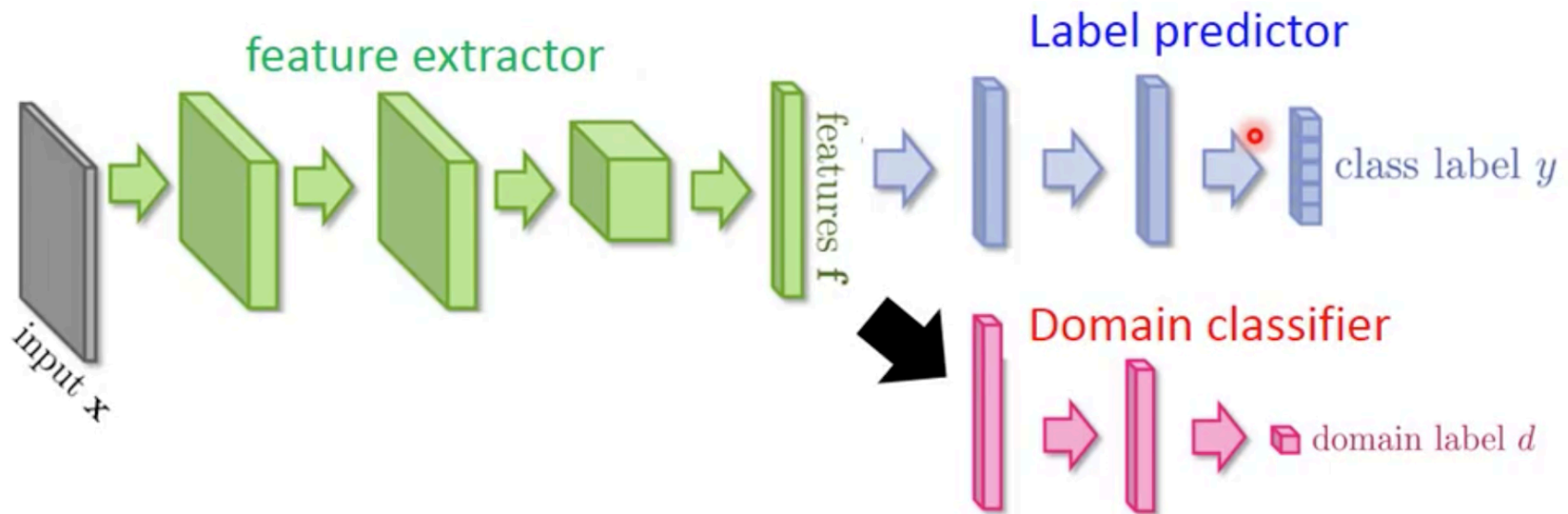
# Domain-adversarial training



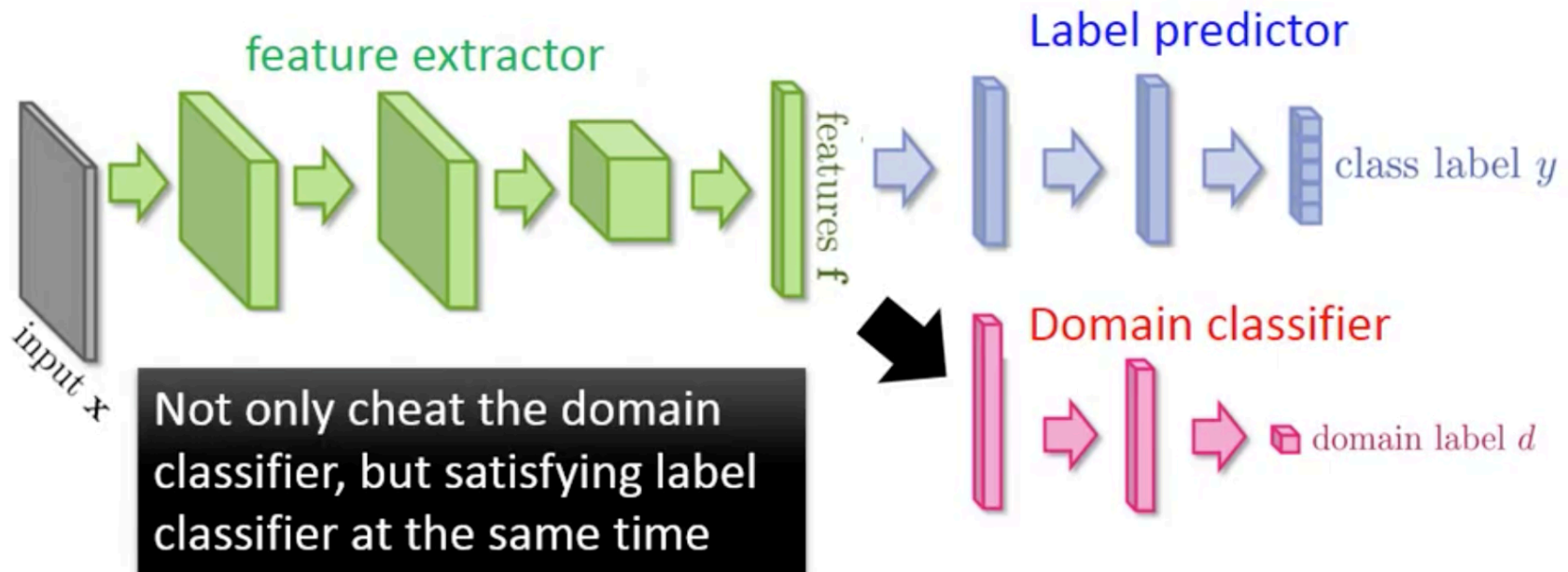
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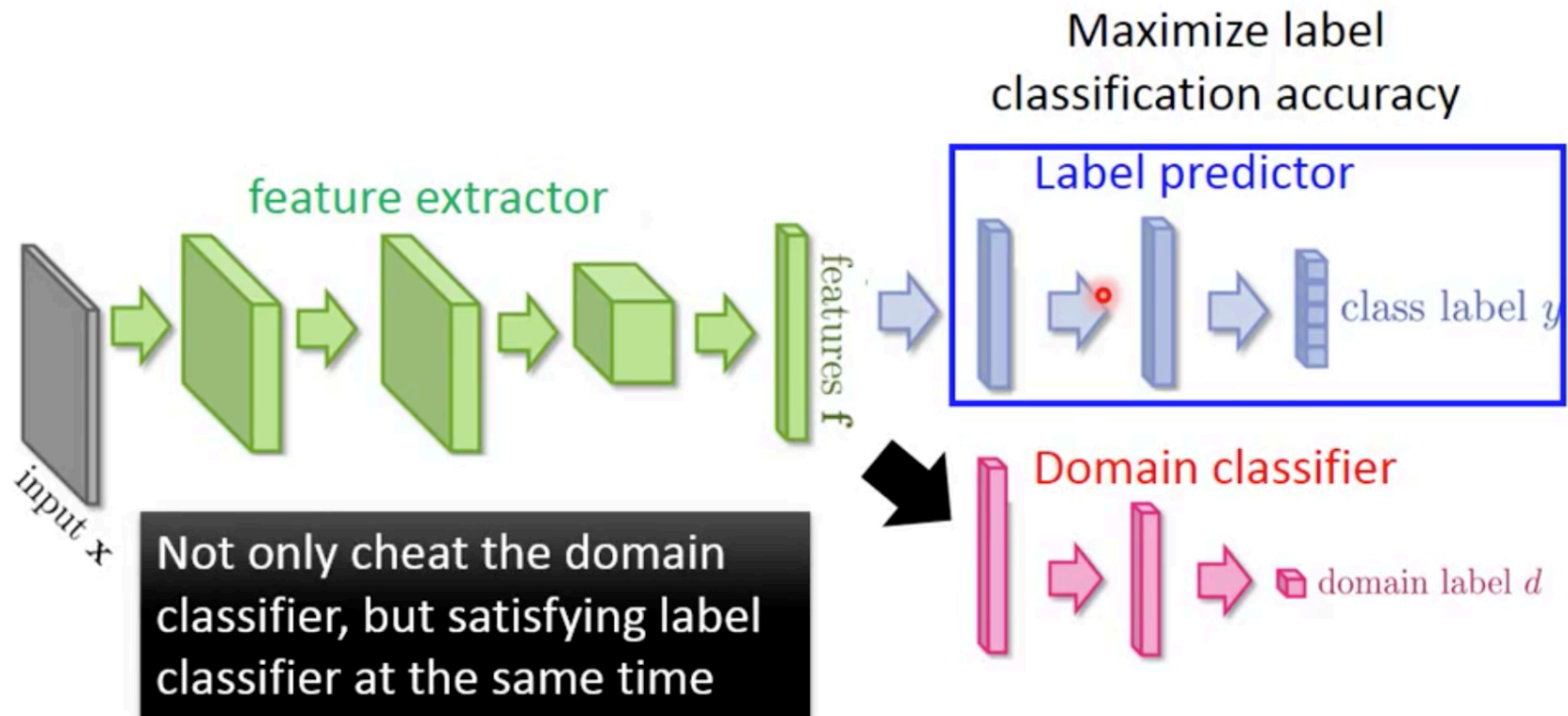
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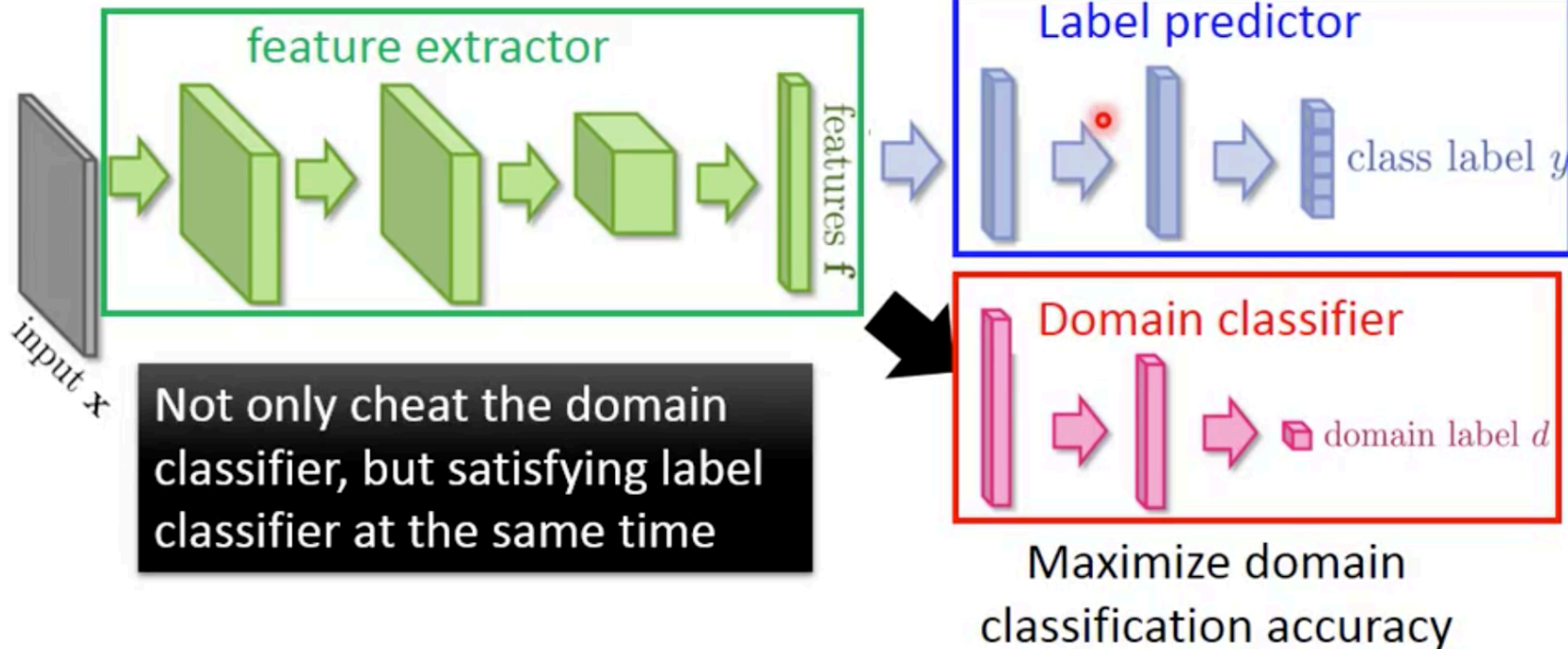


