

# CSCSE 636 Neural Networks (Deep Learning)

Lecture 8: Deep Learning for Text and Sequences

Anxiao (Andrew) Jiang

Based on the interesting lecture of Prof. Hung-yi Lee "Recurrent Neural Network"

[https://www.youtube.com/watch?v=xCGidAeyS4M&list=PLJV\\_el3uVTsPy9oCRY30oBPNLCo89yu49&index=30](https://www.youtube.com/watch?v=xCGidAeyS4M&list=PLJV_el3uVTsPy9oCRY30oBPNLCo89yu49&index=30)

# Recurrent Neural Network (RNN)



# Example Application

- Slot Filling

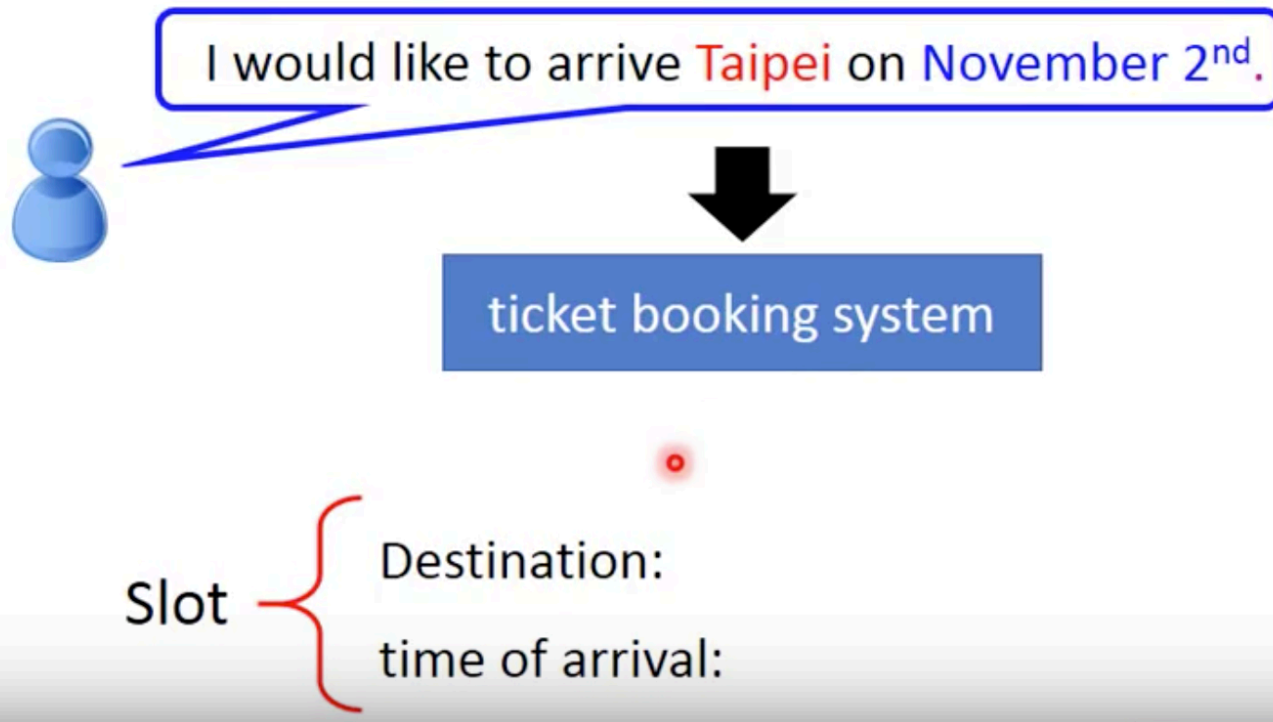
# Example Application

- Slot Filling



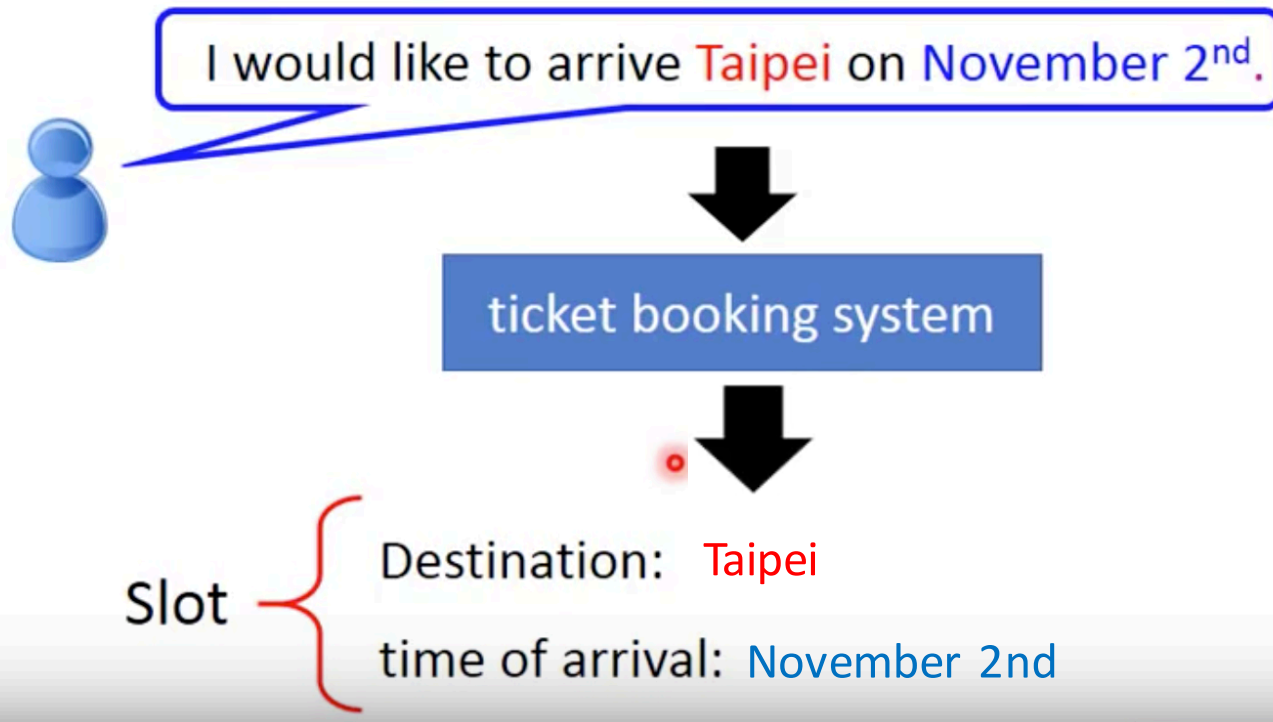
# Example Application

- Slot Filling



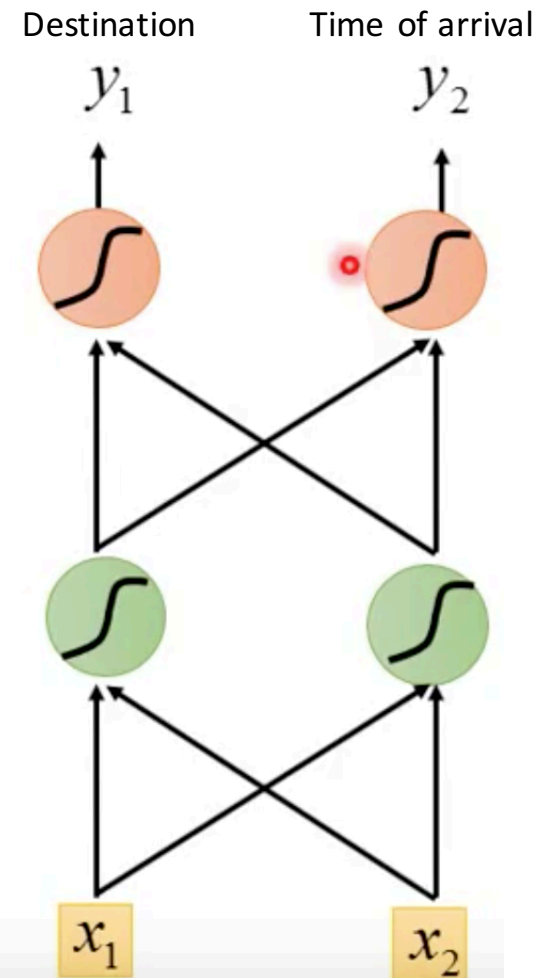
# Example Application

- Slot Filling



# Example Application

Solving slot filling by  
Feedforward network?

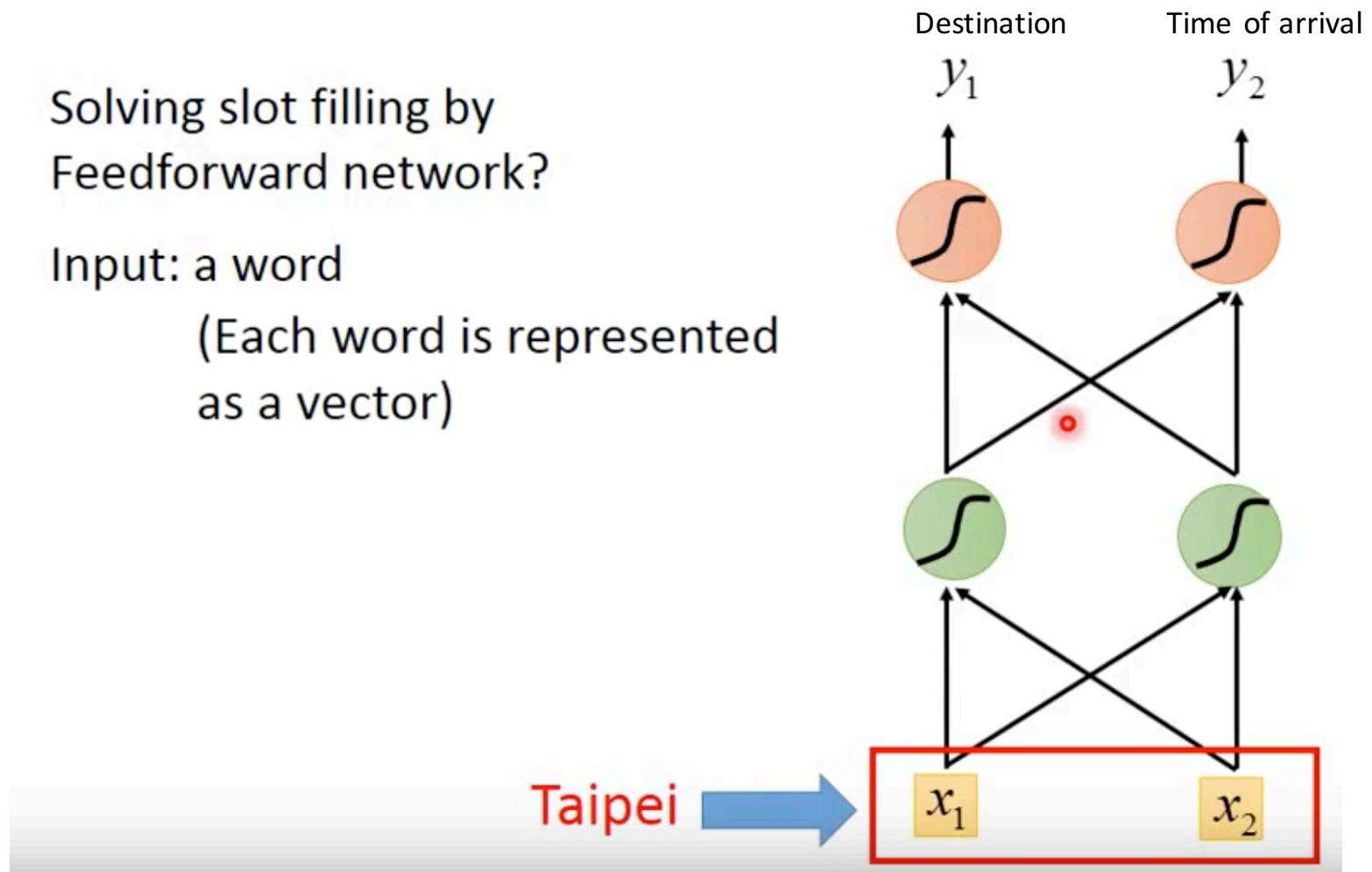


# Example Application

Solving slot filling by  
Feedforward network?

Input: a word

(Each word is represented  
as a vector)



# 1-of-N encoding (that is, one-hot encoding)

How to represent each word as a vector?

**1-of-N Encoding** lexicon = {apple, bag, cat, dog, elephant}

The vector is lexicon size.

Each dimension corresponds to a word in the lexicon

The dimension for the word is 1, and others are 0

apple = [ 1 0 0 0 0 ]

bag = [ 0 1 0 0 0 ]

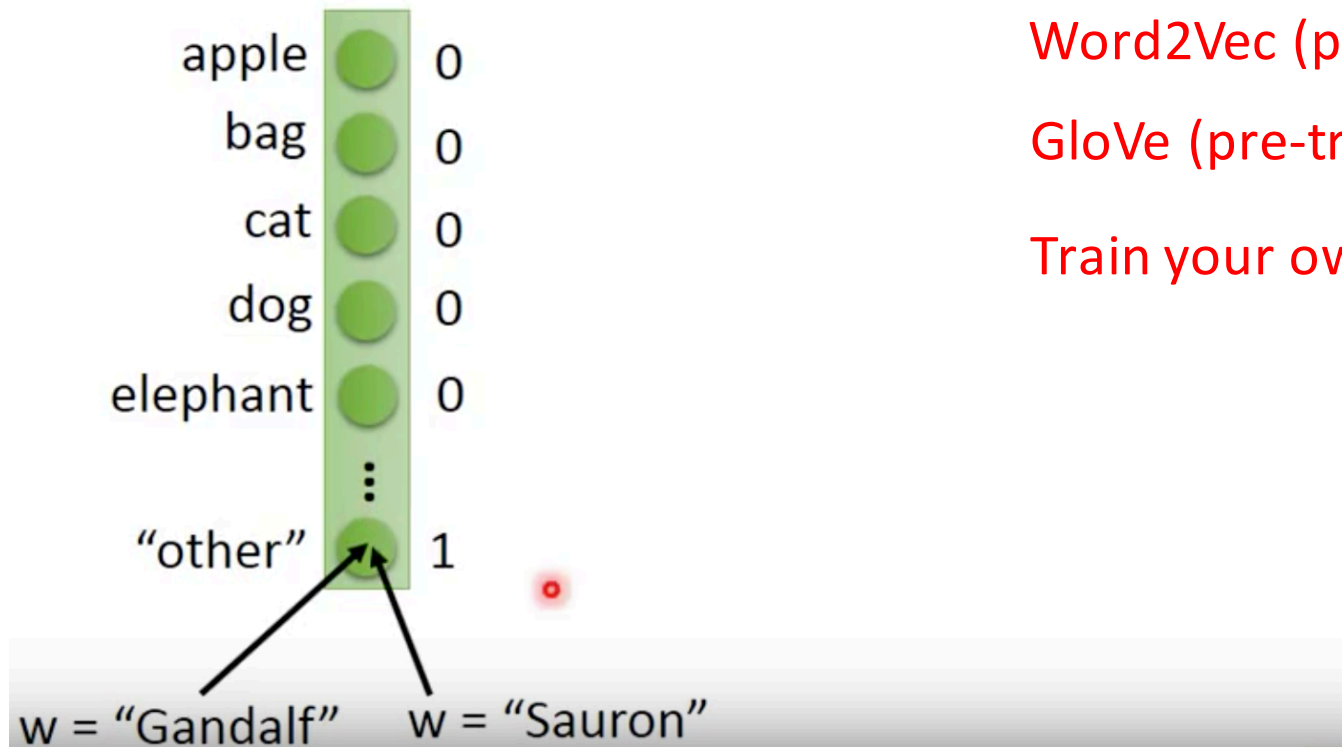
cat = [ 0 0 1 0 0 ]

dog = [ 0 0 0 1 0 ]

elephant = [ 0 0 0 0 1 ]

# Beyond 1-of-N encoding

## Dimension for "Other"



## Dense word embedding

Word2Vec (pre-trained)

GloVe (pre-trained)

Train your own embedding



# Example Application

Solving slot filling by  
Feedforward network?

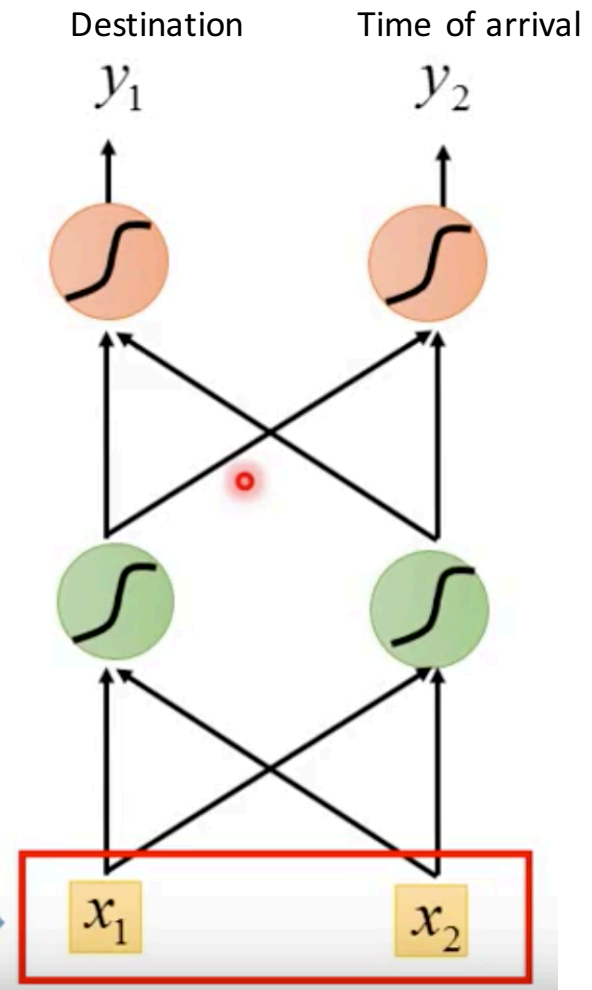
Input: a word

(Each word is represented  
as a vector)

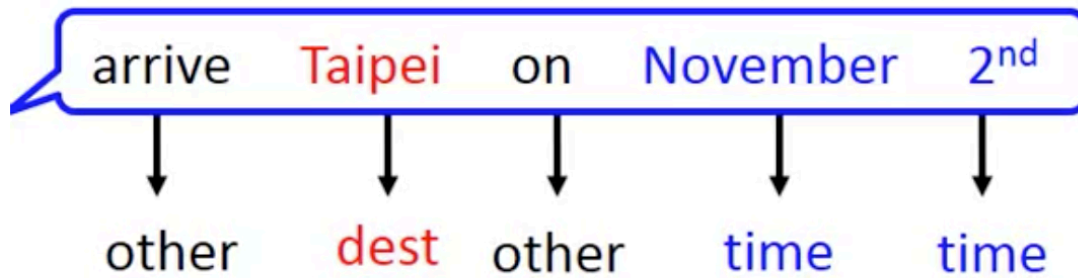
Output:

Probability distribution that  
the input word belonging to  
the slots

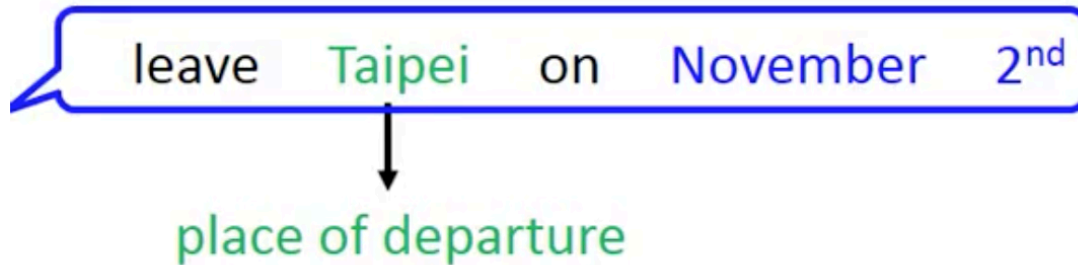
Taipei



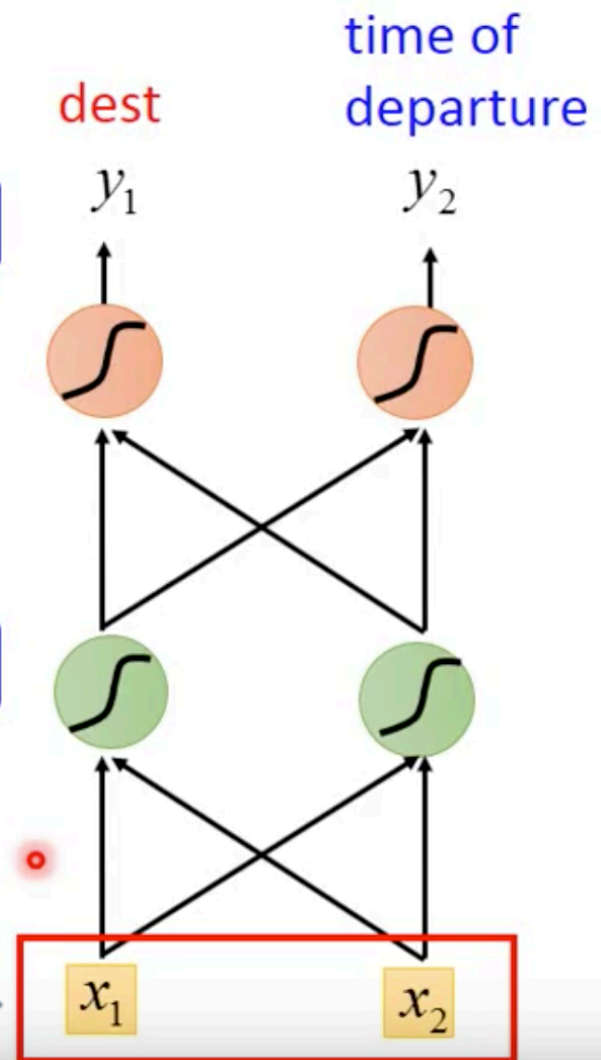
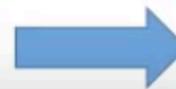
# Example Application



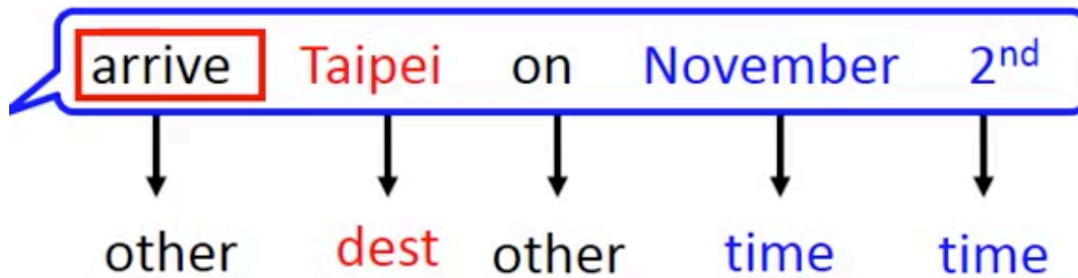
Problem?



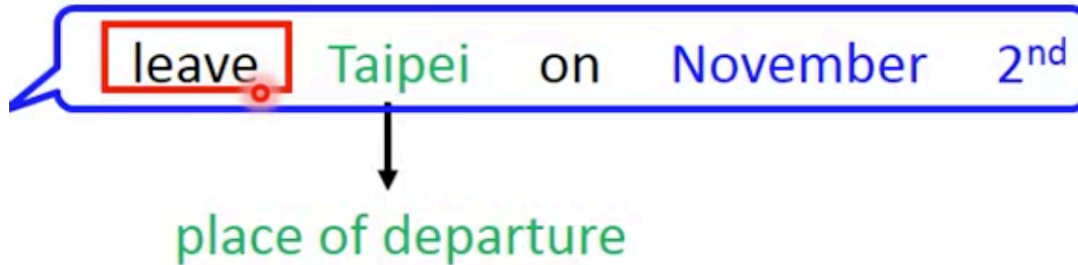
Taipei



# Example Application

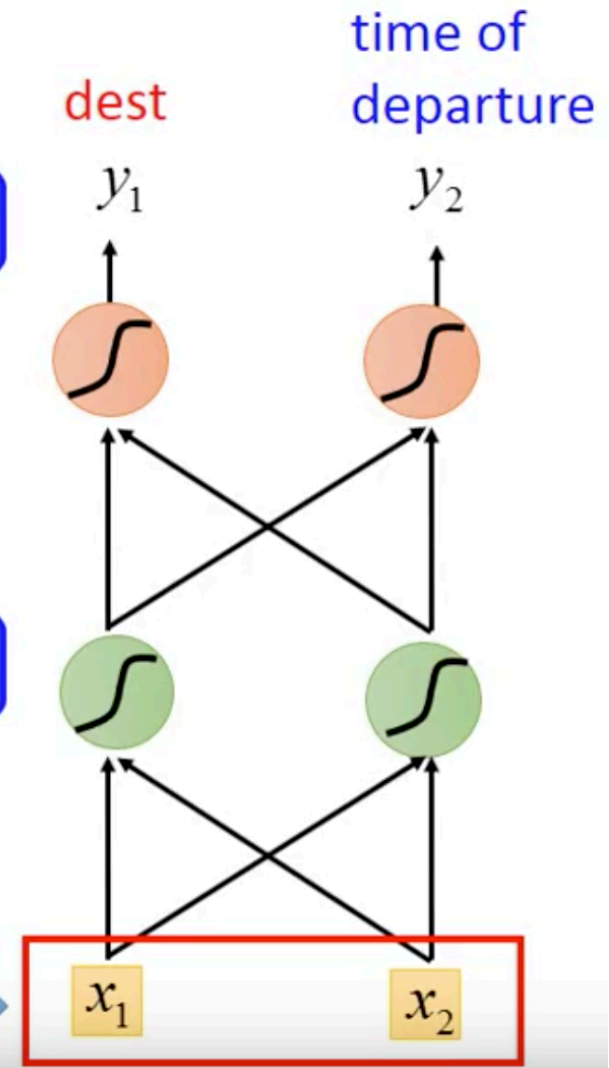


Problem?



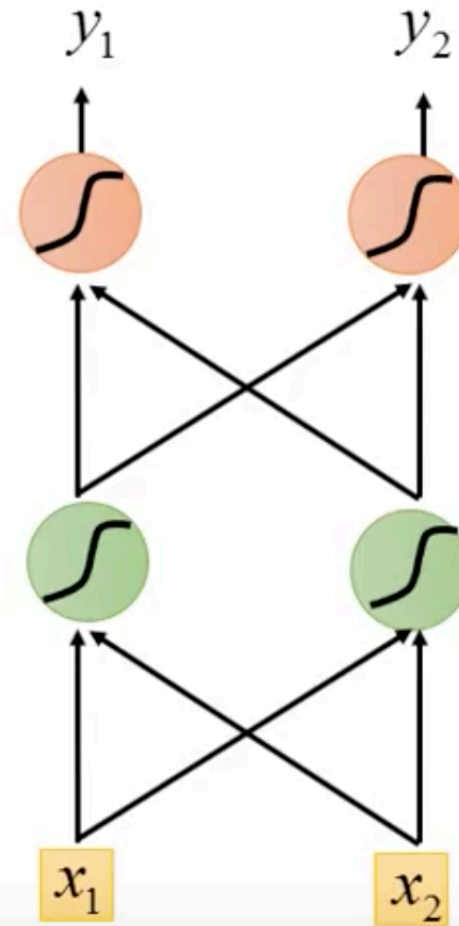
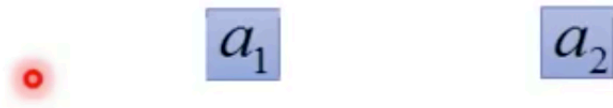
Neural network needs memory!

Taipei



# Recurrent Neural Network (RNN)

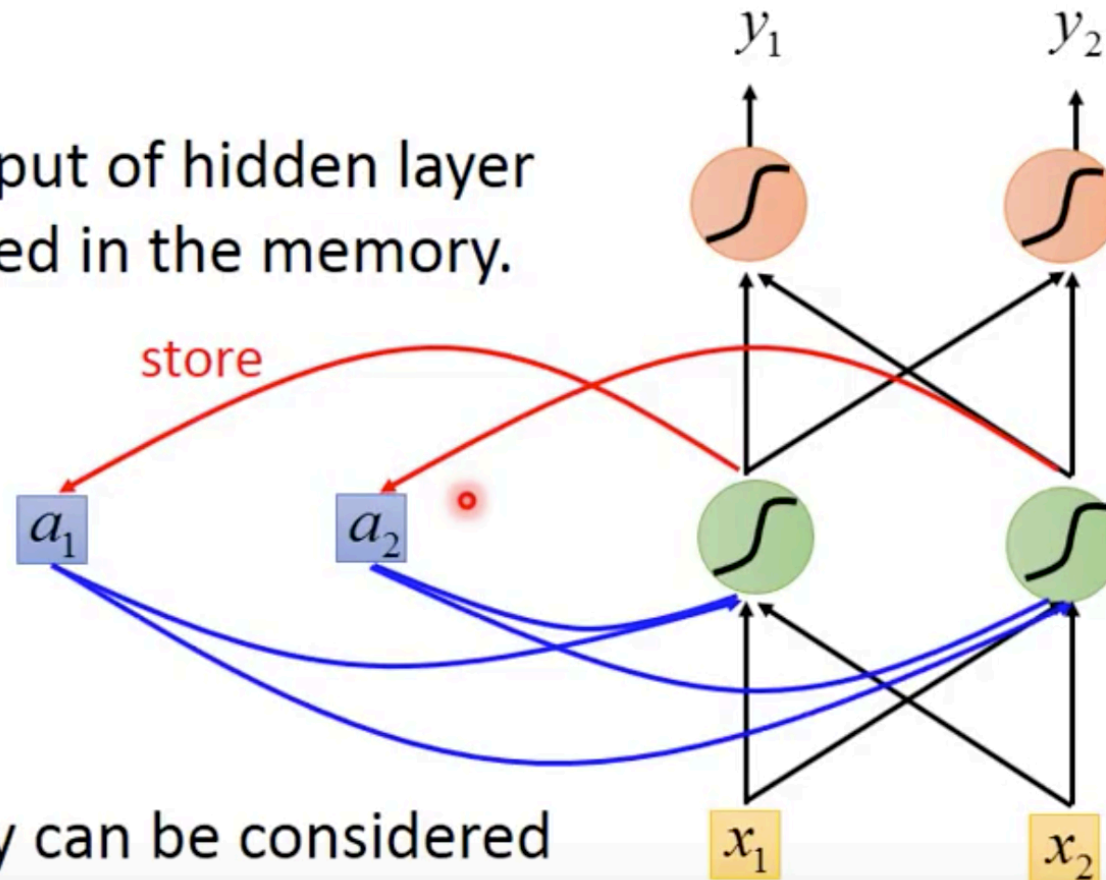
The output of hidden layer are stored in the memory.





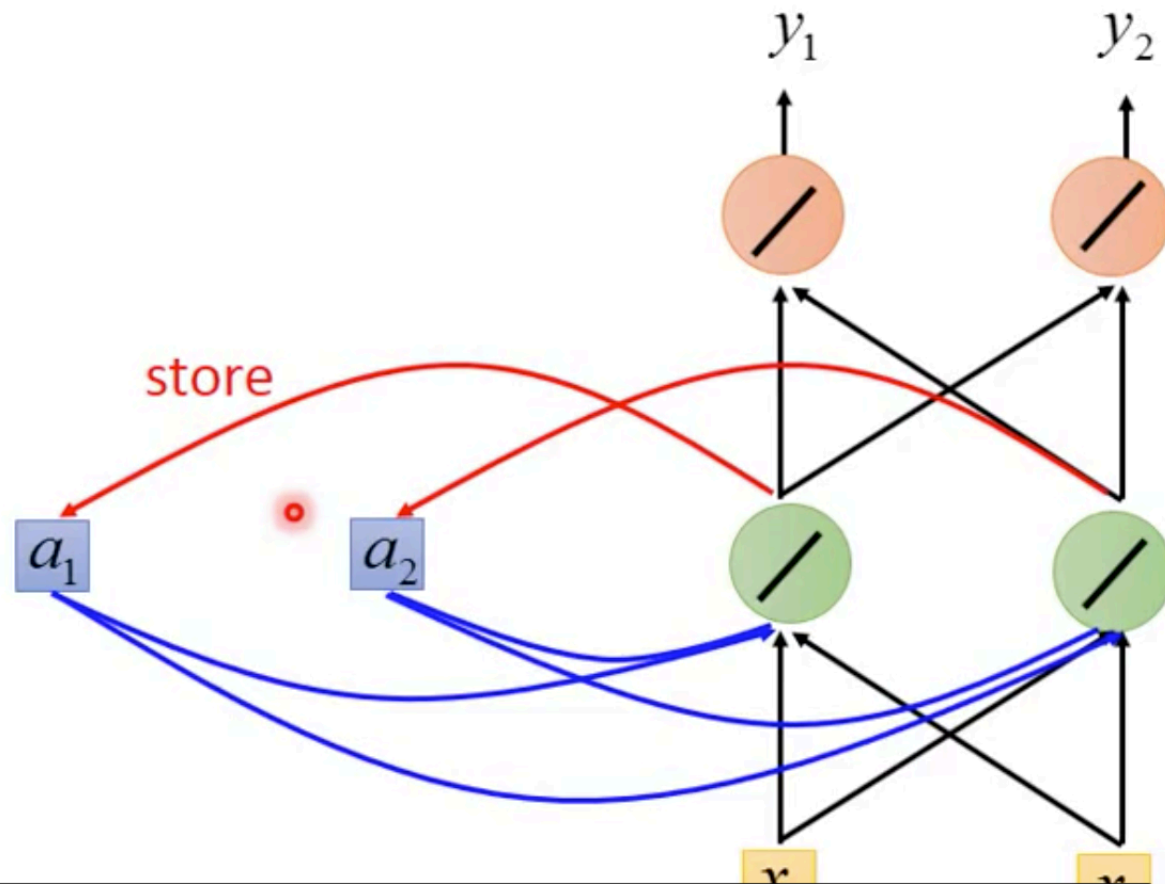
# Recurrent Neural Network (RNN)

The output of hidden layer are stored in the memory.



Memory can be considered as another input.

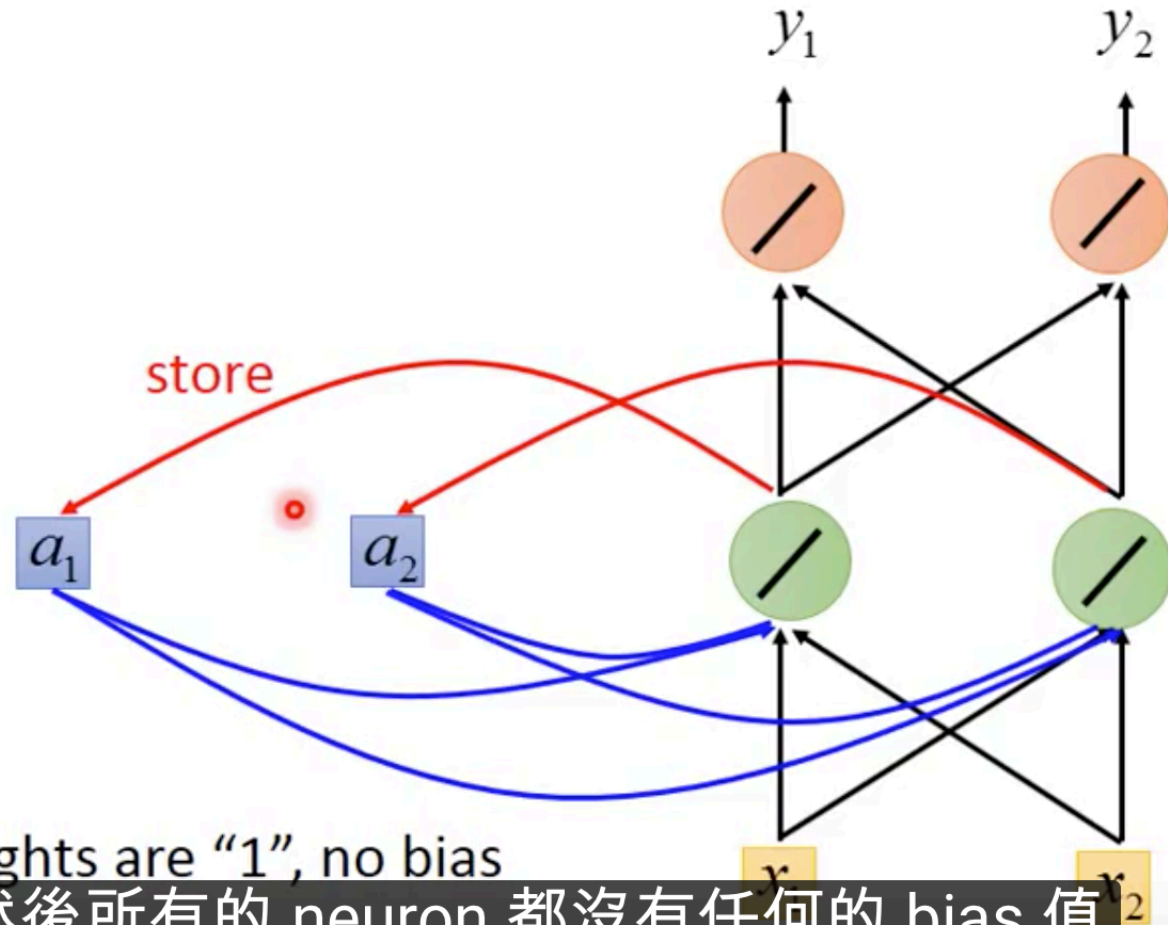
# Example



那我想直接舉個例子，大家可能會比較清楚



# Example

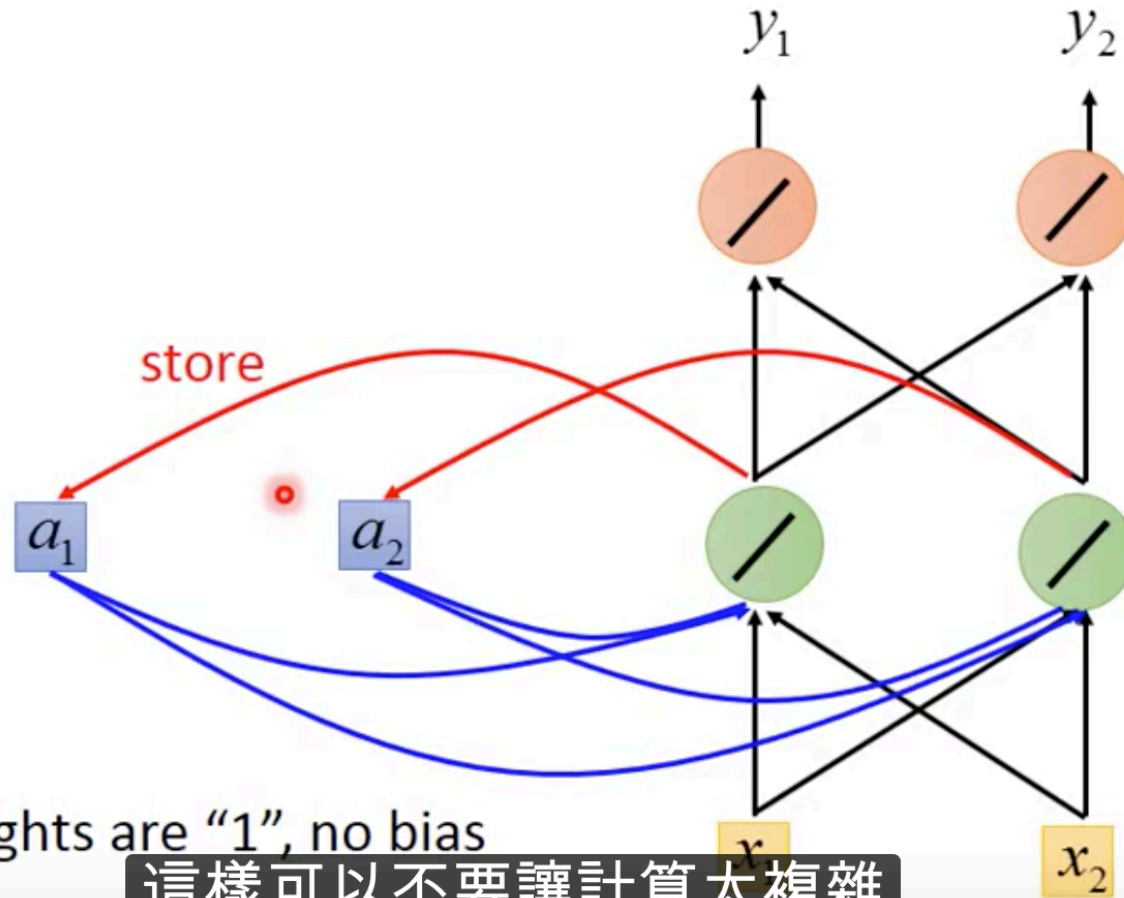


All the weights are "1", no bias

然後所有的 neuron 都沒有任何的 bias 值



# Example



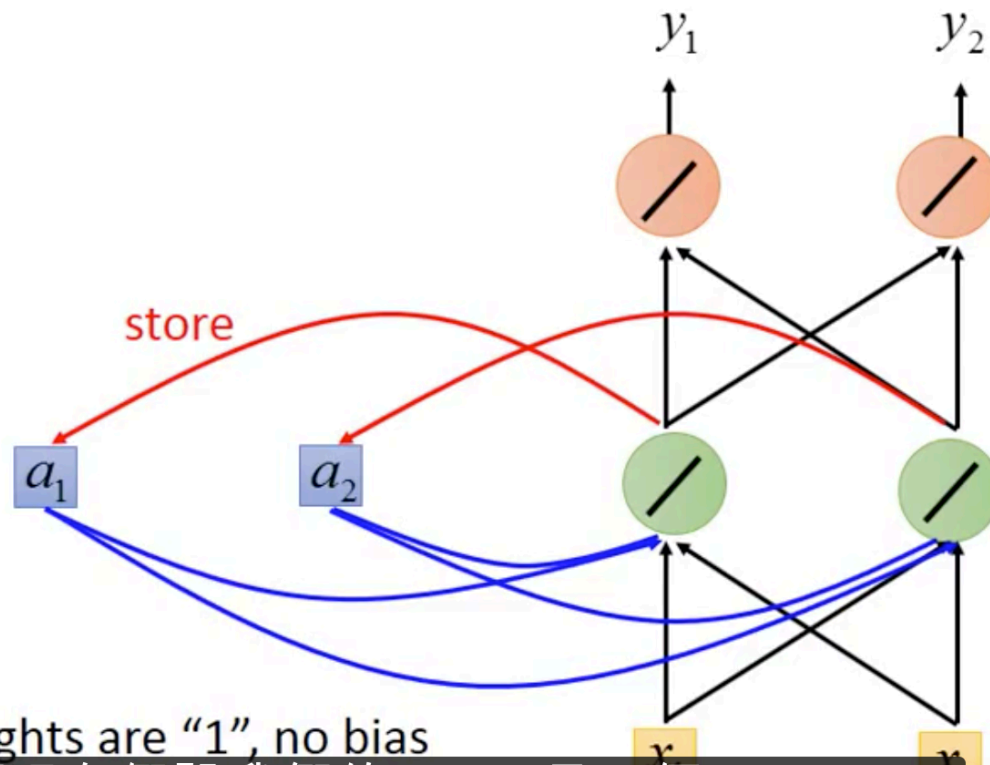
All the weights are "1", no bias

這樣可以不要讓計算太複雜

All activation functions are linear

Input sequence:  $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 2 \\ 2 \end{bmatrix}$  ... ..