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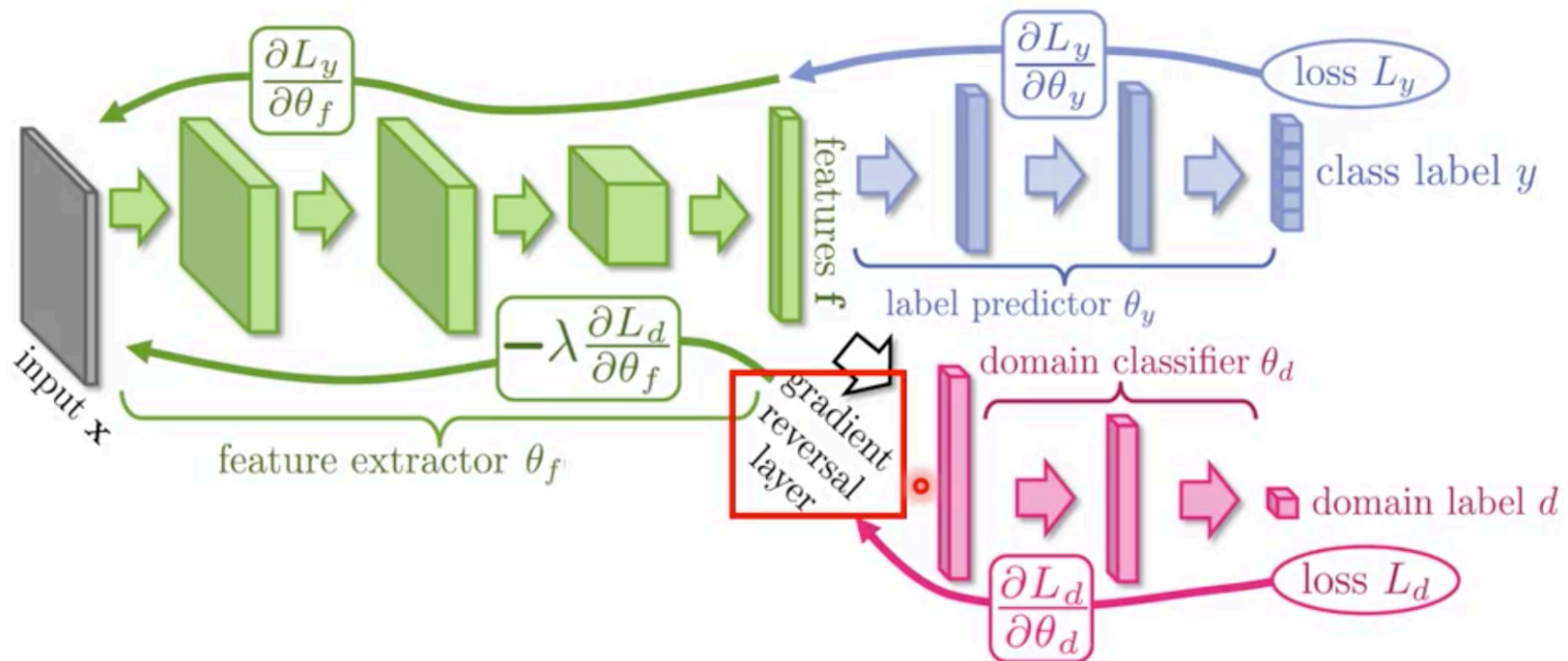


# Domain-adversarial training

Maximize label classification accuracy +  
minimize domain classification accuracy



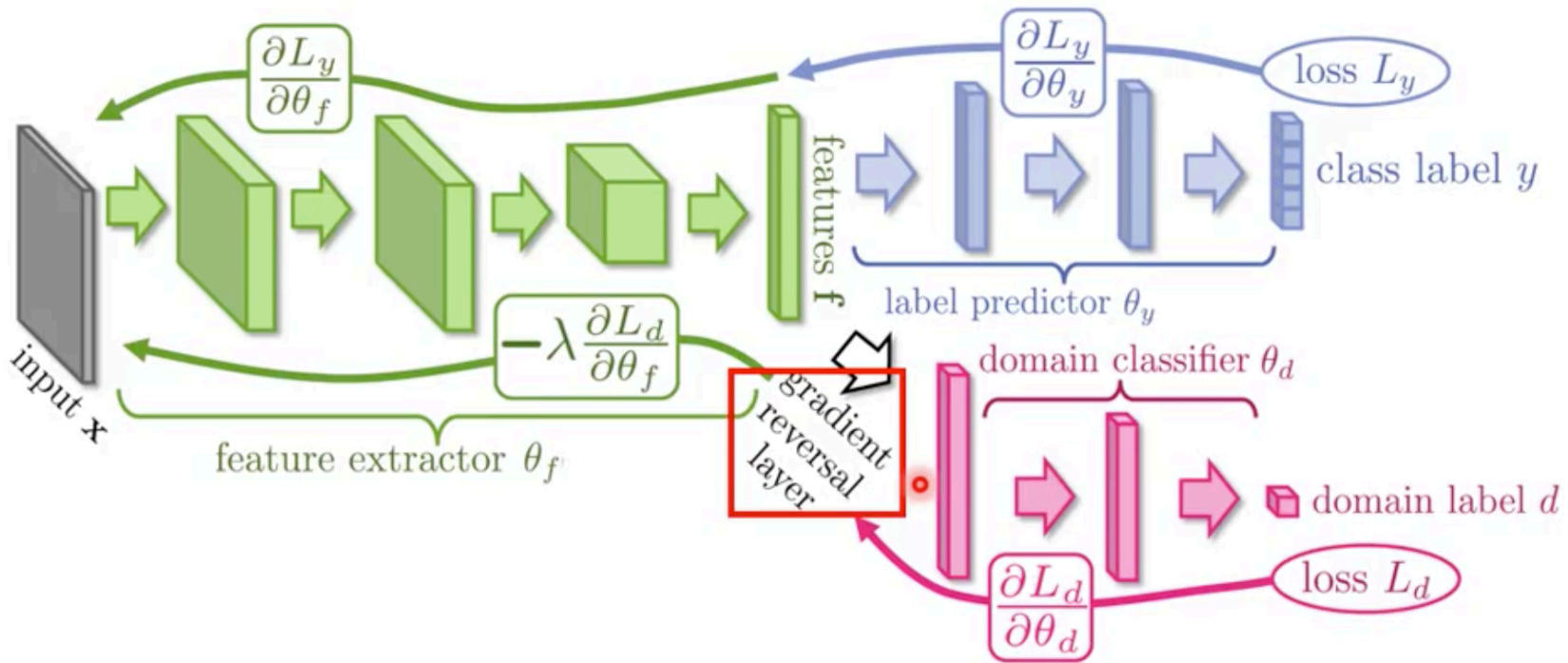
# Domain-adversarial training



Yaroslav Ganin, Victor Lempitsky, Unsupervised Domain Adaptation by Backpropagation, ICML, 2015