## Example



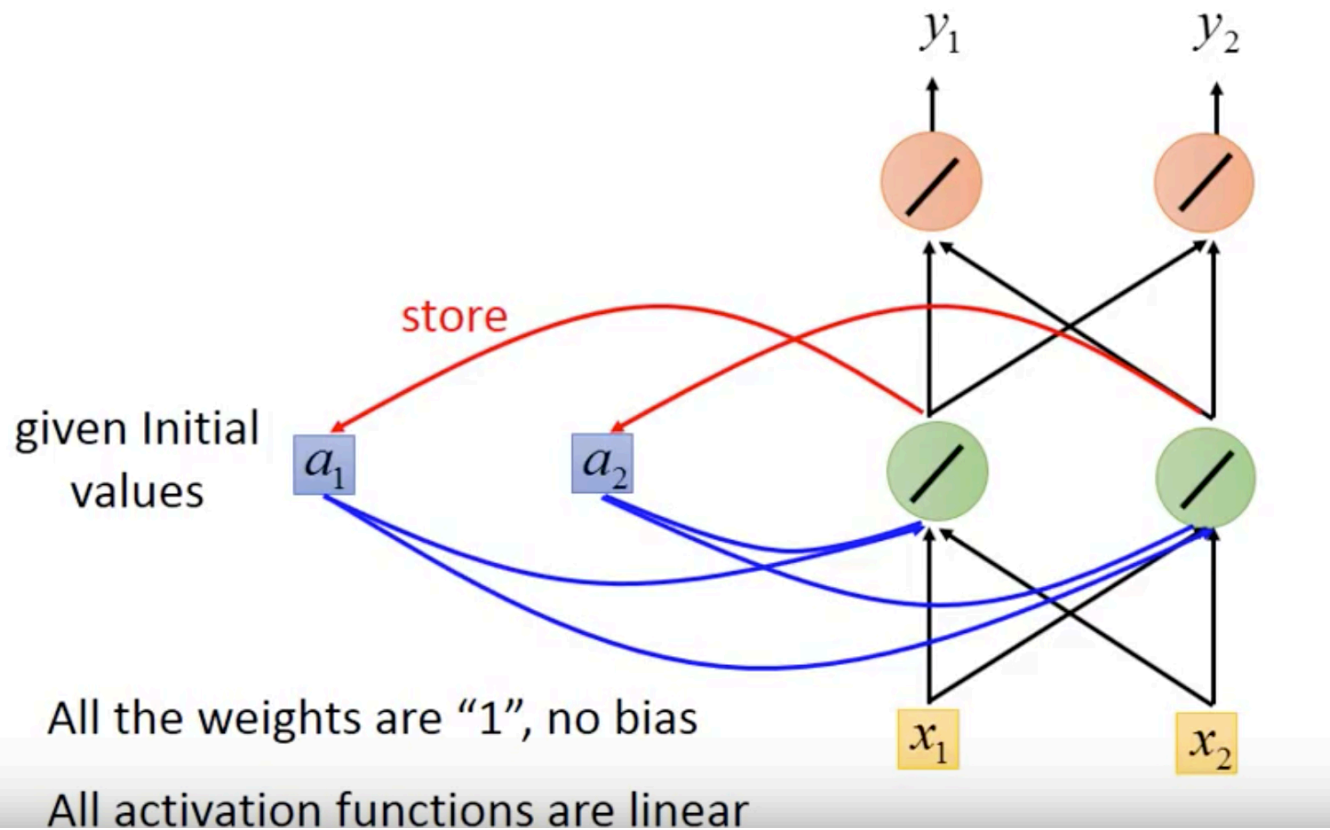
All the weights are "1", no bias

那現在假設我們的 input 是一個 sequence

All activation functions are linear

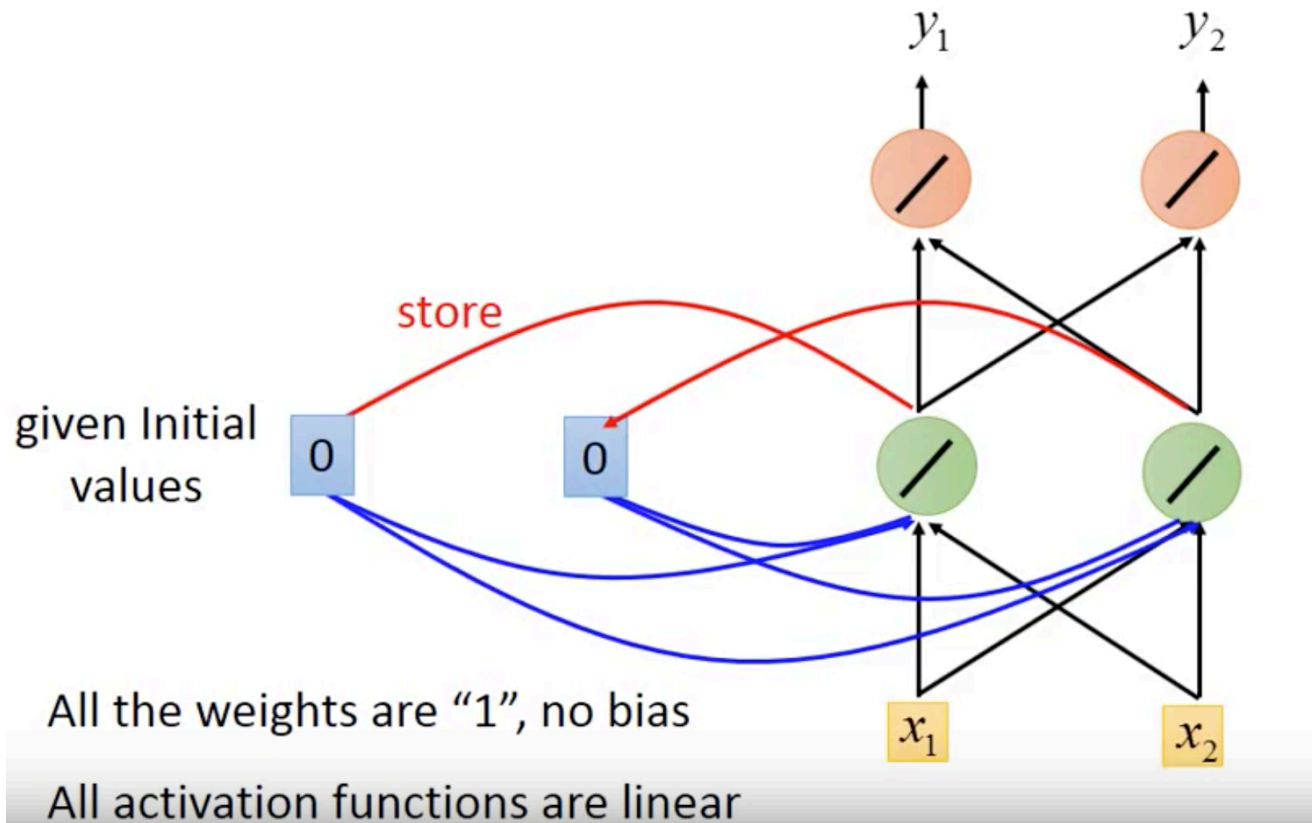
Input sequence:  $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 2 \\ 2 \end{bmatrix}$  ... ..

## Example



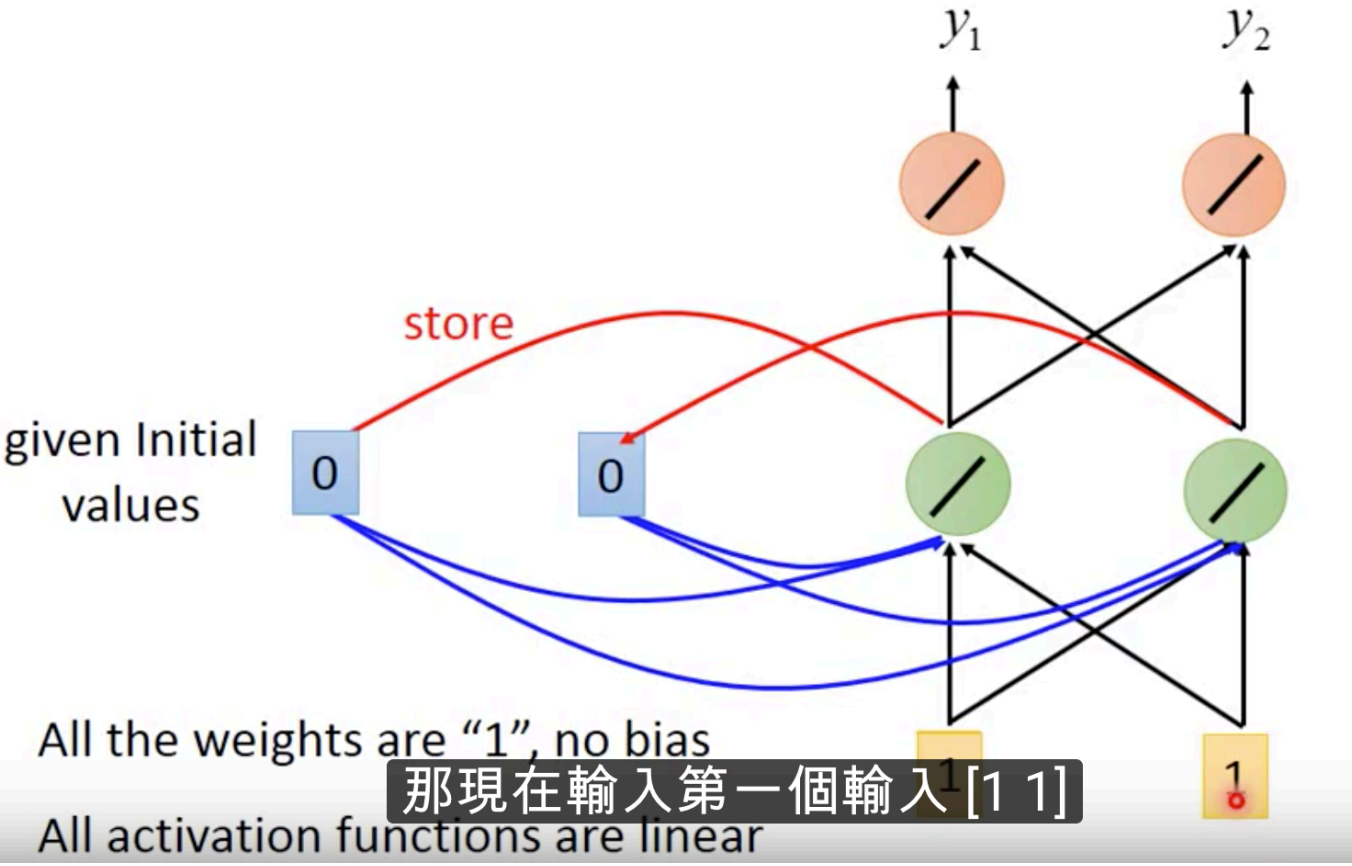
Input sequence:  $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 2 \\ 2 \end{bmatrix}$  ... ..

## Example



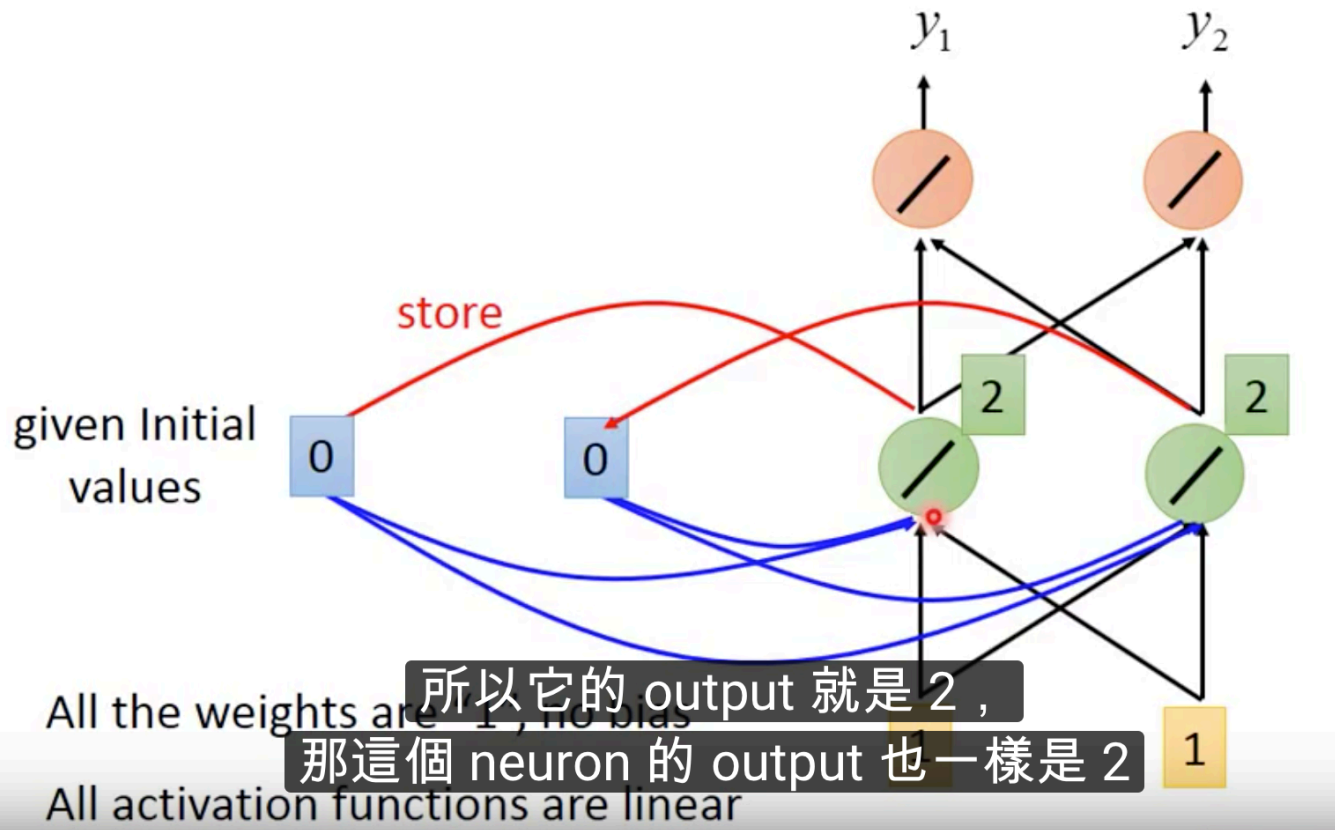
Input sequence:  $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 2 \\ 2 \end{bmatrix}$  ... ..

# Example



Input sequence:  $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 2 \\ 2 \end{bmatrix}$  ... ..

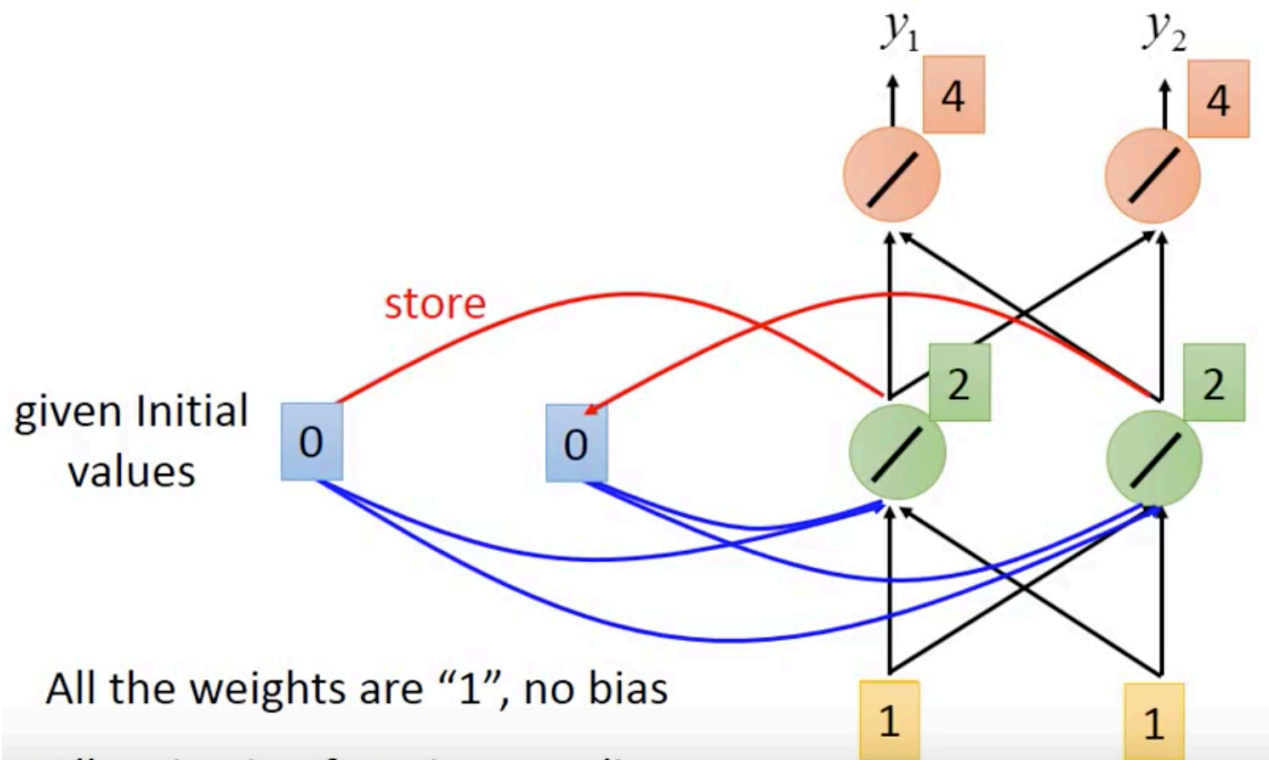
## Example



# Example

Input sequence:  $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 2 \\ 2 \end{bmatrix}$  ... ..

output sequence:  $\begin{bmatrix} 4 \\ 4 \end{bmatrix}$

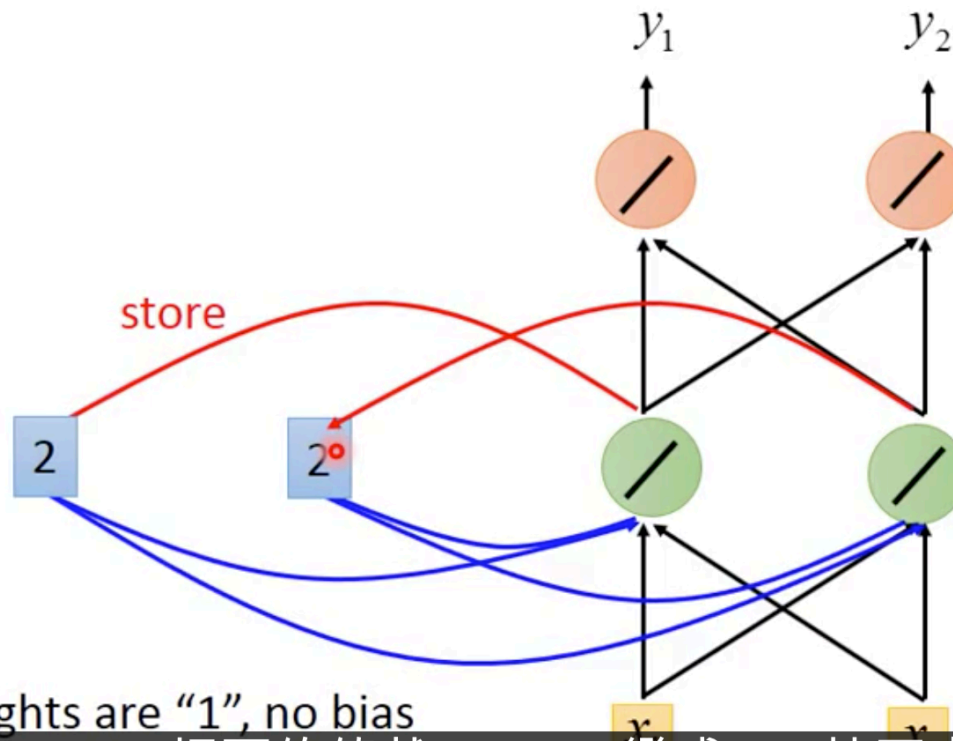


All the weights are "1", no bias

All activation functions are linear

# Example

Input sequence:  $\begin{bmatrix} 1 \\ 1 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix} \begin{bmatrix} 2 \\ 2 \end{bmatrix} \dots \dots$   
output sequence:  $\begin{bmatrix} 4 \\ 4 \end{bmatrix}$



All the weights are "1", no bias

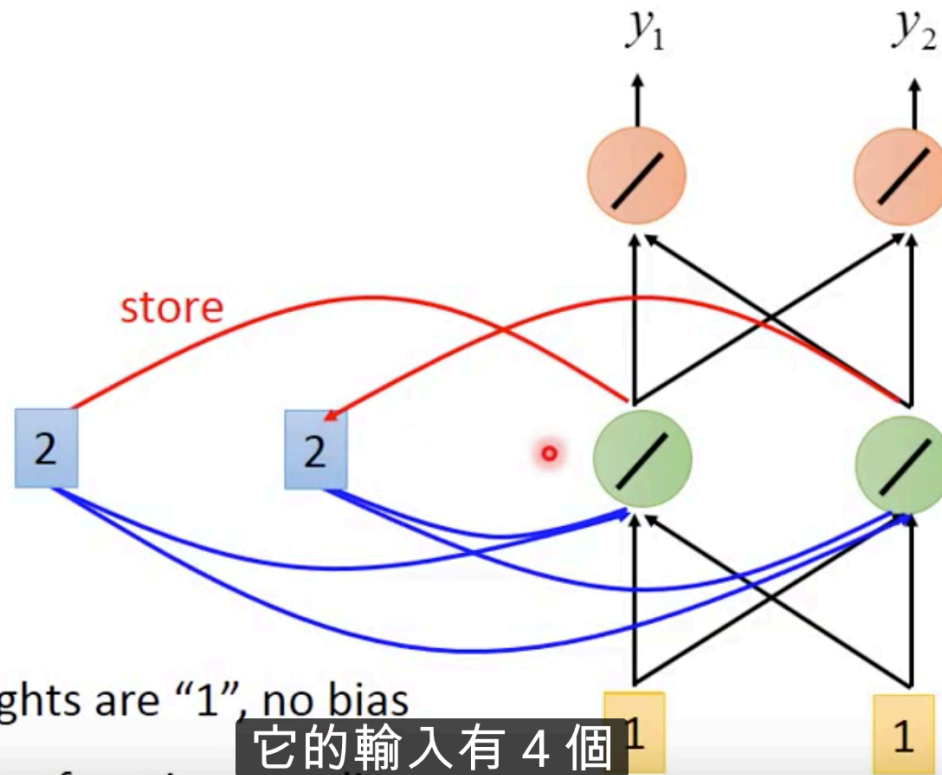
所以 memory 裡面的值就 update 變成 2，接下來呢  
All activation functions are linear



# Example

Input sequence:  $\begin{bmatrix} 1 \\ 1 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix} \begin{bmatrix} 2 \\ 2 \end{bmatrix} \dots$

output sequence:  $\begin{bmatrix} 4 \\ 4 \end{bmatrix}$



All the weights are "1", no bias

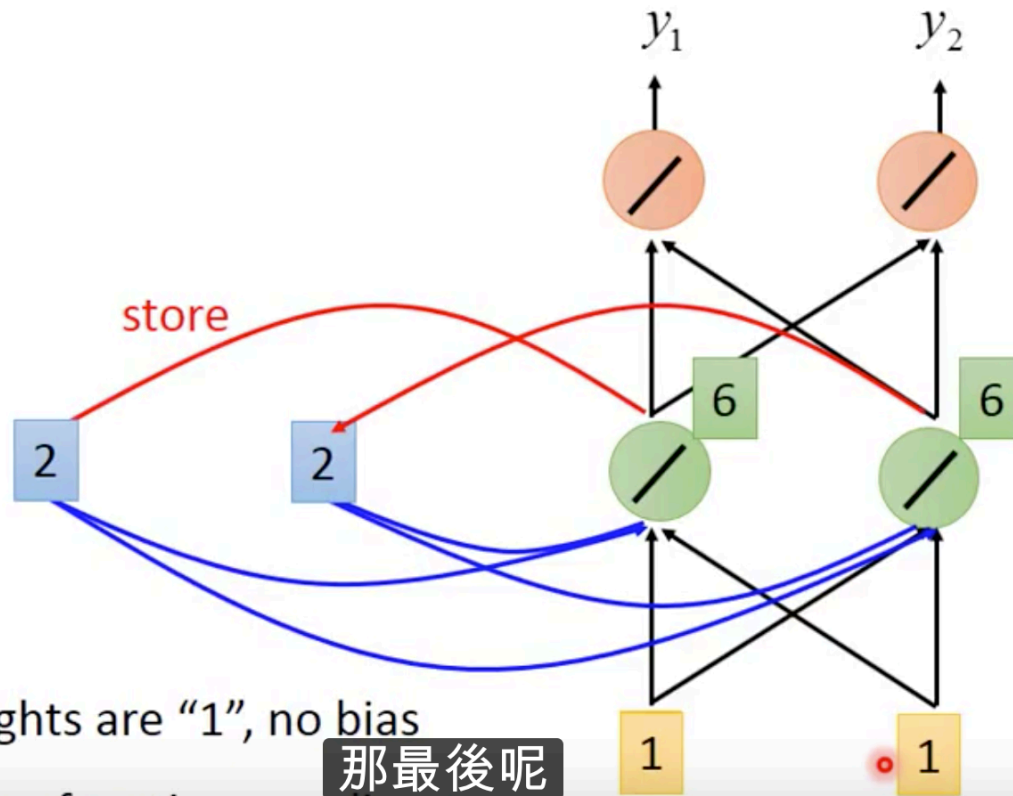
它的輸入有 4 個

All activation functions are linear

# Example

Input sequence:  $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 2 \\ 2 \end{bmatrix}$  ... ..

output sequence:  $\begin{bmatrix} 4 \\ 4 \end{bmatrix}$



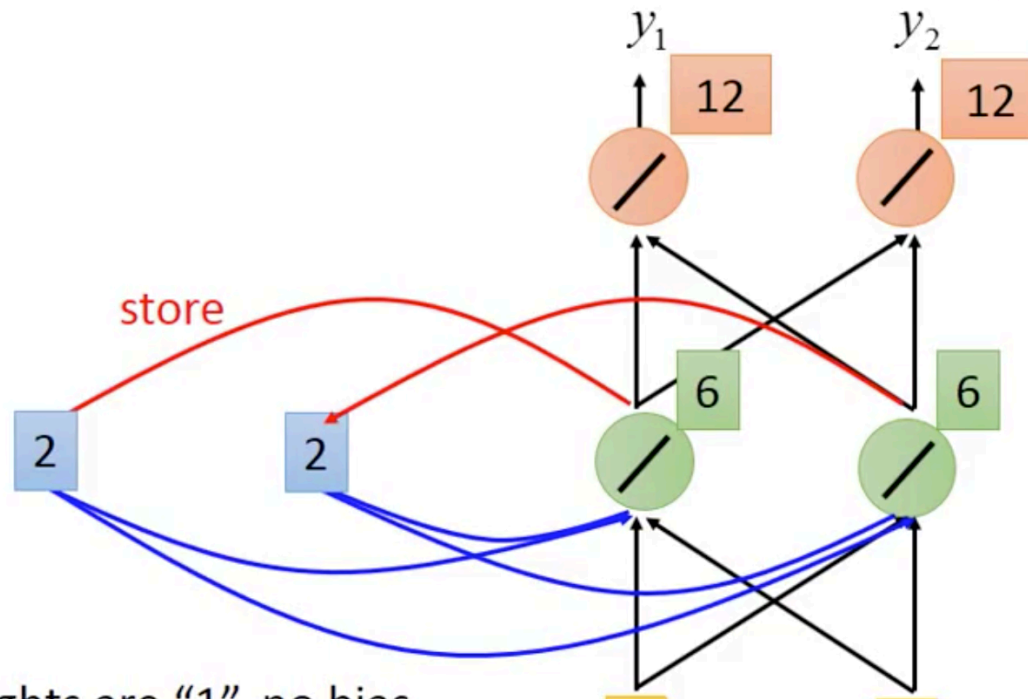
All the weights are "1", no bias

那最後呢

All activation functions are linear

# Example

Input sequence:  $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 2 \\ 2 \end{bmatrix}$  ... ..  
output sequence:  $\begin{bmatrix} 4 \\ 4 \end{bmatrix}$   $\begin{bmatrix} 12 \\ 12 \end{bmatrix}$



All the weights are "1", no bias

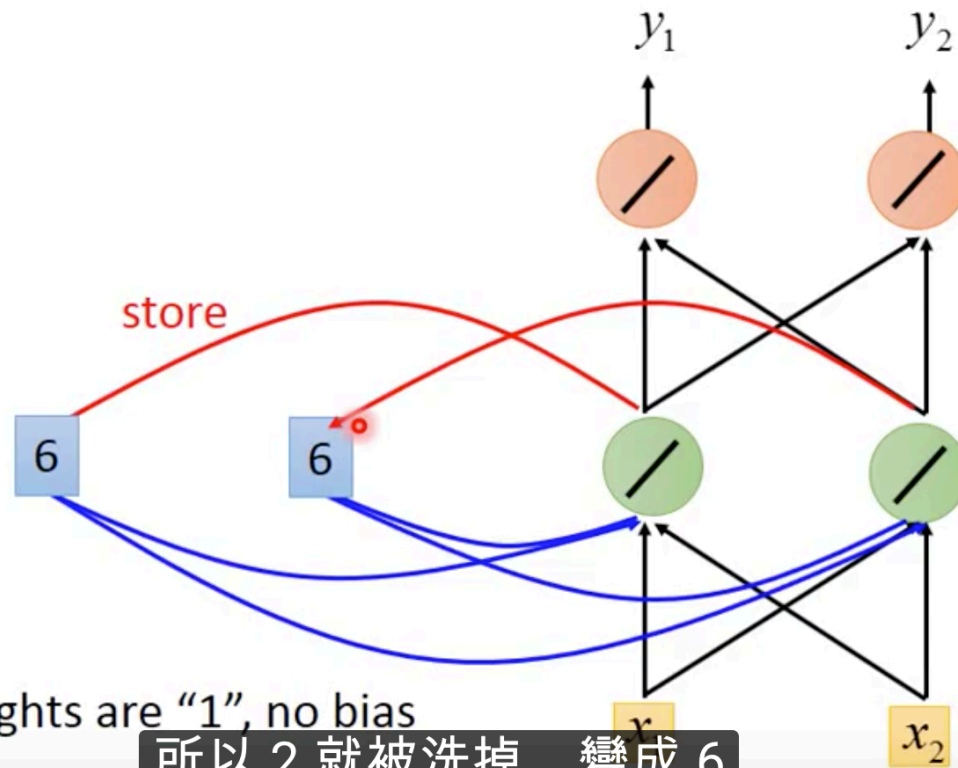
第二次再輸 [1 1] 的時候，輸出就是 [12 12]

All activation functions are linear

# Example

Input sequence:  $\begin{bmatrix} 1 \\ 1 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix} \begin{bmatrix} 2 \\ 2 \end{bmatrix} \dots \dots$

output sequence:  $\begin{bmatrix} 4 \\ 4 \end{bmatrix} \begin{bmatrix} 12 \\ 12 \end{bmatrix}$



All the weights are "1", no bias

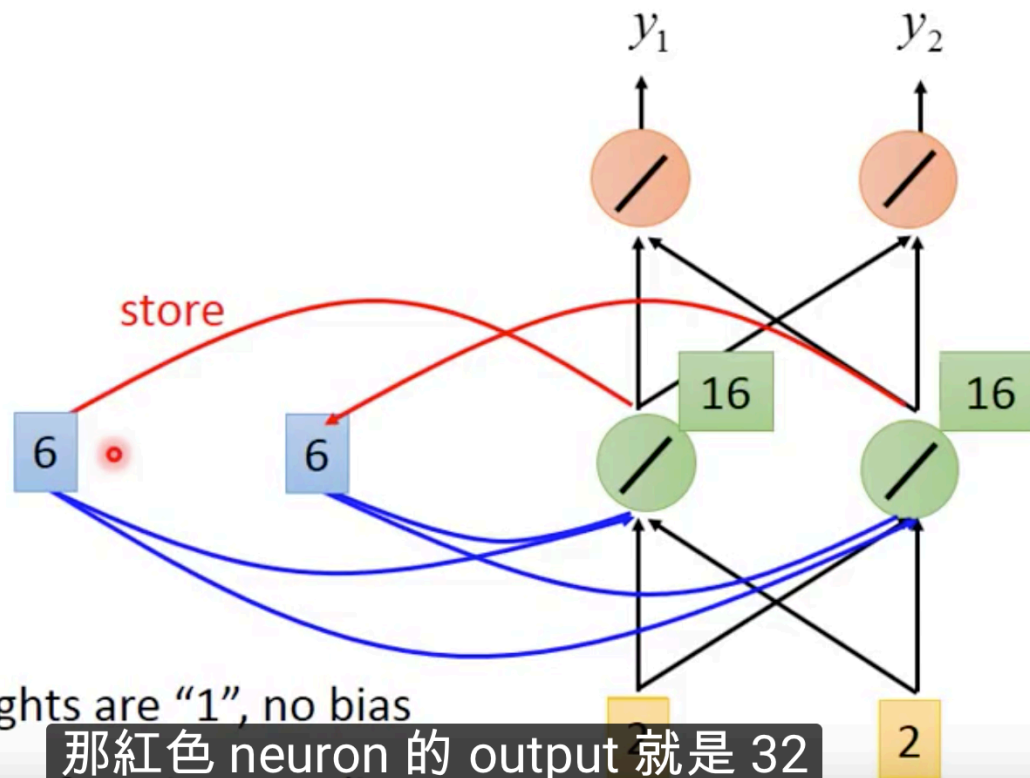
所以 2 就被洗掉，變成 6

All activation functions are linear

# Example

Input sequence:  $\begin{bmatrix} 1 \\ 1 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix} \begin{bmatrix} 2 \\ 2 \end{bmatrix} \dots \dots$

output sequence:  $\begin{bmatrix} 4 \\ 4 \end{bmatrix} \begin{bmatrix} 12 \\ 12 \end{bmatrix}$



All the weights are "1", no bias

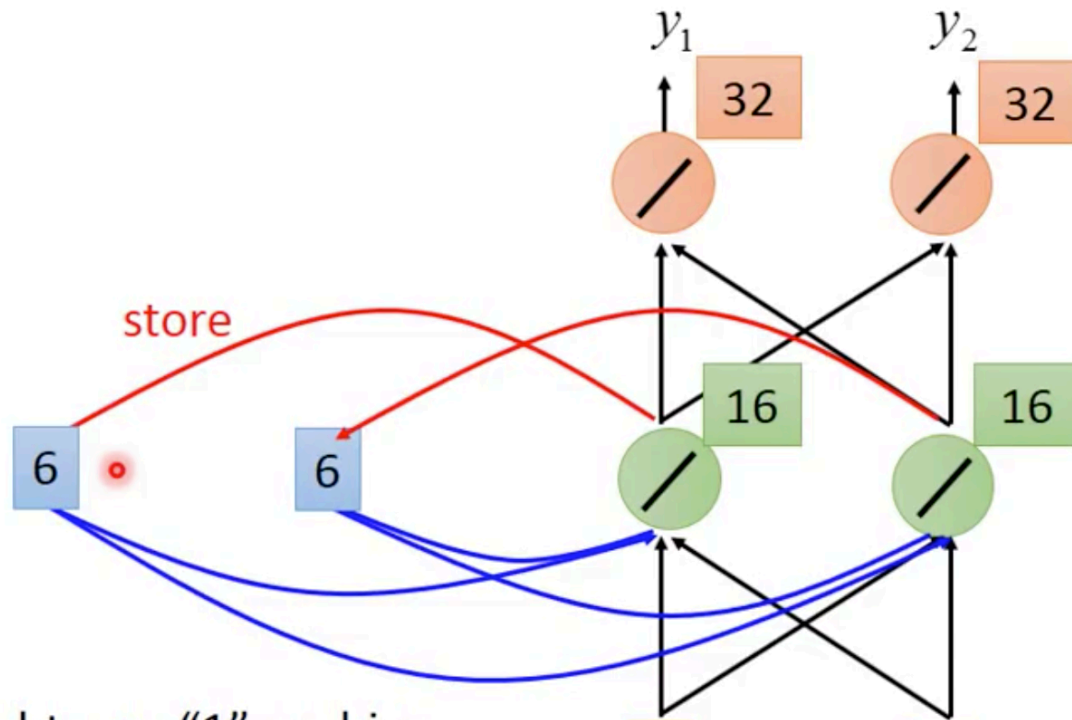
那紅色 neuron 的 output 就是 32

All activation functions are linear

# Example

Input sequence:  $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$   $\begin{bmatrix} 2 \\ 2 \end{bmatrix}$  ... ..

output sequence:  $\begin{bmatrix} 4 \\ 4 \end{bmatrix}$   $\begin{bmatrix} 12 \\ 12 \end{bmatrix}$   $\begin{bmatrix} 32 \\ 32 \end{bmatrix}$



All the weights are "1", no bias

所以 input 2 跟 2 的時候呢，output 是 32

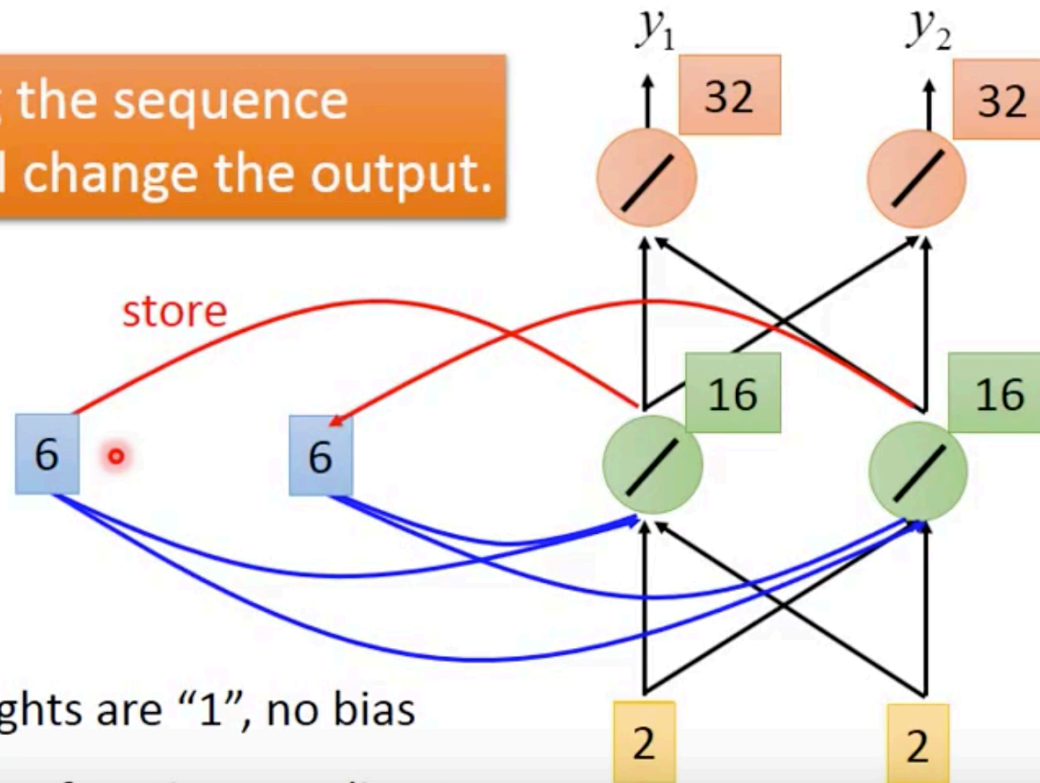
All activation functions are linear

# Example

Input sequence:  $\begin{bmatrix} 1 \\ 1 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix} \begin{bmatrix} 2 \\ 2 \end{bmatrix} \dots$

output sequence:  $\begin{bmatrix} 4 \\ 4 \end{bmatrix} \begin{bmatrix} 12 \\ 12 \end{bmatrix} \begin{bmatrix} 32 \\ 32 \end{bmatrix}$

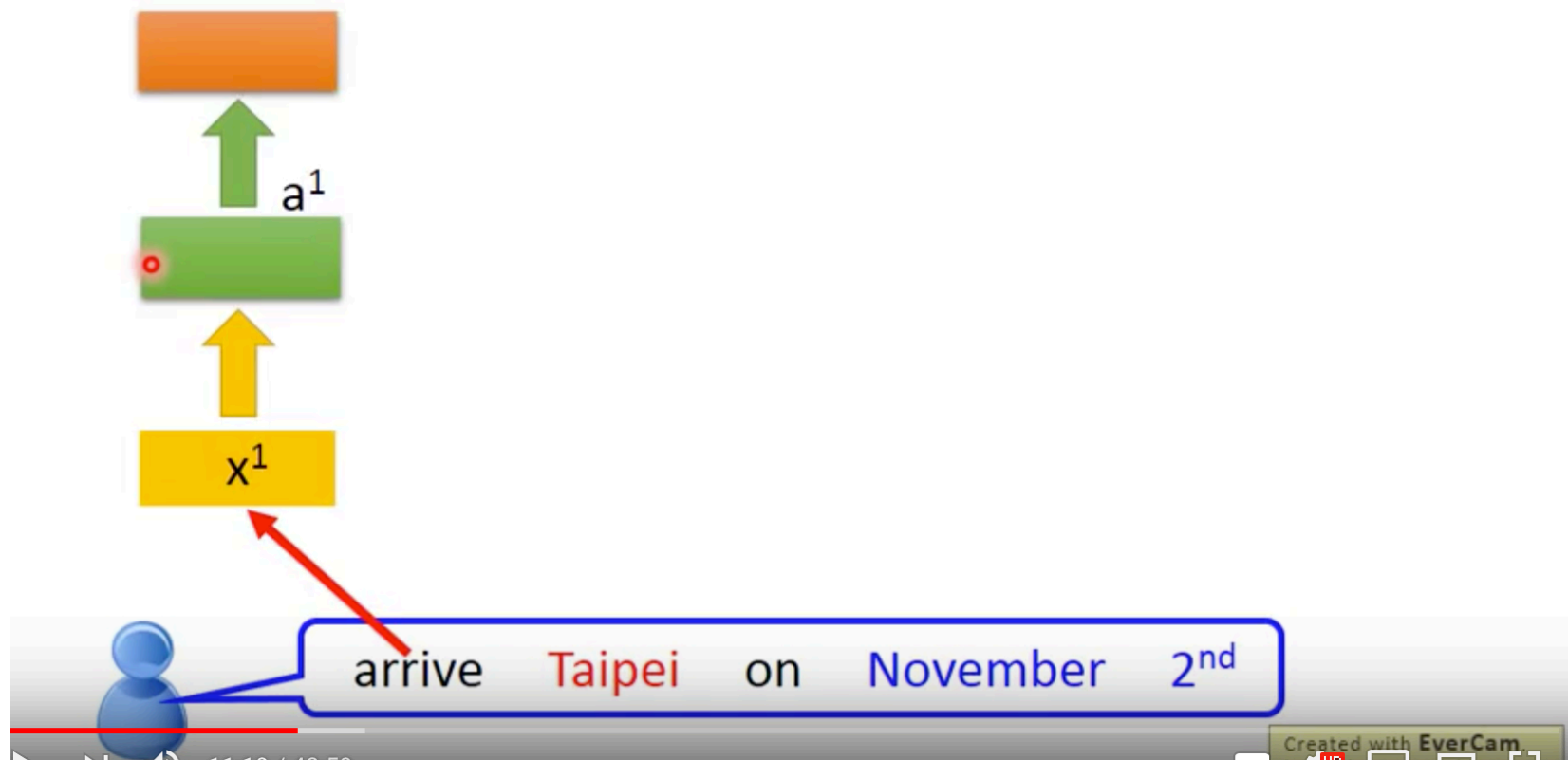
Changing the sequence order will change the output.



All the weights are "1", no bias

All activation functions are linear

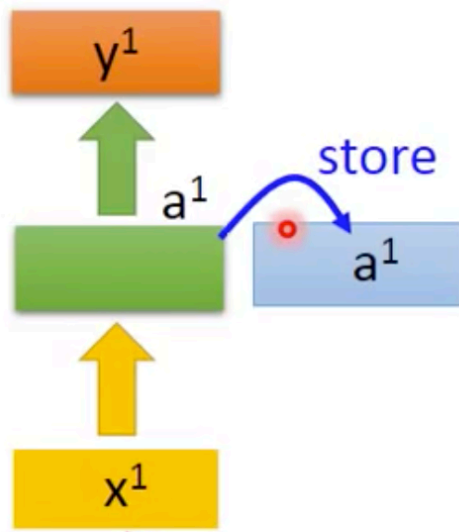
# RNN





# RNN

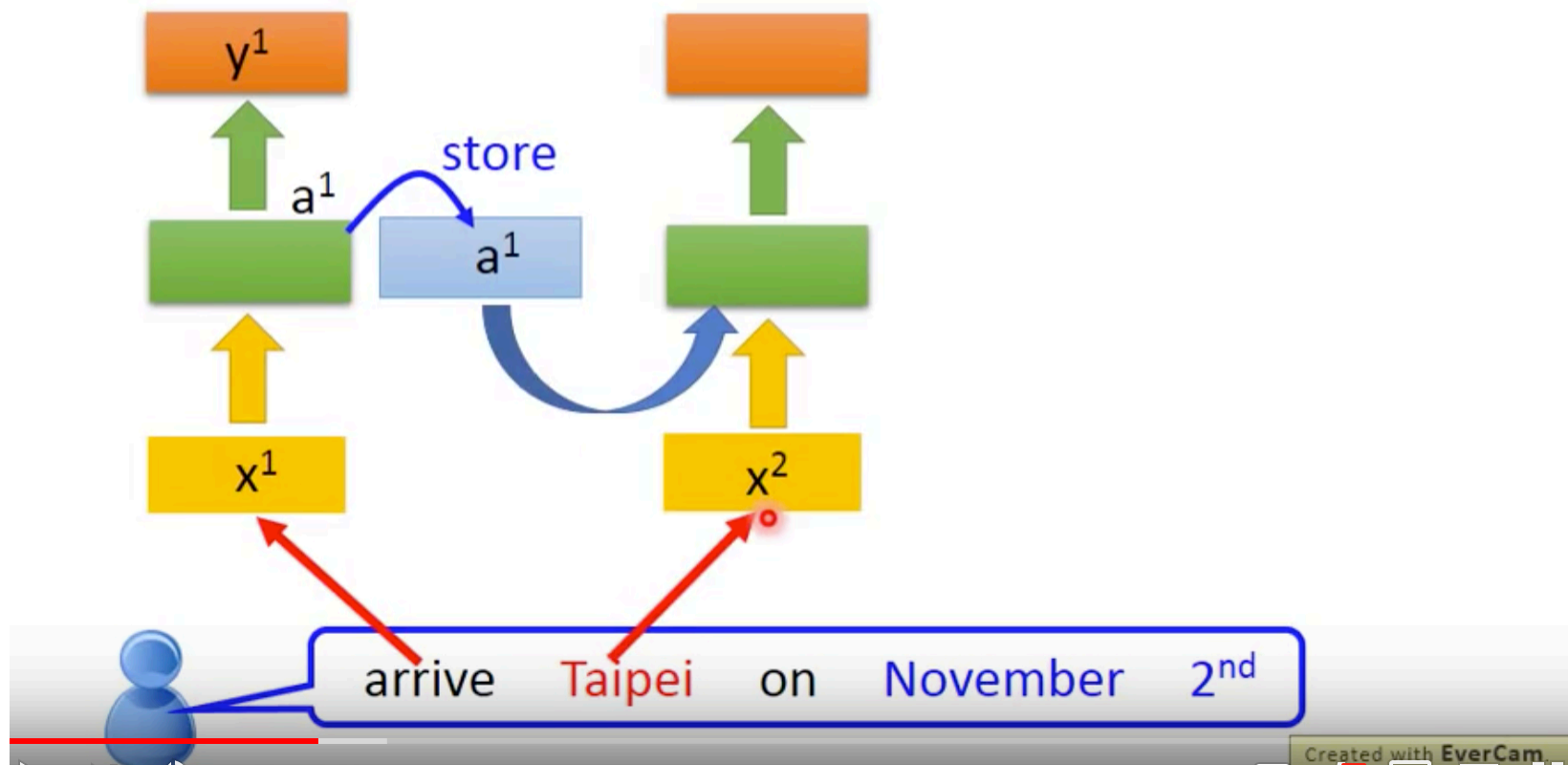
Probability of  
“arrive” in each slot



A screenshot of a video player interface. A blue speech bubble icon on the left points to a text input field containing the sentence "arrive Taipei on November 2nd". The word "Taipei" is highlighted in red. The video player controls at the bottom show a progress bar at 11:34 / 48:59 and a "Created with EverCam" watermark.

# RNN

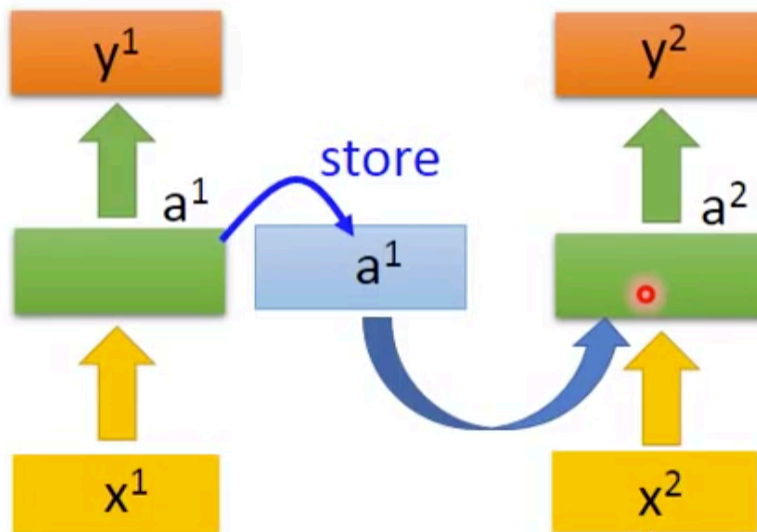
Probability of  
"arrive" in each slot



# RNN

Probability of  
"arrive" in each slot

Probability of  
"Taipei" in each slot



然後再根據  $a^2$  產生  $y^2$

$y^2$  是 Taipei 屬於哪一個 slot 的機率



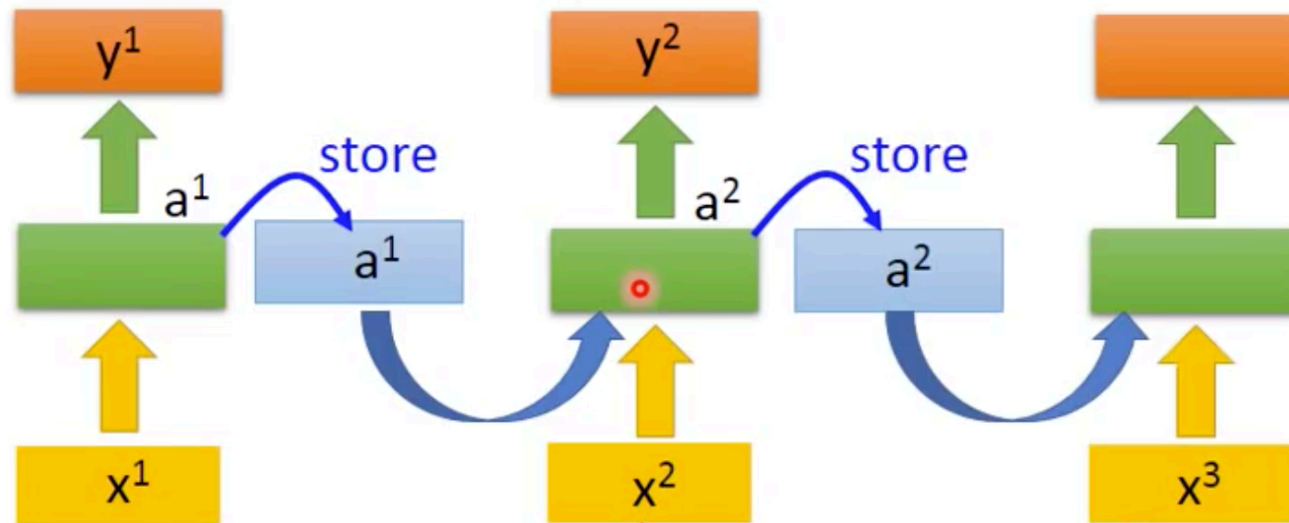
arrive taipei on November 2nd

# RNN

The same network is used again and again.

Probability of  
"arrive" in each slot

Probability of  
"Taipei" in each slot



這個 process 呢，就以此類推 2<sup>nd</sup>

arrive taipei on November

Created with EverCar

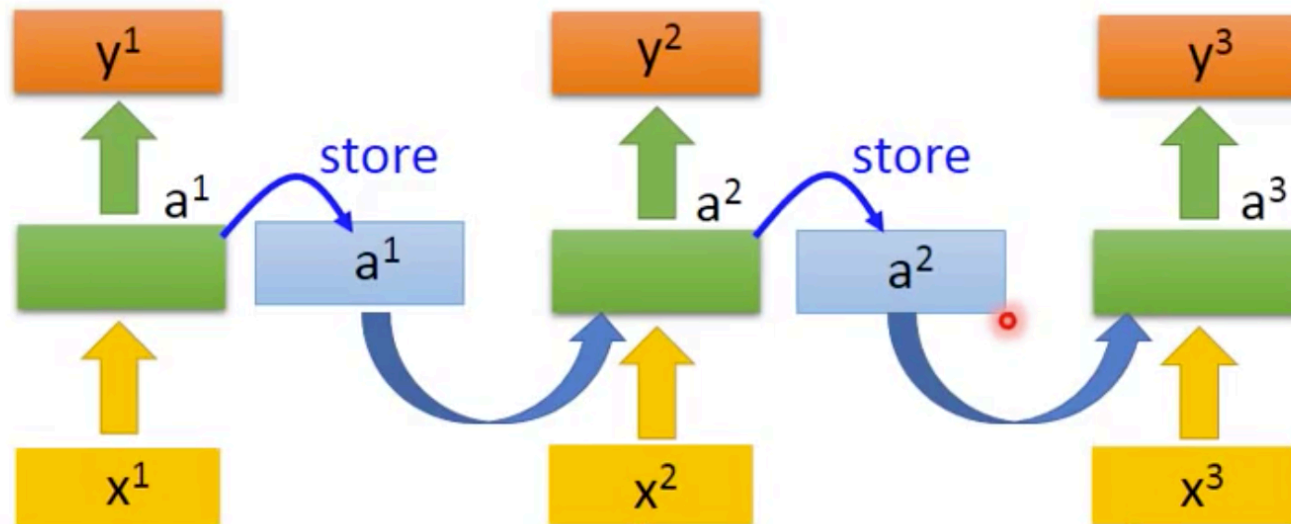
# RNN

The same network is used again and again.

Probability of  
"arrive" in each slot

Probability of  
"Taipei" in each slot

Probability of  
"on" in each slot



它代表 on 屬於哪一個 slot 的機率，

那這邊要注意的事情是

arrive Taipei on November 2<sup>nd</sup>

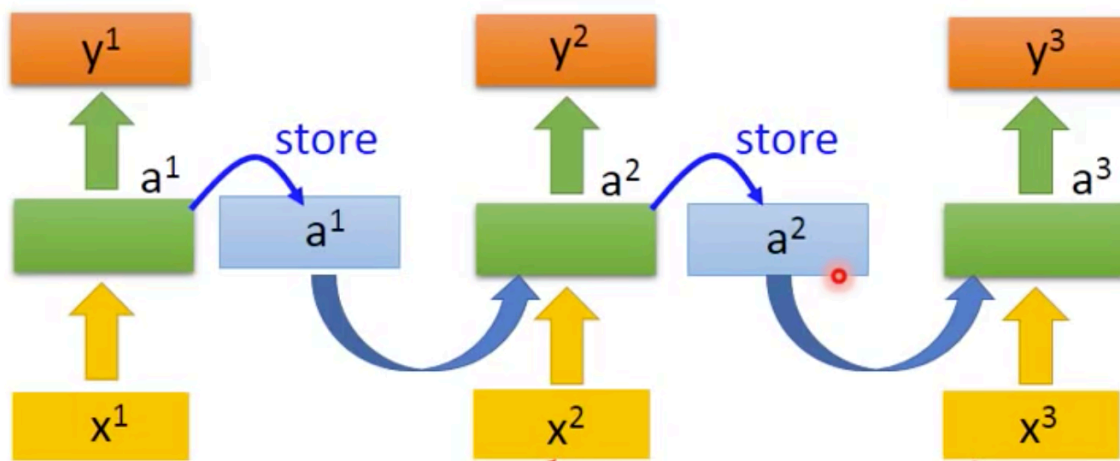
# RNN

The same network is used again and again.

Probability of  
“arrive” in each slot

Probability of  
“Taipei” in each slot

Probability of  
“on” in each slot



Note: they are not three networks. They are the same network used three times.

這個不是3個 network，這個是同一個 network



# RNN

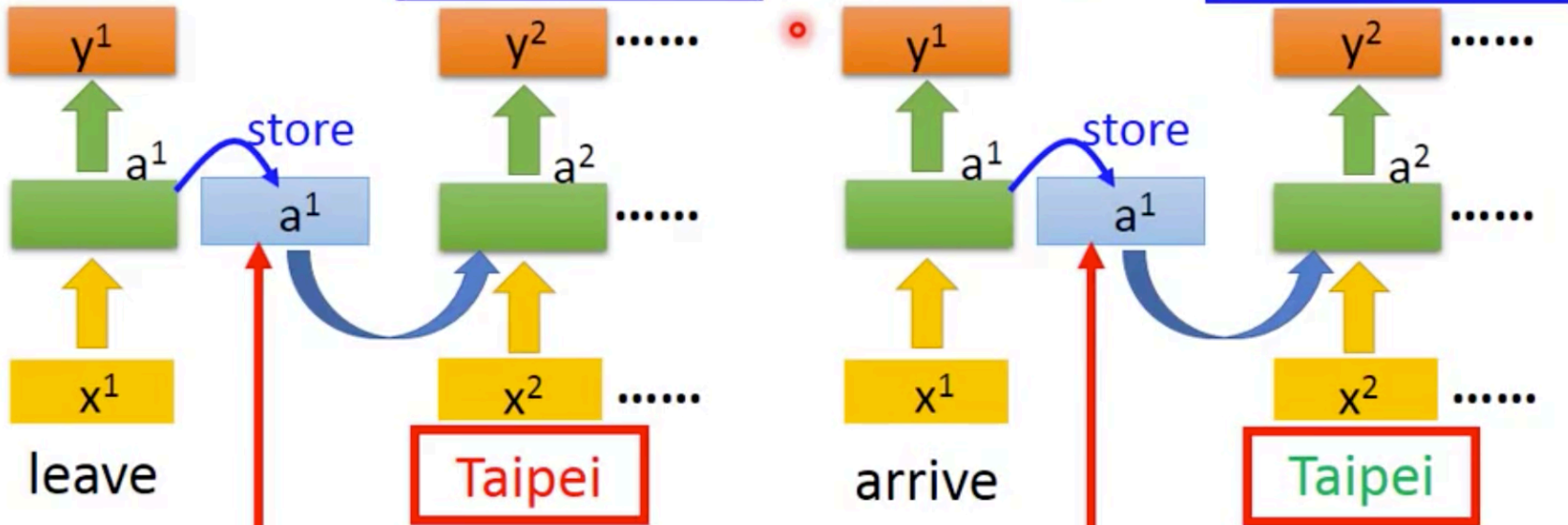
Different

Prob of "leave"  
in each slot

Prob of "Taipei"  
in each slot

Prob of "arrive"  
in each slot

Prob of "Taipei"  
in each slot

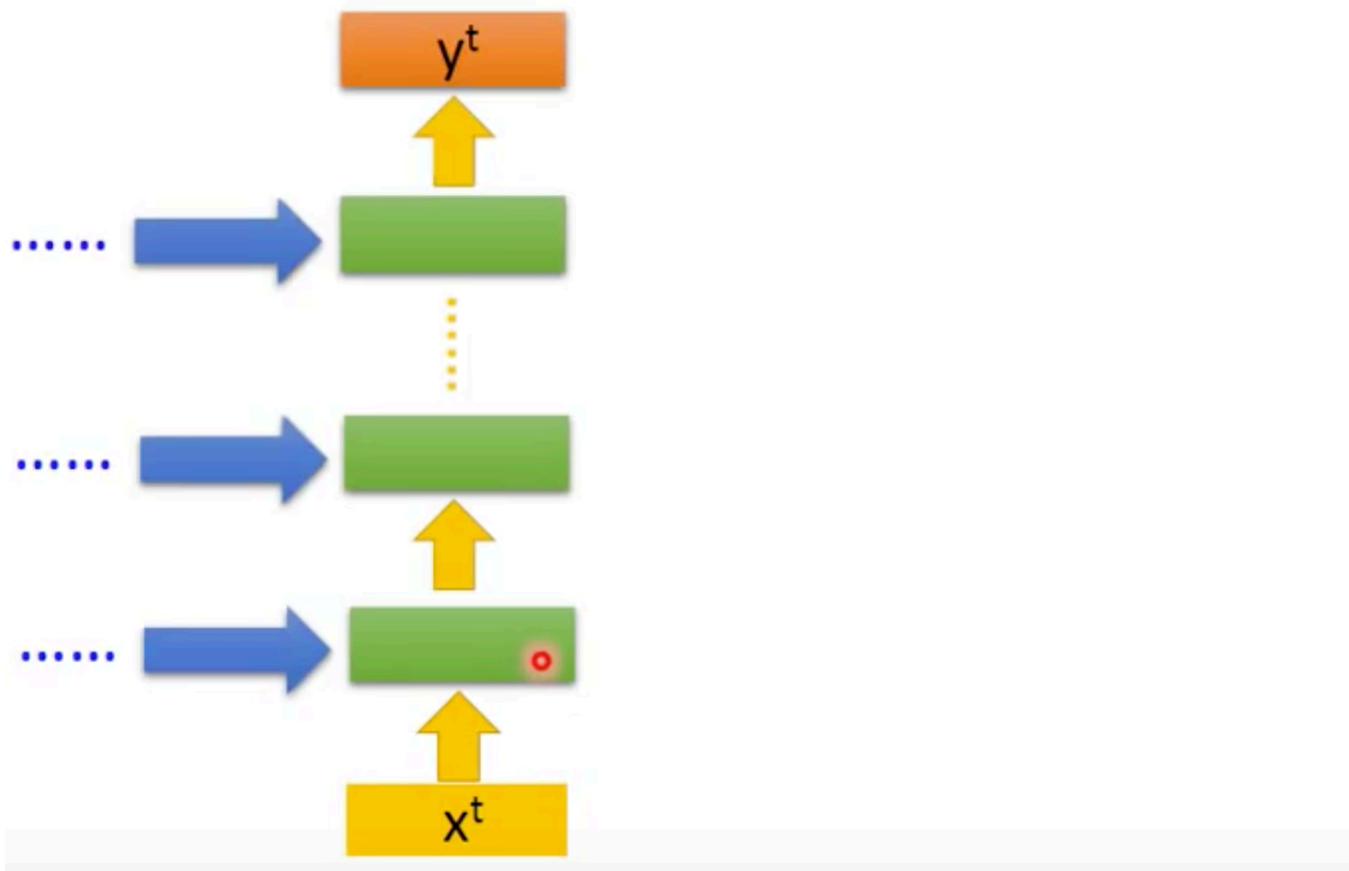


The values stored in the memory is different.

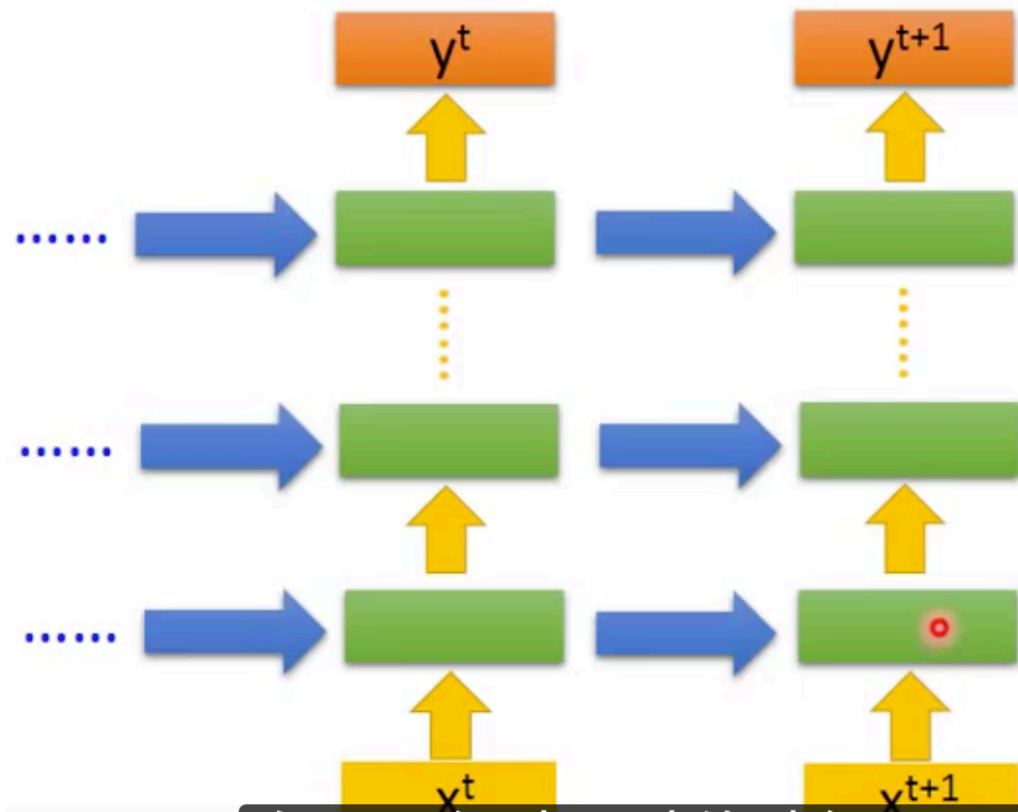
Of course it can be deep ...



Of course it can be deep ...

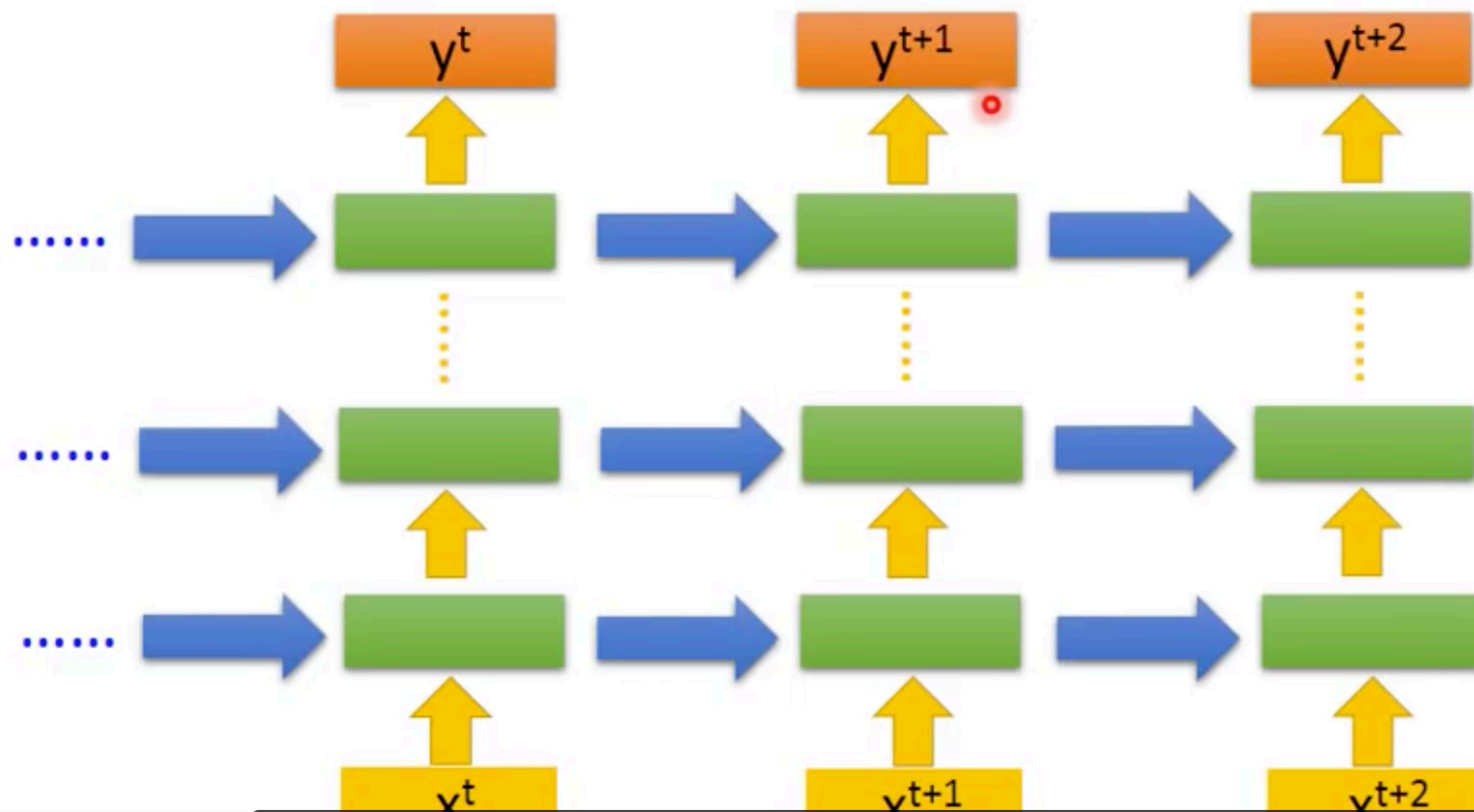


Of course it can be deep ...



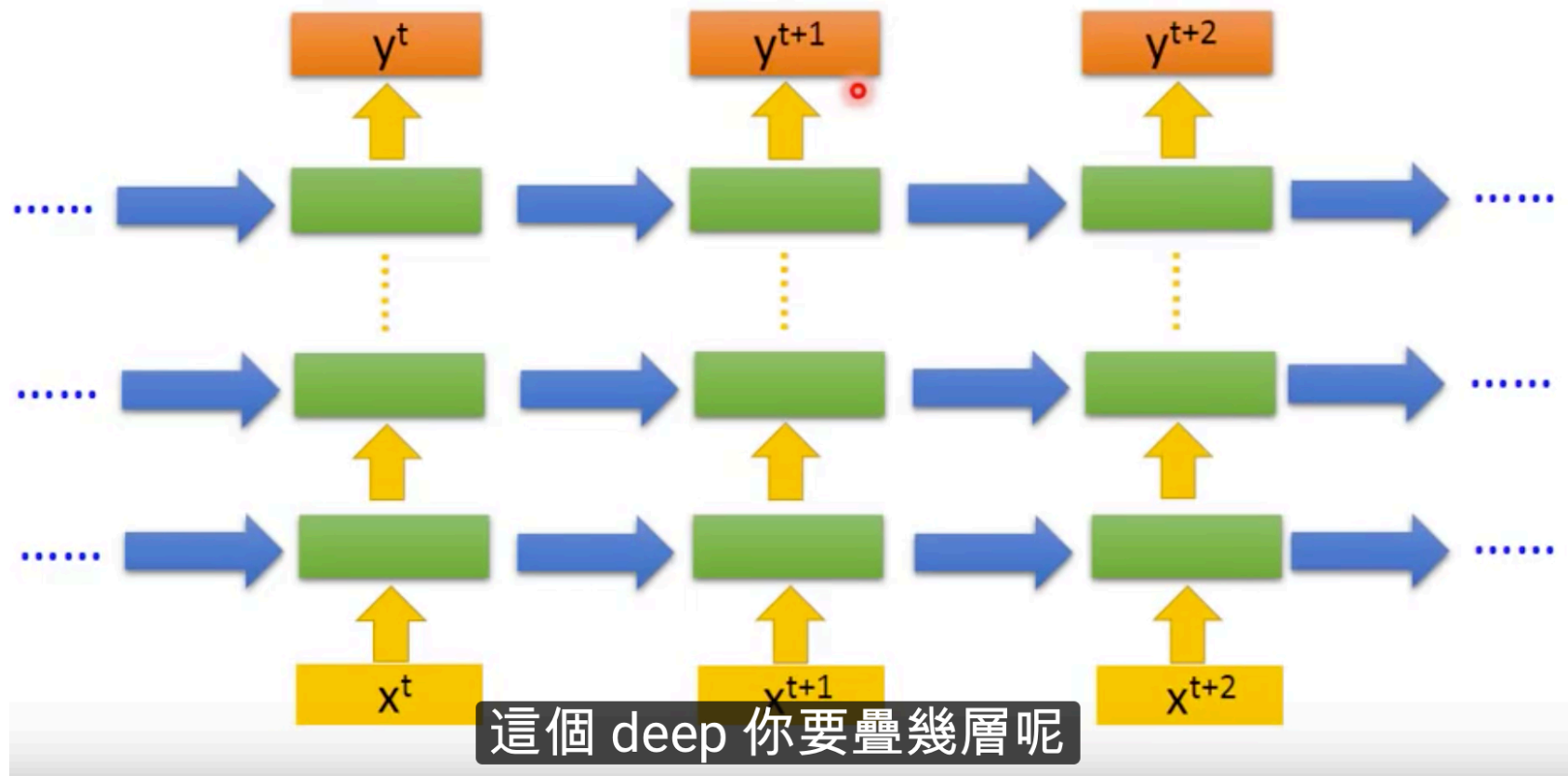
在下一個時間點的時候呢，每一個 hidden layer

Of course it can be deep ...



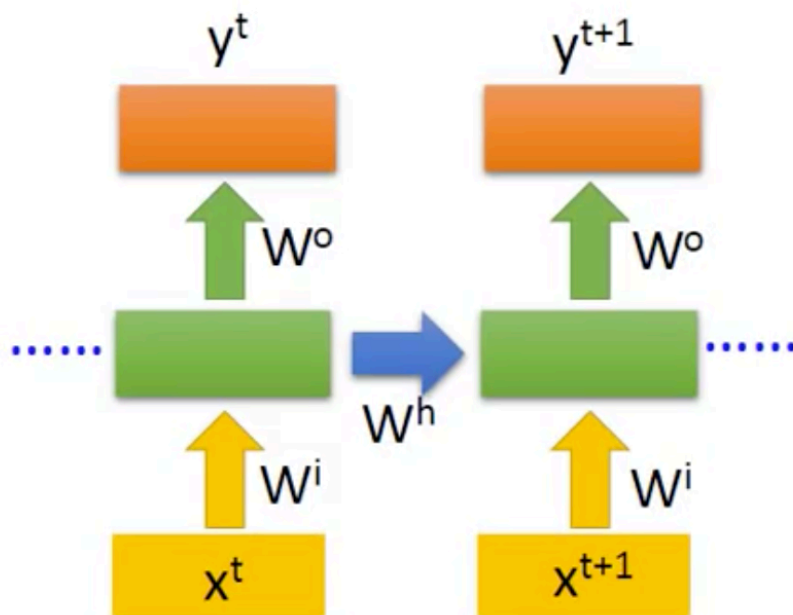
最後的 output，這個 process 就一直持續下去

Of course it can be deep ...