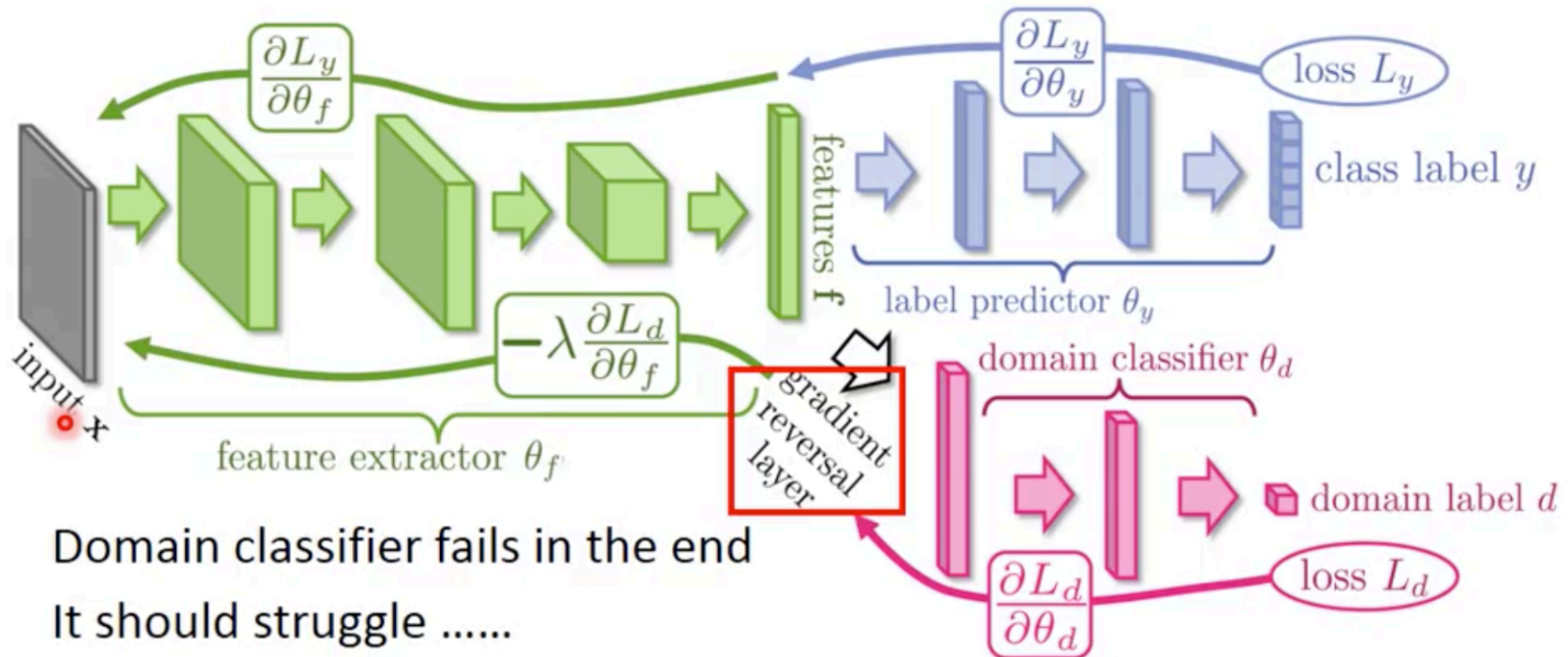
Hana Ajakan, Pascal Germain, Hugo Larochelle, François Laviolette, Mario Marchand, Domain-Adversarial Training of Neural Networks, JMLR, 2016

# Domain-adversarial training





# Domain-adversarial training



# Domain-adversarial training




METHOD	SOURCE	MNIST	SYN NUMBERS	SVHN	SYN SIGNS
	TARGET	MNIST-M	SVHN	MNIST	GTSRB
SOURCE ONLY		.5749	.8665	.5919	.7400
SA (FERNANDO ET AL., 2013)		.6078 (7.9%)	.8672 (1.3%)	.6157 (5.9%)	.7635 (9.1%)
PROPOSED APPROACH		<b>.8149 (57.9%)</b>	<b>.9048 (66.1%)</b>	<b>.7107 (29.3%)</b>	<b>.8866 (56.7%)</b>
TRAIN ON TARGET		.9891	.9244	.9951	.9987

Yaroslav Ganin, Victor Lempitsky, Unsupervised Domain Adaptation by Backpropagation, ICML, 2015

Hana Ajakan, Pascal Germain, Hugo Larochelle, François Laviolette, Mario Marchand, Domain-Adversarial Training of Neural Networks, JMLR, 2016

# Transfer Learning - Overview

		Source Data (not directly related to the task)	
		labelled	unlabeled
Target Data	labelled	Fine-tuning Multitask Learning	
	unlabeled	Domain-adversarial training Zero-shot learning	

# Zero-shot Learning

<http://evchk.wikia.com/wiki/%E8%8D%89%E6%B3%A5%E9%A6%AC>

- Source data:  $(x^s, y^s)$   $\longrightarrow$  Training data
- Target data:  $(x^t)$   $\longrightarrow$  Testing data

# Zero-shot Learning

<http://evchk.wikia.com/wiki/%E8%8D%89%E6%B3%A5%E9%A6%AC>

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- } Different tasks

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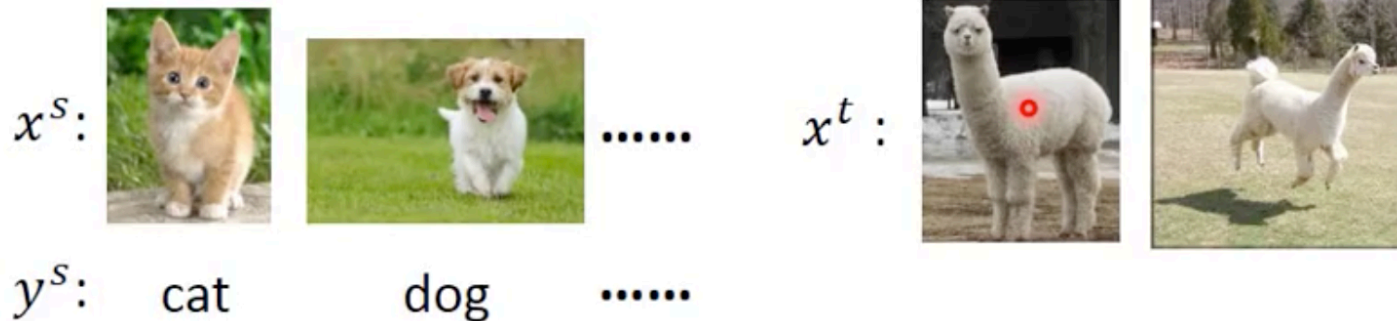
(true lable: llama)

But it is not in the source data.  
How can we recognize it?

# Zero-shot Learning

<http://evchk.wikia.com/wiki/%E8%8D%89%E6%B3%A5%E9%A6%AC>

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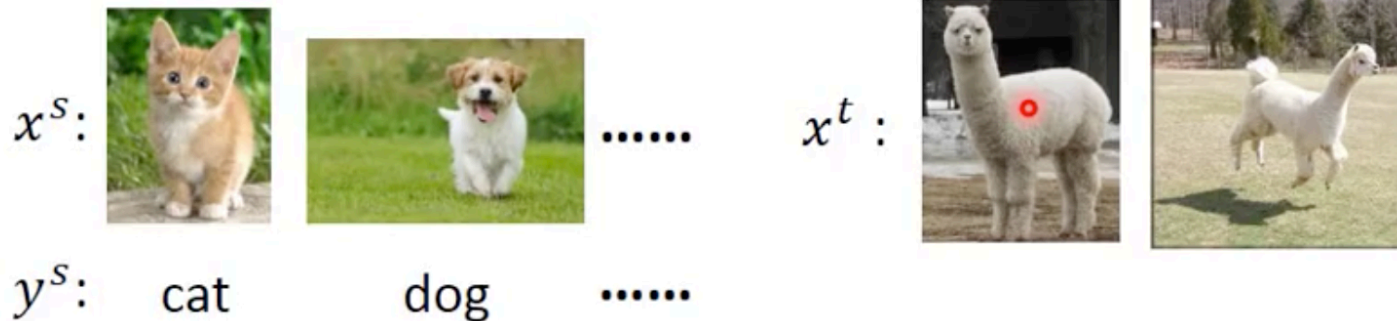
In speech recognition, we can not have all possible words in the source (training) data.

Ho to solve this problem in the speech recognition task?

# Zero-shot Learning

<http://evchk.wikia.com/wiki/%E8%8D%89%E6%B3%A5%E9%A6%AC>

- Source data:  $(x^s, y^s)$  → Training data
  - Target data:  $(x^t)$  → Testing data
- } Different tasks



In speech recognition, we can not have all possible words in the source (training) data.

How to solve this problem in the speech recognition task? **Idea: recognize phoneme.**



# Zero-shot Learning

- Representing each class by its attributes

# Zero-shot Learning

- Representing each class by its attributes

## Database

	furry	4 legs	tail	...
Dog	O	O	O	
Fish	X	X	O	
Chimp	O	X	X	
...				