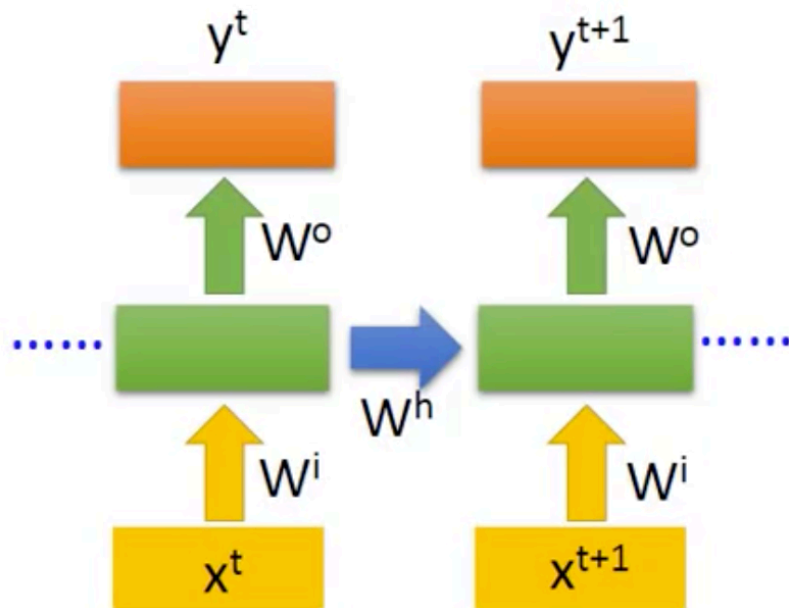
# Elman Network & Jordan Network

## Elman Network

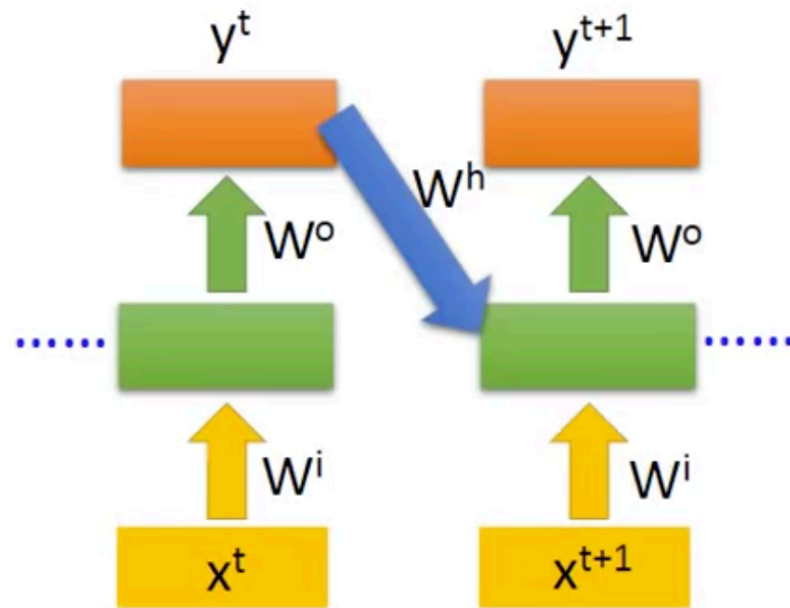


# Elman Network & Jordan Network

## Elman Network

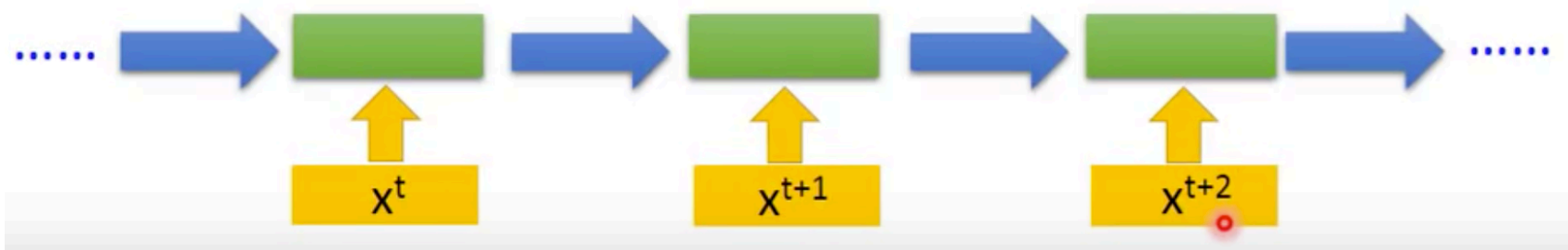


## • Jordan Network



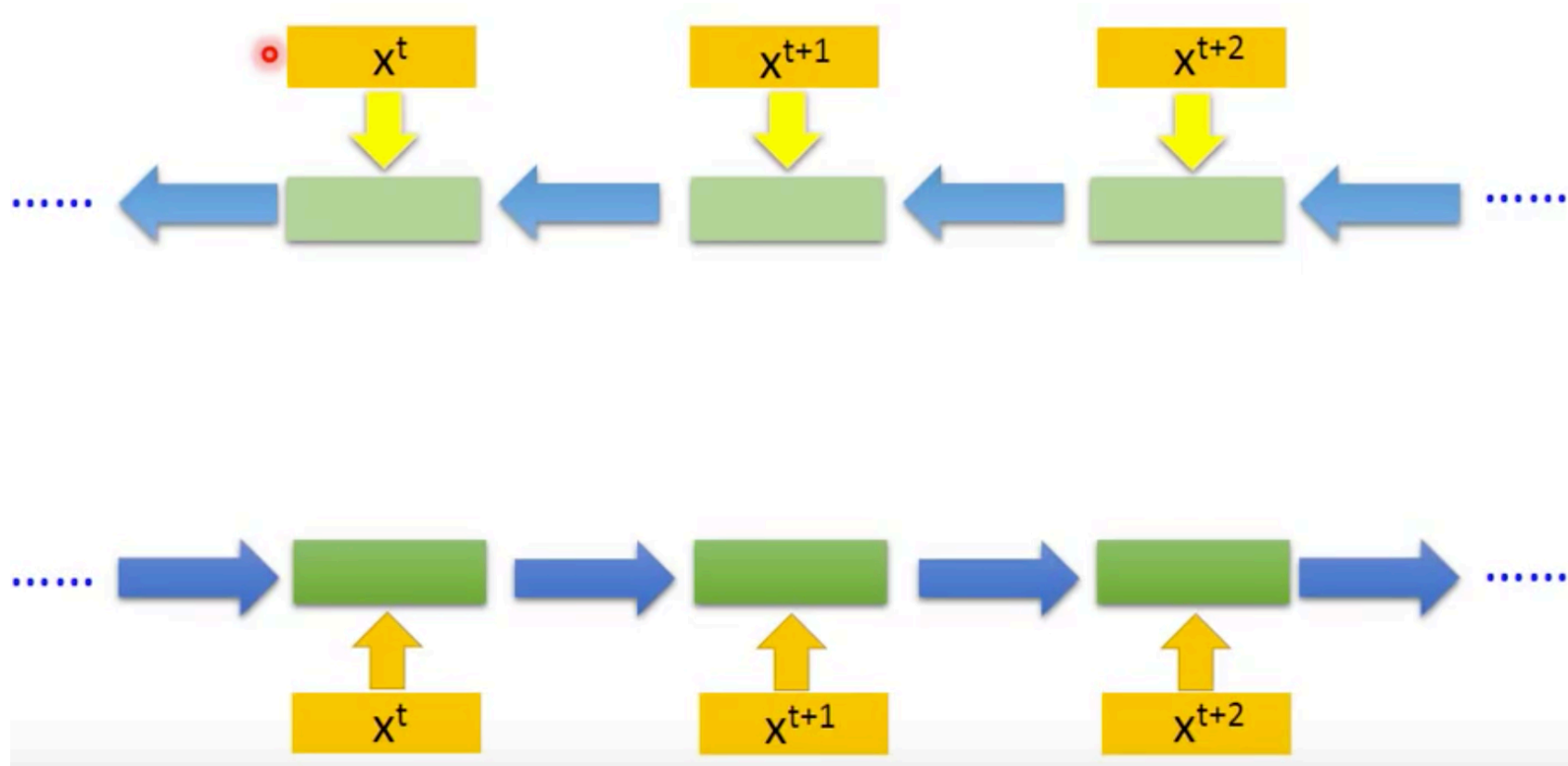
# Bidirectional RNN

# Bidirectional RNN

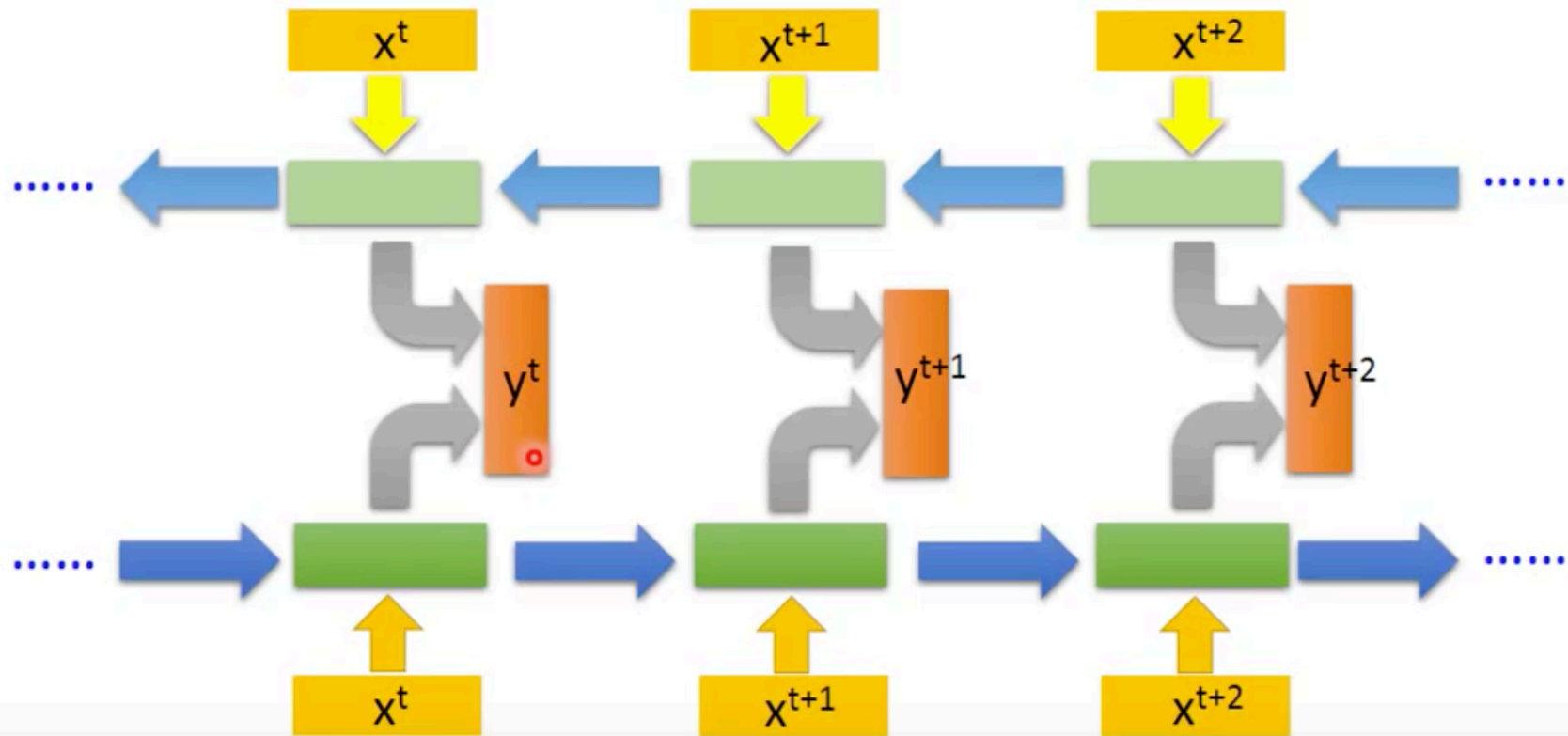




# Bidirectional RNN

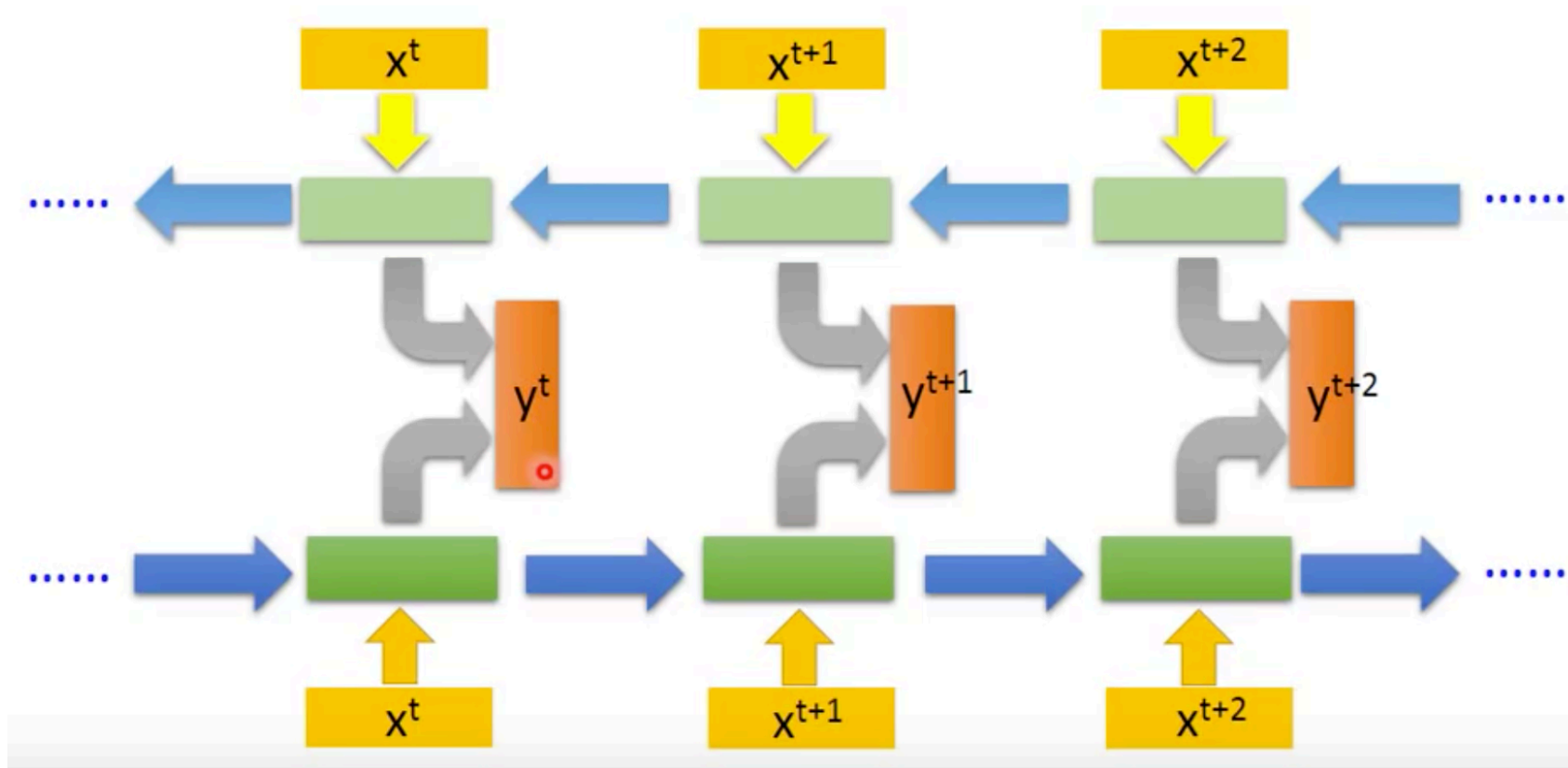


# Bidirectional RNN



# Bidirectional RNN

Benefit: every part of output considers the whole input sequence



The above is actually just a simple version of RNN (called SimpleRNN).

Issues with the SimpleRNN: training is difficult, due to issues including “exploding gradient” or “vanishing gradient” in the gradient descent method.

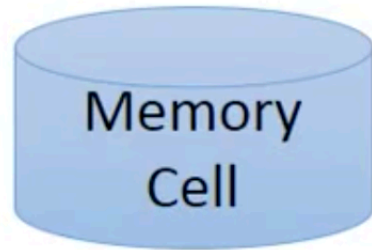
More advanced types of RNN:

LSTM, and GRU (a simpler version than LSTM).

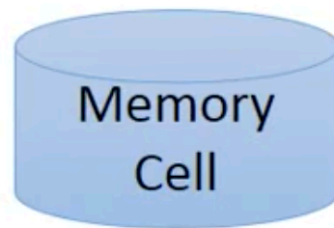
When people use RNN, they mostly use LSTM or GRU.

Keras let you create SimpleRNN, LSTM or GRU using just one line of code.

# Long Short-term Memory (LSTM)



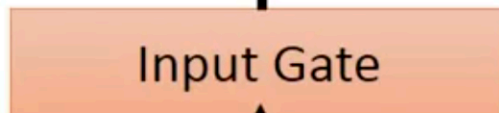
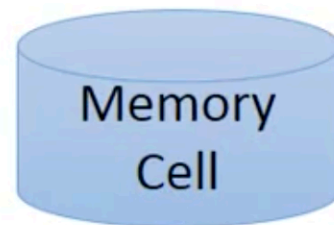
# Long Short-term Memory (LSTM)



這個 Long Short-term 的 memory 呢

它有 3 個 gate

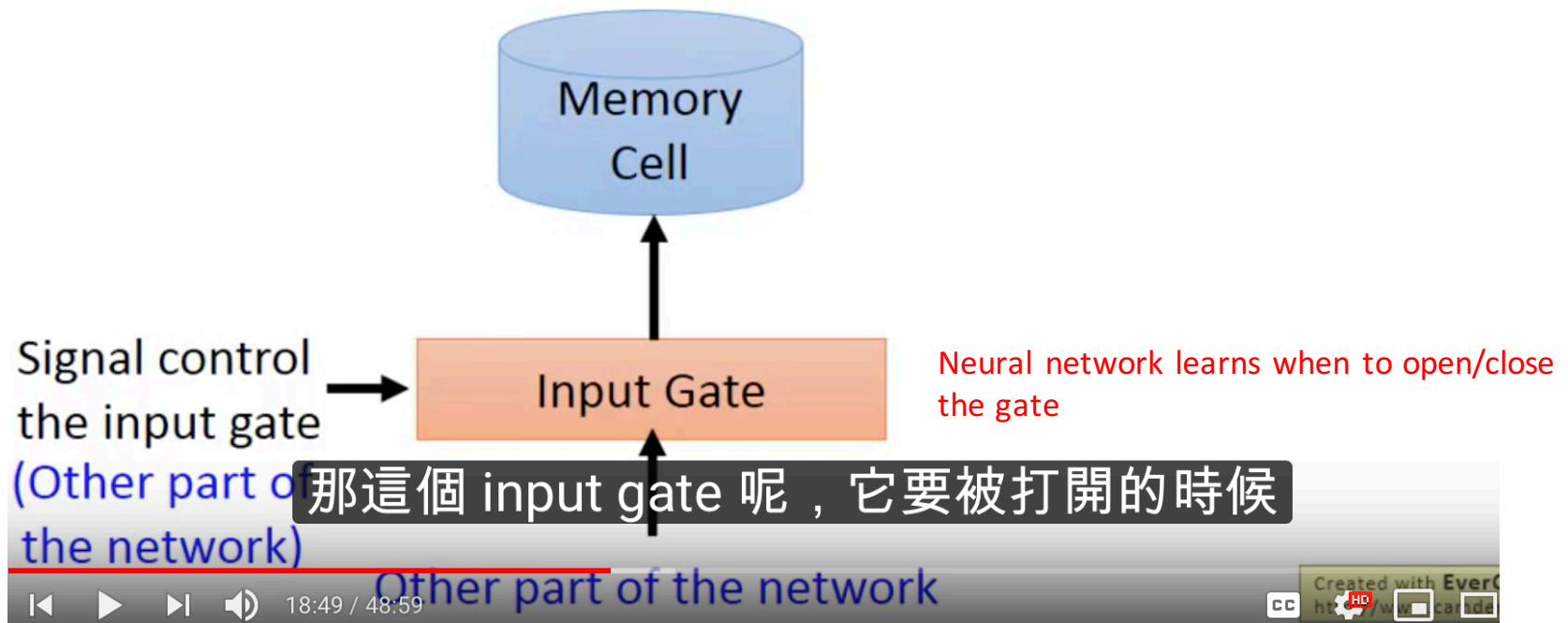
# Long Short-term Memory (LSTM)



它必須先通過一個閘門，通過一個 input gate

(k)

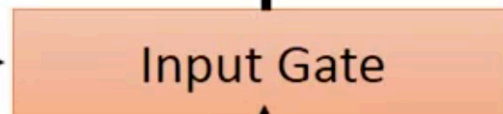
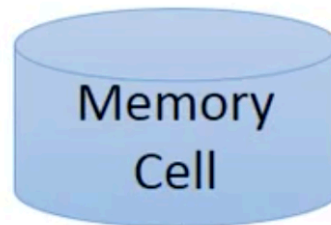
# Long Short-term Memory (LSTM)



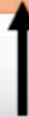


# Long Short-term Memory (LSTM)

Other part of the network



Signal control  
the input gate  
(Other part of  
the network)



Other part of the network

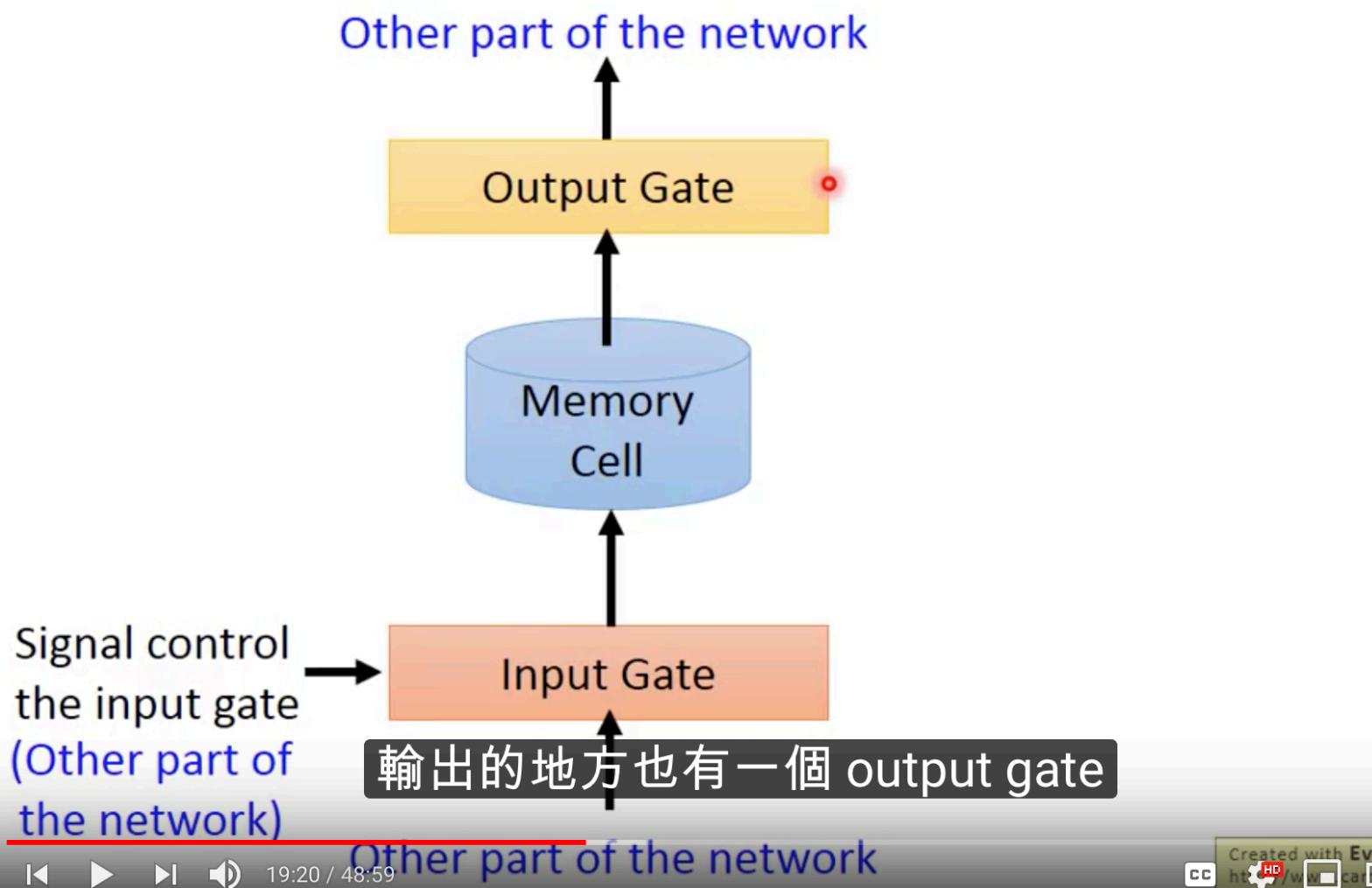


19:16 / 48:59

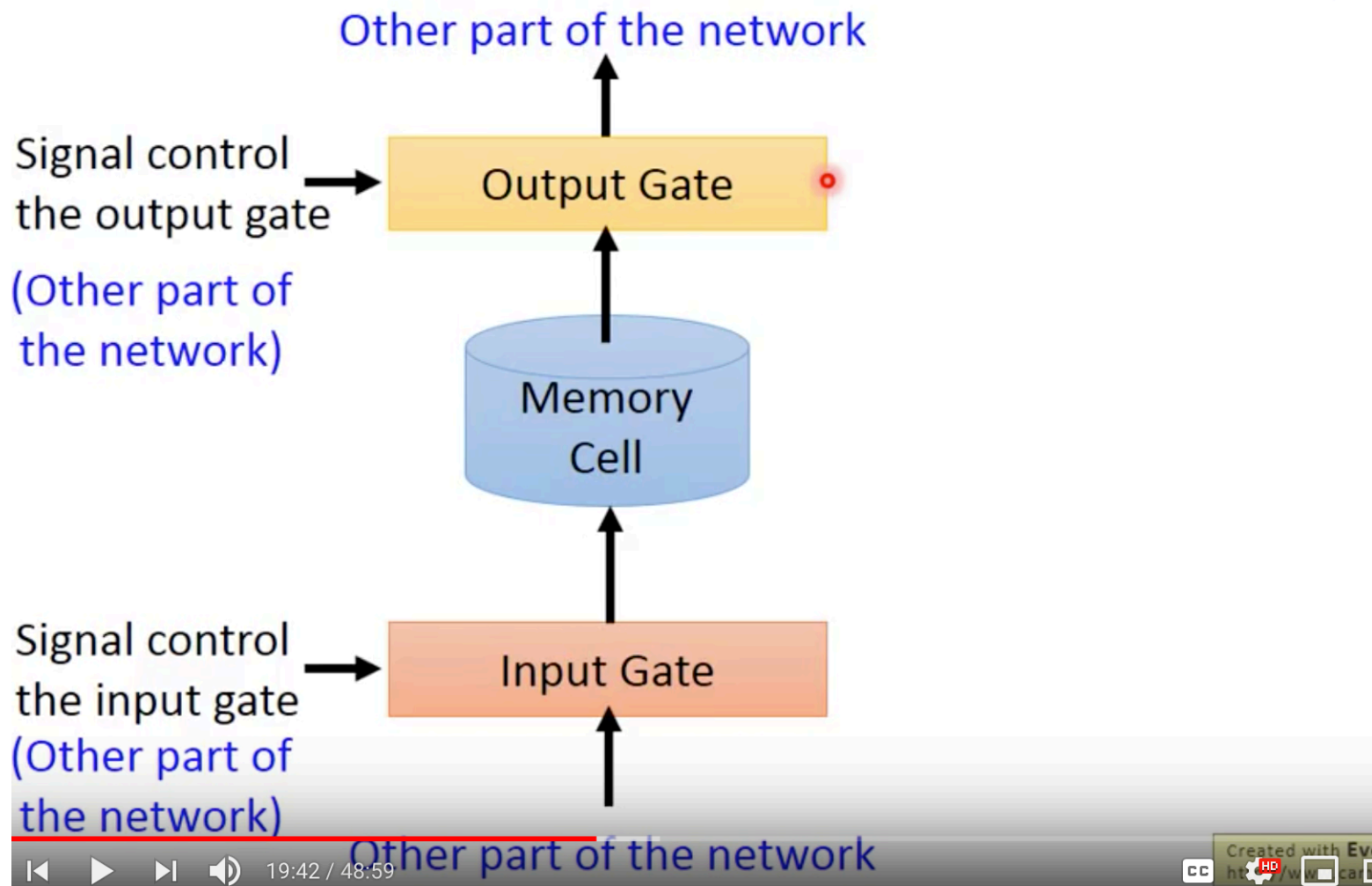


Created with E  
ht /w /ca

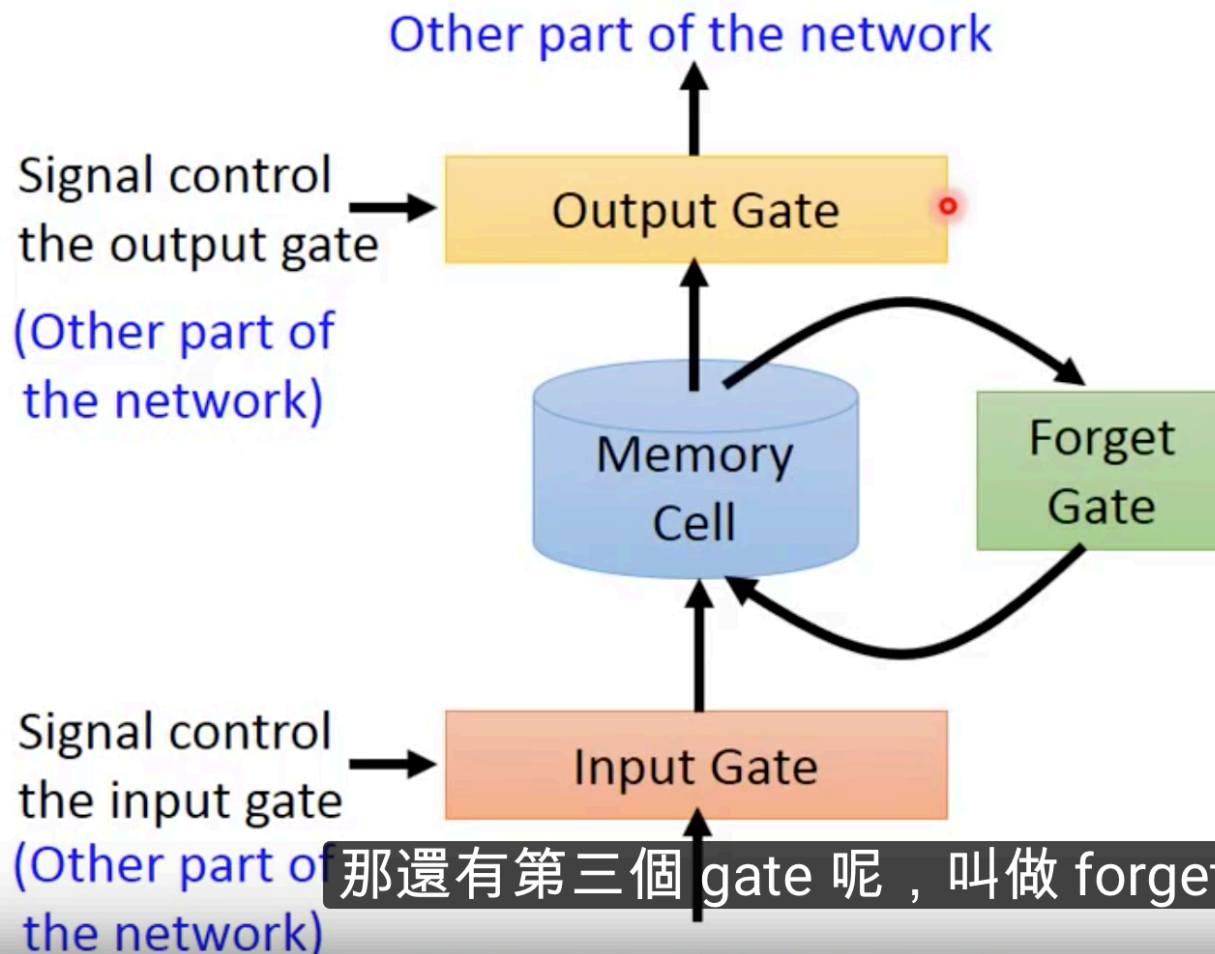
# Long Short-term Memory (LSTM)



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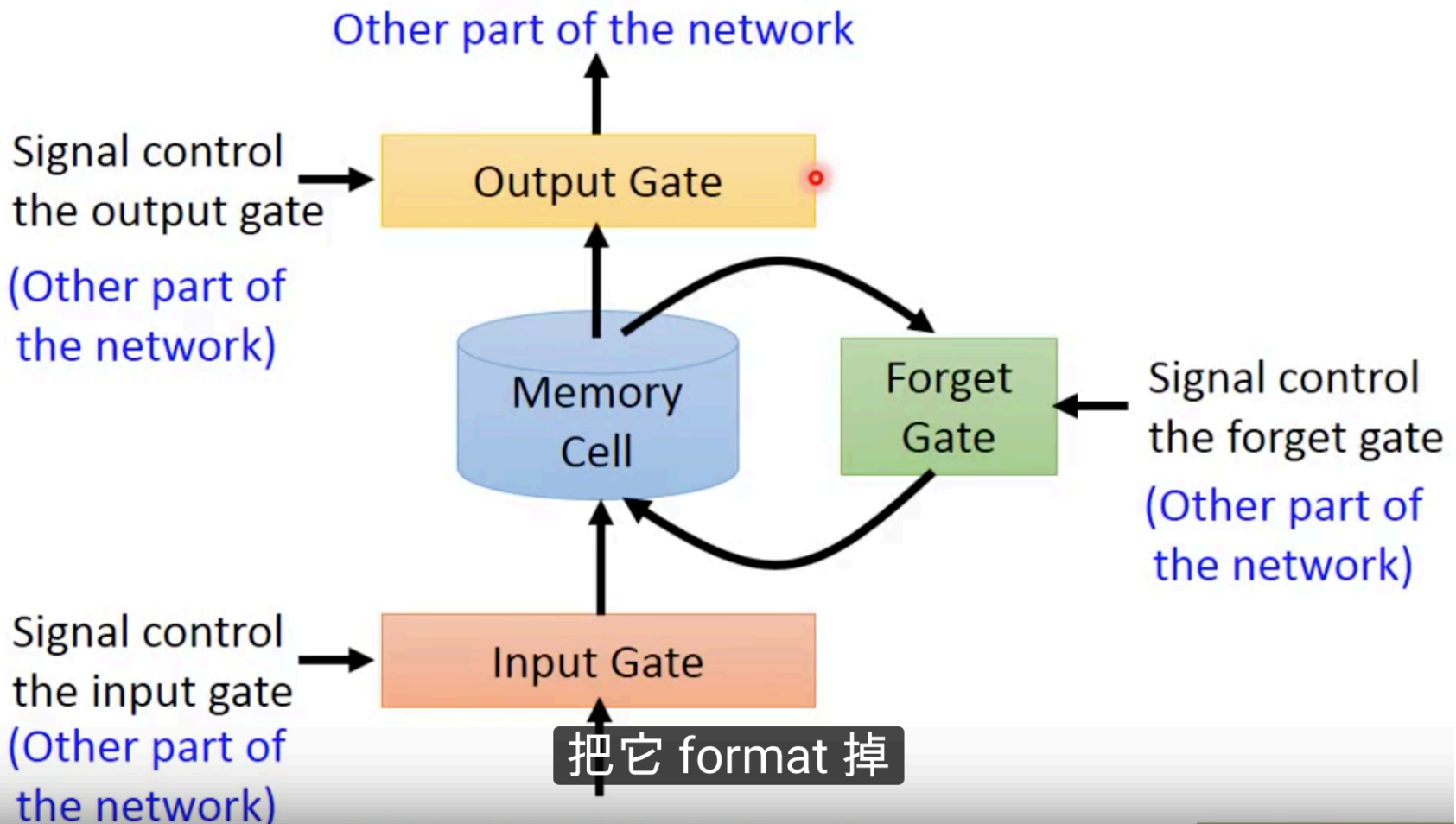


# Long Short-term Memory (LSTM)

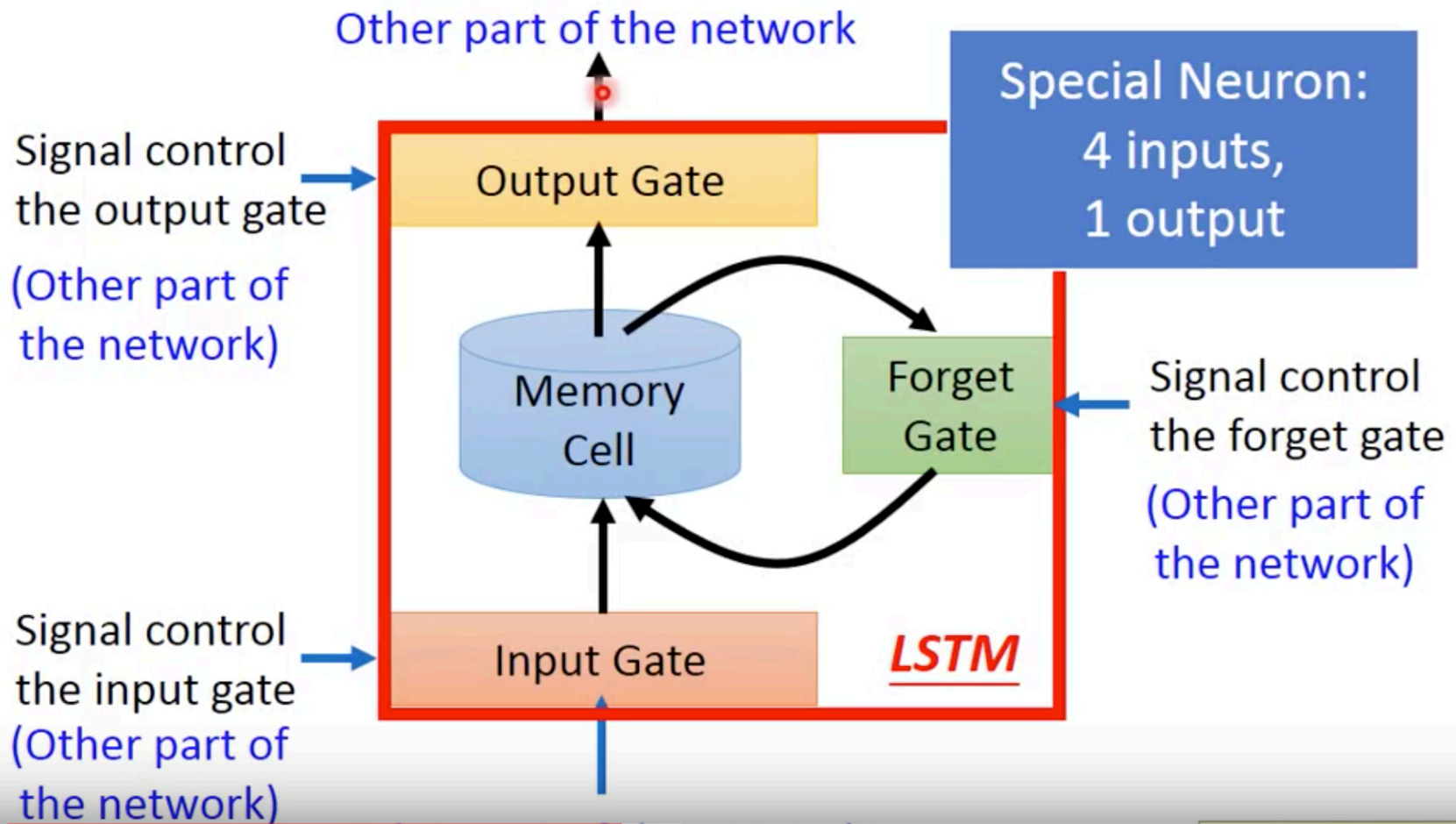


那還有第三個 gate 呢，叫做 forget gate

# Long Short-term Memory (LSTM)



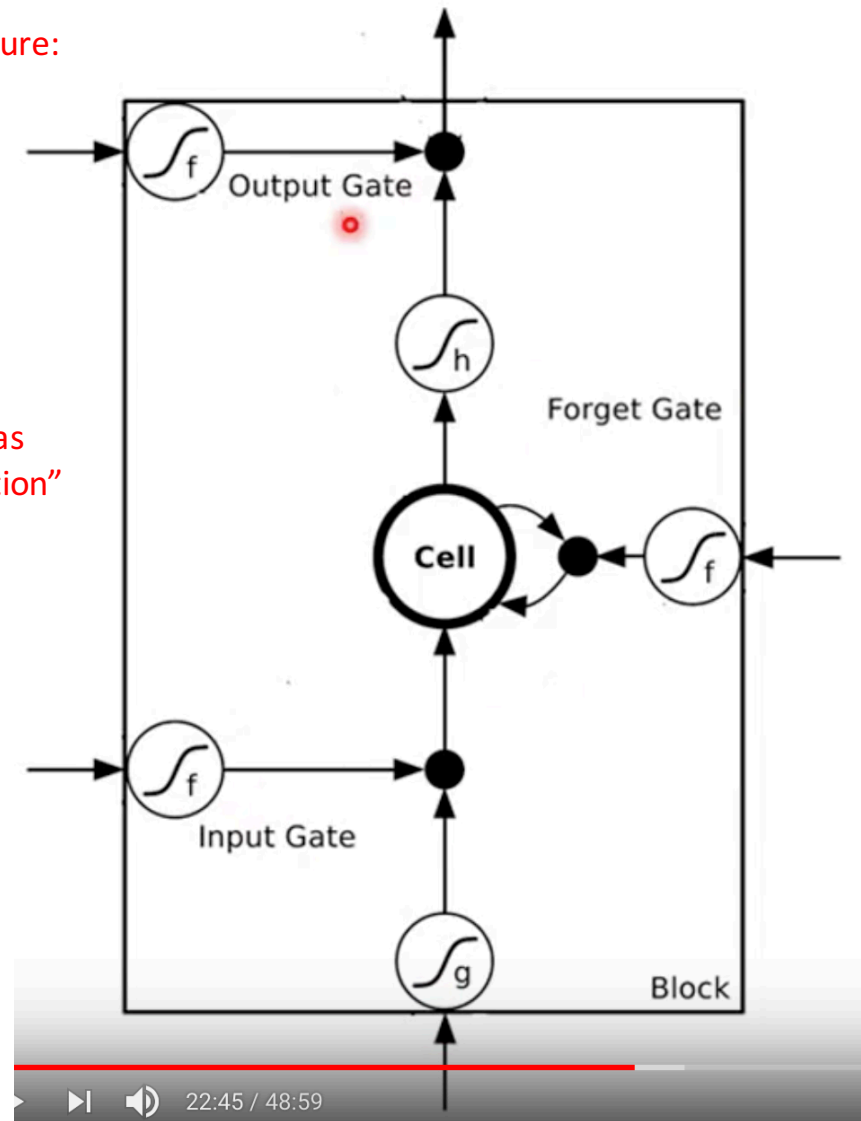
# Long Short-term Memory (LSTM)



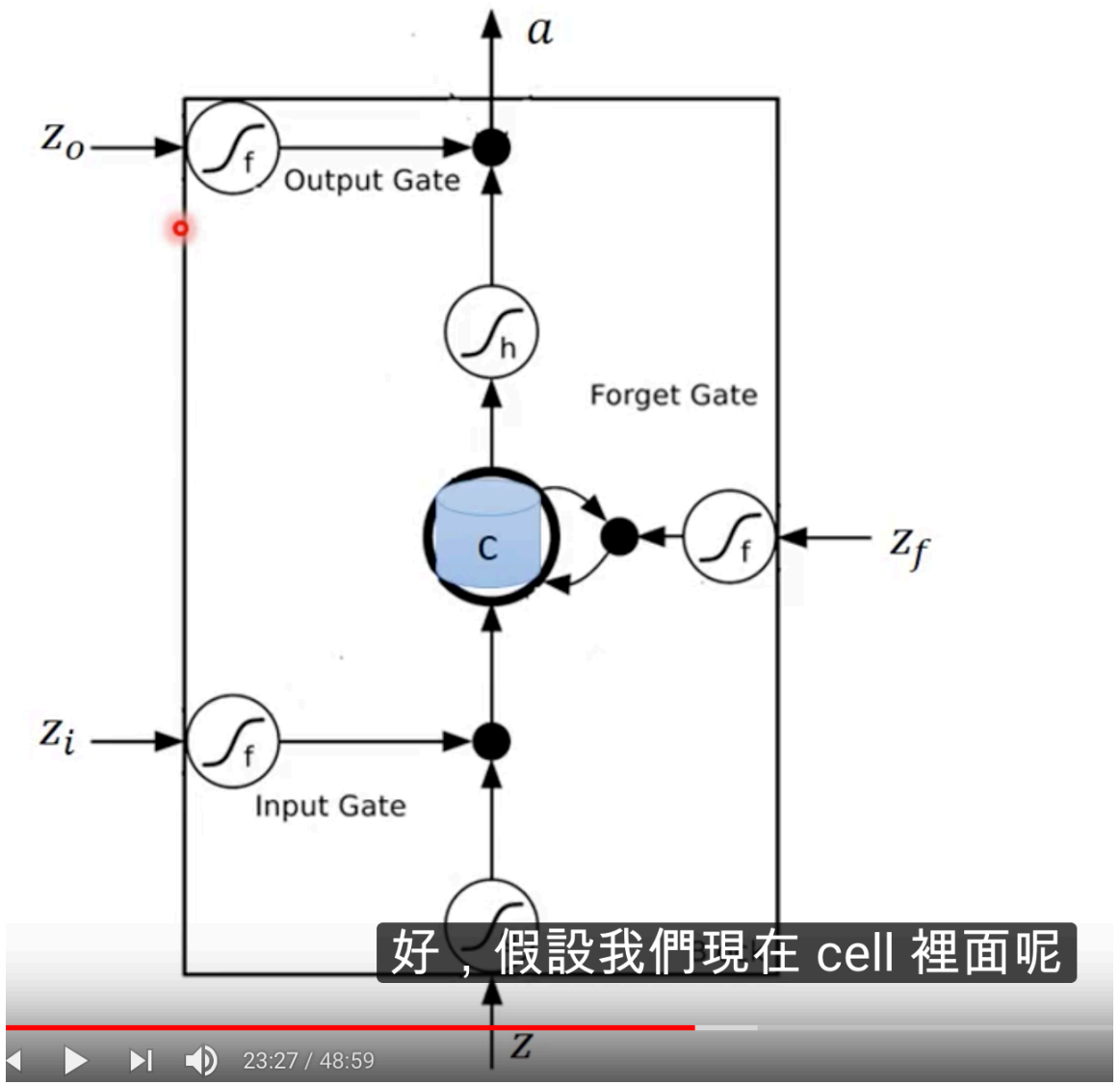
A more detailed look at its structure:

Every input and output here is a real number.