# Zero-shot Learning

- Representing each class by its attributes

## Database

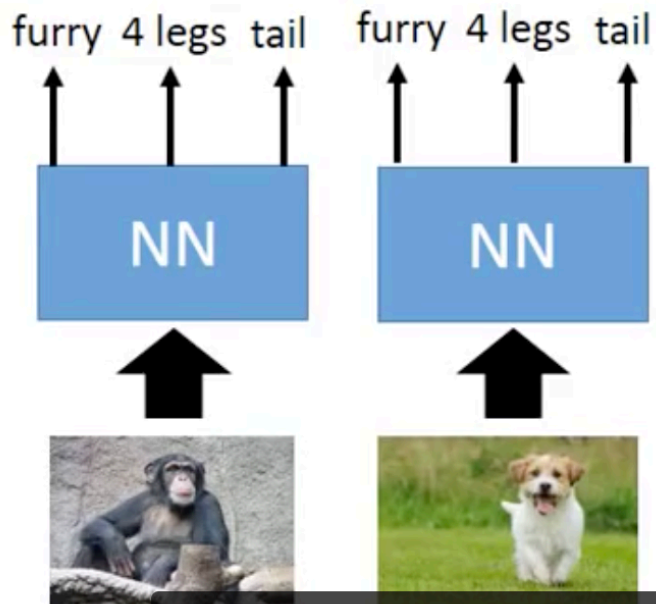
	furry	4 legs	tail	...
Dog	O	O	O	
Fish	X	X	O	
Chimp	O	X	X	
...				

Sufficient attributes for one-to-one mapping

# Zero-shot Learning

- Representing each class by its attributes

## Training



## Database

attributes

	furry	4 legs	tail	...
Dog	O	O	O	
Fish	X	X	O	
Chimp	O	X	X	
...				

class

sufficient attributes for one

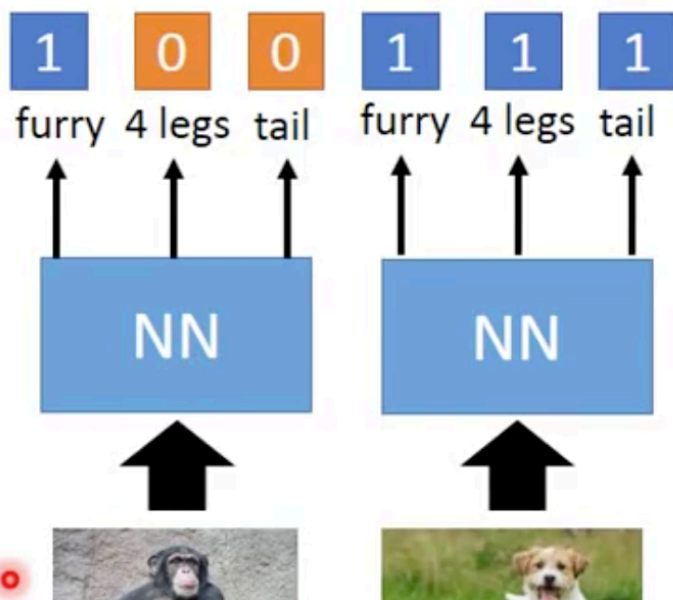
to one mapping

五目十被識每 張 image 且提供什麼樣的 attributes

# Zero-shot Learning

- Representing each class by its attributes

## Training



## Database

attributes

	furry	4 legs	tail	...
Dog	O	O	O	
Fish	X	X	O	
Chimp	O	X	X	
...				

class

就要說這是一個毛茸茸的動物、沒有四隻腳的動物、沒有尾巴的

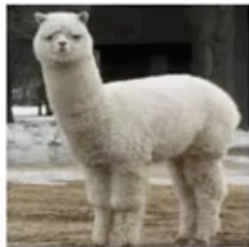
to one mapping

# Zero-shot Learning

- Representing each class by its attributes

## Testing

It is OK even if  
we have never  
seen this animal.



		attributes			
		furry	4 legs	tail	...
class	Dog	O	O	O	
	Fish	X	X	O	
	Chimp	O	X	X	
	...				

sufficient attributes for one  
to one mapping

# Zero-shot Learning

- Representing each class by its attributes

## Testing

Attributes



attributes

class

	furry	4 legs	tail	...
Dog	O	O	O	
Fish	X	X	O	
Chimp	O	X	X	
...				

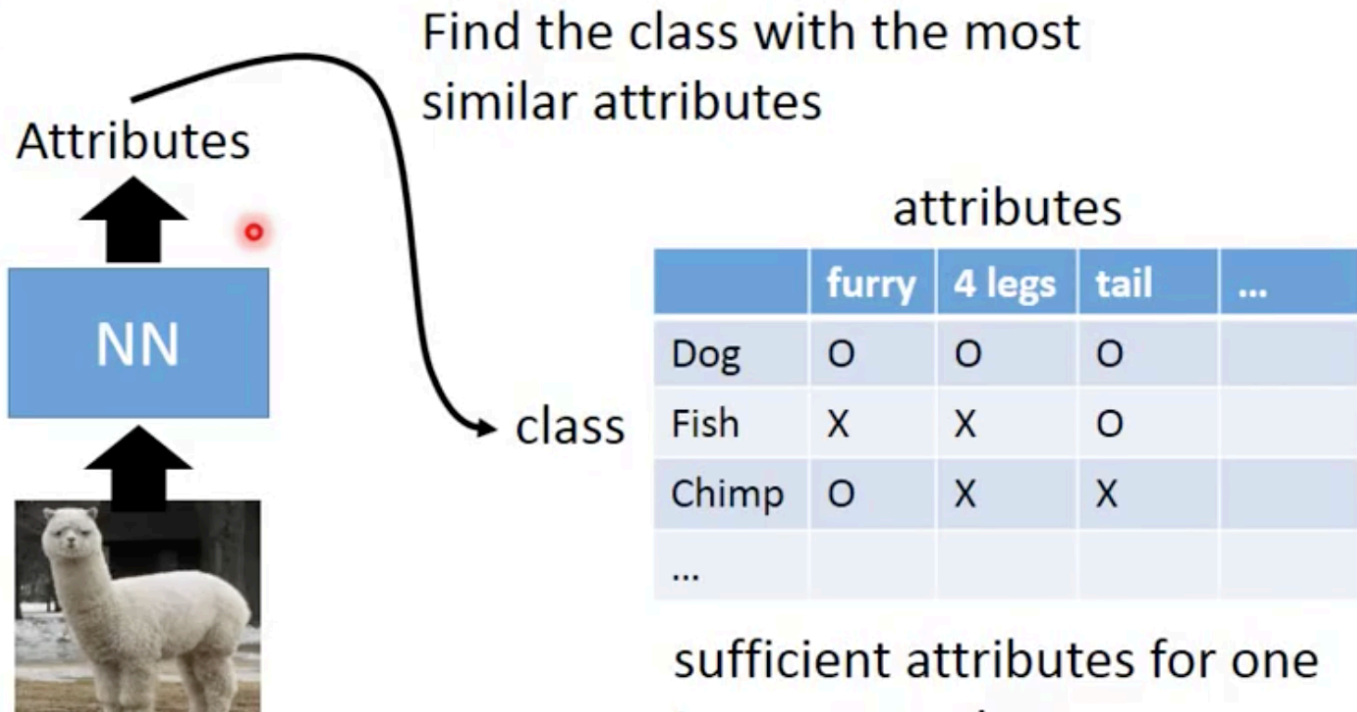
sufficient attributes for one

只要 input 這張 image，他有甚麼樣的 attribute

# Zero-shot Learning

- Representing each class by its attributes

## Testing

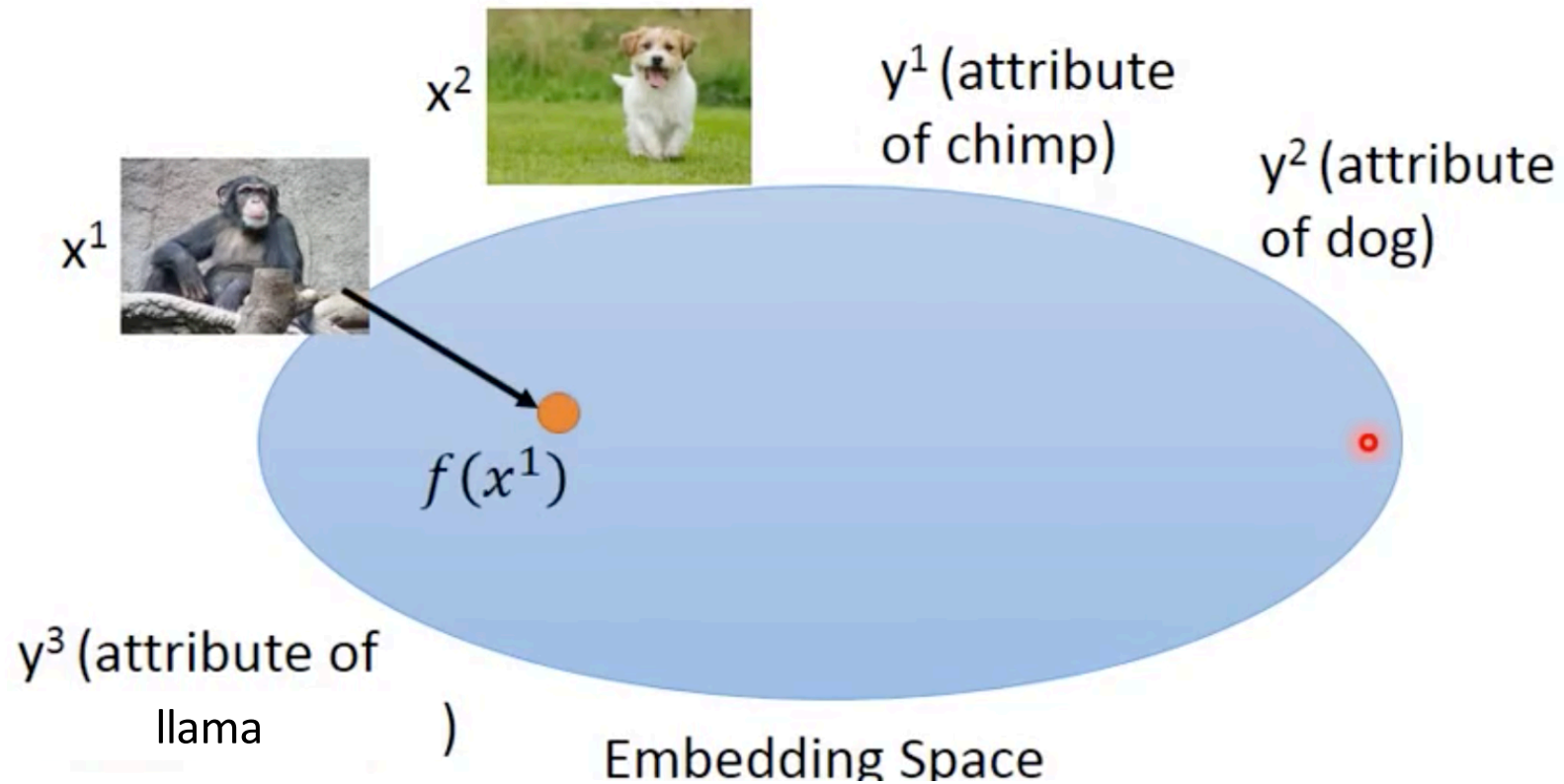


有時候可能沒有一模一樣，也沒有關係，就看誰最接近



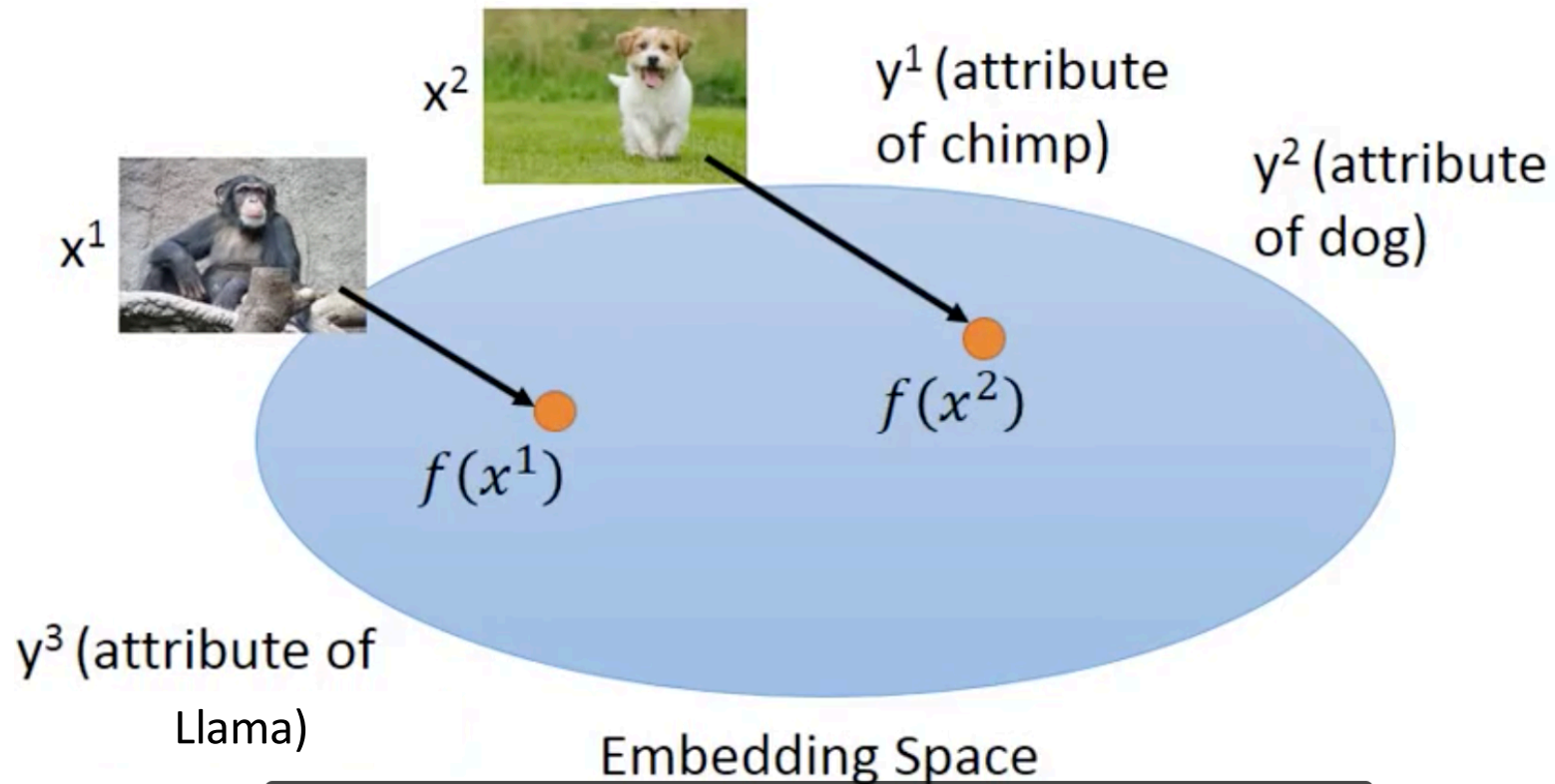
# Zero-shot Learning

- Attribute embedding



# Zero-shot Learning

- Attribute embedding



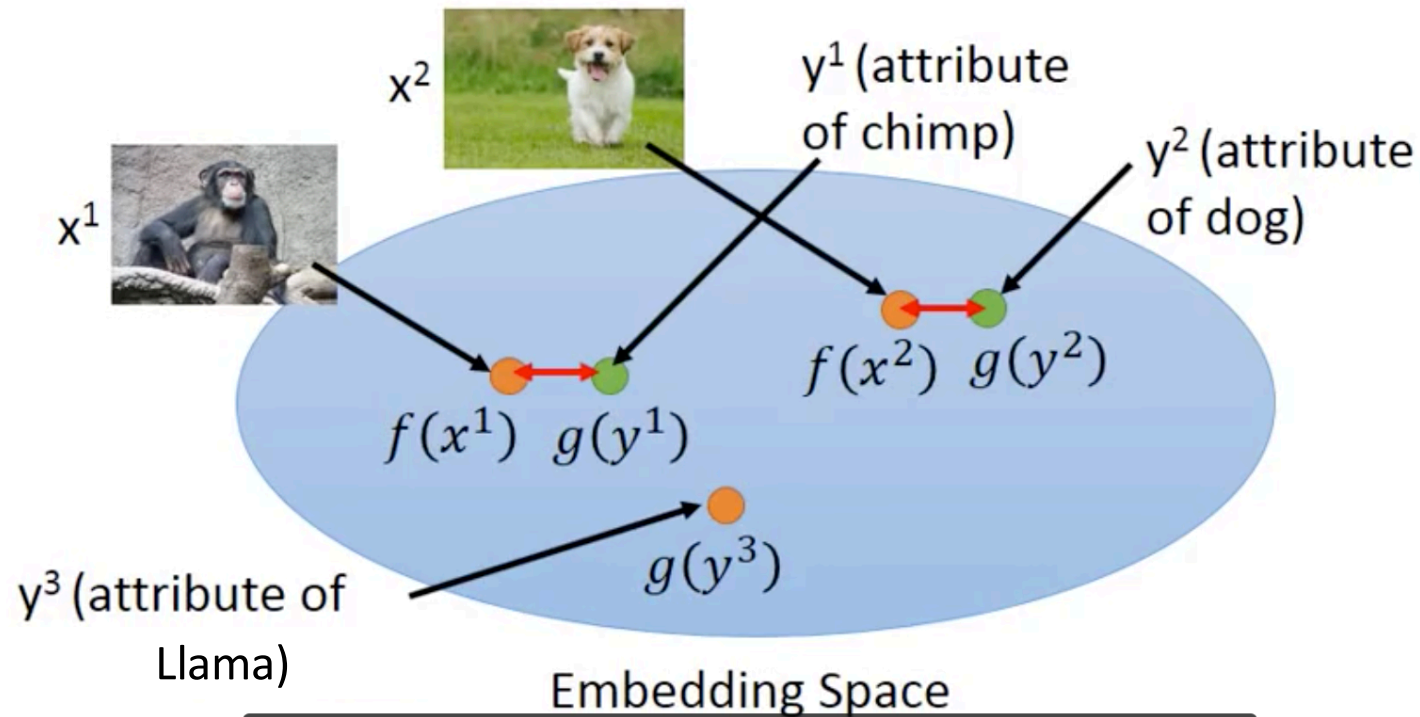
# Zero-shot Learning

$f(*)$  and  $g(*)$  can be NN.