The whole thing can be seen as replacing the “activation function” of an ordinary neuron.

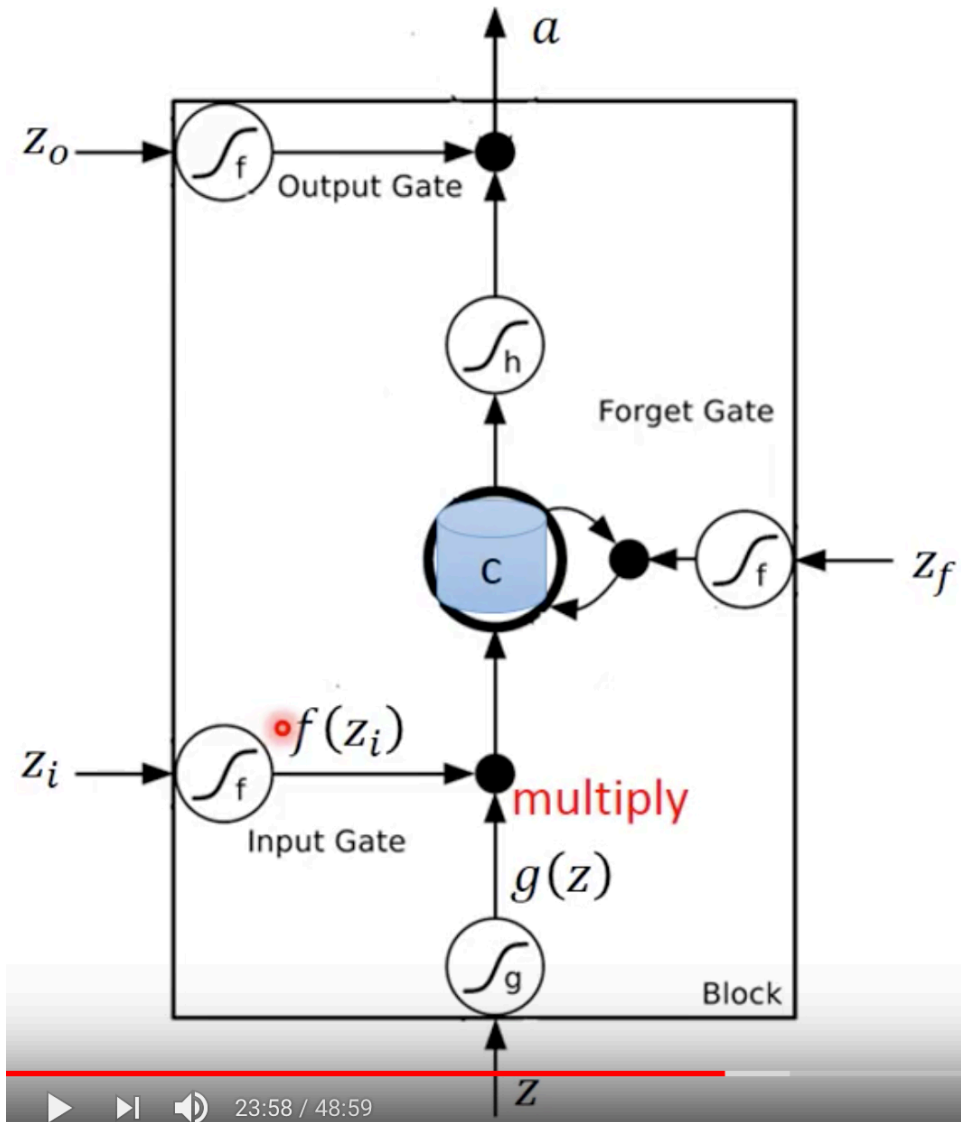


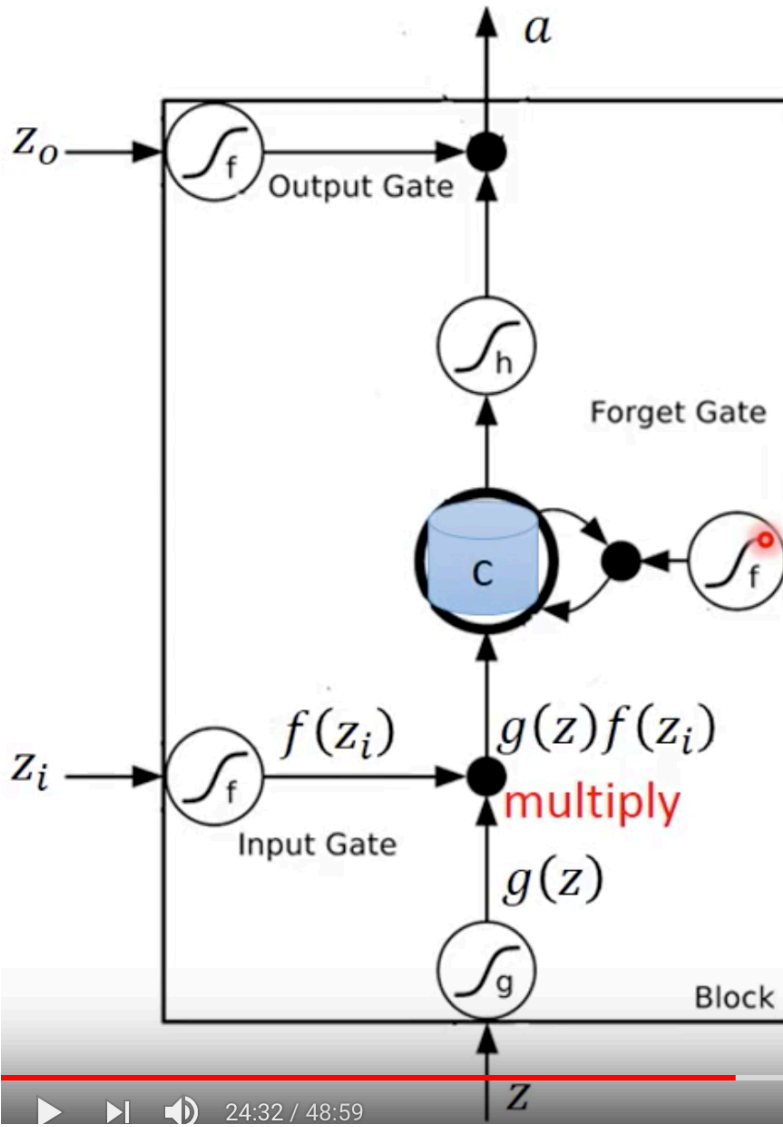




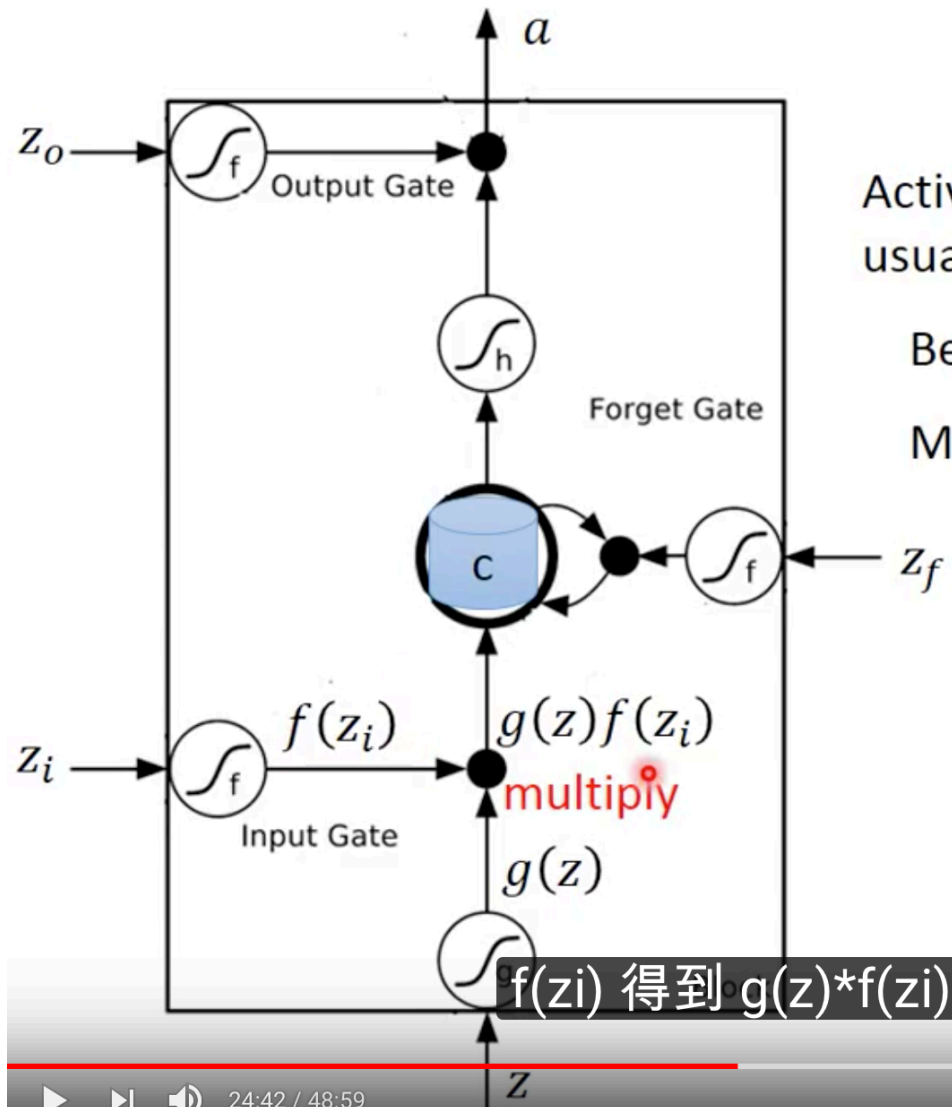
好，假設我們現在 cell 裡面呢







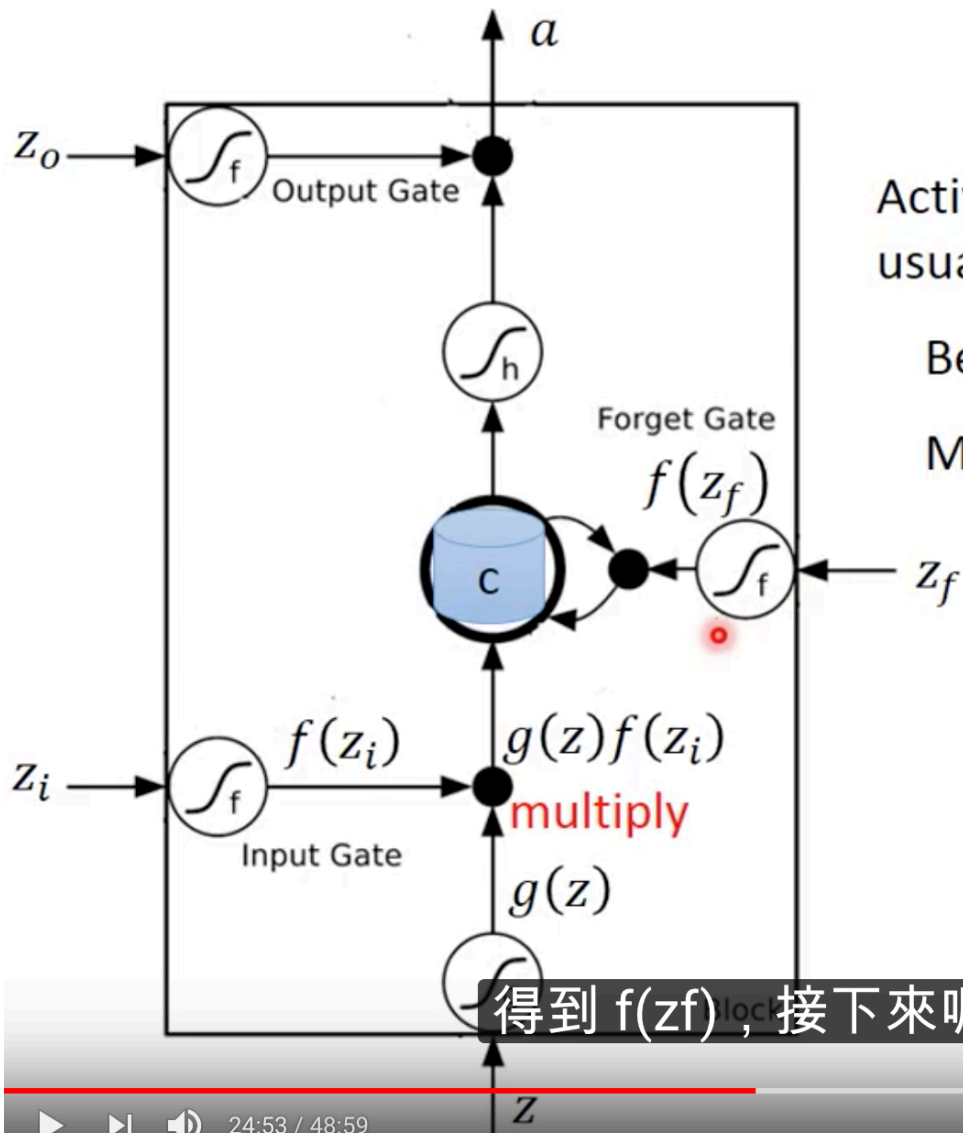
Activation function  $f$  is usually a sigmoid function  
 Between 0 and 1  
 Mimic open and close gate



Activation function  $f$  is usually a sigmoid function

Between 0 and 1

Mimic open and close gate

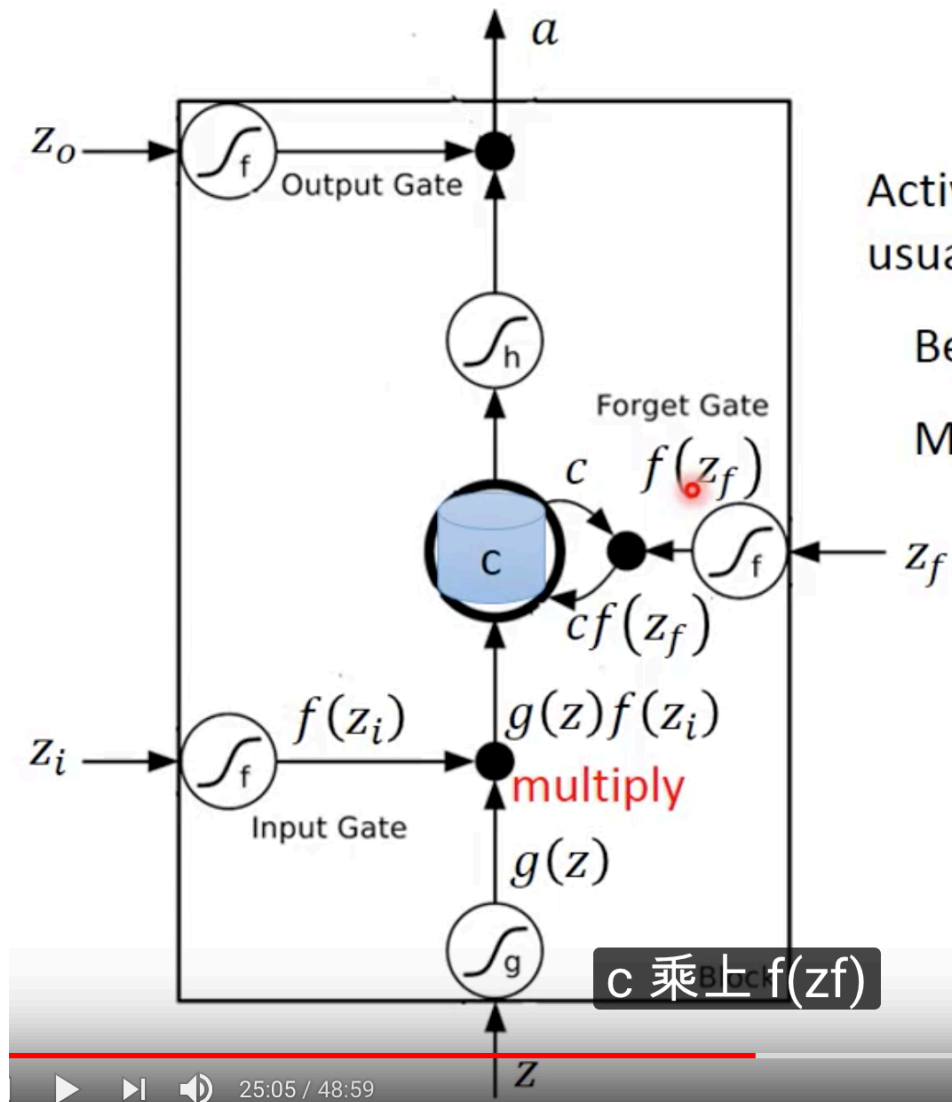


Activation function  $f$  is usually a sigmoid function

Between 0 and 1

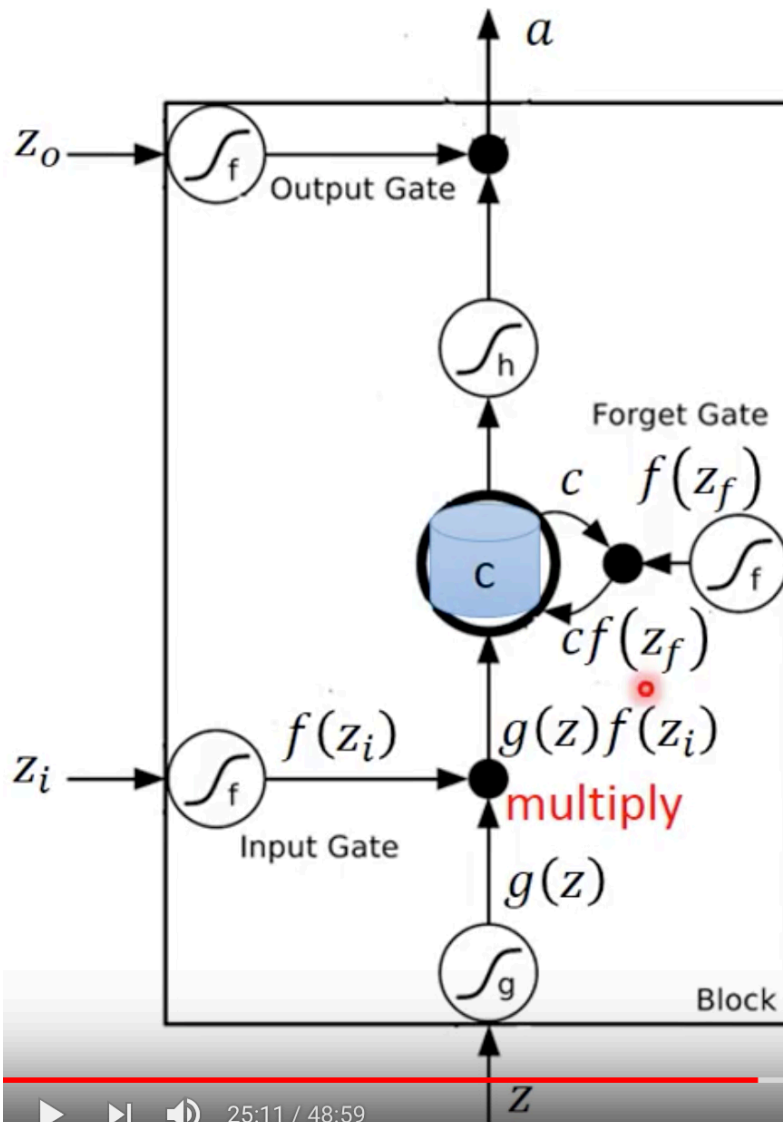
Mimic open and close gate

得到  $f(z_f)$ , 接下來呢



Activation function  $f$  is usually a sigmoid function  
 Between 0 and 1  
 Mimic open and close gate

c 乘上 f(zf)

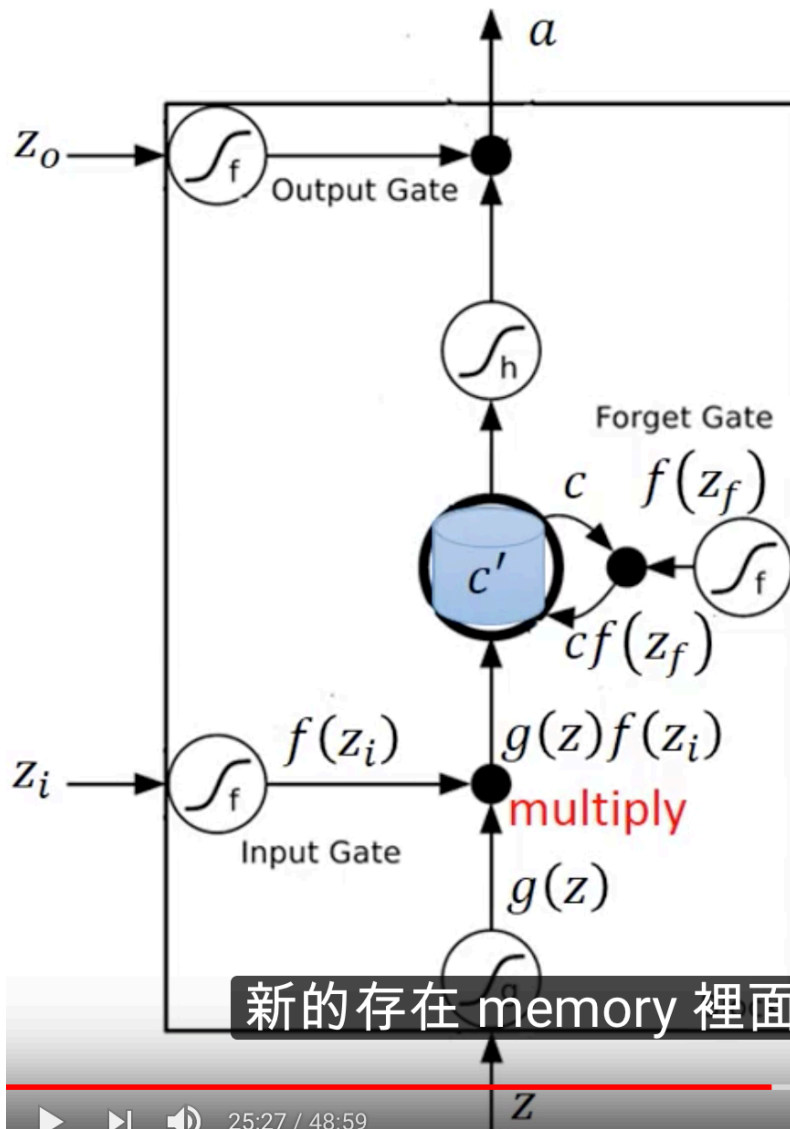


Activation function  $f$  is usually a sigmoid function

Between 0 and 1

Mimic open and close gate

$$c' = g(z)f(z_i) + cf(z_f)$$



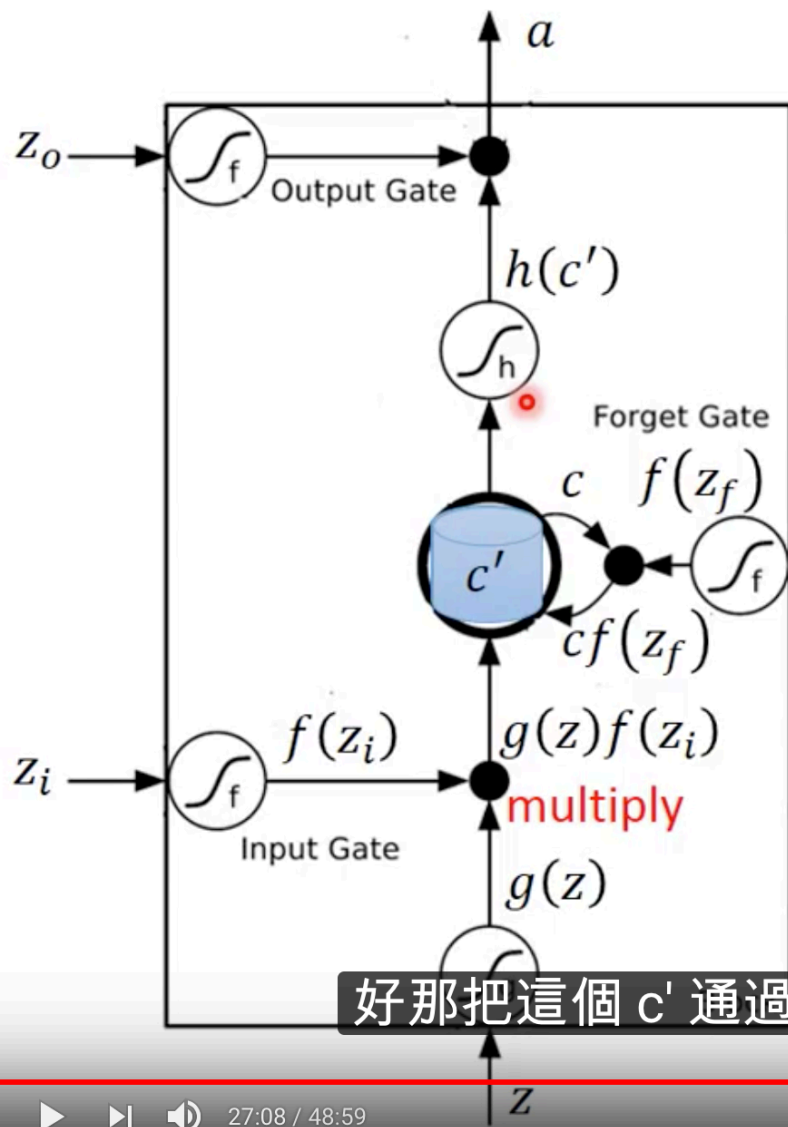
Activation function  $f$  is usually a sigmoid function

Between 0 and 1

Mimic open and close gate

$$c' = g(z)f(z_i) + cf(z_f)$$

新的存在 memory 裡面的值就是  $c'$ ，所以



Activation function  $f$  is usually a sigmoid function

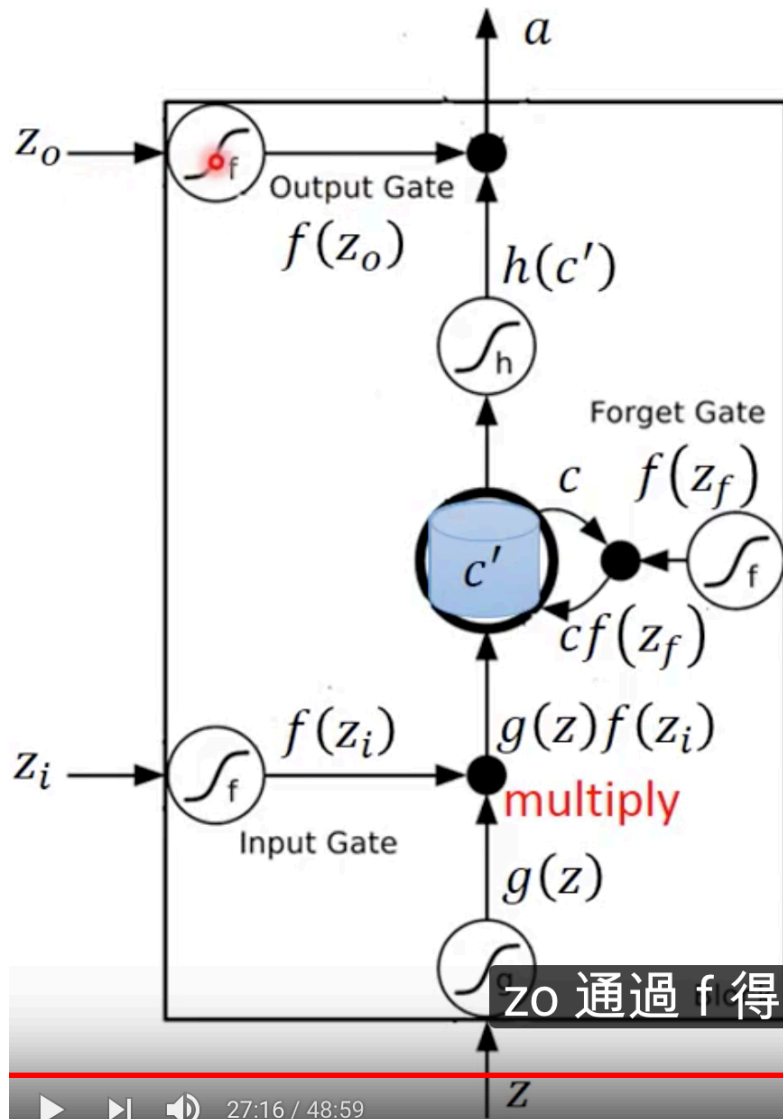
Between 0 and 1

Mimic open and close gate

$$c' = g(z)f(z_i) + cf(z_f)$$

好那把這個  $c'$  通過  $h$  , 得到  $h(c')$

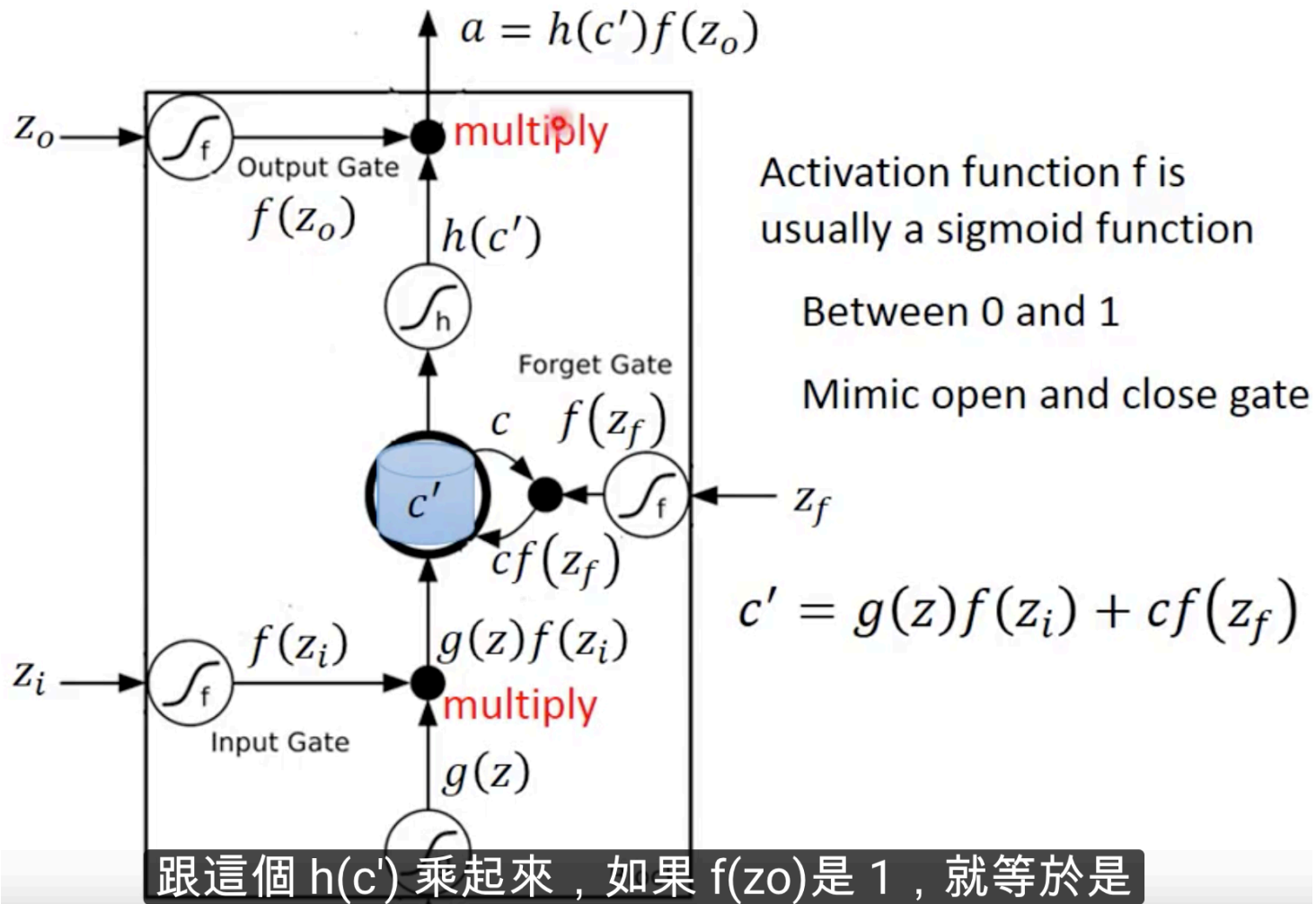




Activation function  $f$  is usually a sigmoid function  
 Between 0 and 1  
 Mimic open and close gate

$$c' = g(z)f(z_i) + cf(z_f)$$

$z_o$  通過  $f$  得到  $f(z_o)$

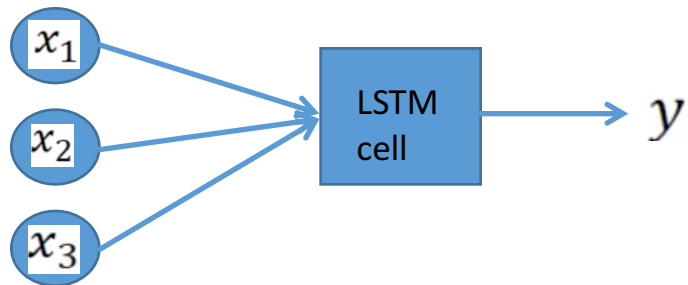


Activation function  $f$  is usually a sigmoid function  
Between 0 and 1  
Mimic open and close gate

跟這個  $h(c')$  乘起來，如果  $f(z_o)$  是 1，就等於是

# LSTM - Example

$x_1$	1	3	2	4	2	1	3	6	1
$x_2$	0	1	0	1	0	0	-1	1	0
$x_3$	0	0	0	0	0	1	0	0	1
$y$	0	0	0	0	0	7	0	0	6