Training target:

$f(x^n)$  and  $g(y^n)$  as close as possible •

- Attribute embedding



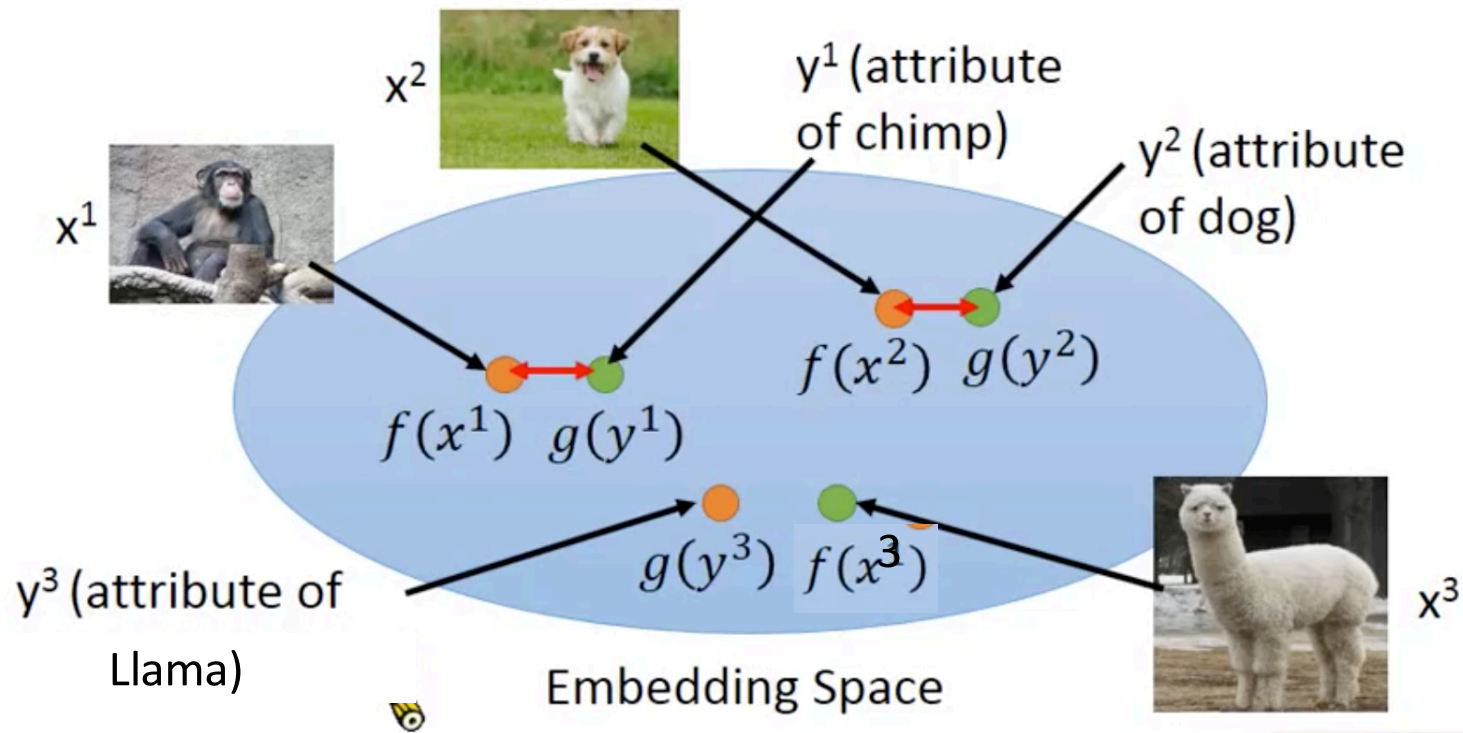
# Zero-shot Learning

$f(*)$  and  $g(*)$  can be NN.

Training target:

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- Attribute embedding

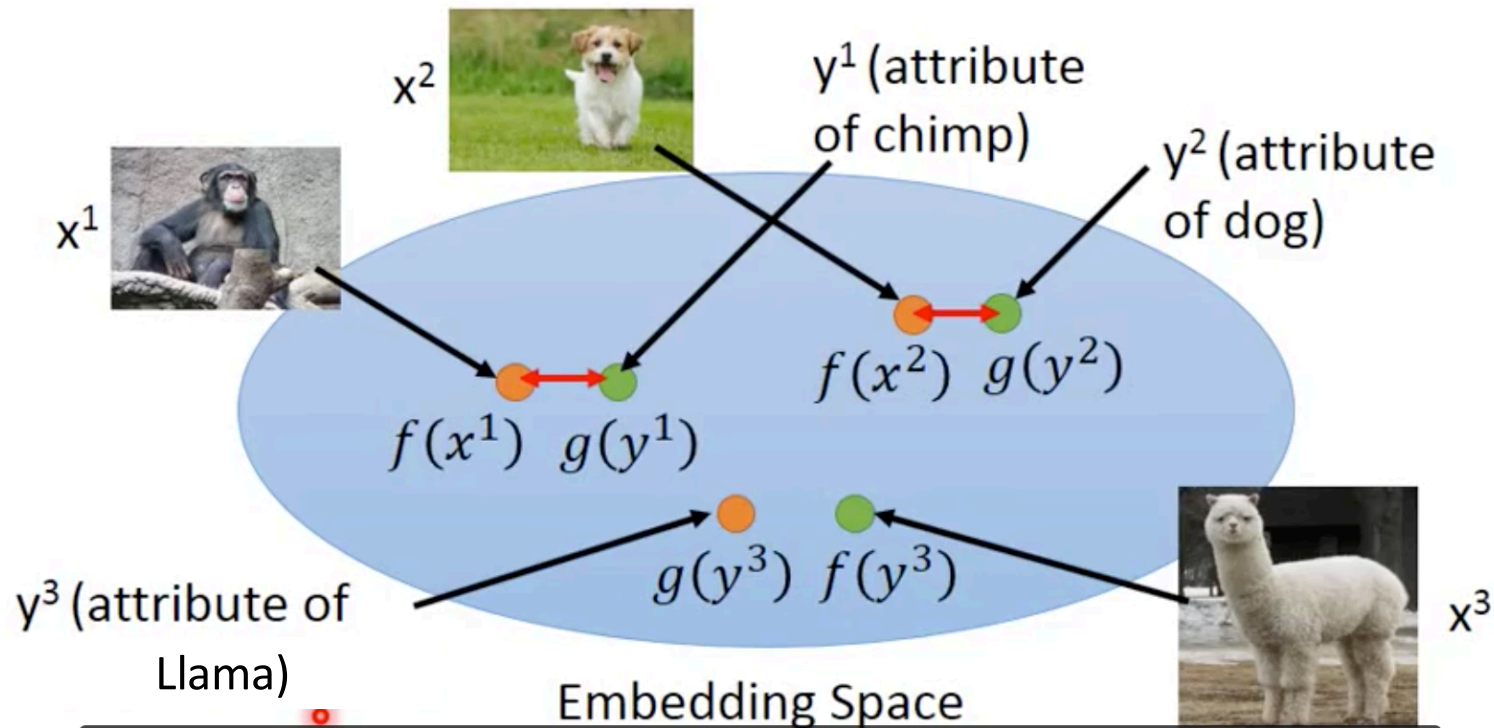


# Zero-shot Learning

What if we don't have database

Use word2vec.

- Attribute embedding + word embedding



# Zero-shot Learning

$$f^*, g^* = \mathit{arg} \min_{f, g} \sum_n \|f(x^n) - g(y^n)\|_2$$

# Zero-shot Learning

$$f^*, g^* = \arg \min_{f, g} \sum_n \|f(x^n) - g(y^n)\|_2 \quad \text{Problem?}$$

The network can simply map all inputs to the same point in the feature space.

# Zero-shot Learning

$$f^*, g^* = \arg \min_{f, g} \sum_n \|f(x^n) - g(y^n)\|_2 \quad \text{Problem?}$$

$$f^*, g^* = \arg \min_{f, g} \sum_n \max(0, k - f(x^n) \cdot g(y^n) + \max_{m \neq n} f(x^n) \cdot g(y^m))$$



# Zero-shot Learning

$$f^*, g^* = \arg \min_{f, g} \sum_n \|f(x^n) - g(y^n)\|_2 \quad \text{Problem?}$$

$$f^*, g^* = \arg \min_{f, g} \sum_n \max(0, k - f(x^n) \cdot g(y^n) + \max_{m \neq n} f(x^n) \cdot g(y^m))$$

Margin you defined

Zero loss:

# Zero-shot Learning

$$f^*, g^* = \arg \min_{f, g} \sum_n \|f(x^n) - g(y^n)\|_2 \quad \text{Problem?}$$

$$f^*, g^* = \arg \min_{f, g} \sum_n \max\left(0, k - f(x^n) \cdot g(y^n) + \max_{m \neq n} f(x^n) \cdot g(y^m)\right)$$

Margin you defined

Zero loss:  $k - f(x^n) \cdot g(y^n) + \max_{m \neq n} f(x^n) \cdot g(y^m) < 0$

# Zero-shot Learning

$$f^*, g^* = \arg \min_{f, g} \sum_n \|f(x^n) - g(y^n)\|_2 \quad \text{Problem?}$$

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Margin you defined

Zero loss:  $k - f(x^n) \cdot g(y^n) + \max_{m \neq n} f(x^n) \cdot g(y^m) < 0$

$$f(x^n) \cdot g(y^n) - \max_{m \neq n} f(x^n) \cdot g(y^m) > k$$

# Zero-shot Learning

$$f^*, g^* = \arg \min_{f, g} \sum_n \|f(x^n) - g(y^n)\|_2 \quad \text{Problem?}$$

$$f^*, g^* = \arg \min_{f, g} \sum_n \max\left(0, k - f(x^n) \cdot g(y^n) + \max_{m \neq n} f(x^n) \cdot g(y^m)\right)$$

↑
Margin you defined

Zero loss:  $k - f(x^n) \cdot g(y^n) + \max_{m \neq n} f(x^n) \cdot g(y^m) < 0$

$$\frac{f(x^n) \cdot g(y^n)}{\quad} - \frac{\max_{m \neq n} f(x^n) \cdot g(y^m)}{\quad} > k$$