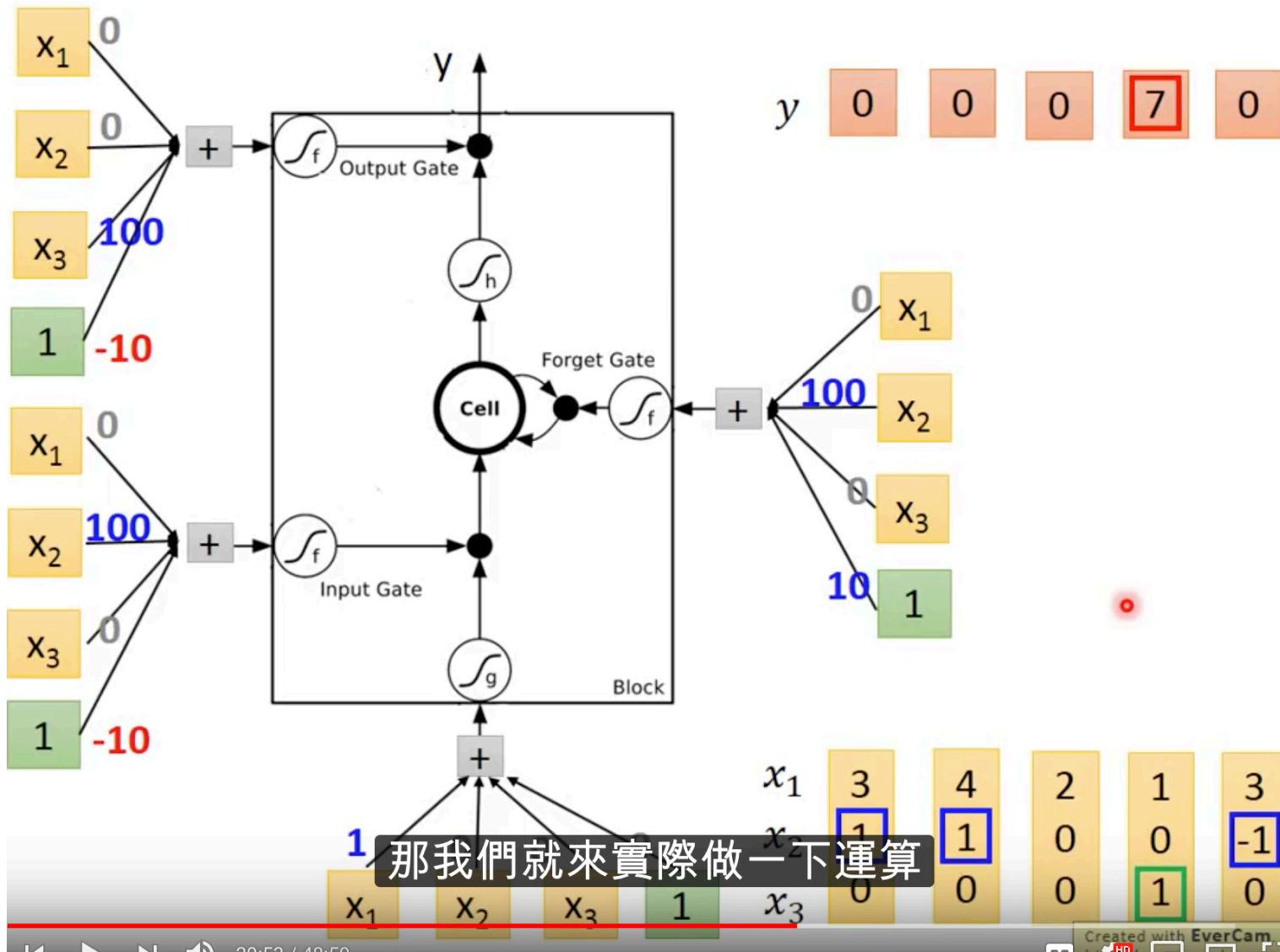
# LSTM - Example

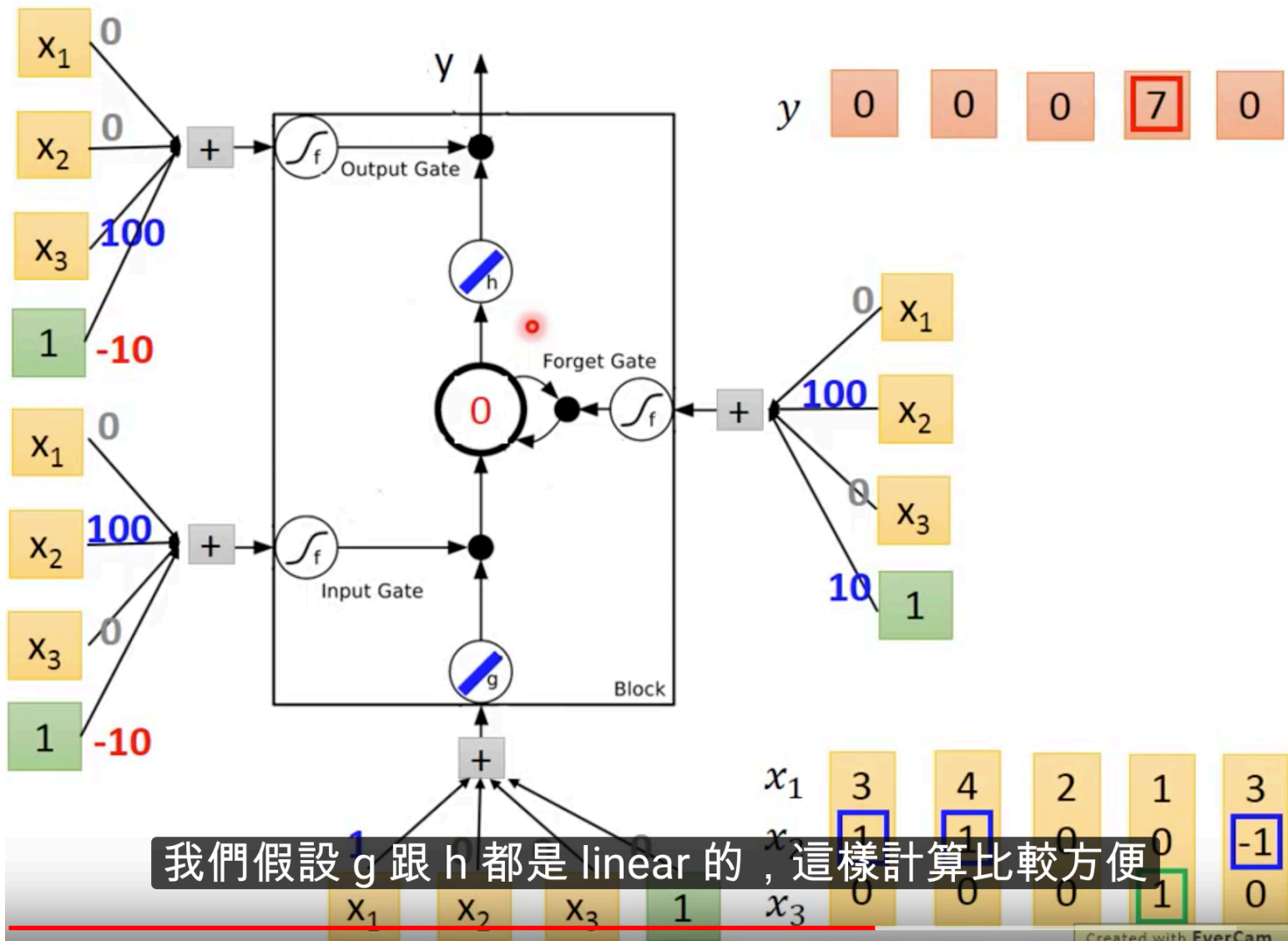
$x_1$	1	3	2	4	2	1	3	6	1
$x_2$	0	1	0	1	0	0	-1	1	0
$x_3$	0	0	0	0	0	1	0	0	1
$y$	0	0	0	0	0	7	0	0	6

When  $x_2 = 1$ , add the numbers of  $x_1$  into the memory

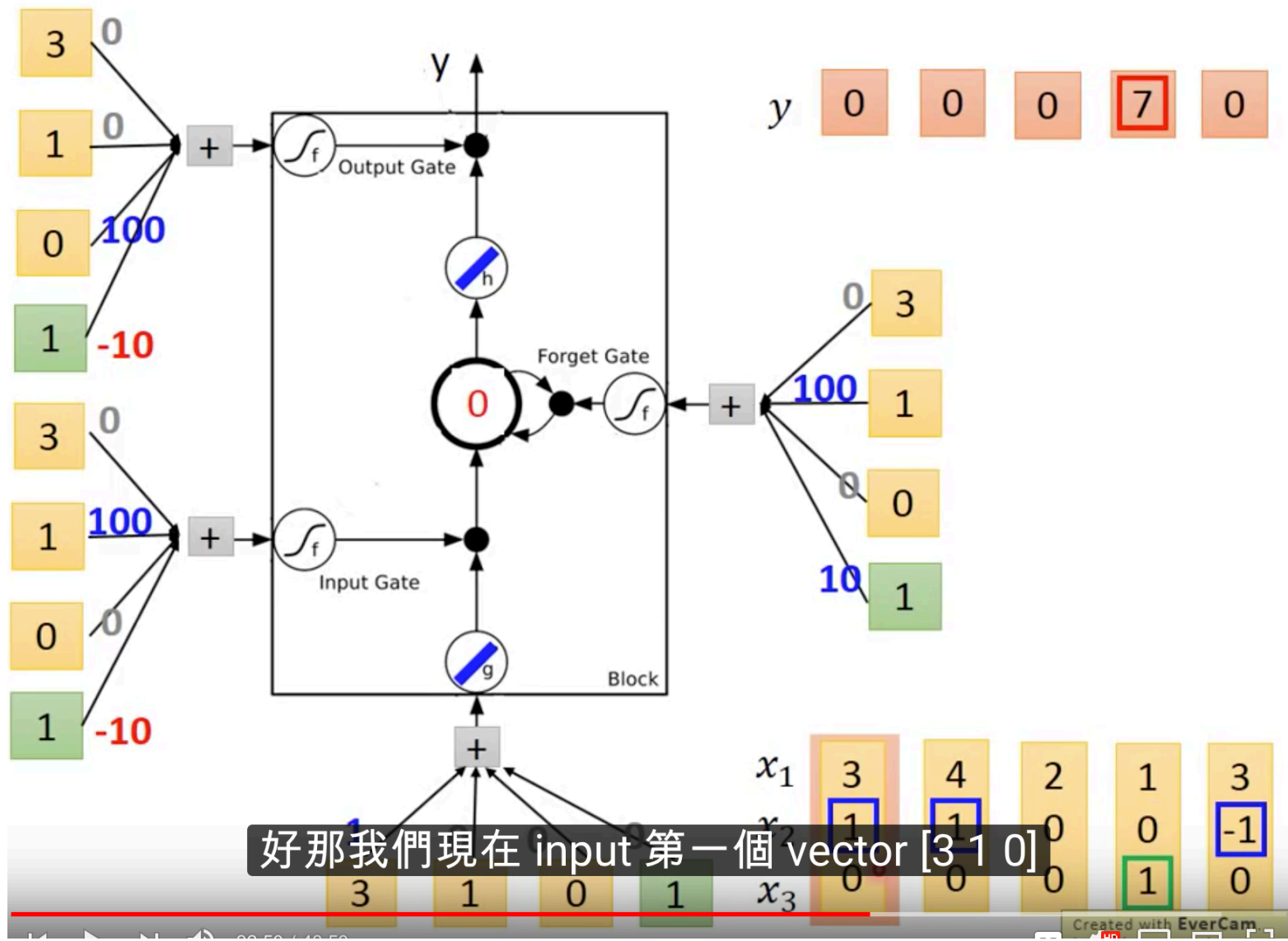
When  $x_2 = -1$ , reset the memory

When  $x_3 = 1$ , output the number in the memory.

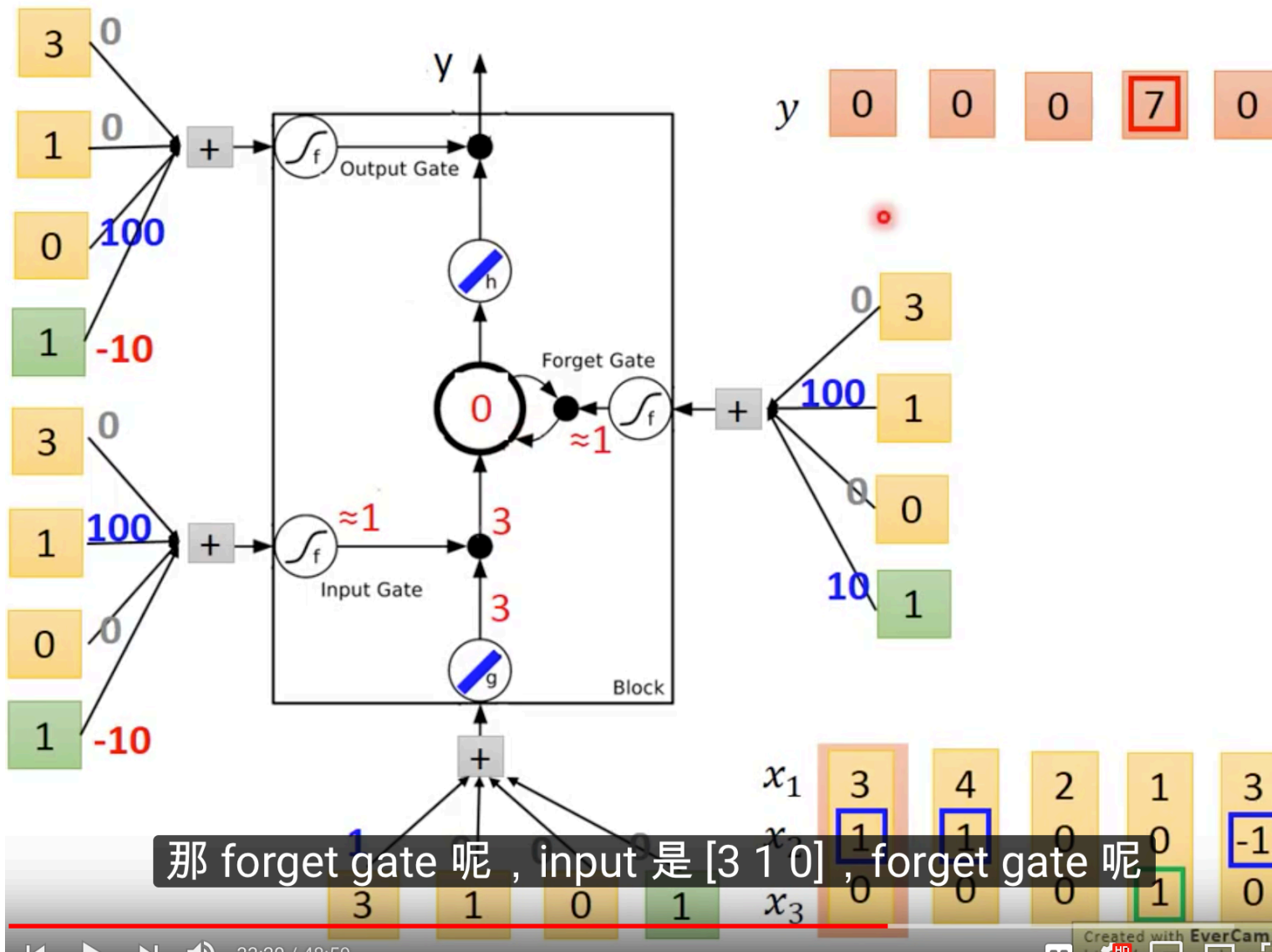




我們假設  $g$  跟  $h$  都是 linear 的，這樣計算比較方便

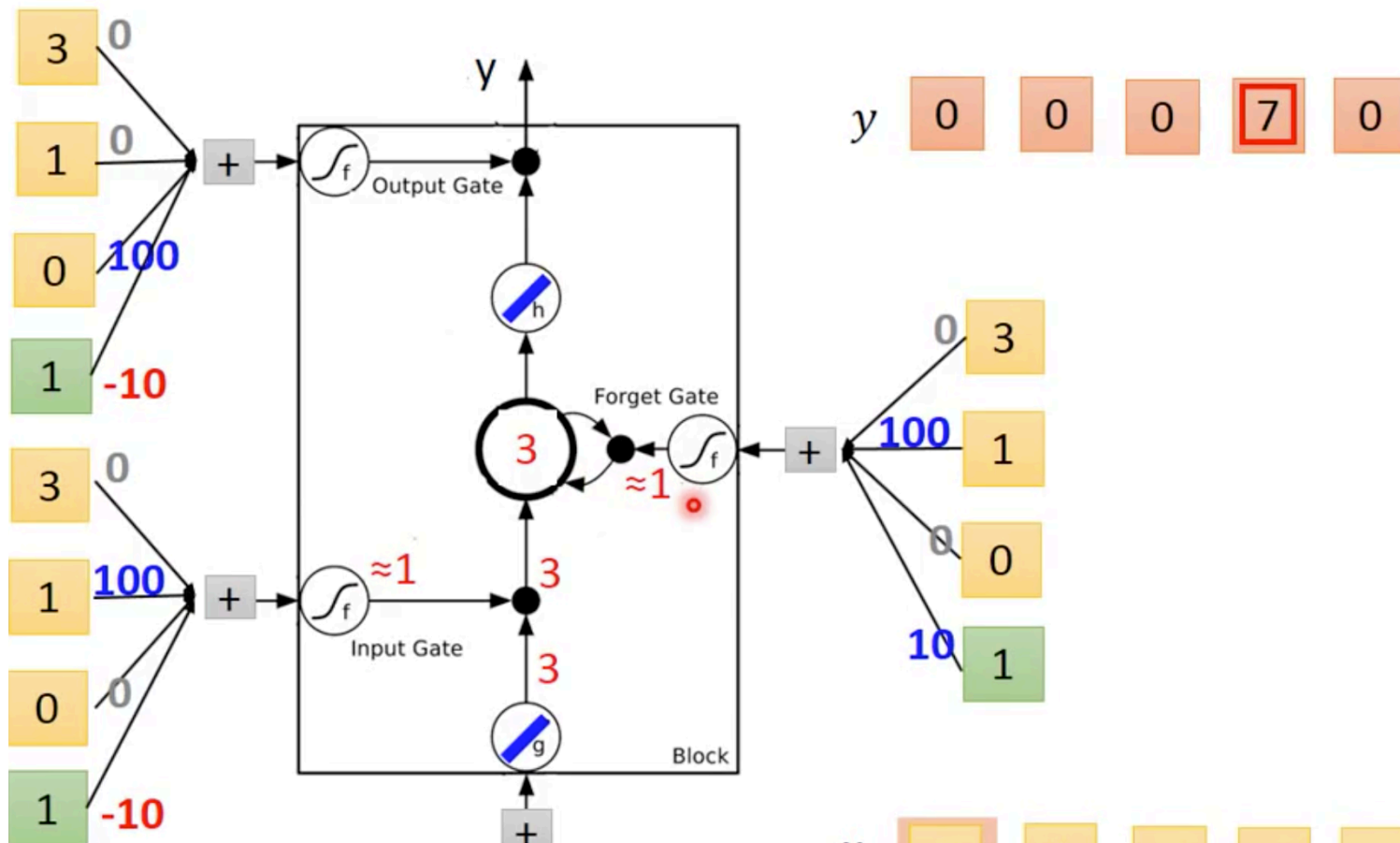






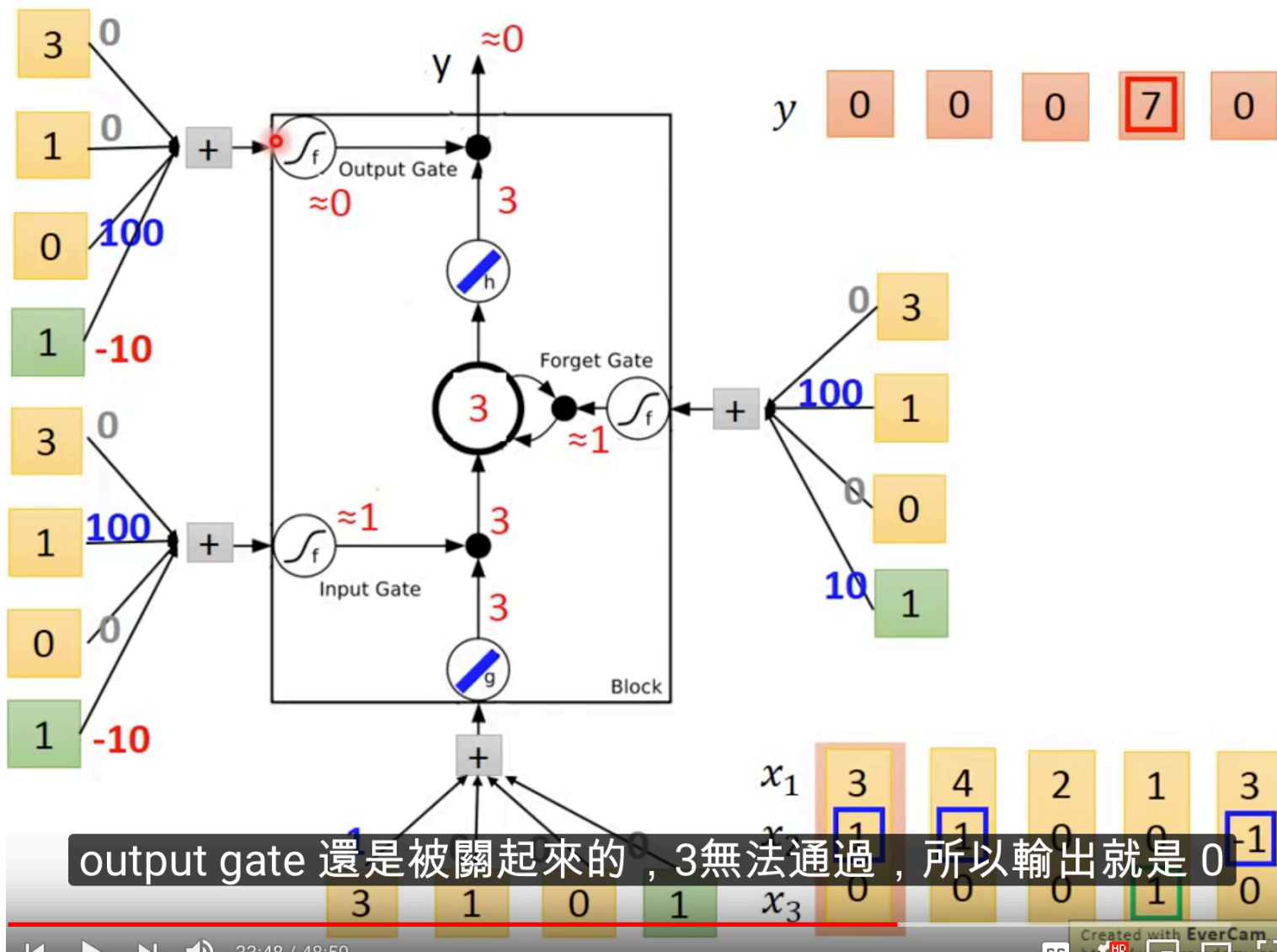
那 forget gate 呢，input 是  $[3 \ 1 \ 0]$ ，forget gate 呢

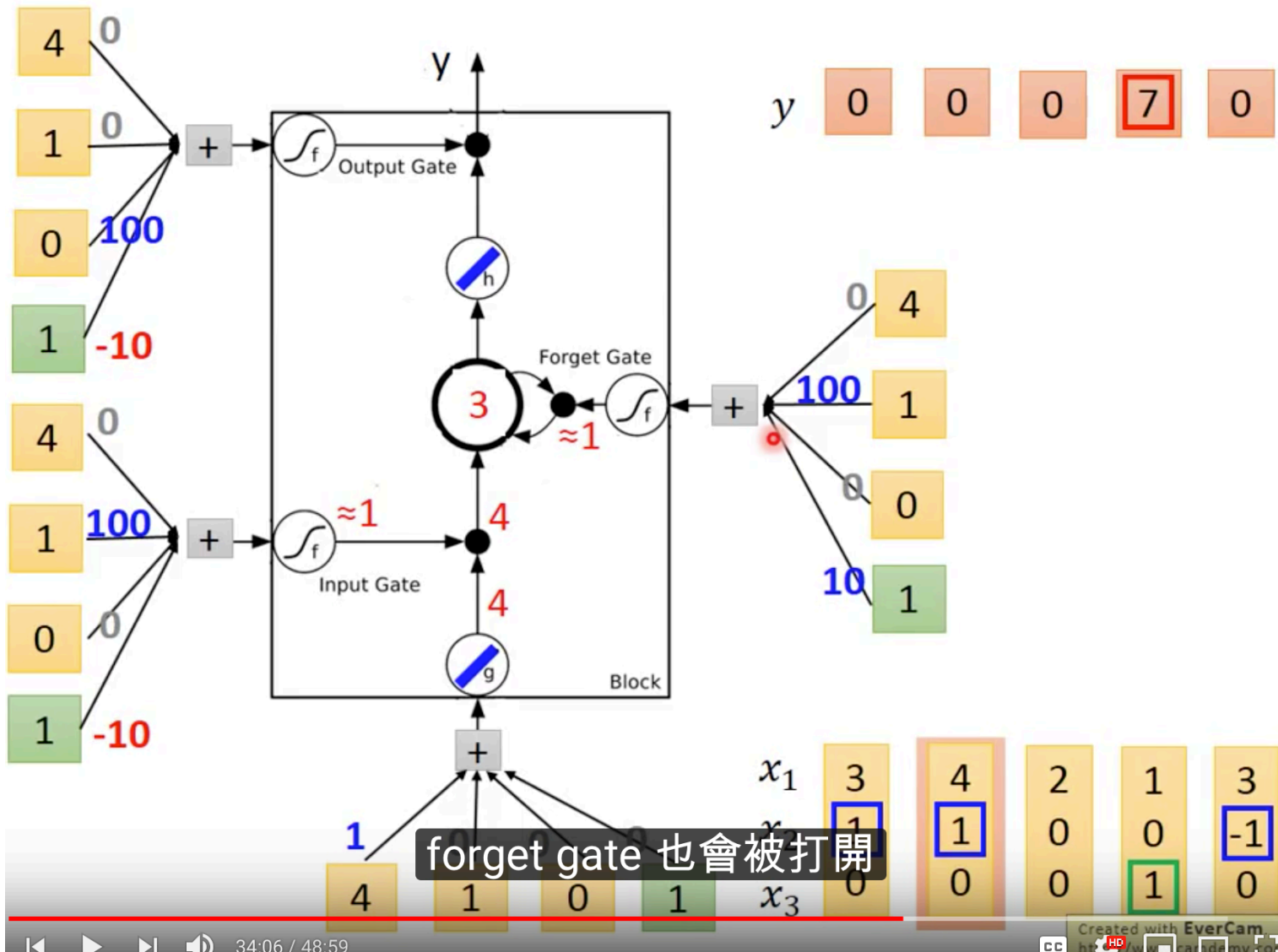


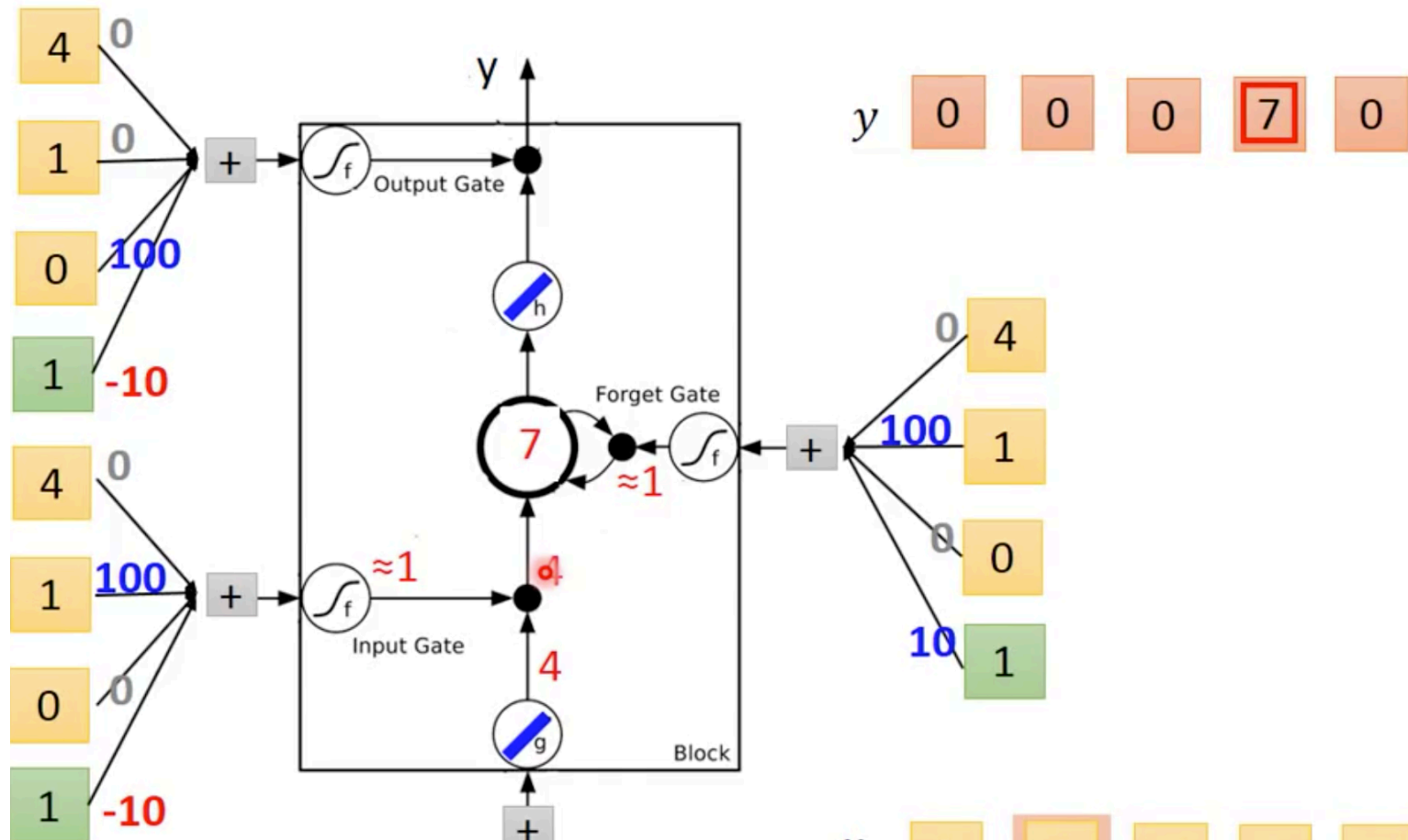


也沒有甚麼影響， $0 * 1 + 3$   
 所以存在 memory 裡面的值變成 3

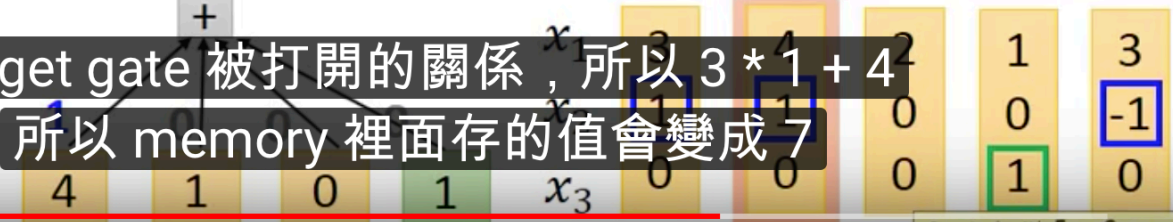
The video player interface shows a sequence of numbers: 3, 1, 0, 1,  $x_3$ , 0, 4, 0, 2, 0, 0, 1, 0, 1, 3, 0. The number 1 in the 11th position is highlighted with a green box, and the number 3 in the 15th position is highlighted with a blue box. The video player controls at the bottom show a progress bar at 33:36 / 48:59 and a watermark that reads 'Created with EverCam'.

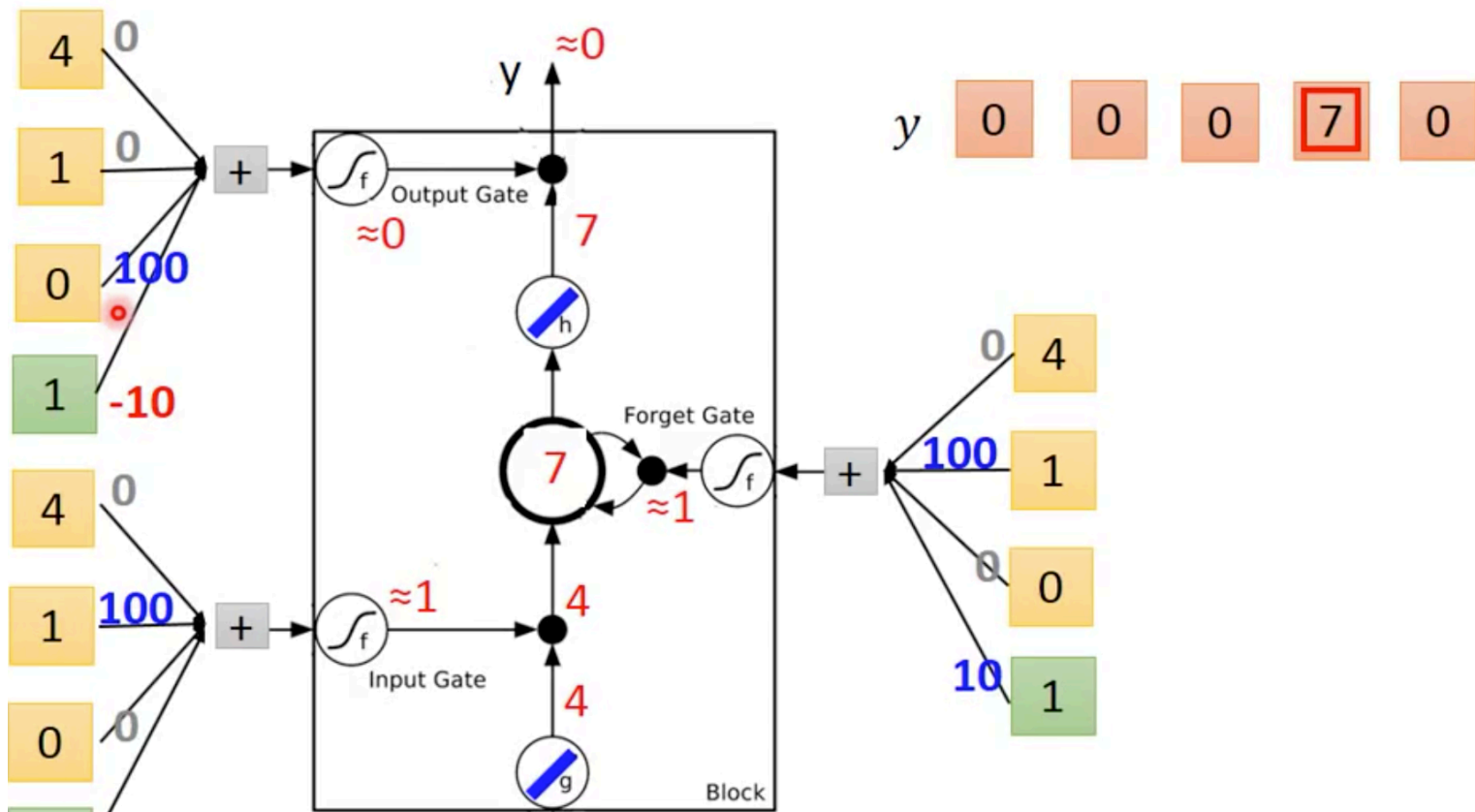






forget gate 被打開的關係，所以  $3 * 1 + 4$   
 所以 memory 裡面存的值會變成 7

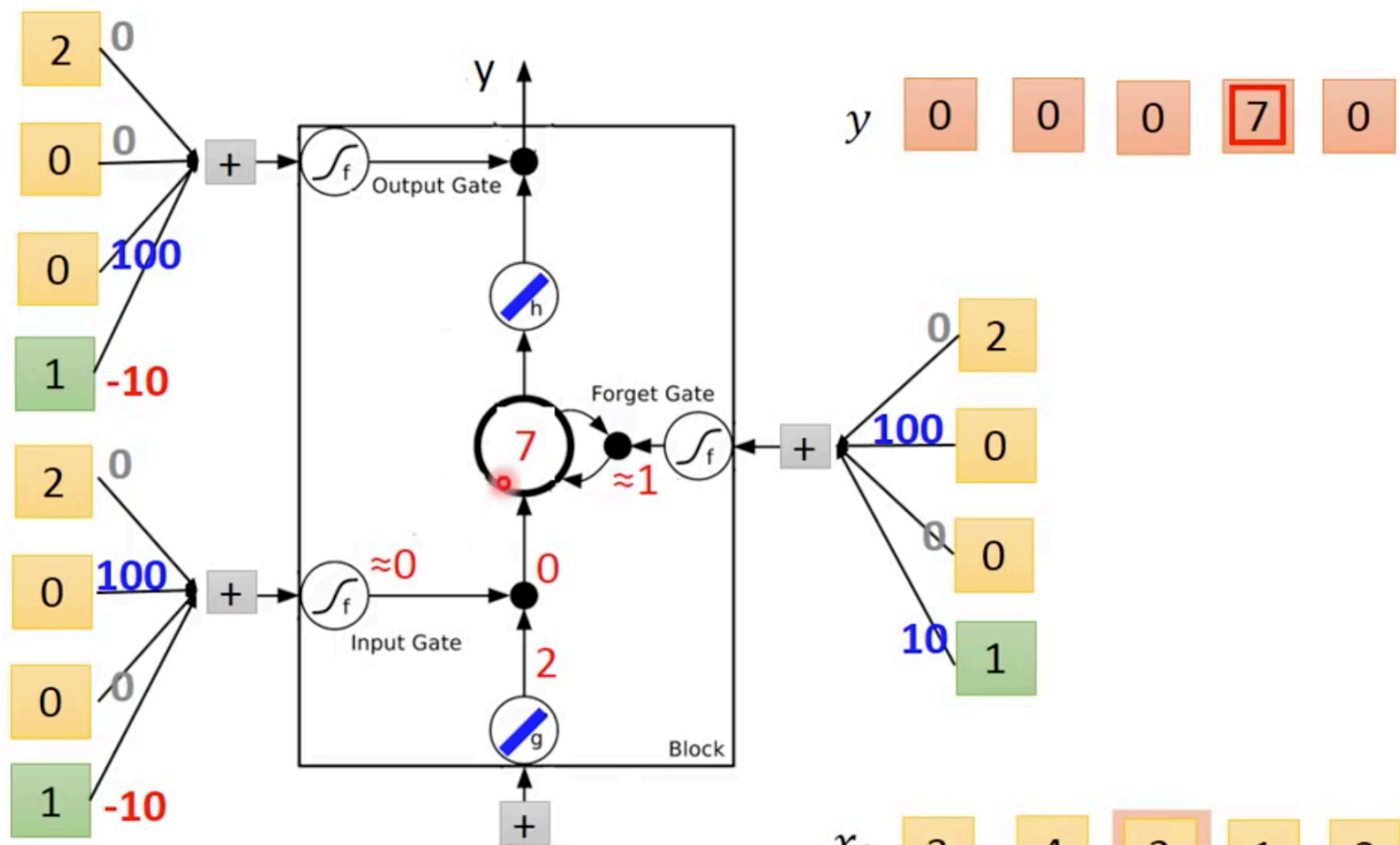




所以 7 呢，仍然無法被輸出，  
所以整個 memory 的輸出仍然是 0

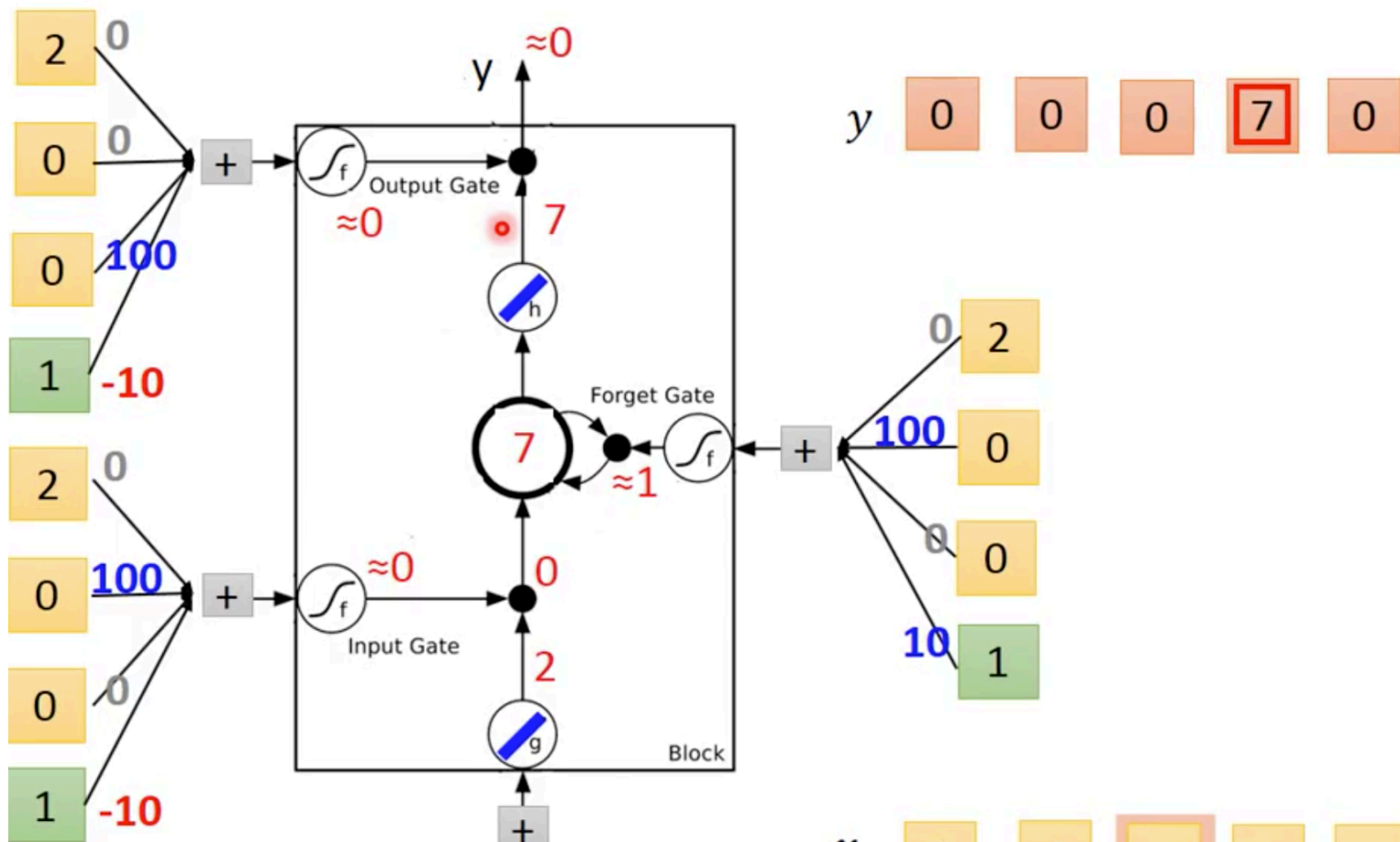
4	1	0	1	$x_3$	0	0	4	2	1	3
							1	0	0	-1
							0	0	1	0

Created with EverCam  
http://www.evercam.com



所以 forget gate 還是打開的，所以  $7 * 1 + 0$

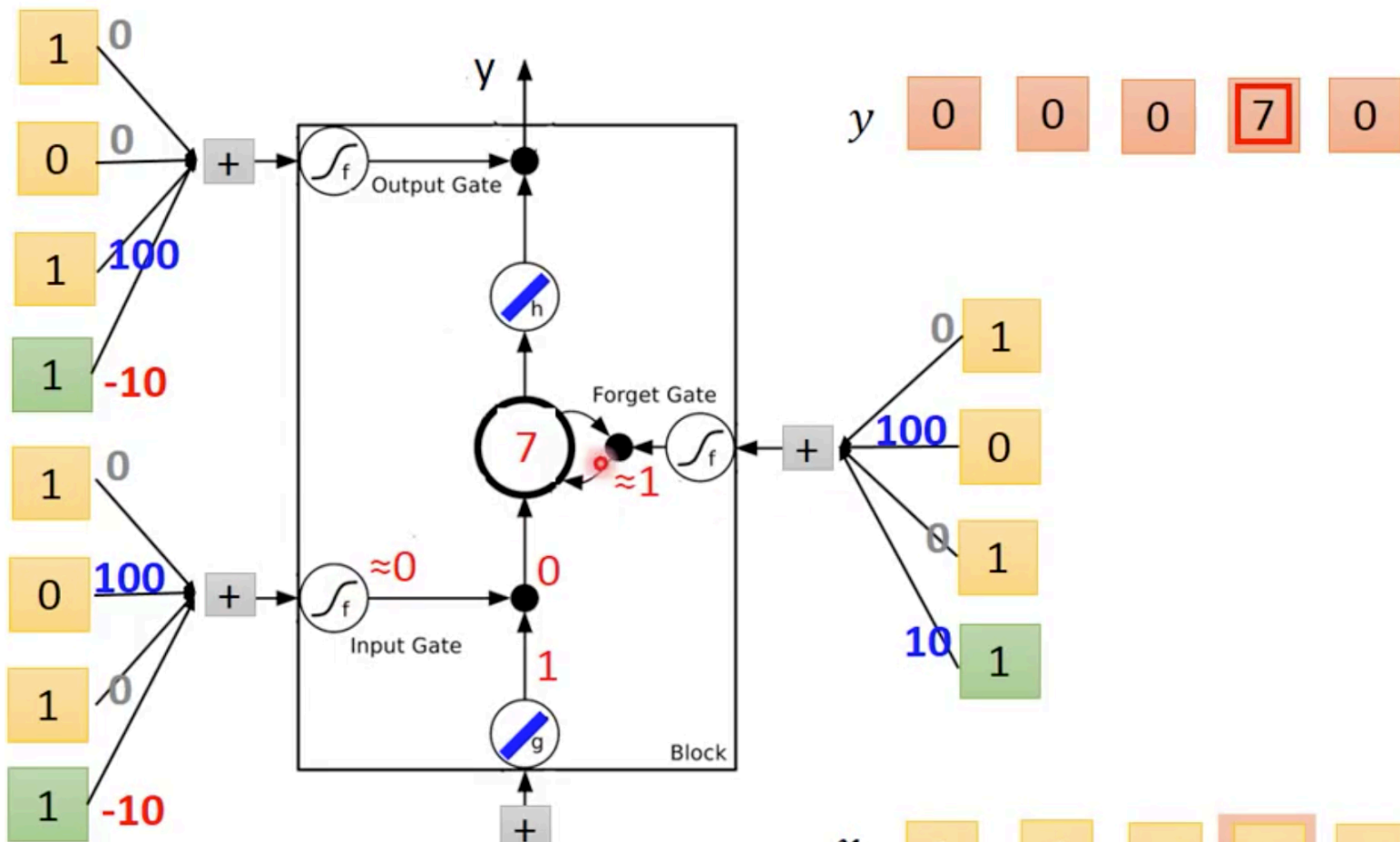
$x_1$	3	4	2	1	3
$x_2$	1	1	0	0	-1
$x_3$	0	0	0	1	0



那這個 7 它沒有辦法被輸出，因為 output gate 仍然是關閉的，所以 output 仍然是 0



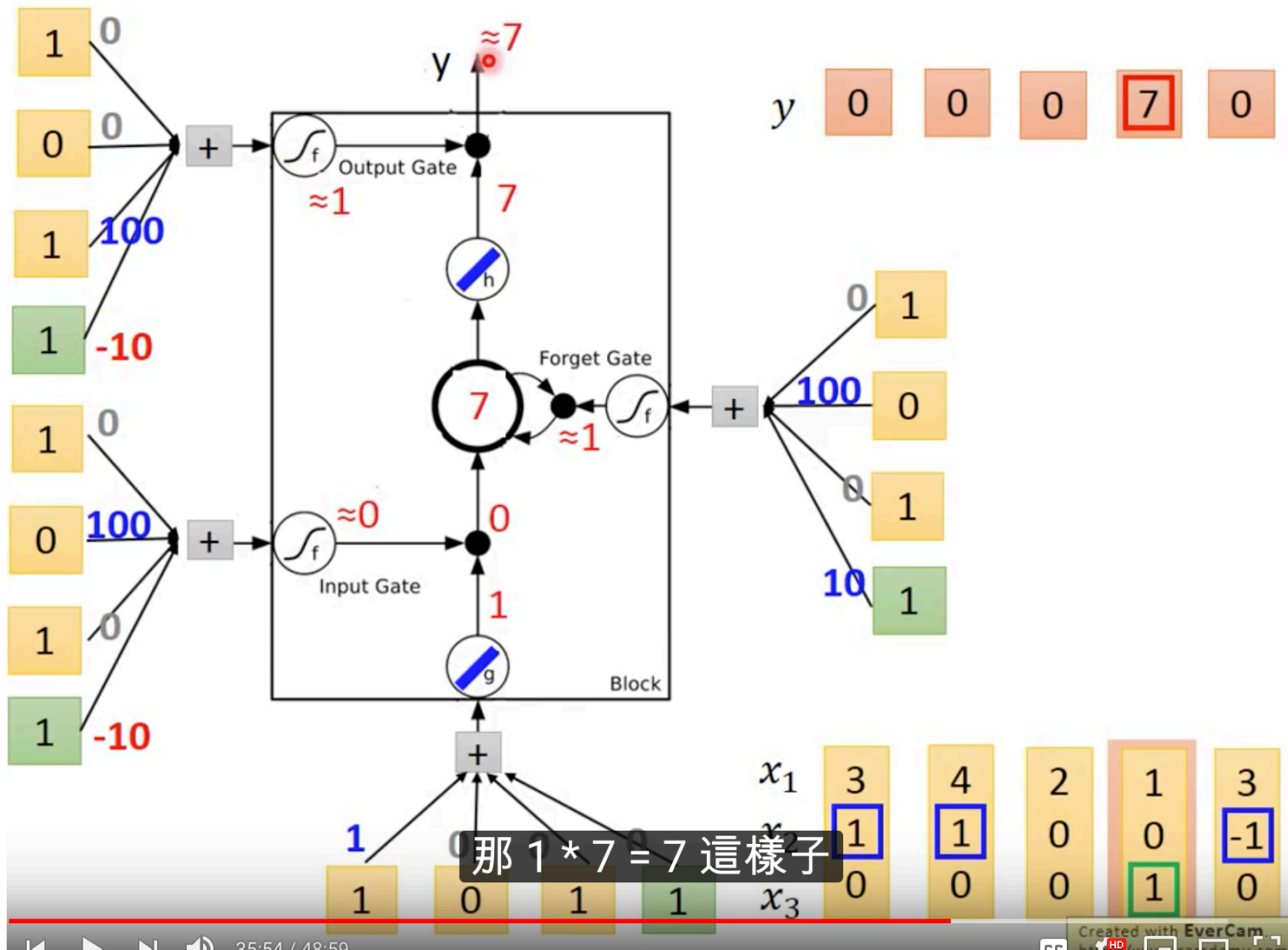


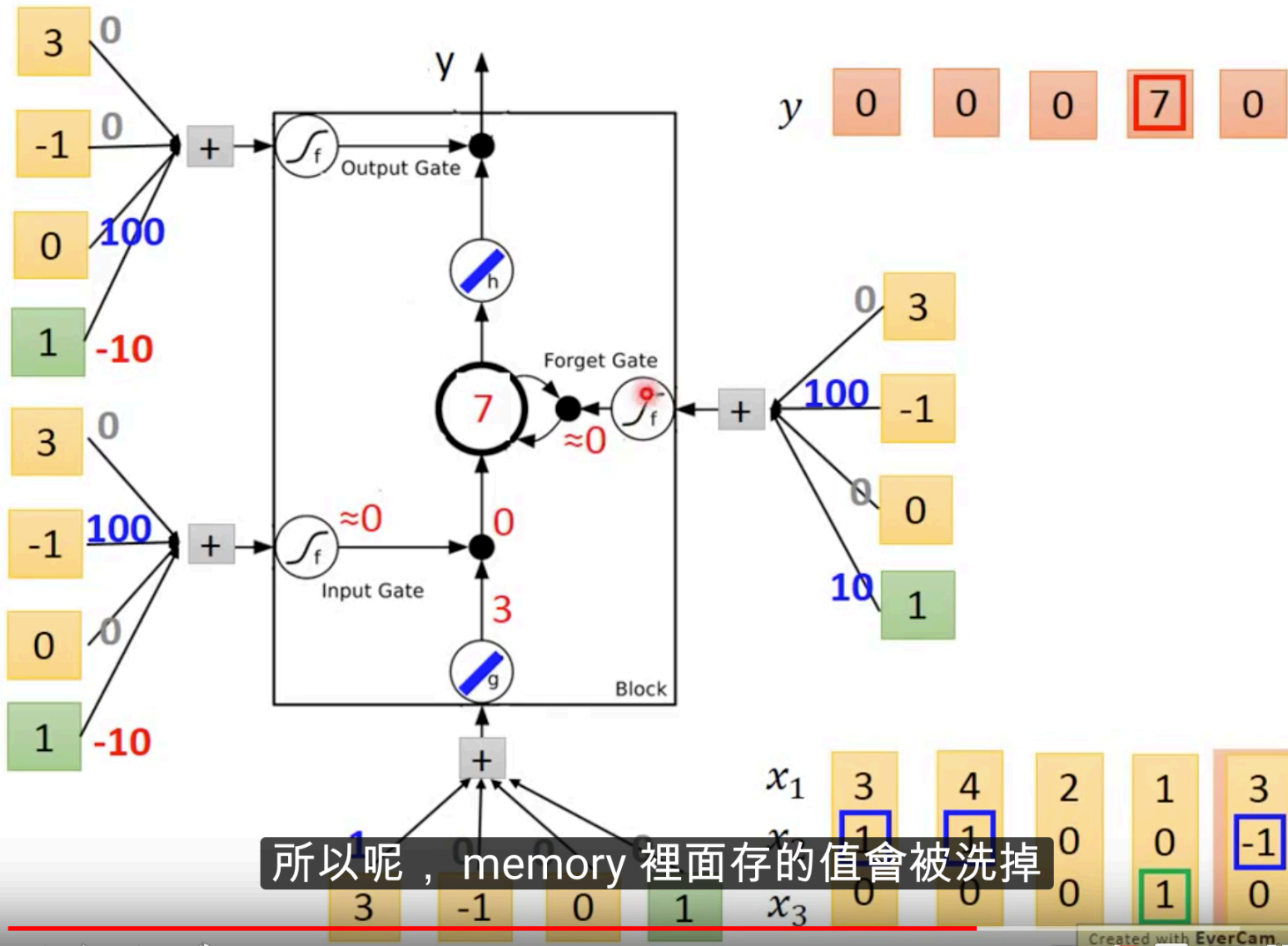


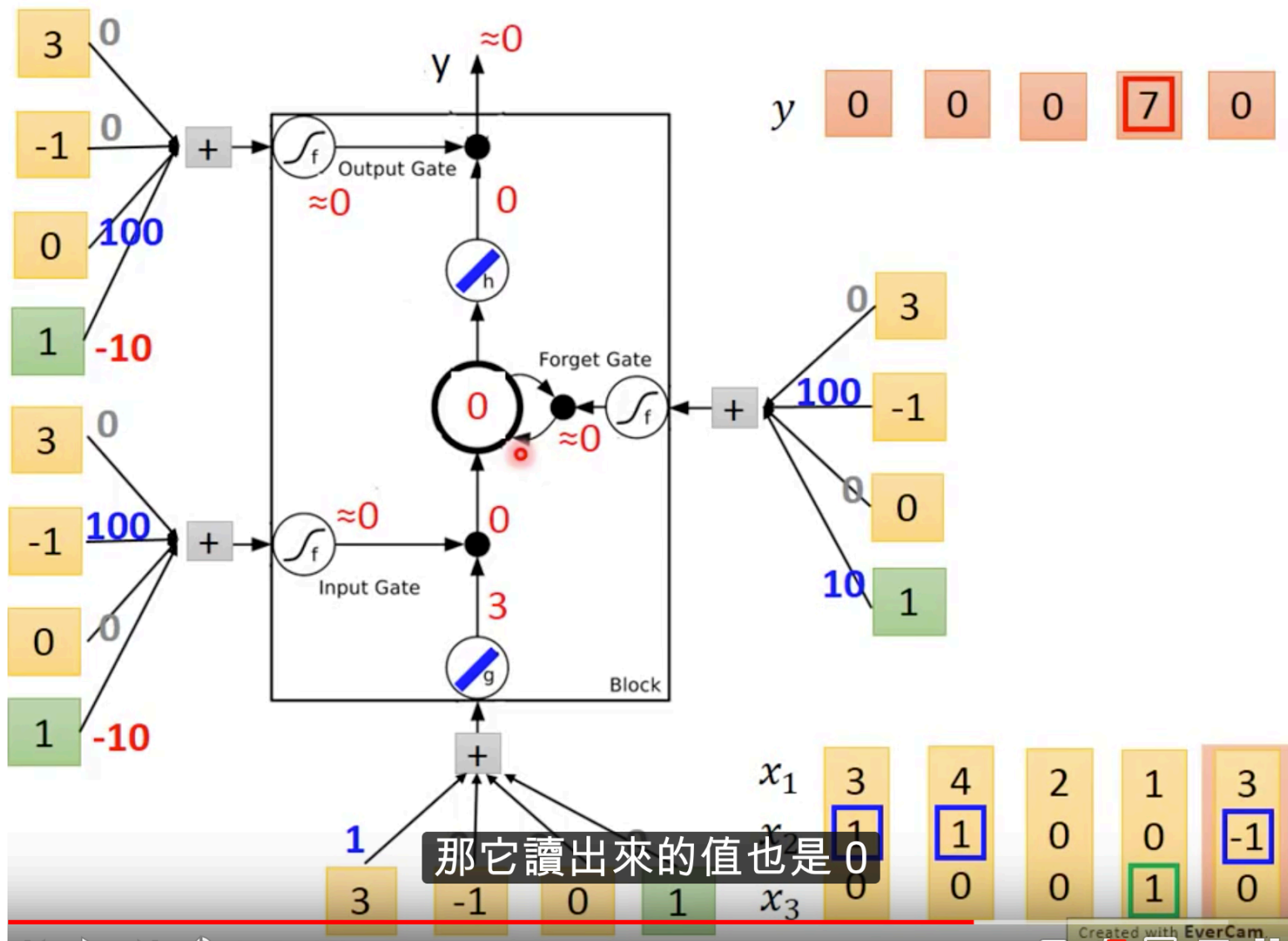
forget gate 這個時候仍然跟原來一樣，它是被打開的





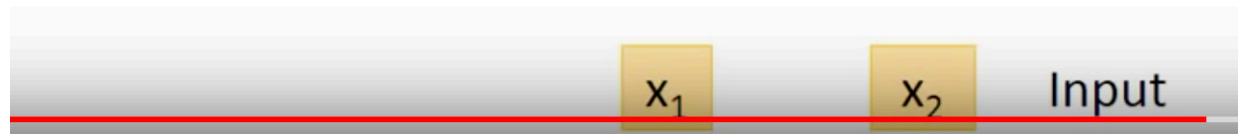




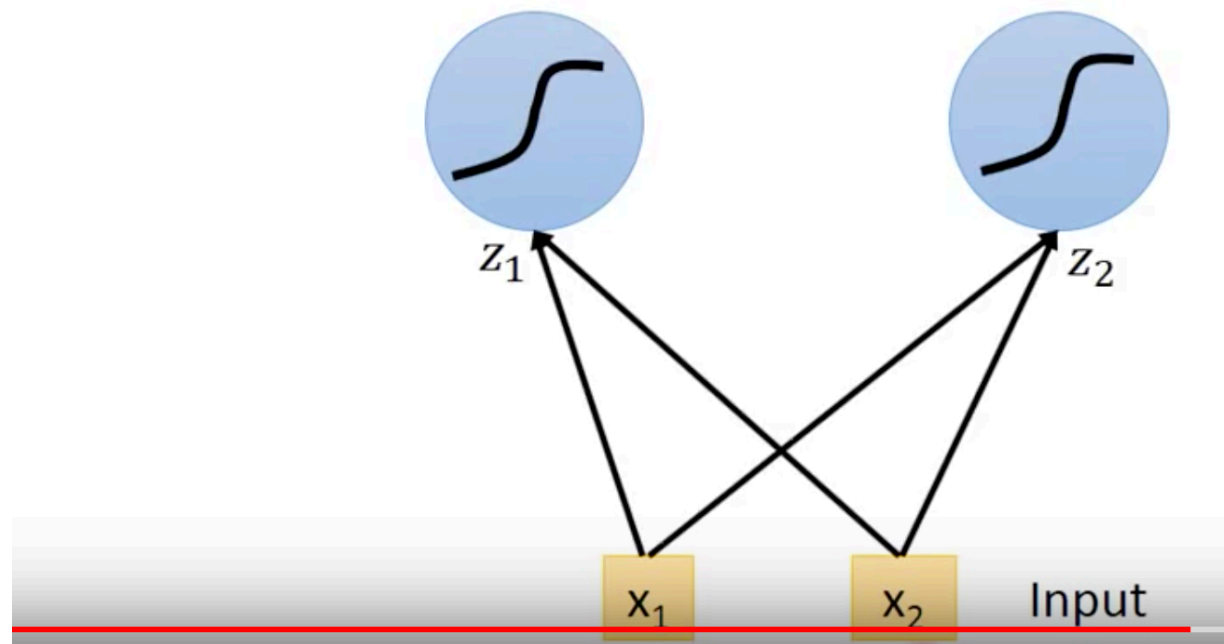


Original Network:

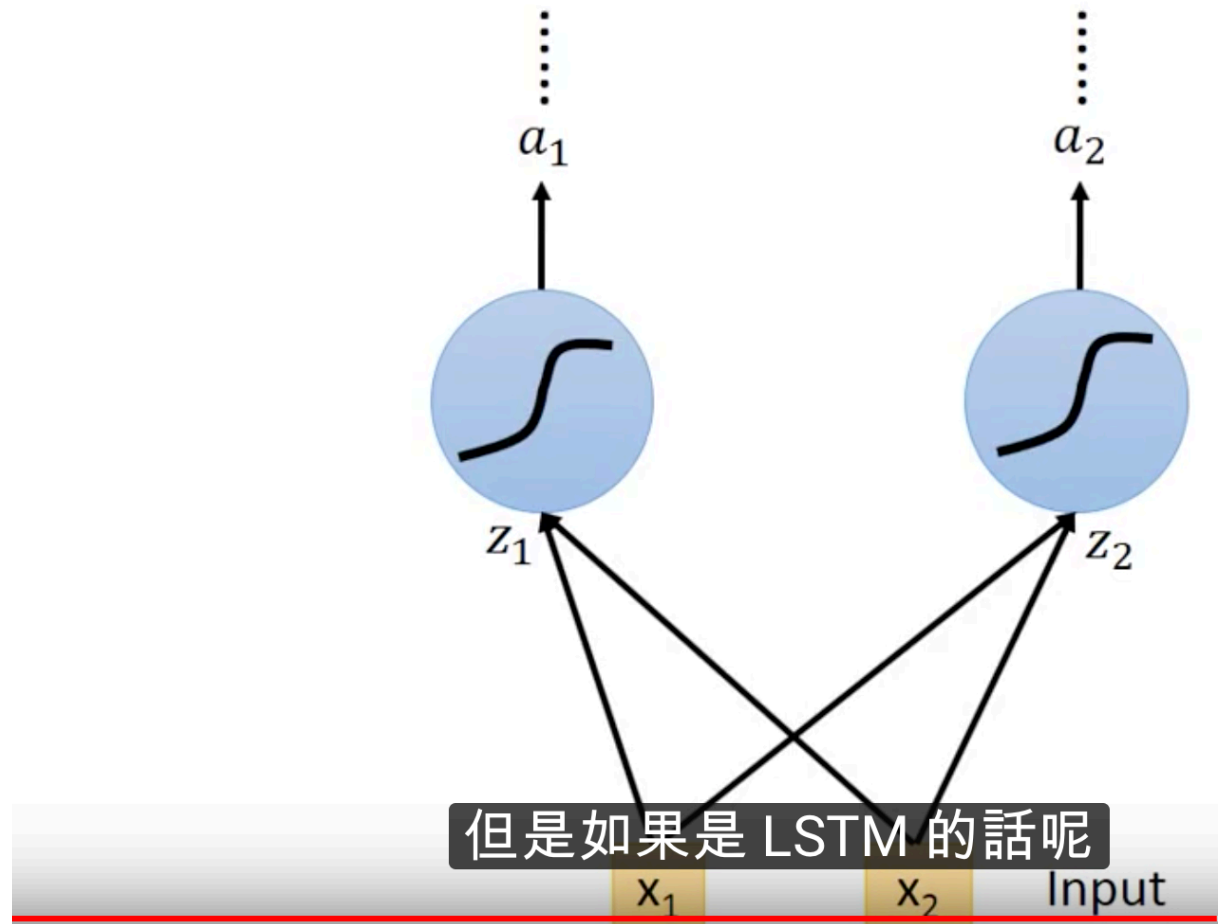
How to understand LSTM as the original network:



Original Network:

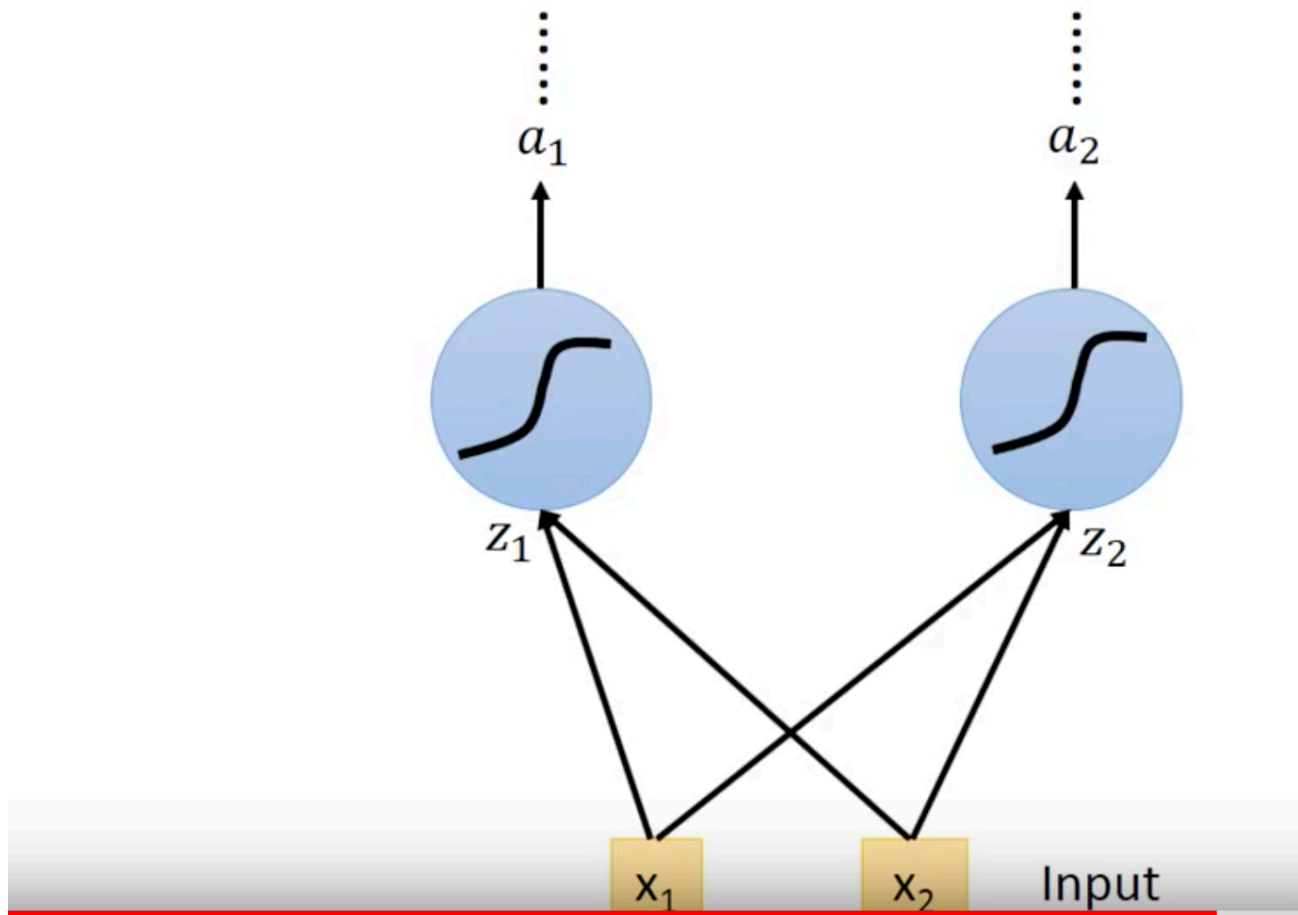


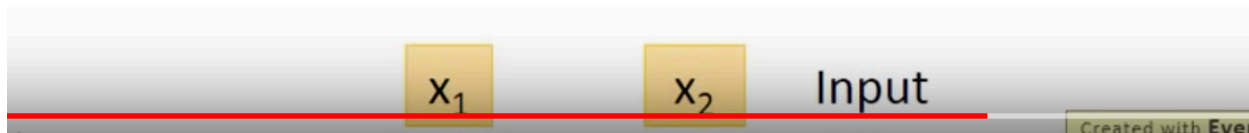
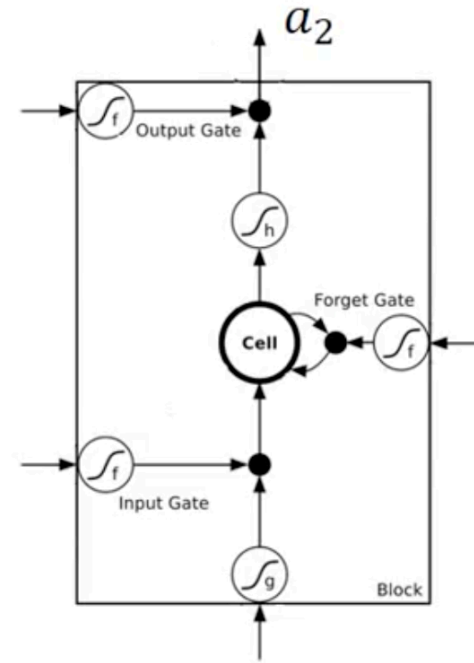
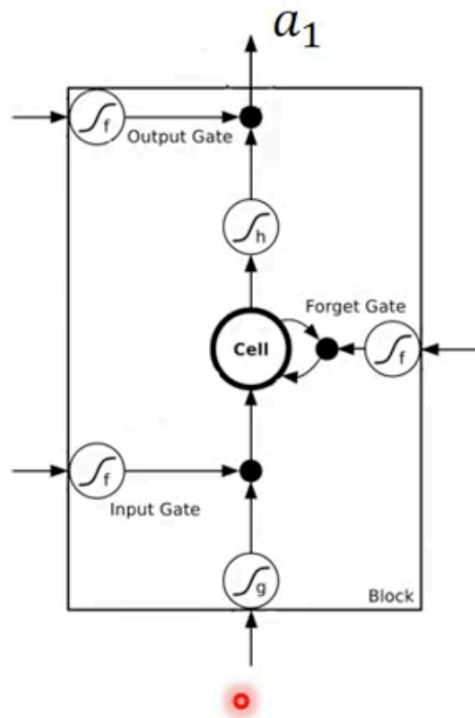
Original Network:



Original Network:

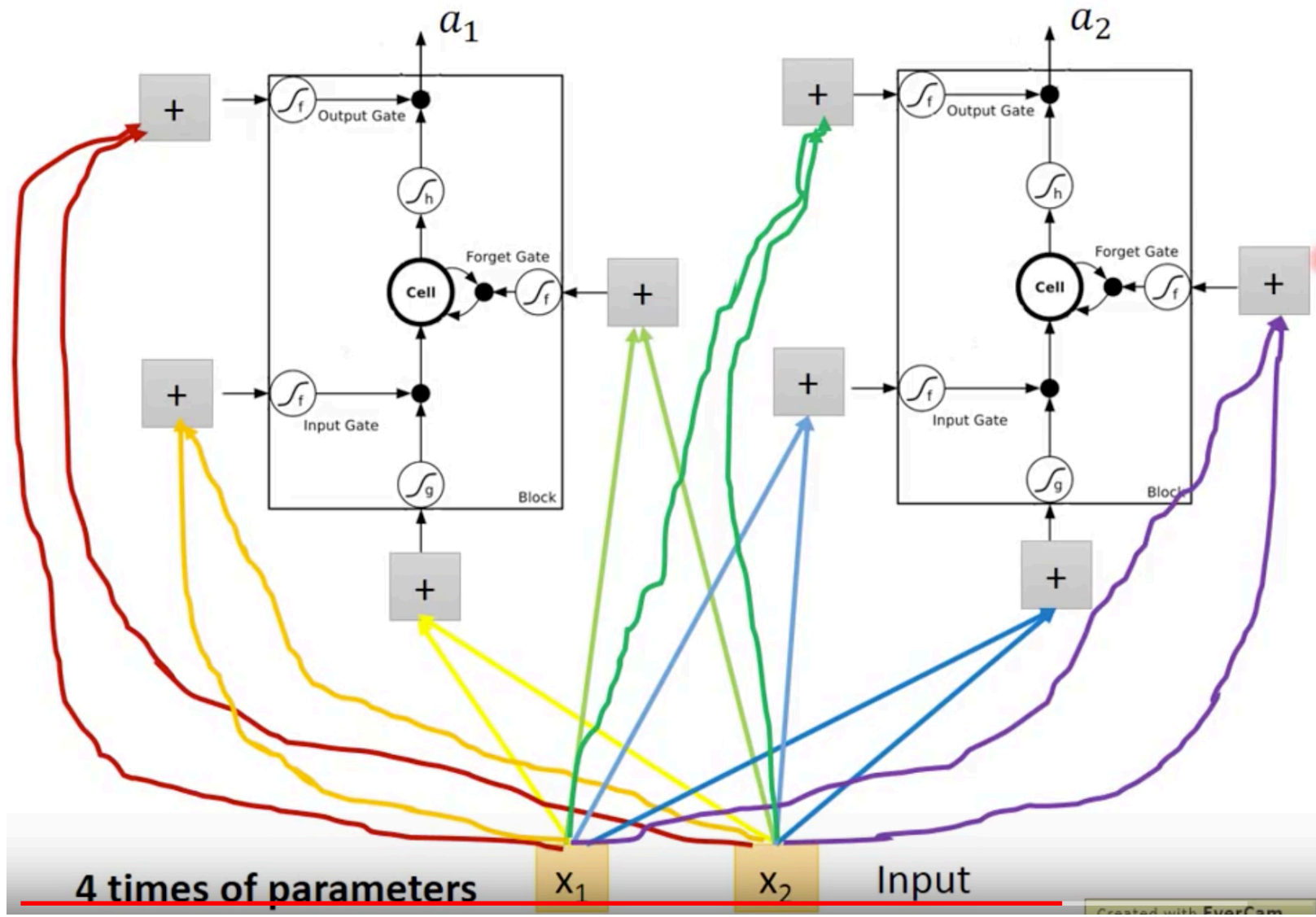
➤ Simply replace the neurons with LSTM





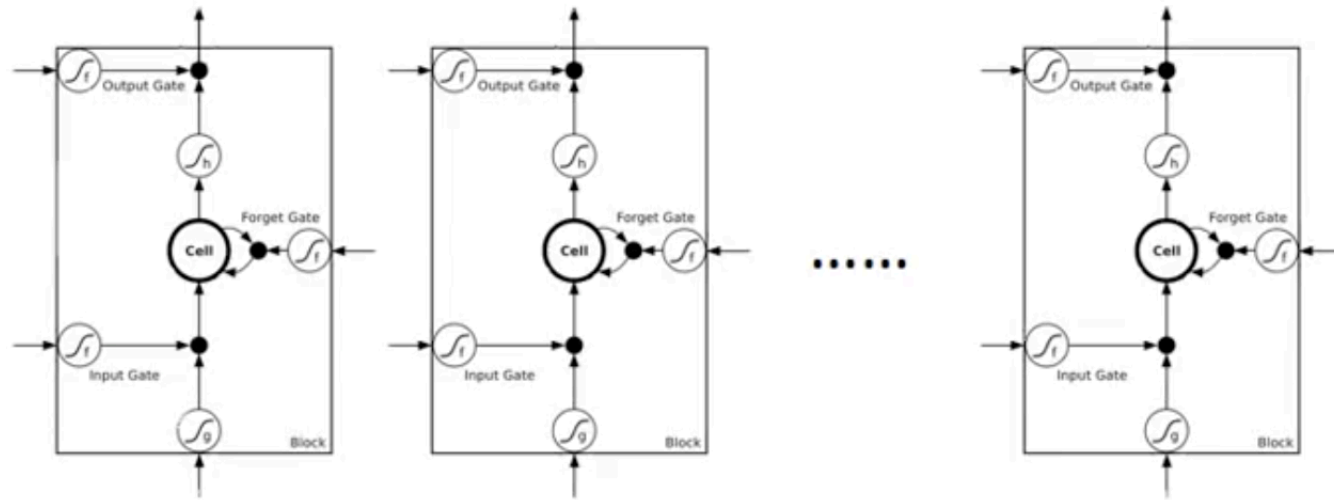






## How to understand LSTM as RNN

# LSTM

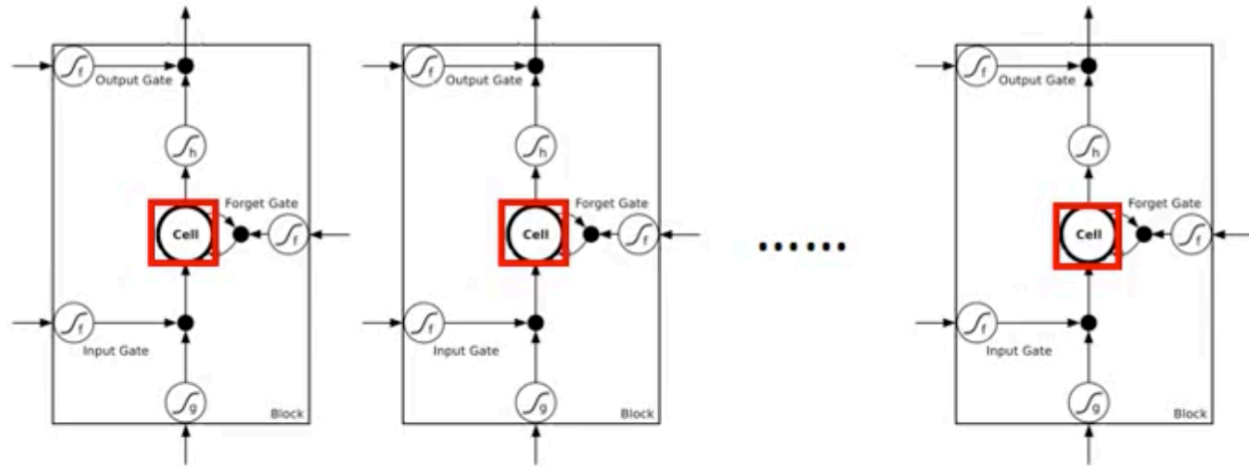




# LSTM

$c^{t-1}$

vector



Linear transformation  
(multiple input vector by a matrix to  
get a vector  $z$ )

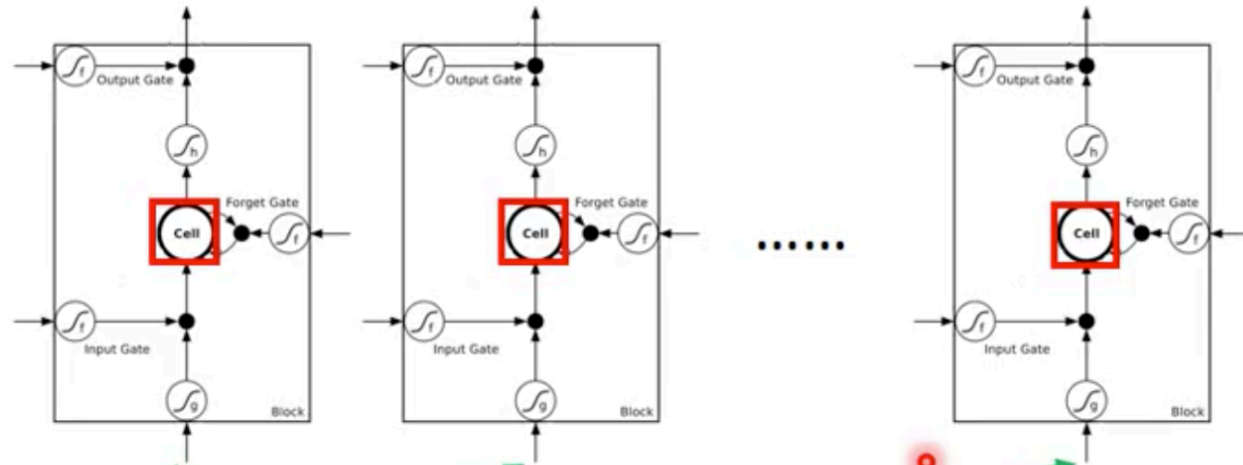
Input vector at  
time  $t$

$x^t$

# LSTM

$c^{t-1}$

vector



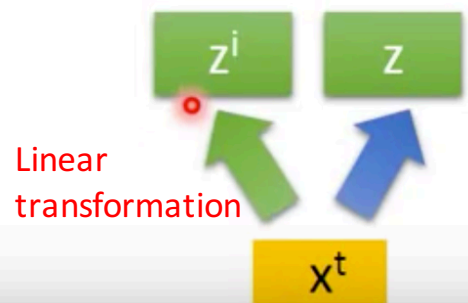
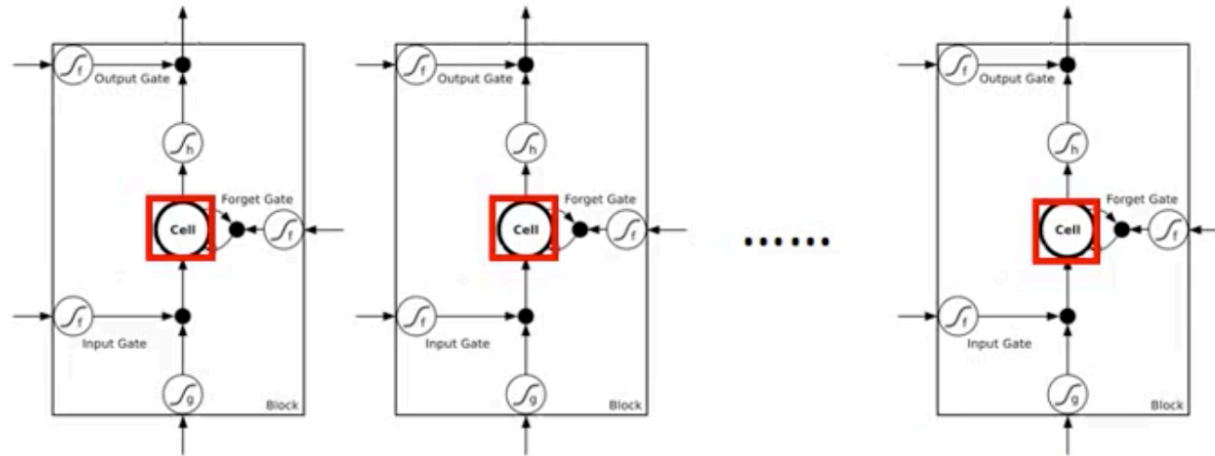
$z$