$f(x^n)$  and  $g(y^n)$  as close

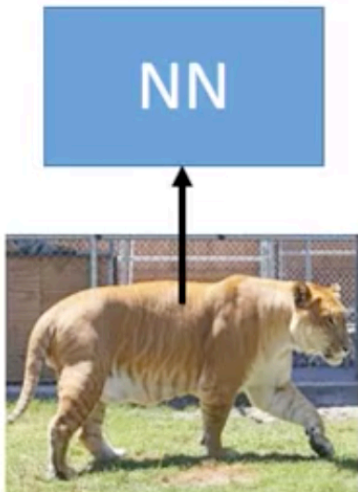
$f(x^n)$  and  $g(y^m)$  not as close

# Zero-shot Learning

- Convex Combination of Semantic Embedding

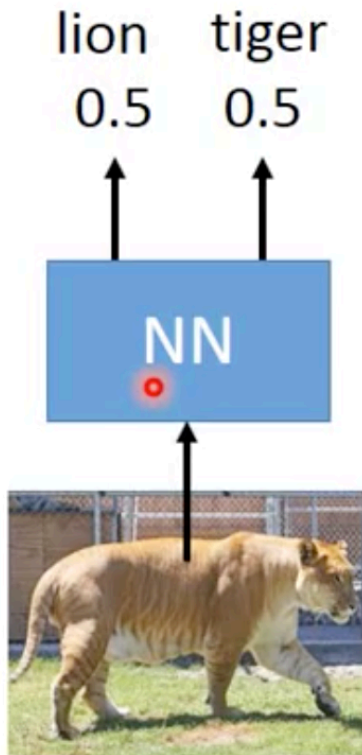
# Zero-shot Learning

- Convex Combination of Semantic Embedding



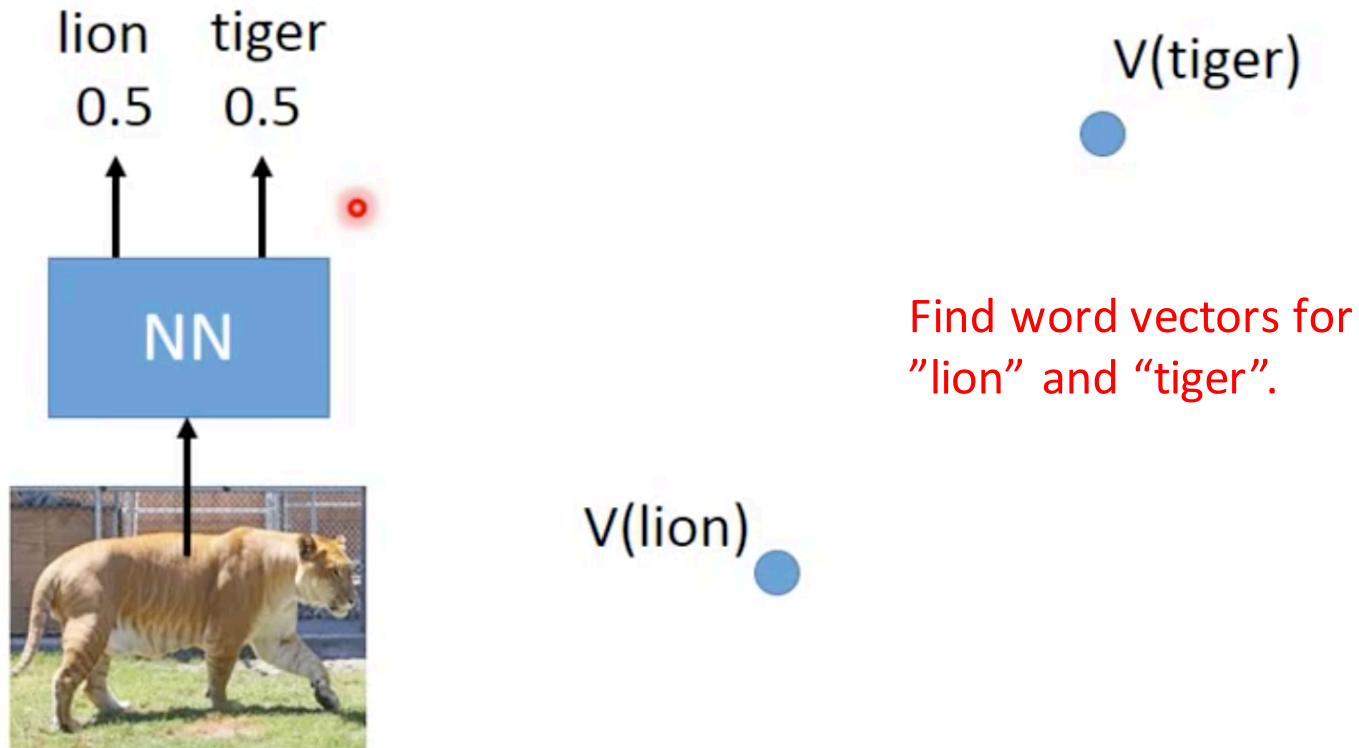
# Zero-shot Learning

- Convex Combination of Semantic Embedding



# Zero-shot Learning

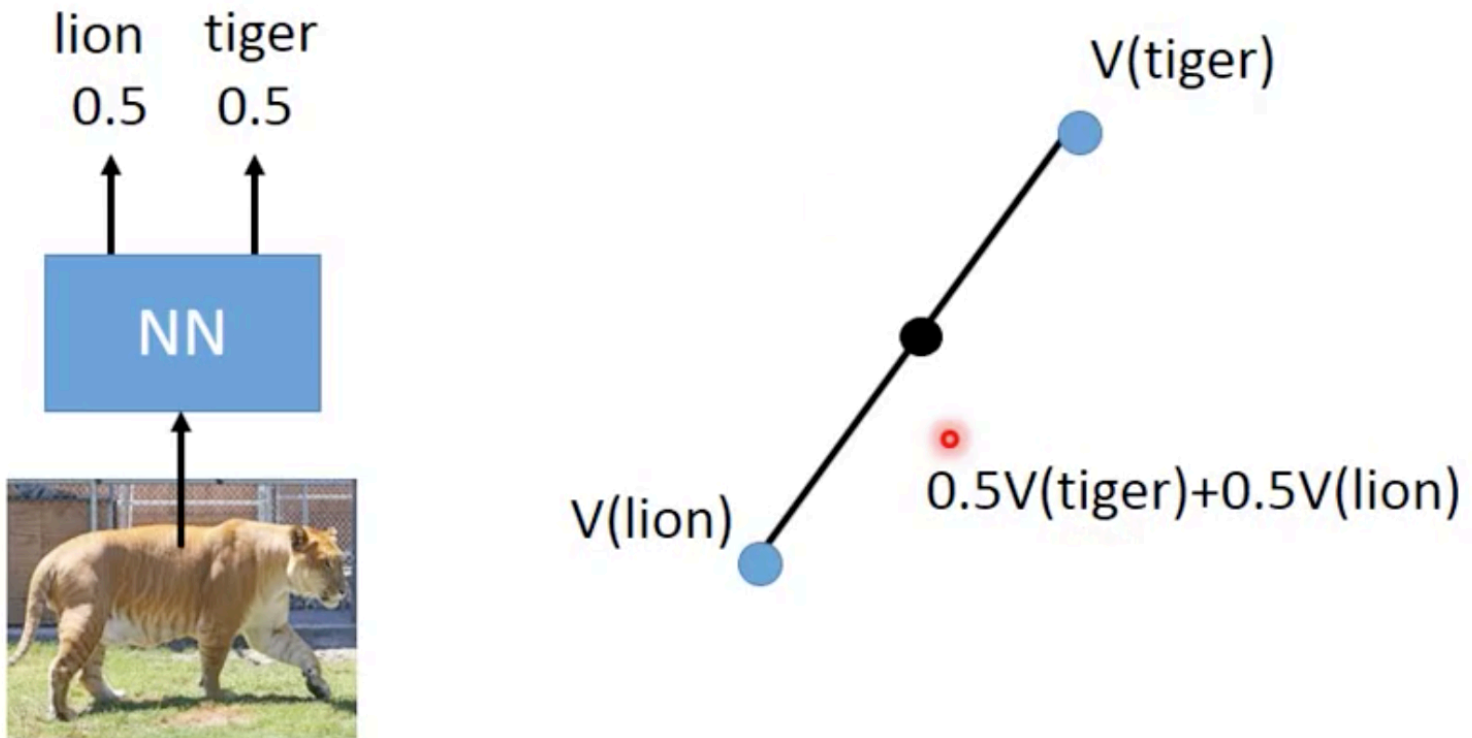
- Convex Combination of Semantic Embedding