$x^t$



# LSTM

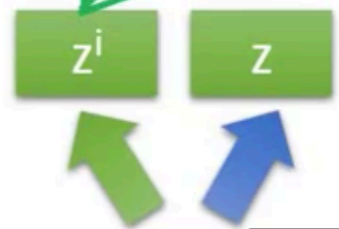
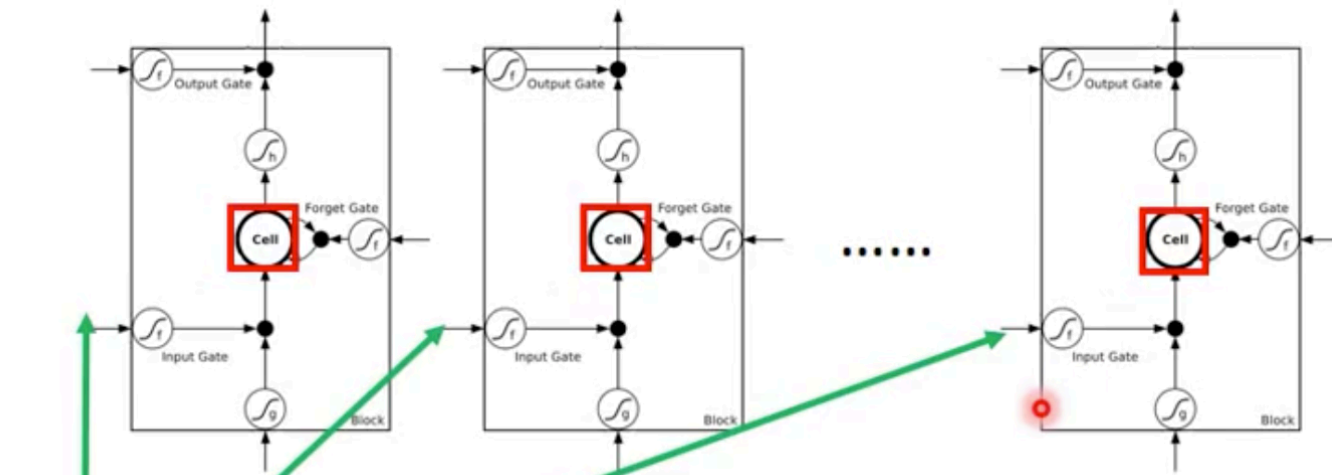
$c^{t-1}$   
vector



得到  $z^i$

# LSTM

$c^{t-1}$   
vector



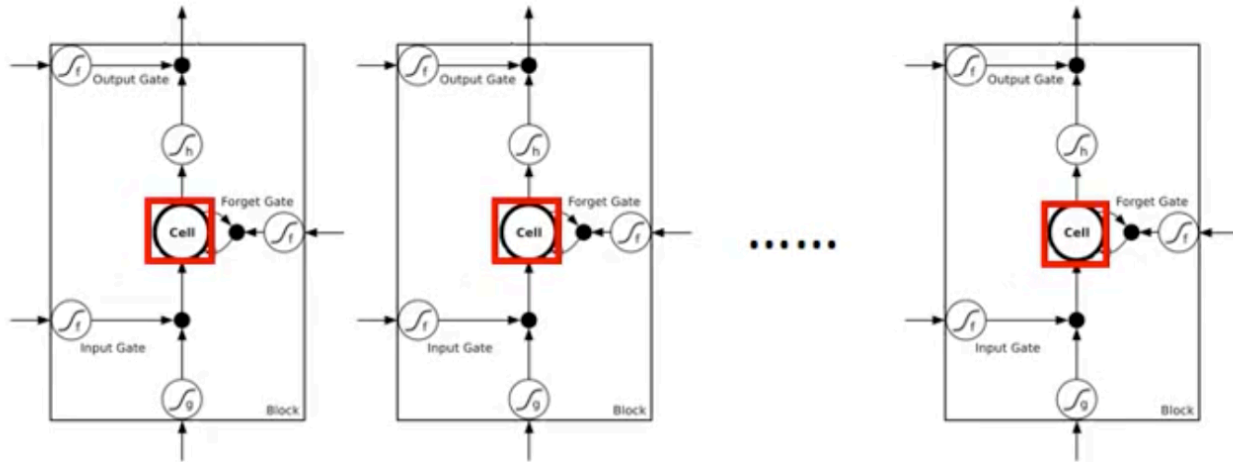
$x^t$   $z^i$  的每一個 dimension



# LSTM

$c^{t-1}$

vector



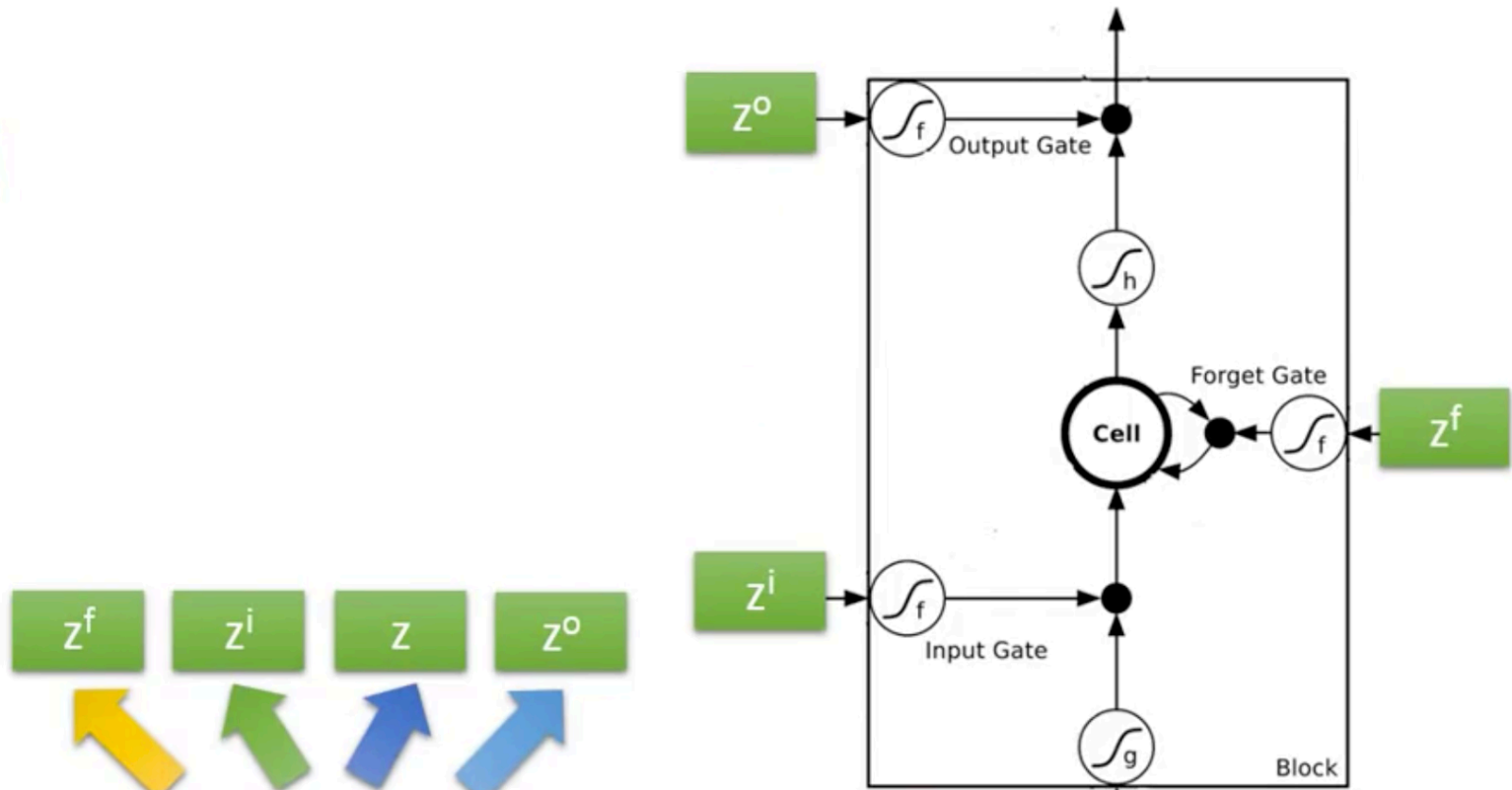
4 vectors

4 different linear transformations



# LSTM

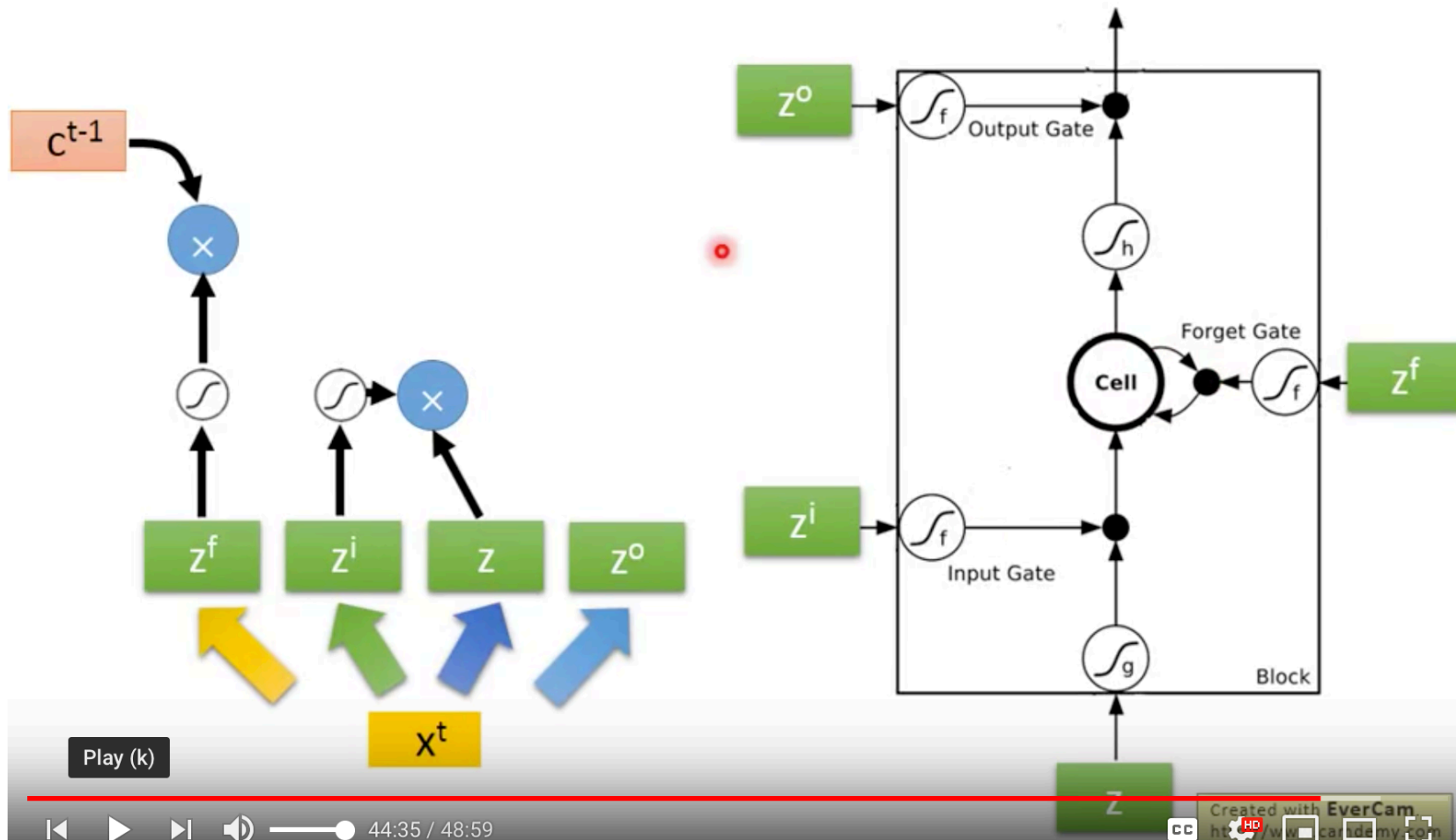
$c^{t-1}$



$x^t$  這 4 個  $z$  其實都是 vector

# LSTM

Element-wise vector operation for the layer

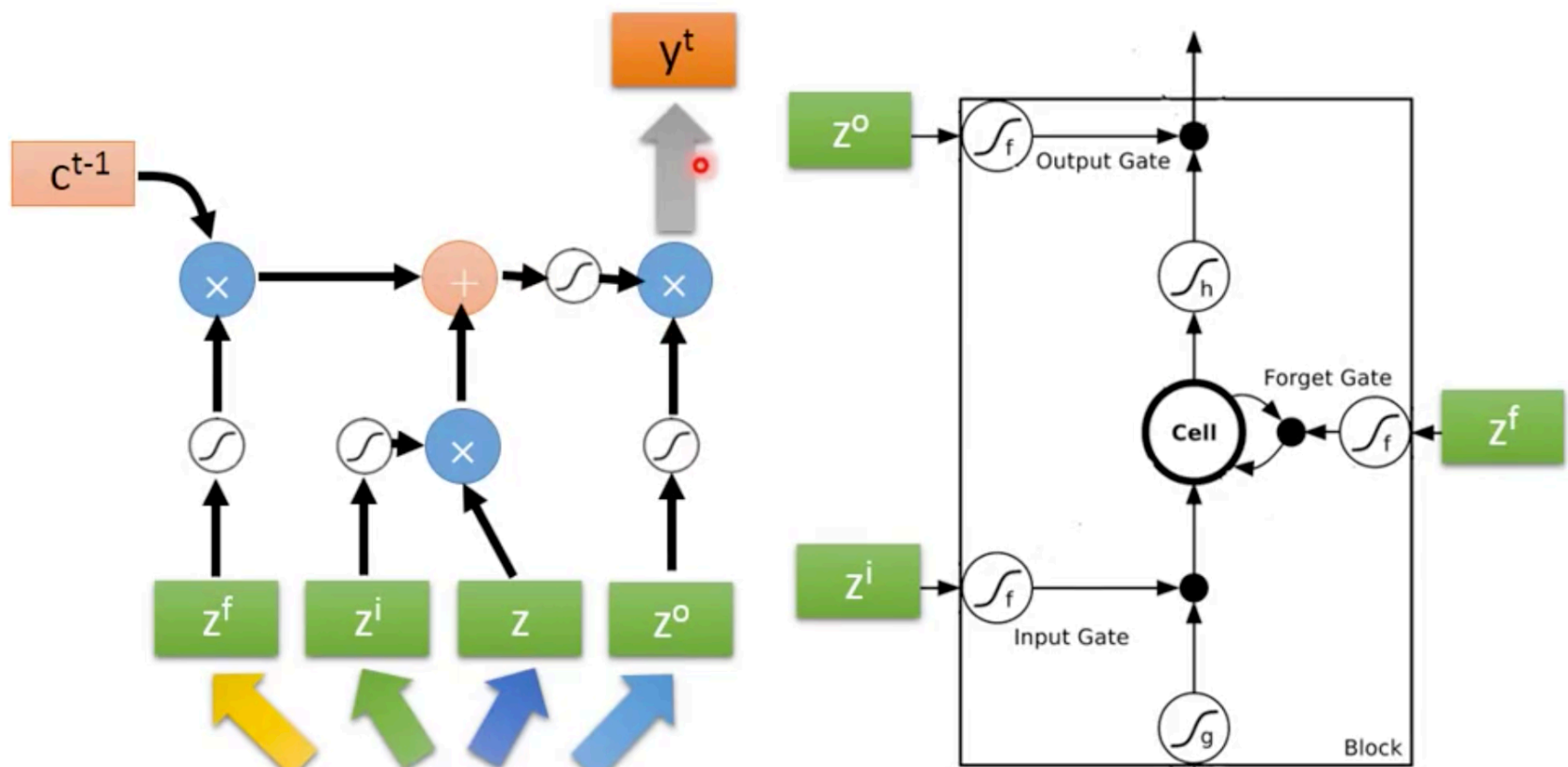


Play (k)

44:35 / 48:59

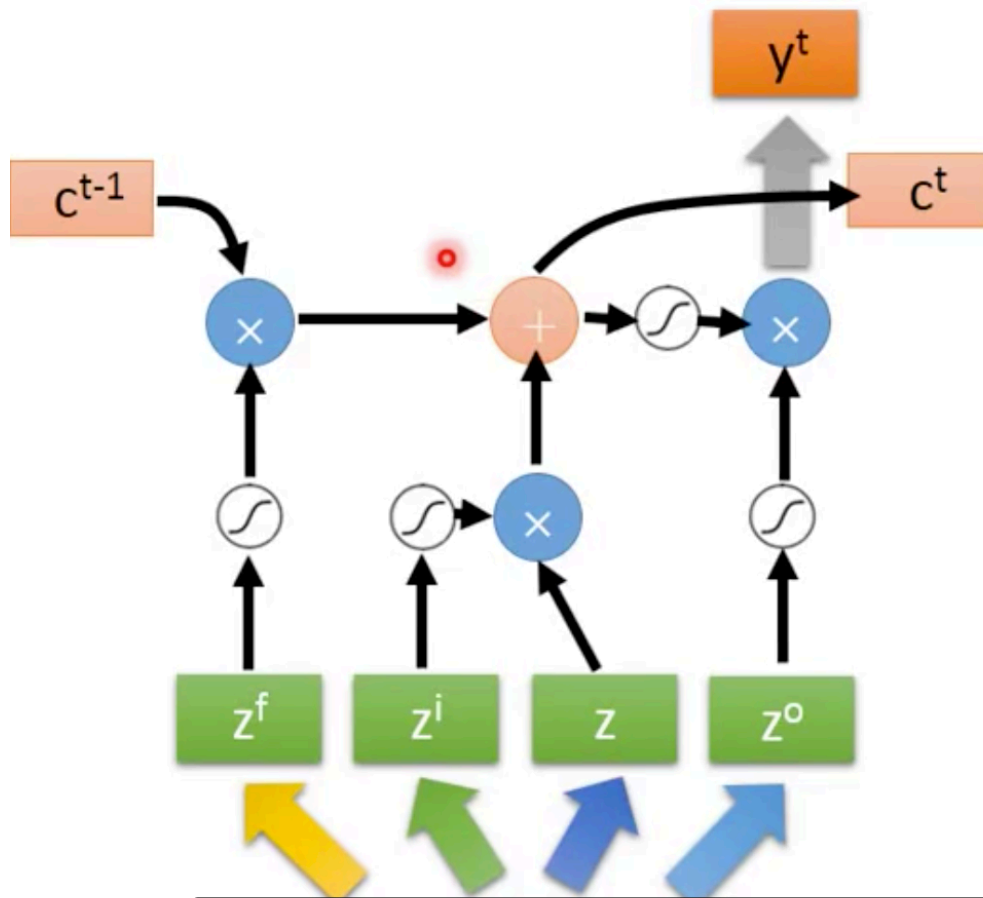
Created with EverCam  
http://www.evercam.com

# LSTM



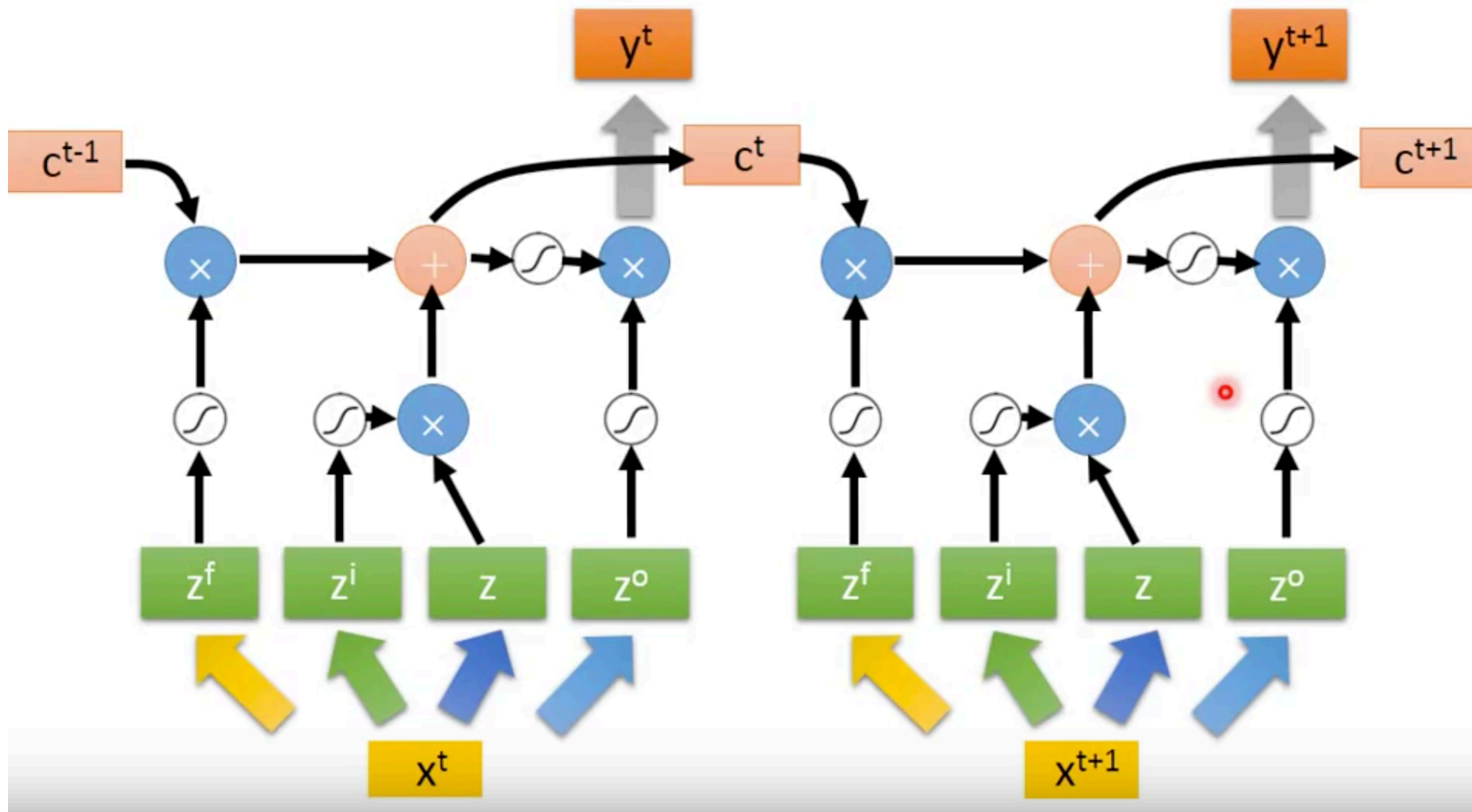
再相乘，最後就得到最後的 output 的  $y$

# LSTM



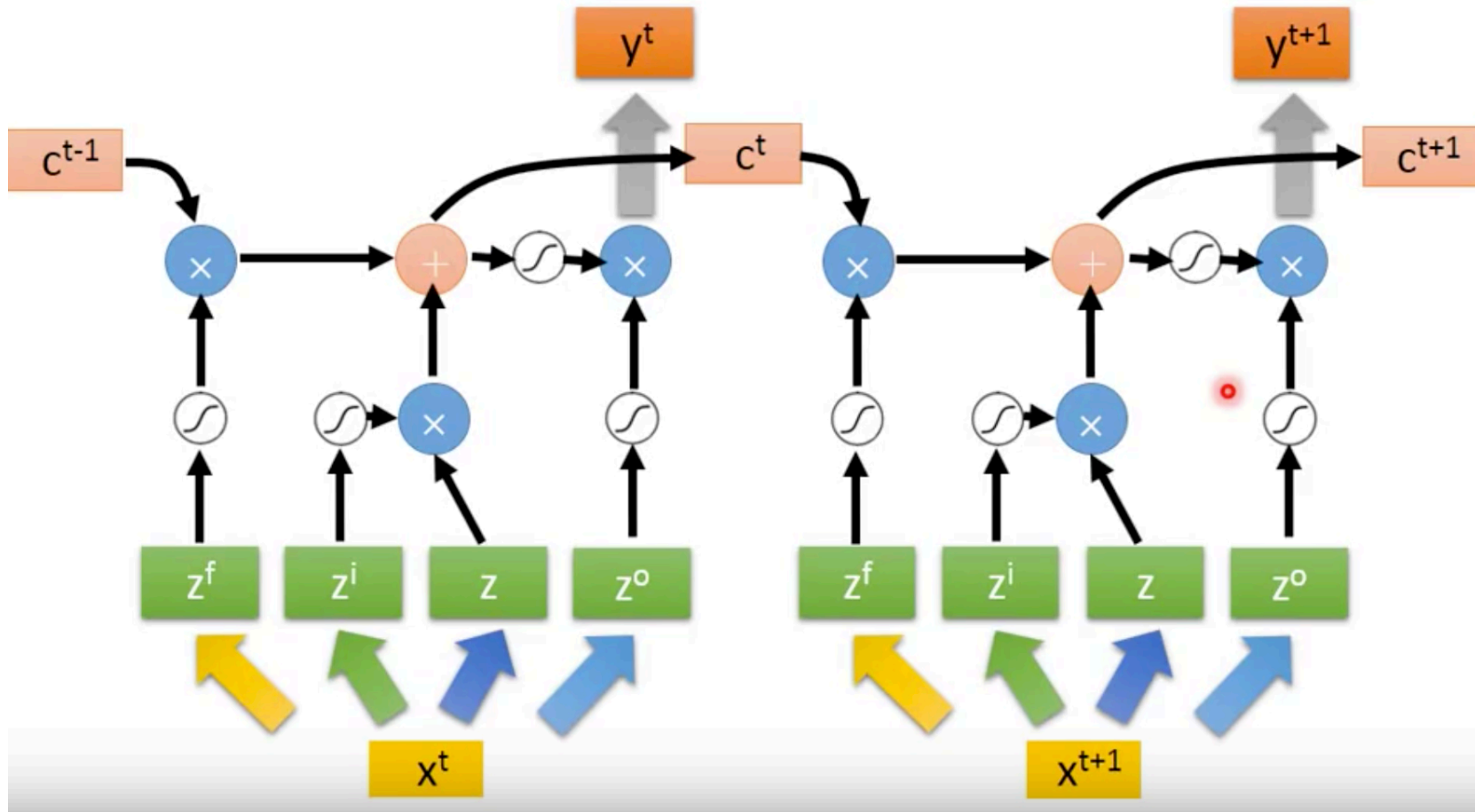
這個時候相加以後的結果，就是 memory 裡面存的值

# LSTM



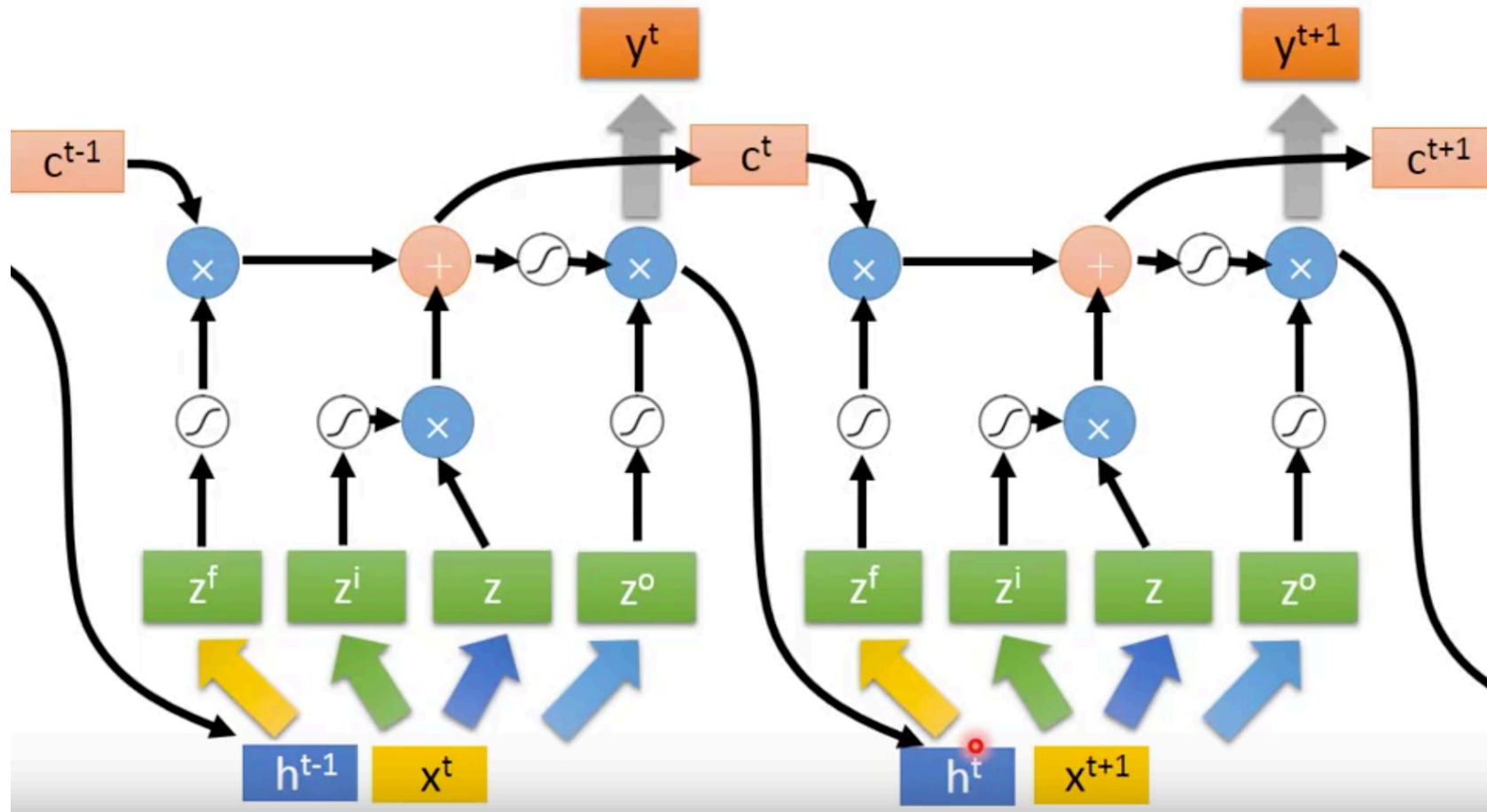
# LSTM

This is still just a simple version of LSTM



# LSTM

# Real LSTM

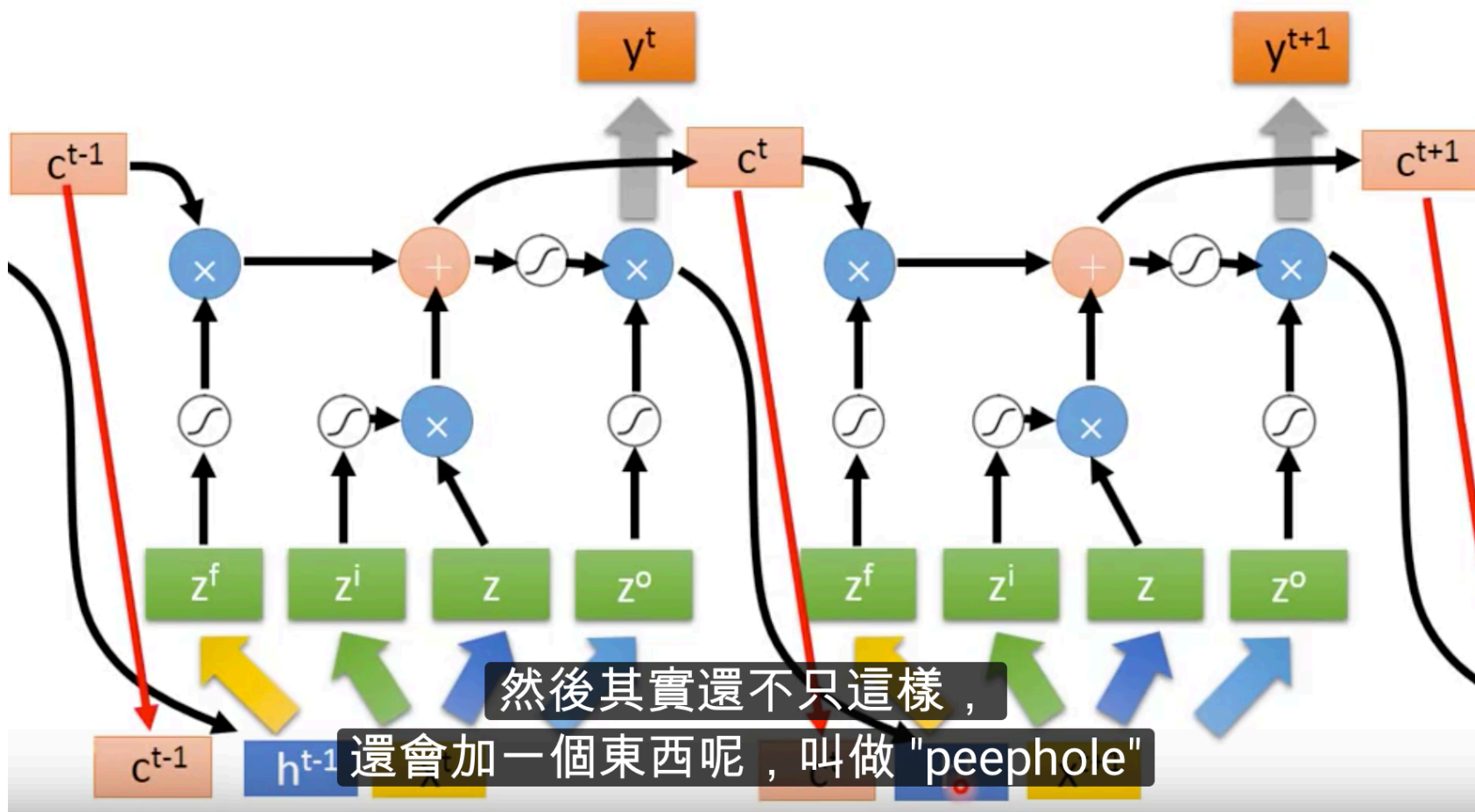




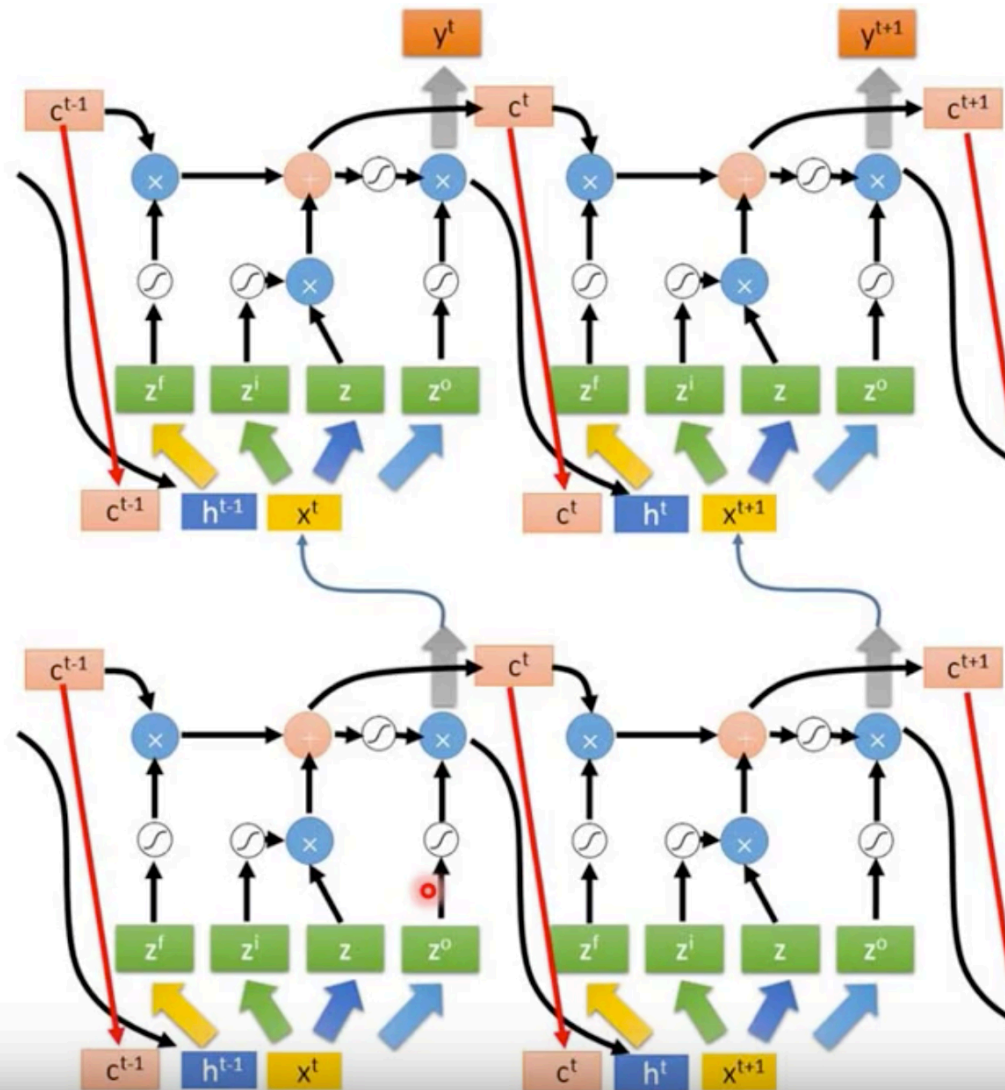
# LSTM

Real LSTM

Extension: "peephole"



Multiple-layer  
LSTM



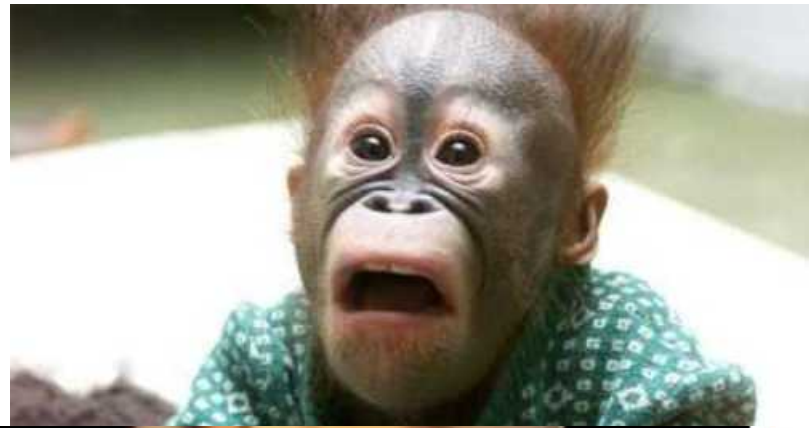
The first time a person sees

**LSTM**



The first time a person sees

**LSTM**



Don't worry if you cannot understand this.  
Keras can handle it.

Keras supports  
"LSTM", "GRU", "SimpleRNN" layers