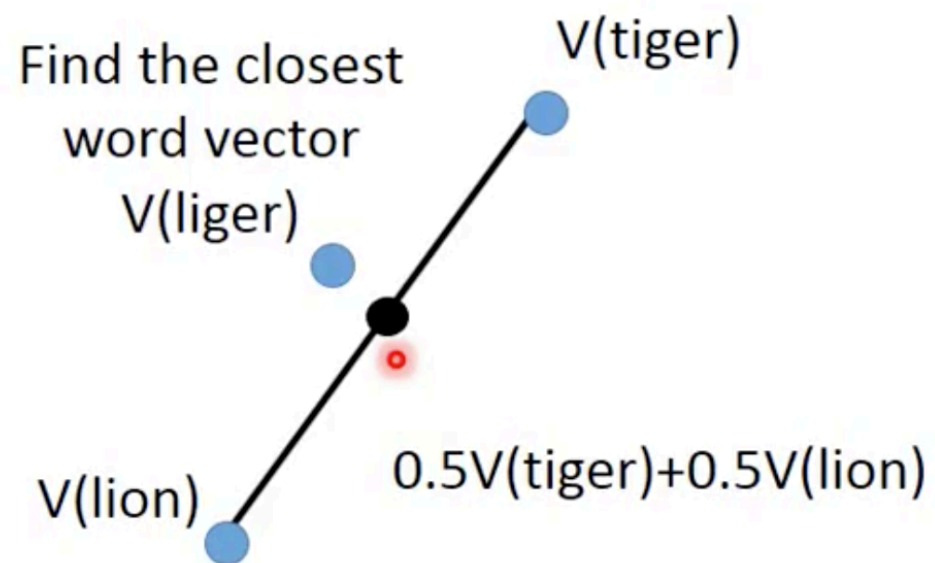
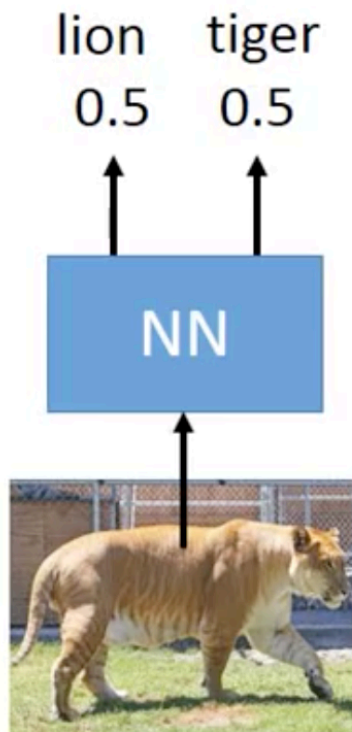
# Zero-shot Learning

- Convex Combination of Semantic Embedding



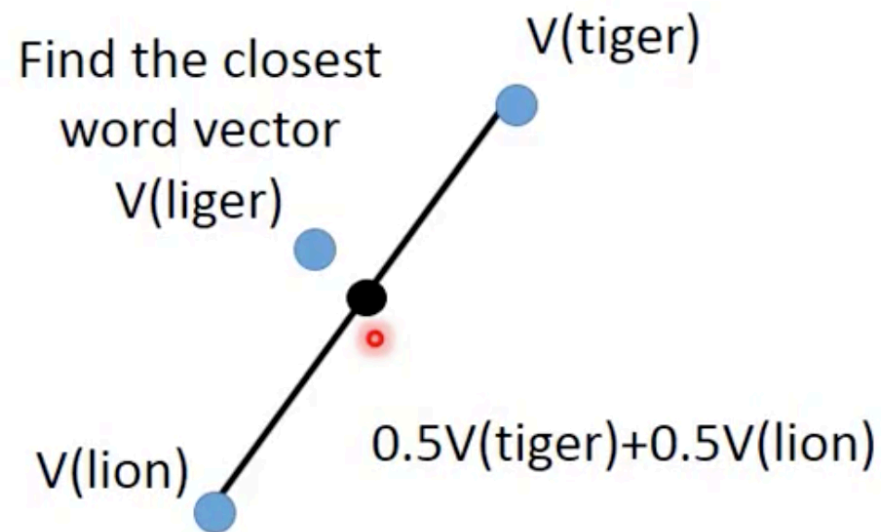
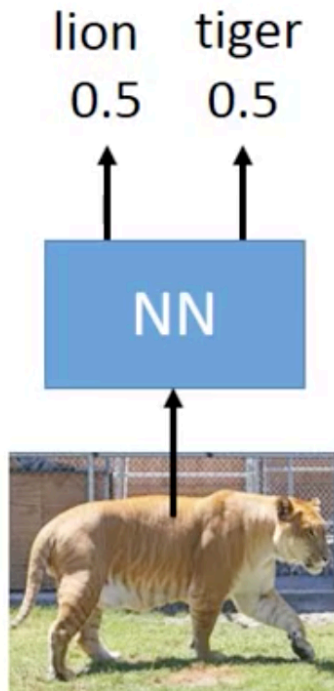
# Zero-shot Learning

- Convex Combination of Semantic Embedding



# Zero-shot Learning

- Convex Combination of Semantic Embedding

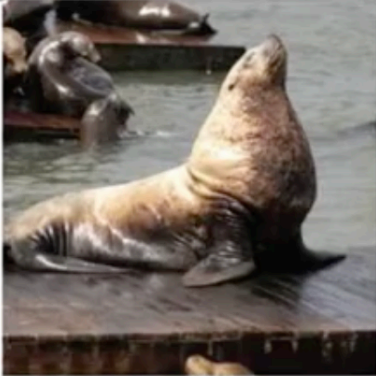


Only need off-the-shelf NN  
for ImageNet and Word2Vec.

Test Image	ConvNet	DeViSE	ConSE(10)
	<p>sea lion  carpenter's  plane  cowboy boot  loggerhead  goose</p>		

直接拿一個 CNN

Test Image	ConvNet	DeViSE	ConSE(10)
 <p data-bbox="155 815 499 867">(Stellar sea lion)</p>	sea lion carpenter's plane cowboy boot loggerhead goose		

Test Image	ConvNet	DeViSE	ConSE(10)
 <p data-bbox="157 813 499 865">(Stellar sea lion)</p>	<p data-bbox="537 475 852 834">sea lion carpenter's plane cowboy boot loggerhead goose</p>	<p data-bbox="892 505 1209 802">elephant turtle turtleneck flip-flop cart, handcart</p> <p data-bbox="1094 378 1346 597">DSeViSE: Project image and features to nearby points in the same space.</p>	

Test Image	ConvNet	DeViSE	ConSE(10) <i>Convex combination for semantic embedding</i>
 <p data-bbox="138 813 480 862">(Stellar sea lion)</p>	<p data-bbox="516 475 831 833">sea lion carpenter's plane cowboy boot loggerhead goose</p>	<p data-bbox="869 505 1184 800">elephant turtle turtleneck flip-flop cart, handcart</p>	<p data-bbox="1283 475 1734 833">California sea lion <b>Stellar sea lion</b> Australian sea lion South American sea lion eared seal</p>

Test Image	ConvNet	DeViSE	ConSE(10)
 <p>(Stellar sea lion)</p>	sea lion carpenter's plane cowboy boot loggerhead goose	elephant turtle tortleneck flip-flop cart, handcart	California sea lion <b>Steller sea lion</b> Australian sea lion South American sea lion eared seal
 <p>(Lama pacos)</p>	Tibetan mastiff titi monkey Koala llama chow-chow	kernel littoral zone carillon Cabernet Sauvignon poodle dog	domestic dog <span style="color: red;">●</span> domestic cat schnauzer Belgian sheepdog domestic llama

這個 network 也沒有得到正確的結果



# More about Zero-shot learning

- Mark Palatucci, Dean Pomerleau, Geoffrey E. Hinton, Tom M. Mitchell, “Zero-shot Learning with Semantic Output Codes”, NIPS 2009
- Zeynep Akata, Florent Perronnin, Zaid Harchaoui and Cordelia Schmid, “Label-Embedding for Attribute-Based Classification”, CVPR 2013
- Andrea Frome, Greg S. Corrado, Jon Shlens, Samy Bengio, Jeff Dean, Marc'Aurelio Ranzato, Tomas Mikolov, “DeViSE: A Deep Visual-Semantic Embedding Model”, NIPS 2013
- Mohammad Norouzi, Tomas Mikolov, Samy Bengio, Yoram Singer, Jonathon Shlens, Andrea Frome, Greg S. Corrado, Jeffrey Dean, “Zero-Shot Learning by Convex Combination of Semantic Embeddings”, arXiv preprint 2013
- Subhashini Venugopalan, Lisa Anne Hendricks, Marcus Rohrbach, Raymond Mooney, Trevor Darrell, Kate Saenko, “Captioning Images with Diverse Objects”, arXiv preprint 2016

# Transfer Learning - Overview

		Source Data (not directly related to the task)	
		labelled	unlabeled
Target Data	labelled	<p>Fine-tuning</p> <p>Multitask Learning</p>	<p>Self-taught learning</p> <p>Rajat Raina , Alexis Battle , Honglak Lee , Benjamin Packer , Andrew Y. Ng, Self-taught learning: transfer learning from unlabeled data, ICML, 2007</p>
	unlabeled	<p>Domain-adversarial training</p> <p>Zero-shot learning</p>	<p>Self-taught Clustering</p> <p>Wenyuan Dai, Qiang Yang, Gui-Rong Xue, Yong Yu, "Self-taught clustering", ICML 2008</p>

所以你遇到一個狀況是

# Self-taught learning

- Learning to extract better representation from the source data (unsupervised approach)
- Extracting better representation for target data

# Self-taught learning

- Learning to extract better representation from the source data (unsupervised approach)
- Extracting better representation for target data

Domain	Unlabeled data	Labeled data	Classes	Raw features
Image classification	10 images of outdoor scenes	Caltech101 image classification dataset	101	Intensities in 14x14 pixel patch
Handwritten character recognition	Handwritten digits ("0"–"9")	Handwritten English characters ("a"–"z")	26	Intensities in 28x28 pixel character/digit image
Font character recognition	Handwritten English characters ("a"–"z")	Font characters ("a"/"A" – "z"/"Z")	26	Intensities in 28x28 pixel character image
Song genre classification	Song snippets from 10 genres	Song snippets from 7 <i>different</i> genres	7	Log-frequency spectrogram over 50ms time windows
Webpage classification	100,000 news articles (Reuters newswire)	Categorized webpages (from DMOZ hierarchy)	2	Bag-of-words with 500 word vocabulary
UseNet article classification	100,000 news articles (Reuters newswire)	Categorized UseNet posts (from "SRAA" dataset)	2	Bag-of-words with 377 word vocabulary